

1 Article

2 Linking Temperature, Cation Concentration and Water Activity for  
3 the B to Z Conformational Transition in DNA4 Jaime M. Ferreira<sup>1</sup> and Richard D. Sheardy<sup>2\*</sup>5 <sup>1</sup> Estee Lauder Companies, Inc., Melville, NY 117476 <sup>2</sup> Department of Chemistry and Biochemistry, Texas Woman's University, Denton, TX 762047 \* Correspondence: [rsheardy@twu.edu](mailto:rsheardy@twu.edu), 940-898-2551

8

9 **Abstract:** High concentrations of Na<sup>+</sup> or [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup> can induce the B to Z conformational transition in  
10 alternating (dC-dG) oligo and polynucleotides. The use of short DNA oligomers (dC-dG)<sub>4</sub> and (dm<sup>5</sup>C-dG)<sub>4</sub> as  
11 models can allow a thermodynamic characterization of the transition. Both form right handed double helical  
12 structures (B-DNA) in standard phosphate buffer with 115 mM Na<sup>+</sup> at 25 °C. However, at 2.0 M Na<sup>+</sup> or 200  
13 μM [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup>, (dm<sup>5</sup>C-dG)<sub>4</sub> assumes a left handed double helical structure (Z-DNA) while the unmethylated  
14 (dC-dG)<sub>4</sub> analogue remains right handed under those conditions. We have previously demonstrated that the  
15 enthalpy of the transition at 25 °C for either inducer can be determined using isothermal titration calorimetry  
16 (ITC) [Ferreira, J. M. & Sheardy, R. D., *Biophys. J.* **2006**, *91*, 1-7]. Here, ITC is used to investigate the linkages  
17 between temperature, water activity and DNA conformation. We found that the determined enthalpy for each  
18 titration varied linearly with temperature allowing determination of the heat capacity change ( $\Delta C_p$ ) between the  
19 initial and final states. As expected, the  $\Delta C_p$  values were dependent upon the cation (i.e. Na<sup>+</sup> vs [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup>)  
20 as well as the sequence of the DNA oligomer (i. e., methylated vs unmethylated). Osmotic stress experiments  
21 were carried out to determine the gain or loss of water by the oligomer induced by the titration. The results are  
22 discussed in terms of solvent accessible surface areas, electrostatic interactions and the role of water.

23 **Keywords:** B-DNA, Z-DNA, circular dichroism, calorimetry, enthalpy, conformational transitions, heat  
24 capacity

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## 26 1. Introduction

27 In 1953, Watson and Crick were the first to propose a right handed double-helical conformation for DNA,  
28 now known as B-DNA, from X-ray diffraction studies [1]. Since then, it has been shown DNA is highly  
29 polymorphic and nearly twenty other slight variations of duplex DNA exist, such as A-DNA, C-DNA, and Z-  
30 DNA. The exact secondary structure of a segment of DNA is highly dependent upon both the sequence context  
31 and the local environment. Although most DNA is considered to adopt a right-handed double helical  
32 conformation, the observation of an inverted circular dichroism spectrum of poly(dC-dG) in high salt led Pohl  
33 and Jovin to propose a left-handed double helical conformation for that polymer under those conditions [2]. The

34 ability of DNA to adopt a left-handed double helical conformation was confirmed by X-ray crystallography in  
35 1979 when Rich et al determined the structure of an alternating (GC) oligomer in the presence of  $Mg^{2+}$  and  $Co^{3+}$   
36 to be a left-handed [3]. This conformation was designated as Z-DNA due to the “zig-zag” arrangement of the  
37 sugar-phosphate backbone. The ability of DNA to undergo the B to Z transition is not only influenced by  
38 sequence and environmental effects but also by modification of the DNA itself. For example, Behe and  
39 Felsenfeld compared poly(dC-dG) to poly(dm<sup>5</sup>C-dG) for their abilities to undergo B to Z transitions using  $Na^+$ ,  
40  $Mg^{2+}$  or trivalent cobalt hexamine  $[Co(NH_3)_6]^{3+}$  and found that: 1) the transition occurred at a much lower  
41 concentrations of inducer for the methylated polymer; and, 2) the concentration of the inducer used at the  
42 midpoint of the transition decreased with increasing charge of the inducer [4].

43 The ability of Z-DNA to be formed under physiological salt conditions due to the methylation at the 5'  
44 position has led to the study of the different roles Z-DNA may play biologically. DNA sequences that are either  
45 in a Z conformation or go through a transformation to become Z DNA have been identified *in vitro*, *in vivo*,  
46 and in eukaryotic systems [5-7]. It has been shown that Z-DNA binding proteins known as anti-Z-DNA  
47 antibodies induce Z-DNA formation and help stabilize left-handed DNA *in vivo* [8]. It has also been suggested  
48 that Z-DNA binding proteins are actually phospholipid-binding proteins, or that Z-DNA binding proteins  
49 regulate gene expression by turning genes on and of [9]. Z-DNA was first observed in chromosomes of the fruit  
50 fly *Drosophila melanogaster* by Rich et al and was shown to be present in fixed and unfixed tissue sections by  
51 immunohistochemical methods [10]. These studies revealed that the B to Z transition in ds-DNA molecules is  
52 caused by the torsional stress build up related to the Z-DNA's immunoreactivity.

53 Regardless of the biological role of Z-DNA, sequences that undergo the B to Z transition offer robust models  
54 to study the thermodynamics of conformational transitions. Using van't Hoff analysis, Pohl and Jovin  
55 determined the enthalpy of the  $Na^+$  induced B to Z transition of poly(dC-dG) to be near zero ( $\pm 1$  kcal/mol bp)  
56 and temperature independent over the range of 30 to 50 °C [2]. Using differential scanning calorimetry, Chaires  
57 and Sturtevant reported an enthalpy of  $0.61 \pm 0.07$  kcal/mol bp for the B to Z transition of poly(m<sup>5</sup>dC-dG) [11].  
58 For the unmethylated polymer, Chaires and Sturtevant reported an enthalpy of  $2.02 \pm 0.2$  kcal/mol bp [12] in  
59 excellent agreement with the enthalpy reported independently by Klump, et al [13]. We have investigated the  
60 B to Z transition of (m<sup>5</sup>dC-dG)<sub>4</sub> induced by either  $Na^+$  or  $[Co(NH_3)_6]^{3+}$  at 25 °C using isothermal titration  
61 calorimetry. We found the enthalpy of the B to Z transition to be  $0.70 \pm 0.04$  kcal/mol bp for either inducer  
62 [14]. A molecular dynamics approach also suggested that the B to Z transition resulted in a  $\Delta H$  of 0.9 kcal/mol,  
63 in good agreement with previous experimentally derived values [15].

64 Manzini et al suggested that in high salt the B to Z conversion proceeds through denaturation of the duplex  
65 with B to coil to Z [16], while Tran-Dinh and others proposed a Z-to-B to coil mechanism in which a direct Z-  
66 DNA helix to single-strand transition was not observed [17]. Results from our group suggests that the B to Z  
67 transition proceeds through three states: B to I to Z, where I is most likely an intermediate dehydrated structure  
68 [14,18].

69 For our studies, we used two self-complementary eight base pair DNA oligomers to monitor the B to Z  
70 transition: Z8A, (dC-dG)<sub>4</sub>, and Z8M, (dm<sup>5</sup>C-dG)<sub>4</sub>. To expand upon our previous study, we have investigated  
71 the influence of temperature and water activity on the B to Z transition using calorimetric approaches.  
72 Isothermal titration calorimetry (ITC) has proven to be a reliable tool to determine enthalpy values of  
73 conformational changes in macromolecules. ITC measurements allow a direct and sensitive determination of  
74 the transition enthalpy, and provide a significant advantage over methods based on the indirect van't Hoff  
75 analysis.

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## 77 2. Results and Discussion

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### 79 2.1 The Enthalpy of the B to Z Transition

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81 The B to Z transition enthalpy has previously been obtained by a variety of experimental techniques [2,11-  
 82 13,19-21]. As demonstrated in our earlier report [14] and here, the transition enthalpy can also be determined  
 83 using Isothermal Titration Calorimetry (ITC) by assuming that the difference in total enthalpy between Z8A  
 84 and Z8M upon addition of either Na<sup>+</sup> or [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup> is due to the transition itself. In other words, for any  
 85 oligomer undergoing a salt titration:

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$$87 \quad \Delta H_{obs} = \Delta H_{conf} + \Delta H_{nonconf} \quad (1)$$

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89 where  $\Delta H_{obs}$  is the observed calorimetric enthalpy,  $\Delta H_{conf}$  is the enthalpy for the B to Z conformational transition  
 90 itself and  $\Delta H_{nonconf}$  is the enthalpy for all other possible processes such as uptake or loss of Na<sup>+</sup>, uptake or loss  
 91 of water, and binding of [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup> when titrating with this inducer. The governing premises here are: 1)  
 92 that  $\Delta H_{nonconf}$  is the same for Z8A and Z8M with either Na<sup>+</sup> or [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup>; and, 2) that  $\Delta H_{conf}$  is essentially  
 93 zero for Z8A since it does not undergo the B to Z transition with either inducer [14]. This leads to:

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$$95 \quad \Delta H_{conf} = \Delta H_{obs,Z8M} - \Delta H_{obs,Z8A} \quad (2)$$

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97 Thus, both Z8A and Z8M were titrated with either Na<sup>+</sup> or [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup> and the enthalpies of the titrations  
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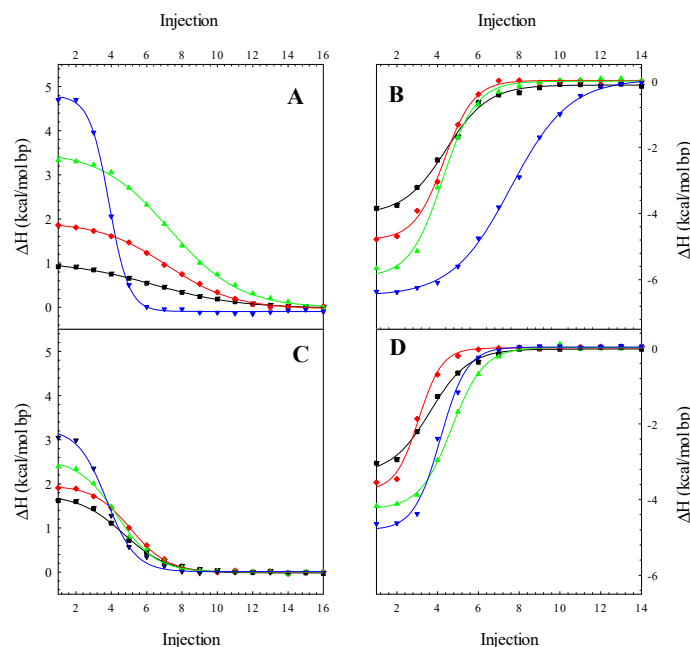
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**Figure 1.** ITC Binding isotherms obtained for (A) Z8A and NaCl; (B) Z8A and [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup>; (C) Z8M and NaCl; and (D) Z8M and [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup> interactions as a function of temperature: 25 °C (black), 35 °C (red), 45 °C (green), and 55 °C (blue). The integrated results of the data after subtraction of the heats of dilution are represented and the solid lines are the best least-square fits of the integrated data.

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121 It was first observed that the heat of dilution  $\text{Na}^+$  is endothermic while that of  $[\text{Co}(\text{NH}_3)_6]^{3+}$  is exothermic at  
 122 25 °C [14]. This difference is most likely due to differences in hydration of these ions. Examination of typical  
 123 raw calorimetric titration data at 25 °C reveals that titration of either Z8M or Z8A with  $\text{Na}^+$  is also endothermic  
 124 while titrations with  $[\text{Co}(\text{NH}_3)_6]^{3+}$  are exothermic [14]. The titrations were then carried out at temperatures up  
 125 to 55 °C. Subtraction of the heats of dilution from the respective DNA titrations, followed by integration of the  
 126 data results in the isotherms shown in Figure 1. Not only are the titrations of Z8A or Z8M with  $\text{Na}^+$   
 127 endothermic but also become more endothermic as the temperature increases from 25 to 55 °C. Further, the  
 128 titrations of these oligomers with  $[\text{Co}(\text{NH}_3)_6]^{3+}$  become more exothermic with increasing temperature. These  
 129 trends are strictly consistent with Le Chatlier's principle. One final observation from Figure 1 is that the  
 130 transitions appear to become more cooperative at higher temperatures. The ITC determined enthalpy values  
 131 obtained from these titrations can be found in Table 1. What is particularly noteworthy, however, about that  
 132 data in Table 1 is that the B to Z transition enthalpy ( $\Delta H_{\text{conf}}$  values) induced by  $\text{Na}^+$  becomes more favorable  
 133 with increasing temperature while the  $[\text{Co}(\text{NH}_3)_6]^{3+}$  induced transition becomes less favorable with increasing  
 134 temperature. This observation will be addressed in the next section.

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**Table 1.** Summary of ITC determined enthalpies for the titrations of Z8A and Z8M.

T (K)	$\Delta H_{\text{obs,Z8A}}$ (kcal/mol bp)	$\Delta H_{\text{obs,Z8M}}$ (kcal/mol bp)	$\Delta H_{\text{conf}}$ (kcal/mol bp)
$\text{Na}^+$			
298	$0.92 \pm 0.09$	$1.62 \pm 0.09$	0.70
308	$1.73 \pm 0.06$	$1.96 \pm 0.14$	0.23
318	$3.23 \pm 0.11$	$2.35 \pm 0.12$	-0.87
328	$4.66 \pm 0.15$	$3.06 \pm 0.14$	-1.6
$[\text{Co}(\text{NH}_3)_6]^{3+}$			
298	$-3.85 \pm 0.17$	$-3.13 \pm 0.15$	0.72
308	$-4.78 \pm 0.13$	$-3.58 \pm 0.15$	1.2
318	$-5.69 \pm 0.18$	$-4.20 \pm 0.15$	1.5
328	$-6.25 \pm 0.18$	$-4.66 \pm 0.19$	1.6

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Values were obtained from the total integrated isotherms shown in Figure 1.  $\Delta H_{\text{obs,Z8A}}$  and  $\Delta H_{\text{obs,Z8M}}$  are the  
 observed enthalpies for the respective titrations of Z8A and Z8M and  $\Delta H_{\text{conf}}$  represents the enthalpy for the B  
 to Z transition at the temperature indicated, i.e.  $\Delta H_{\text{conf}} = \Delta H_{\text{obs,Z8M}} - \Delta H_{\text{obs,Z8A}}$  as per Eqn. (2).

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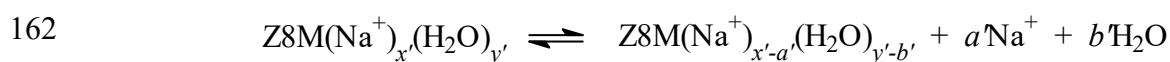
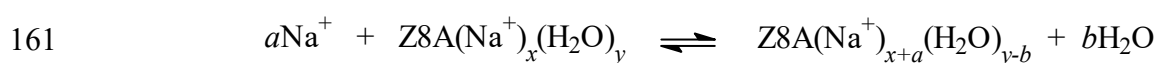
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Our transition enthalpy of 0.71 kcal/mol bp obtained at 25 °C using  $\text{Na}^+$  compares quite favorably with the  
 0.61 kcal/mol bp reported by Chaires and Sturtevant [11], although obtained by different techniques (i.e., ITC  
 vs DSC). To rationalize the relative signs and magnitudes of the enthalpy values at 25 °C for the systems  
 studied here, one can consider the equilibria shown in Schemes I and II. In the titration of either Z8A or Z8M  
 with  $\text{Na}^+$ , the net reaction can be described by the conversion of Z8A from a true B-conformation to a B'  
 conformation (the dehydrated form indicated as I above) or the conversion of Z8M from a true B-conformation  
 to a Z-conformation, both with concomitant loss of  $\text{H}_2\text{O}$ . The increase in ionic strength upon addition of  $\text{Na}^+$   
 to either DNA leads to its dehydration. Z8M is initially less hydrated than Z8A due to the presence of the  
 methyl groups in the major groove. At the end point of the titration, i.e. 2.0 M  $\text{Na}^+$ , Z8M is thus even more

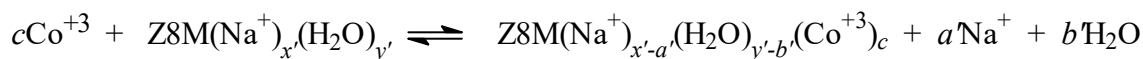
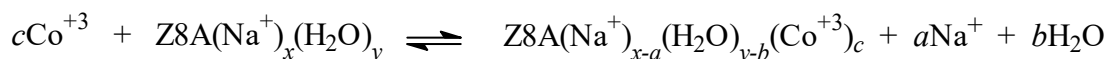
150 dehydrated the Z8A. In the case of Z8M, its dehydration leads to the B to Z conformational transition. The  
 151 loss of water from either oligomer is clearly enthalpically unfavorable. Further, Z-DNA has a lower charge  
 152 density than B-DNA and thus requires fewer associated Na<sup>+</sup>. Hence, Z8M also experiences a net loss in Na<sup>+</sup>,  
 153 also enthalpically unfavorable, as it undergoes the transition. Thus, increasing the [Na<sup>+</sup>] from 115 mM to 2.0 M  
 154 should be enthalpically unfavorable and more so for Z8M than for Z8A, as observed.

155 In the titrations of Z8A and Z8M with [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup>, one must also take into account the binding of the cobalt  
 156 complex to the DNA (Scheme II). This enthalpically favorable binding is due, in part, to the formation of five  
 157 hydrogen bonds from the cobalt complex to the surface of the DNA [22]. This binding also leads to the loss of  
 158 bound Na<sup>+</sup> [23,24] and H<sub>2</sub>O from both oligomers. Apparently, the unfavorable loss of Na<sup>+</sup> and H<sub>2</sub>O is  
 159 compensated by the favorable binding of the cobalt complex. In this scenario, the titration of Z8M is less  
 160 favorable than that for Z8A due to the conformational transition of Z8M.



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### Scheme I



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### Scheme II

165 **Scheme I.** The net reaction for the titration of Z8A or Z8M with Na<sup>+</sup>. In the reaction of Z8A with Na<sup>+</sup>, *b* moles  
 166 of water are released into the bulk. In the titration of Z8M with Na<sup>+</sup>, the B to Z conformational change due to  
 167 the dehydration of the oligomer gives rise to chain lengthening. The chain lengthening lowers the overall  
 168 charge density of the oligomer thereby allowing release of Na<sup>+</sup> into the bulk as well. **Scheme II.** The net reaction  
 169 for the titration of Z8A or Z8M with [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup>. In the titrations of either Z8A or Z8M with [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup>  
 170 both water, due to the dehydration, and Na<sup>+</sup>, due to the binding of the cobalt complex, are released from the  
 171 oligomers into the bulk solution. However, the number of moles of water (*b* and *b'*) and moles of Na<sup>+</sup> (*a* and  
 172 *a'*) released, respectively, are different for the different oligomers due to the conformational change of Z8M  
 173 (i.e. *b* ≠ *b'* and *a* ≠ *a'*).

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### 2.2 The heat capacity change for the B to Z Transition

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 177 As can be seen in Figure 2, the enthalpies of all titrations vary linearly with increasing temperature albeit  
 178 with different slopes. As a result of these nonparallel slopes for the titrations of Z8A and Z8M, the magnitude  
 179 and, in the case when titrating with Na<sup>+</sup>, the sign of the enthalpies of the B to Z transitions ( $\Delta H_{conf}$ ) are also  
 180 temperature dependent as noted above and in Table 1.

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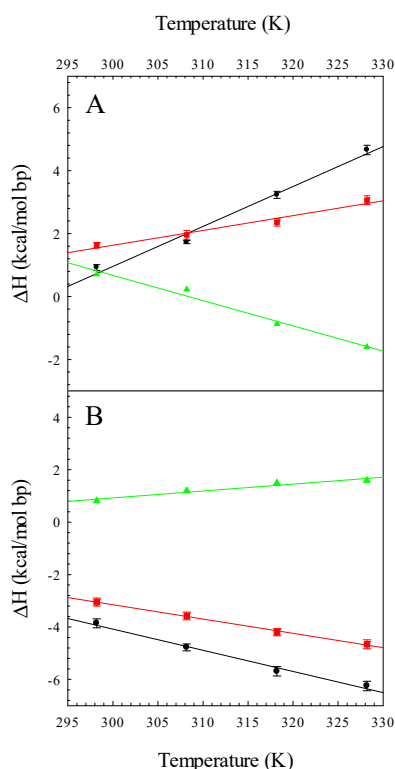
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190 **Figure 2.** Plots of  $\Delta H_{obs,Z8A}$  (black),  $\Delta H_{obs,Z8B}$  (red) and  $\Delta H_{conf}$  (green), calculated using equation 2, versus  
 191 temperature for the titrations with (A)  $\text{Na}^+$  or (B)  $[\text{Co}(\text{NH}_3)_6]^{3+}$ . The solid lines are the least squares linear  
 192 fits and the slopes of those lines yield the difference in heat capacities,  $\Delta C_p$ , of the DNA oligomers between the  
 193 initial state to the final state. These values can be found in Table 2.

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195 **Table 2.** The change in heat capacities ( $\Delta C_p$ ) for of Z8A and Z8M resulting from their titrations with either  
 196  $\text{Na}^+$  or  $[\text{Co}(\text{NH}_3)_6]^{3+}$ .

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Inducer	Z8A (kcal/K mol bp)	Z8M (kcal/K mol bp)	Z8M - Z8A (kcal/K mol bp)
$\text{Na}^+$	+0.127	+0.0469	-0.0802
$[\text{Co}(\text{NH}_3)_6]^{3+}$	-0.0545	-0.0808	+0.0263

198 Values were obtained from the slopes of the least squares linear fits of the data in Figure 2.

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200 The slope of the resultant least squares linear fit corresponds to  $\Delta C_p$ , the difference in the heat capacity of the  
 201 oligomer under different environmental conditions. These  $\Delta C_p$  values are listed in Table 2. Increasing the  
 202 concentration of  $\text{Na}^+$  from 115 mM to 2.0 M results in an increase in the heat capacities of both Z8A and Z8M  
 203 by 127 and 47 cal/K mol bp, respectively. On the other hand, increasing the concentration of  $[\text{Co}(\text{NH}_3)_6]^{3+}$  from  
 204 0 to 200  $\mu\text{M}$  decreases the heat capacities of both Z8A and Z8M by 55 and 81 cal/K mol bp, respectively. As  
 205 a point of reference,  $\Delta C_p$  values for DNA denaturation have been reported to range from 40 to 100 cal/K mol  
 206 bp for DNA polymers [25,26], up to 1.3 kcal/K mol duplex for DNA oligomers [27] and 20 to 30 cal/K mol bp  
 207 for a DNA oligomer which forms the *i*-motif [28]. Hence the heat capacity changes observed here for

208 conformational transitions in short DNA duplexes are similar in magnitude to those observed for other  
209 conformational transitions.

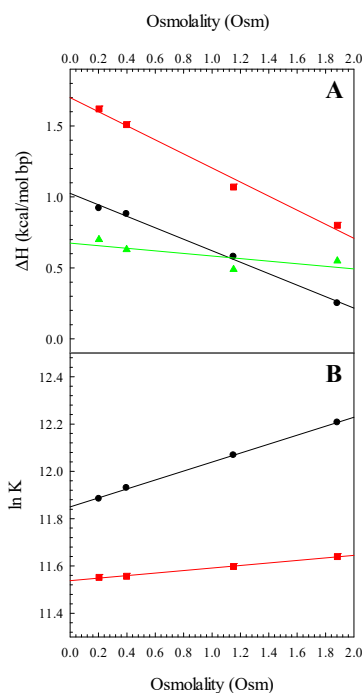
210 It has been shown that changes in heat capacities for biomolecules undergoing conformational changes or  
211 ligand binding can partly be attributed to changes in solvent accessible surface areas [29-39]. Certainly, the  
212 Z-DNA conformation has a quite different solvent accessible surface area than the B-conformation. The  
213 dehydrated B-conformation should also have a different solvent accessible surface than the normal hydrated B-  
214 form. Further, the presence of the cobalt complex bound to the DNA surface will occlude solvent molecules.  
215 The positive heat capacity changes observed in the titrations of either DNA oligonucleotide with  $\text{Na}^+$  can be  
216 attributed to burial of hydrophobic surfaces and/or exposure of hydrophilic surfaces [27,30-33]. This is quite  
217 reasonable considering the 10 fold increase in ionic strength throughout the titration. The negative heat  
218 capacity changes observed in the titrations with  $[\text{Co}(\text{NH}_3)_6]^{3+}$  can be partially attributed to the decrease in  
219 exposed hydrophilic surface due to the bound cobalt complex. It should be noted, however, that heat capacity  
220 changes may also be due to factors other than changes in solvent accessible surface area, particularly for nucleic  
221 acids [31,32,35,36,38-39]. It has been suggested that the observed sequence and salt dependent  $\Delta C_p$  associated  
222 with duplex formation reflect perturbations to base stacking in the single strand [36]. Base stacking in the duplex  
223 accompanying a conformational transition may also contribute to changes in heat capacity. Ultimately, the  
224 changes in heat capacities observed here are due to a combination of changes in solvent accessible surface areas,  
225 conformational changes and electrostatic interactions. All of these factors will affect the number of water  
226 molecules released upon addition of  $\text{Na}^+$  or  $[\text{Co}(\text{NH}_3)_6]^{3+}$  and therefore, influence the relative values of  $b$  and  
227  $b'$  for both titration with  $\text{Na}^+$  and titration with  $[\text{Co}(\text{NH}_3)_6]^{3+}$  (Scheme I and II).

### 228 229 *2.3 The release of water*

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231 The role of water, or more specifically, water activity on the stability of DNA conformations and their  
232 ligand binding properties has been the focus of many studies through the utilization of osmotic stress  
233 investigations [40]. Consideration of the Schemes I and II indicate that the release of water during the titrations  
234 must also be considered even in the absence of a transition. Clearly, increasing the concentration of  $\text{Na}^+$  or  
235  $[\text{Co}(\text{NH}_3)_6]^{3+}$  will alter the activity of water. Preisler et al [41] examined the effects of neutral solutes on the  
236 B to Z transition of poly (dG-dC). Their results suggested that the solute effects were not consistent with direct  
237 binding of the solute to the DNA nor an indirect effect on electrostatics nor with alterations in ion binding due  
238 to changes in solution dielectric. In other words, both the solute and NaCl stabilize Z DNA through osmotic  
239 stress. Further, they estimated that the number of water molecules released during the B to Z transition to be  
240  $\sim 2.5$  per base pair as probed in sucrose. However, for any particular osmolyte, the release of water will depend  
241 upon its size and chemical nature.

242 The  $\text{Na}^+$  titrations of Z8M and Z8A in the presence of the neutral osmolyte, betaine, were examined by ITC.  
243 Plots of  $\Delta H$  vs osmolality of the DNA solution in the absence or presence of betaine resulted in linear  
244 correlations as depicted in Figure 3A. As can be seen, the osmolyte lowered the enthalpy for the titration for  
245 both Z8M and Z8A; however, the difference in enthalpies between Z8M and Z8A,  $\Delta H_{conf}$ , remains fairly  
246 constant at all osmolalities suggesting that the enthalpy of the B to Z transition is independent upon the  
247 concentration of betaine, as it should be [42,43].

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265 **Figure 3. A.** Plots of  $\Delta H_{obs,Z8A}$  (black),  $\Delta H_{obs,Z8B}$  (red) and  $\Delta H_{conf}$  (green), calculated using equation 2, versus  
266 osmolality for the titrations with  $\text{Na}^+$  using betaine as the osmolyte. **B.** Plots of  $\ln K$  vs osmolality for Z8A  
267 (black) and Z8M (red).

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Applying the linkage relationship developed by Wyman and others [42-46], one can write

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$$\partial \Delta G^{\circ} / RT \partial \ln a_w = \Delta n_w \quad (3)$$

273 where  $a_w$  is the activity of water and  $\Delta n_w$  is the differential water binding term, i.e., the number of water  
274 molecules released or taken up by the oligomer during the transition. Using the  
275 relationship that  $\Delta G^{\circ} = -RT \ln K$  and  $\ln a_w = -\text{Osm}/55.55$ , where Osm is the osmolality of the solution, equation  
276 (3) becomes:

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$$\Delta n_w = 55.55 \partial \ln K / \partial \text{Osm} \quad (4)$$

279

280 As can be seen in Figure 3B, there is a linear relationship between  $\ln K$  and osmolality for the titrations of Z8A  
281 and Z8M in the presence of the osmolyte betaine. The slopes of the resultant least squares regression allows  
282 determination of the coefficients  $b$  and  $b'$  of Scheme I of 2.9  
283 molecules  $\text{bp}^{-1}$  and 10.6 water molecules  $\text{bp}^{-1}$  for Z8A and Z8M respectively. Thus, the conformational B to  
284 Z transition leads to the release of an additional 7.7 molecules of water per base pair. Since changes in heat  
285 capacities have been related to loss or gain of water, it is interesting that that Z8M, which has the largest loss  
286 of water, also has the smallest change in heat capacity (Table 3).

287 Our value of 10.6 water molecules per base pair is much higher than the 2.5 water molecules per base pair  
288 reported by Preisler for poly(dG-m<sup>5</sup>dC) in the presence of sucrose as the osmolyte [41]. Both Z8M ((dG-  
289 m<sup>5</sup>dC)<sub>4</sub>) and the corresponding polymer (poly(dG-m<sup>5</sup>dC)) undergo the B to Z transition. The difference in  
290 released waters is likely due to the different sizes of the DNA (8-mer vs polymer), different osmolytes (betaine

291 vs sucrose) and different Z inducer ( $\text{Na}^+$  vs  $[\text{Co}(\text{NH}_3)_6]^{3+}$ ). The release or uptake of water by DNA has become  
 292 a more important physical quantity as we learn more about the role of water in related chemical and physical  
 293 processes. For a comparison,  $\Delta n_w$  for the duplex or triplex to coil transition has been determined to be  
 294 dependent upon DNA conformation, sequence context, and nature of added cosolute or osmolyte with values  
 295 ranging from 0 to 27 water molecules per base pair, depending upon experimental conditions [45,46]. The  
 296 folding of  $d(\text{C}_3\text{TA}_2)_4$  from the single strand to the i-motif upon addition of protons is accompanied by a release  
 297 of only 0.3 mole  $\text{H}_2\text{O}$  per/mol strands [47]. The uptake of water by the denaturation of DNA hairpins has been  
 298 shown to range from 51 to 73 molecules of water per base pair [48]. For a final comparison, Son et al [49]  
 299 demonstrated that the denaturation of the duplex formed by the decamer (GGCATTACGG/CCGTAATGCC)  
 300 is accompanied by the uptake of around 180 molecules of water per duplex, or about 18 molecules per base  
 301 pair.

302  
 303 **Table 3.** The change in enthalpies ( $\Delta H$ ) for of Z8A and Z8M resulting from their titrations with  $\text{Na}^+$  in the  
 304 absence or presence of betaine.

Osmolality (Osm)	$\Delta H_{obs, Z8A}$ (kcal/K mol bp)	$\Delta H_{obs, Z8M}$ (kcal/K mol bp)	$\Delta H_{conf}$ (kcal/K mol bp)
0.20	0.92	1.62	0.70
0.40	0.88	1.51	0.63
1.15	0.58	1.03	0.45
1.88	0.23	0.82	0.59

306 Values were obtained from the total integrated isotherms (data not shown).  $\Delta H_{obs, Z8A}$  and  $\Delta H_{obs, Z8M}$  are the  
 307 observed enthalpies for the respective titrations of Z8A and Z8M and  $\Delta H_{conf}$  represents the enthalpy for the B  
 308 to Z transition at the temperature indicated, i.e.  $\Delta H_{conf} = \Delta H_{obs, Z8M} - \Delta H_{obs, Z8A}$  as per Eqn. (2).

### 310 3.0 Experimental

311

312 *3.1 Oligomer Design, Synthesis and Preparation.* DNA oligonucleotides were synthesized and purified as  
 313 previously described [14,50-54]. The DNA concentration and yield were determined by spectrophotometric  
 314 absorbance using extinction coefficients,  $\epsilon$  ( $\text{L mol}^{-1} \text{cm}^{-1}$  in base pairs), of 13,000 for Z8A and 13,620 for Z8M  
 315 at 255 nm. The  $[\text{Co}(\text{NH}_3)_6]\text{Cl}_3$  was obtained from Kodak (Rochester, NY) and used without further  
 316 purification. Lyophilized DNA samples were reconstituted in a 10 mM phosphate buffer (pH 7.0), 0.1 mM  
 317 EDTA with NaCl or  $[\text{Co}(\text{NH}_3)_6]\text{Cl}_3$  complex added to vary their concentrations. Samples were then heated to  
 318 90 °C for 2 min followed by slow cooling and equilibration for 48 hours at 4 °C.

319

320 *3.2 Isothermal Titration Calorimetry.* Isothermal Titration Calorimetry measurements were carried out using  
 321 the isothermal titration module of CSC Model 4200 ITC (Calorimetry Sciences Corp., Lindon, UT, USA). CSC  
 322 Run, Bindwork™ and Origin 4.0 software were used for data acquisition and analysis as previously described  
 323 [14]. Each experiment was set up such that 10  $\mu\text{l}$  of 800  $\mu\text{M}$   $[\text{Co}(\text{NH}_3)_6]\text{Cl}_3$  or 10  $\mu\text{l}$  of 4 M NaCl was titrated  
 324 into the sample cell containing either Z8A or Z8M at 115  $\mu\text{M}$  duplex for up to a total of 25 injections. The final  
 325 concentrations of  $\text{Na}^+$  or  $[\text{Co}(\text{NH}_3)_6]^{3+}$  were 2.0 M and 200  $\mu\text{M}$ , respectively. Titrations were carried out at  
 326 25, 35, 45, and 55 °C, temperatures well below the  $T_m$  of the respective oligomers [14]. Control experiments  
 327 were carried out to determine the contributions to the enthalpy from the heat of dilution for both the  $\text{Na}^+$  and

328 [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup> into buffer or water, respectively. The net enthalpy for each injection was determined by  
329 subtraction of the component heats of dilution. The primary source of error in these determinations lies in the  
330 inherent uncertainty of the extinction coefficients used for the DNA oligomers. These values can vary by 5 to  
331 10% depending on the method used for their determination. We used extinction coefficients which determined  
332 by a nearest neighbor approach.

333

### 334 3.3 Osmotic Stress Experiments

335

336 To investigate the role of water activity on the B to Z transition, DNA solutions were prepared as above with  
337 the addition of the osmolyte betaine and titrated as described. The osmolality of the buffer in the absence and  
338 presence of betaine was determined using an Advanced Instruments 3220 Osmometer which determines  
339 osmolality via freezing point depression.

340

## 341 4. Summary

342

343 We have used ITC approaches to investigate the conformational transitions of two related oligomers. The  
344 data obtained allowed determination of thermodynamic parameter  $\Delta H$ ,  $\Delta C_p$  and  $\Delta n_w$  for each oligomer. The  
345 ITC studies indicated that the B to Z transition becomes more enthalpically favorable at higher temperatures  
346 using Na<sup>+</sup> as an inducer but less enthalpically favorable using [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup> as the inducer. These trends are  
347 due to differences in  $\Delta C_p$  values for each oligomer for a particular inducer. Further, the B to Z conformational  
348 transition leads to a larger loss of water from the oligomer. Ultimately, the observed thermodynamic parameters  
349 can be rationalized in terms of changes in solvent accessible surface area, uptake or release of Na<sup>+</sup>, release of  
350 water and binding of [Co(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup>. Overall, this work demonstrates the utility of using ITC to investigate  
351 enthalpy changes due to conformational transitions, which may not be observable using only spectroscopic  
352 approaches.

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## 356 Conflict of Interest:

357 "The authors declare no conflict of interest".

358

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