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# Development of Laser Scanner for Full Cross-Sectional Deformation Monitoring of Underground Gateroads

Qianlong Yang <sup>1,2</sup>, Zhenyu Zhang <sup>1,\*</sup>, Xiaoqian Liu <sup>1</sup> and Shuqi Ma <sup>3,4</sup>

<sup>1</sup> State Key Laboratory of Coal Mine Disaster Dynamics and Control, Chongqing University, Chongqing 400044, China; cqyql@cqu.edu.cn (Q. Yang); liuxiaoqian@cqu.edu.cn (X. Liu)

<sup>2</sup> Laboratory and Equipment Managing Division, Chongqing University, Chongqing 400044, China

<sup>3</sup> Key Laboratory of Transportation Tunnel Engineering, Ministry of Education, School of Civil Engineering, Southwest Jiaotong University, Chengdu 610031, China; sqma@ntu.edu.sg

<sup>4</sup> School of Civil and Environmental Engineering, Nanyang Technological University, Singapore 639789, Singapore

\* Correspondence: zyzhang@cqu.edu.cn; Tel.: +86 13368396919

**Abstract:** The deformation of underground gateroads tends to be asymmetric and complex. Traditional instrumentation fails to accurately and conveniently monitor the full cross-sectional deformation of underground gateroads. Here, a full cross-sectional laser scanner was developed together with a visualization software package. The developed system used polar coordinate measuring method and the full cross-sectional measurement was realized by 360° rotation of laser sensor driven by an electrical motor. Later on, the potential impact of gateroad wall flatness, roughness and geometrical profile as well as coal dust environment on the performance of the developed laser scanner were evaluated. The studies show that a high-level flatness is favorable in application of the developed full cross-sectional deformation monitoring system. For a smooth surface of gateroad, the sensor cannot receive reflected light when the incidence angle of laser beam is large, causing data loss. Conversely, the roughness surface shows its priority as the diffuse reflection light can be received by the sensor. With regards to the coal dust in measurement environment, the fine particles of floating coal dust in the air can lead to the loss of measurement data to some certain due to scattering of laser beam.

**Keywords:** laser sensor; deformation monitoring; underground gateroad

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## 1. Introduction

With continuous extraction of coal resource, mining has gradually reached deeper geological strata [1-3]. Under such a deep geological environment, the underground gateroads frequently tend to produce large and asymmetric deformation. In conventional deformation monitoring of tunnel and underground excavation, the convergence between roof and floor and the convergence between two side walls are measured, while the deformation monitoring of the rest of excavation profile is seldom covered [6-7]. Furthermore, the geometric profiles of gateroads are variable in underground mining and rectangular, trapezoidal and semi-circular arch gateroads are frequently used for different functional purposes and mine-site specific geological conditions [8-11]. Therefore, it is far from comprehensive to evaluate gateroad deformation only based on deformation data monitored from limited points. This has stimulated the development of a full cross-sectional deformation monitoring system to ensure the safety of mining activities.

Convergence extensometer, measuring rod and flexible ruler are traditionally used to manually capture the deformation of gateroads. Their measurement precision is however significantly influenced by a variety of practical factors, such as monitoring system installation and personnel operation. From another aspect, the traditional methods are based on relative distance measurement

between two specific points and fail to measure the asymmetric deformation pattern of gateroads. Alternatively, laser scanning technology is non-contact distance measurement technique and it shows a priority in such deformation measurement by recording the time difference between the laser's emission and reflection after meeting the target surface [12-13].

In this study, a full cross-sectional deformation monitoring instrument was developed by combining laser distance measurement method and computer processing technology. Firstly, development and application of the laser scanning technology in change detection and deformation monitoring was briefly reviewed. Then the development of a full cross-sectional deformation monitoring system was introduced step by step. Later on, the potential impacts of wall flatness, wall roughness, geometric profiles of cross section and the dust concentration on the performance of the developed laser scanner were evaluated.

## 2. The Terrestrial Laser Scanning (TLS) in change detection and deformation measurement

### 2.1. Overview of TLS

The non-contact TLS can not only complete the measurements in a very short time, but also harvest a substantial amount of point data. Therefore, TLS is an efficient method in change detection and deformation measurement, especially for remote and large range measurement. Due to these advantages, it is nowadays gaining an increasing interest in a wide variety of engineering fields, such as surveying, structure monitoring, civil and mining engineering [14-16]. So far, static and mobile laser scanning systems have been developed for change detection and deformation measurement, such as terrestrial laser scanning (TLS) [17-19]. Three measurement principles are mainly used in change detection and deformation measurement with TLS: triangulation, time-of-flight, structured light and photogrammetry [20].

In contrast, conventional techniques at a limited number of points can identify the change or deformation up to millimeter level, while the measurement of TLS varies from  $\pm 2\text{mm}$  to  $\pm 25\text{mm}$ , subject to the impact of specific instrument, observation condition and data processing model [14]. Many studies have been conducted to improve the measurement accuracy and explore its potential use for a wider fields. Regarding the pre-process of data with TLS, Höfle and Pfeifer [21] developed data-driven and model-driven methods to reduce the intensity data variation due to spherical loss, topographic and atmospheric effects. Bae et al. [22] used covariance analysis for edge and boundary detection from point cloud data. Pathak and Singh [23] modelled the impact of morphology of the scanned surface using response surface method and identified two critical parameters influencing the accuracy of scan model. For post-process of data points, Chen et al. [24] extracted six deformation parameters, including deformation magnitude and direction, using the improved Iterative Closest Point algorithm. Using least squares surface matching algorithm proposed by Gruen and Akca [25], Monserrat and Crosetto [26] developed a new procedure for deformation measurement, including steps of acquisition of the TLS data, registration of the point clouds, and deformation parameter estimation. In addition, repeated measurements were also recommended to improve the quality of raw data points in practical change detection and deformation measurement with TLS [14-15,26].

### 2.2. Application of TLS in deformation monitoring

As this study focuses on deep tunnel deformation monitoring with TLS, a brief review of application of TLS in deformation monitoring of tunnel, mining and landslides were present here.

The capability to collecting high-density data clouds of TLS makes it popular in monitoring deformation of long tunnels. Yoon et al. [27] developed a trial model scanner using the principle of time-of-flight and meanwhile developed an algorithm to extract feature of the concrete tunnel liner up to the accuracy of 5 mm. Fekete et al. [28] implemented the TLS to characterize discontinuity information of tunnels. Pejić [29] introduced an arbitrary georeferencing approach to reduce the geometric distortion of point clouds. Argüelles-Fraga et al. [30] discussed the impact factors of the laser scan process and recommended to set the TLS equipment at the centre of the tunnel to increase

the incidence angle. Many application reports of TLS in tunneling and caves can be found in the literature [31-33]

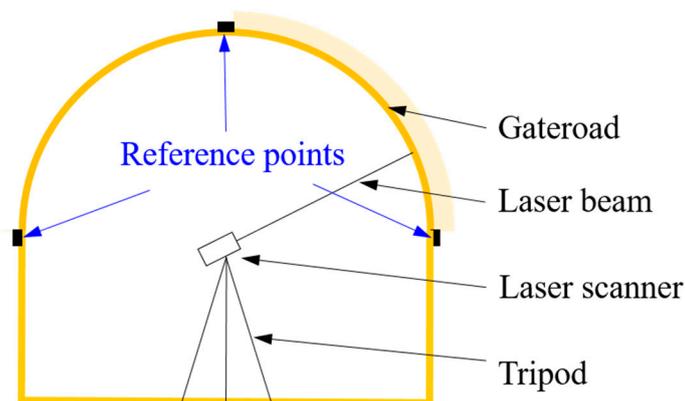
With regards to its application in mining, Ghabraie et al. [34] used the TLS to study the strata mechanics behavior during earth source extraction in laboratory, and developed a physical modelling protocol for equivalent materials methodology. On the field scale, Lian and Hu [35] used TLS to evaluate the stability of surface high-voltage towers at different mining periods. Scaioni et al. [36] outlined a method to detect the change due to the deformation of rock face.

The TLS has also a wide range of applications in mapping landslides [37]. Razak [38] evaluated the suitability of airborne laser scanning-derived terrain models in mapping landslides and identifying morphological features of landslides. Using a 2D statistical normalized cross-correlation function, Travelletti [39] reported an approach to extract the 3D deformation from scanning at different epochs. With TLS, Jebur [40] optimized the landslide conditioning factors in landslide susceptibility analysis. Franz et al. [41] used targets to reduce the negative effect of highly vegetation on the scan in landslide mapping. For the purpose of accuracy improvement in mapping landslide with TLS, Barbarella et al. [42] evaluated the uncertainty of monitoring data.

Overall, the application in TLS in deformation monitoring is rapidly expanding and its applications in deformation monitoring not only entails the fields mentioned above, but also covers the deformation monitoring of slope [43-44], road [45], cliff [46-47], dam [48-49], building [50-51], bridge [52], etc.

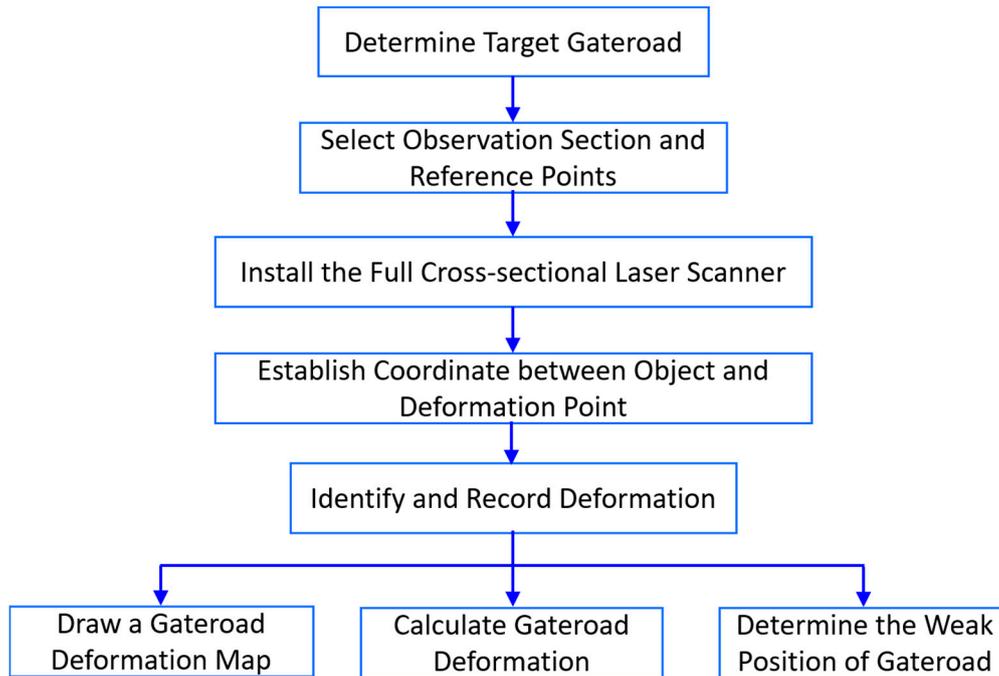
### 3. Monitoring system development

The developed full cross-sectional deformation monitoring system mainly consists of a laser scanner and visualization software package. Figure 1 shows the deformation monitoring set-up in underground gateroads. The reference points are designed to ensure that the generated Cartesian coordinate system in different measurements is exactly the same to facilitate the deformation comparison at different time instants of engineering. The reference points are composed of three points falling in a spatial plane. With the laser distance measurement method, the full cross-sectional deformation of a gateroad is recorded and then transferred to the microcontroller. Finally, the full cross-sectional deformation of a gateroad between each two monitoring instants can be visually presented with the developed visualization software package.



**Figure 1.** Deformation monitoring set-up in underground gateroads.

Figure 2 shows the flowchart of deformation monitoring with the designed system. Prior to the first measurement of full cross-sectional geometrical profile of a gateroad, the observation locality needs to be determined as well as three reference points. Subsequently, the laser scanner are set up in the field to measure the initial geometric profile of the gateroad. Then repeated measurement are conducted according to practical requirements at different stages of mining. Finally the measurement data is used to extract the deformation of the gateroad at different mining epochs, including plotting of a deformation map, extraction of gateroad deformation at a specific point and determining the potential weak position of gateroad.

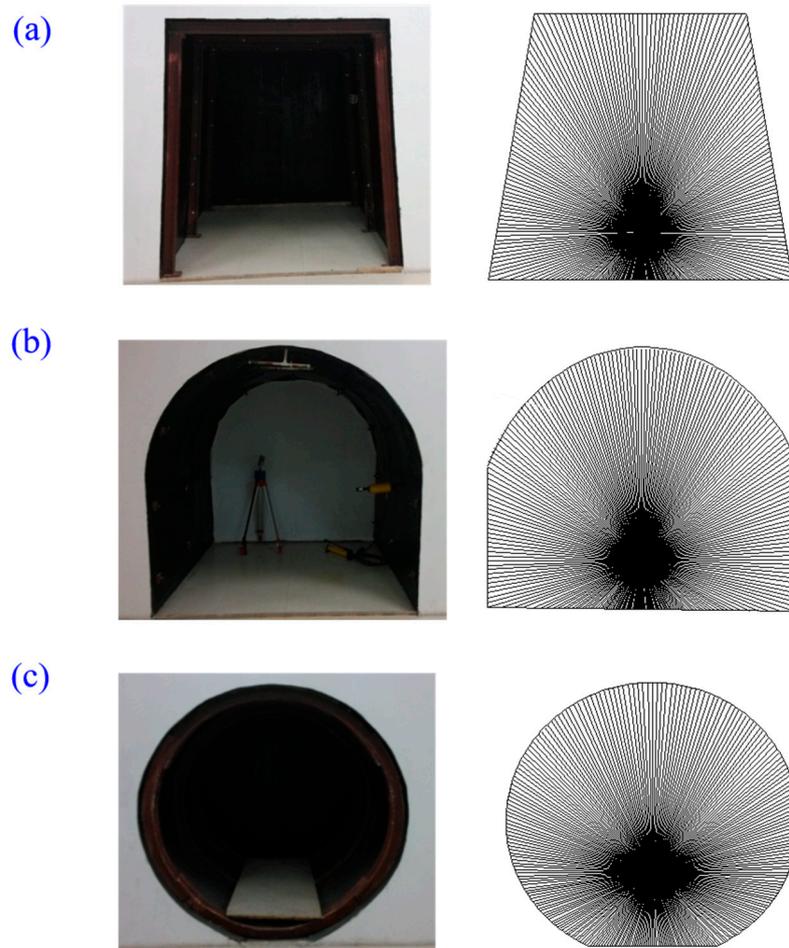


**Figure 2.** The flowchart of gateroad deformation monitoring with developed system.

### 3.1. Laser scanner design

The developed deformation monitoring system consists of power module, central control processor, laser measurement module, data storage module, and data communication module of SD cards as shown in Figure 3. The distance is measured with the multi-period pulse laser distance measurement method. The power module is in charge of power supply for central control processor, laser measurement module, data storage and communication module of SD cards. The central control processor controls laser measurement module, data storage module and data communication module of SD cards in measurement process. The laser measurement module consists of laser displacement sensor and driving device. The laser displacement sensor is composed of beam projector and optical receiver. After scanning, the data is transferred to the data storage module with labeling and finally data is stored in SD card via data communication module of SD cards.

The key design section of the full cross-sectional deformation monitoring system is to control laser scanner to realize rotation measurement. This was realized by 360° intermittent rotation of laser scanner driven by a motor controlled by an integrated chip. At each rotation step, the laser scanner rotates 1°27'45". The laser scanner obtains the data of 256 points after 360° rotation as shown in Figure 4. It takes approximately 2 s for laser scanner to complete the distance measurement at each point.



**Figure 4.** Schematic diagram of gateroad deformation monitoring with laser scanner rotation: (a) trapezoidal gateroad; (b) semi-circular arch gateroad; (c) circular gateroad.

### 3.2. Laser displacement sensor

The laser distance measurement sensor is composed of laser emission module, laser receiver, clock module and data processing module. Functionally, it measures the time of laser beam traveling from emission to the moment being reflected back and then processes the data to obtain the distance information with clock module. Table 1 lists the parameters of laser distance measurement sensor.

**Table 1.** Laser displacement sensor parameters.

Electrical Parameter	Optical Parameter
Range resolution: 1 mm	Laser characteristics: Red laser diode
Measuring accuracy: 1.5 mm	Wavelength: 620 nm-690 nm
Maximum range: 10 m	Spot type: Single point
Operating temperature: -10 °C-50 °C	Single point accuracy: 6 mm at 10 m
Storage temperature: -30 °C-70 °C	Laser class: Laser class 2

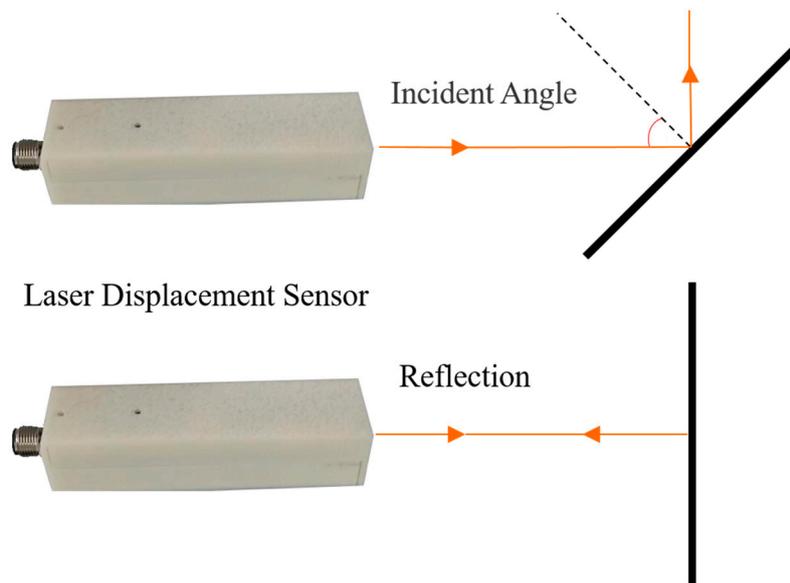
### 3.3. Visualization software package

The software package was developed to visually analyze the gateroad deformation at different time instants of engineering with Visual Basic 6.0. In software package, user proxy was designed to detect the legitimacy of software users to avoid illegal users into the database. More importantly, the developed software package permits users to choose data of any specific gateroad section and also supplies the missing point information by analyzing data error. With the selected data, the deformation of a specific section of gateroad at two different time instants can be visually plotted.

Furthermore, the developed software package permits the exportation of database for further engineering analysis.

#### 4. Influence of gateroad characteristics on measurement data loss

In practical deformation monitoring, the measurement environment of underground gateroads tends to be complex due to site-specific geologic conditions, geometric profile, roughness of gateroad wall and so on. Meanwhile, the rotation of laser distance measurement sensor changes reflection angle as shown in Figure 5. As a consequence, the laser distance measurement sensor may fail to receive reflected light in the form of data loss. Here, the influence of complex environment of underground gateroads on the performance of developed full cross-sectional laser scanner was evaluated, including wall flatness, wall surface roughness and geometric profile of gateroads.



**Figure 5.** The schematic diagram of reflector dips effect.

##### 4.1. The influence of surface flatness on data loss

Surface flatness denotes the degree of flatness of a surface. In underground gateroad, anchors metal mesh, plastic net, etc. are widely used for ground control, making gateroads surface tends to be different degree of flatness [53-54]. This can easily make the laser displacement sensor lose data. Flat trapezoidal surface, uneven trapezoidal surface, flat trapezoidal surface with metal mesh, and uneven trapezoidal surface with metal mesh were prepared to test their influence on the data loss in deformation monitoring as shown in Figure 6. For each case, three measurements were conducted to improve the reliability of the test.



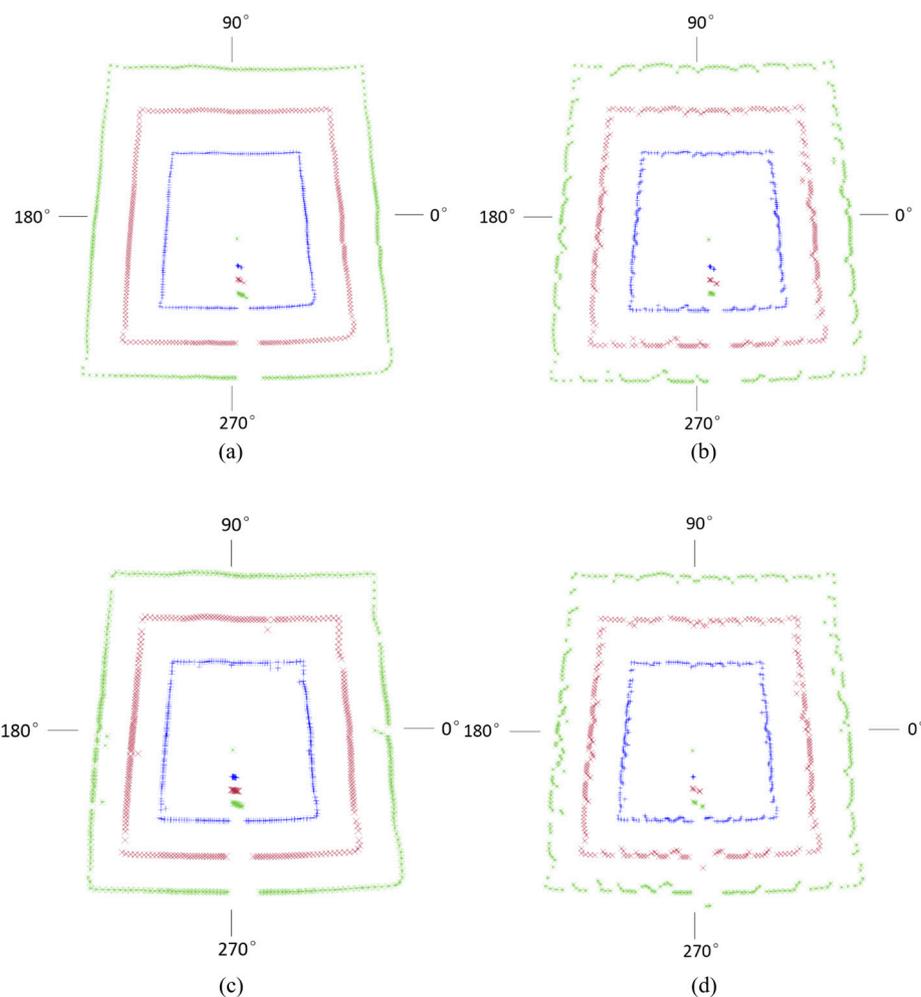
**Figure 6.** The surface flatness experiment of gateroads with developed deformation monitoring system.

Table 2 summarizes the data loss of each measurement. It can be seen that the data loss of uneven surface is higher than that of flat surface. After mounting the metal mesh, the data loss rate increased significantly. Therefore, the surface flatness has important influence on the use of full cross-sectional laser scanner, and a high-level flatness is favorable in application of the developed full cross-sectional deformation monitoring system.

**Table 2.** Data loss of each measurement at different level of surface flatness.

Level of flatness	The number of data loss			Total number of lost data	Percentage of data loss (%)
	First measurement	Second measurement	Third measurement		
Flat surface	2	3	1	6	0.78
Uneven surface	2	3	5	10	1.30
Flat surface with metal mesh	7	8	3	18	2.34
Uneven surface with metal mesh	7	6	4	21	2.73

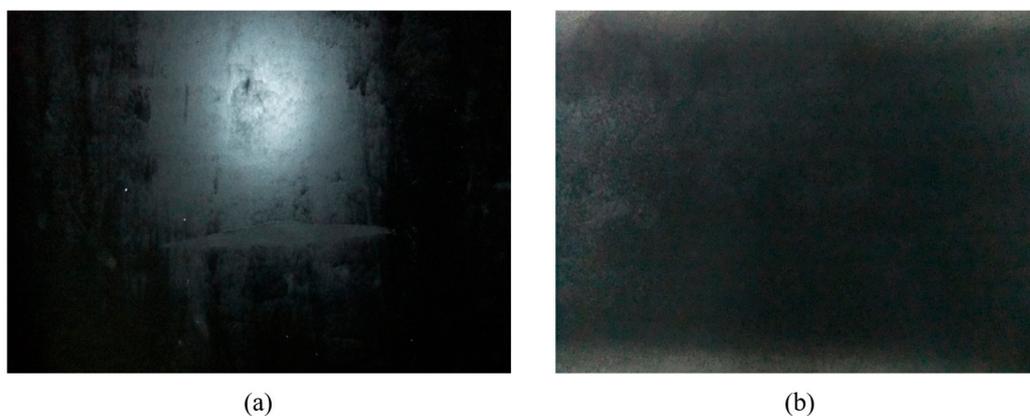
Figure 7 shows the measured data point distribution along the gateroad profile. For each level of flatness, the results of three measurements were plotted at a ratio of 1:1.5:2 for comparison purpose. It shows that the data loss of flat gateroad surface mainly occurred at the location of 277°-283°, uneven gateroads surface 277°-286°, flat gateroads surface with metal mesh 39°, 128°, 220°-224°, 281°, 327°, 333°, and uneven surface with metal mesh 139°, 275°-282°. It can be seen that the uneven flatness of gateroad profile can increase the incidence angle, finally resulting in the increase of reflection angle of laser beam. As a consequence, the reflected laser beam failed to reach the receiver. The abnormal result at 280° is due to the tripod shelter as shown in Figure 7 and hence cannot be avoided.



**Figure 7.** Measured data point distribution along the gateroad profile: (a) flat surface; (b) uneven surface; (c) flat surface with metal mesh; (d) uneven surface with metal mesh.

#### 4.2. The influence of surface roughness on data loss

The surface roughness denotes the unevenness of gateroad surface. Here, gateroads of smooth and rough surfaces were prepared to evaluate its influence on measurement data loss. The used smooth and rough surfaces are shown in Figure 8 while the gateroad geometric profile is exactly the same with Figure 4.



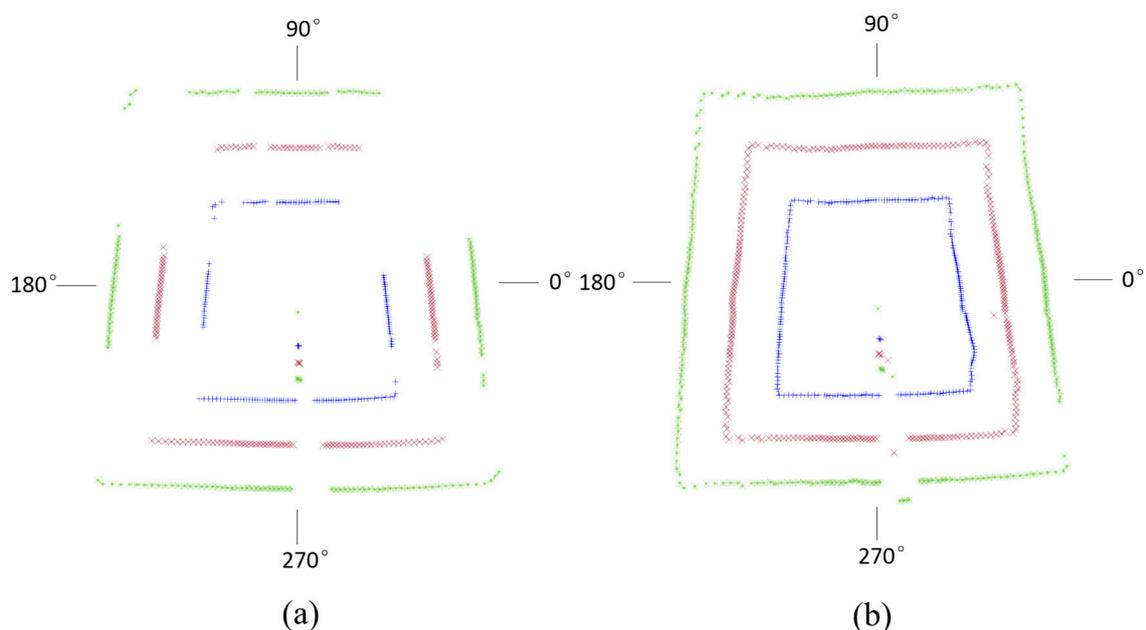
**Figure 8.** The surface roughness experiment of gateroads with developed deformation monitoring system: (a) smooth surface; (b) rough surface.

The experimental results of gateroad surface roughness is summarized in Table 3. It can be seen that the data loss of smooth surface is much more serious than that of rough surface case: the average percentage data loss of smooth surface is 38.93% compared to 2.47% of rough surface. The reason is that when meeting relative smooth target surface, laser distance measurement sensor could not receive the reflected light when the incident angle of laser beam is large. However, when meeting rough target surface, diffuse reflection occurs for laser beam and laser distance measurement sensor can receive the diffused reflection light.

**Table 3.** The experimental results of gateroads surface roughens.

Level of roughness	The number of data loss			Total number of lost data	Percentage of data loss (%)
	First measurement	Second measurement	Third measurement		
Smooth surface	97	105	97	299	38.93
Rough surface	7	4	8	19	2.47

Figure 9 shows the data loss of gateroads of smooth and rough surfaces. It indicates that the data loss of gateroad of smooth surface occurred at the  $26^{\circ}$ - $71^{\circ}$ ,  $119^{\circ}$ - $158^{\circ}$ ,  $194^{\circ}$ - $222^{\circ}$ ,  $275^{\circ}$ - $282^{\circ}$ ,  $324^{\circ}$ - $339^{\circ}$ , while the data loss of rough gateroad surface focused on  $276^{\circ}$ - $285^{\circ}$ ,  $324^{\circ}$ - $334^{\circ}$ . This implies that when the incident angle of laser beam is more than  $70^{\circ}$ , the developed full cross-sectional laser scanner is almost impossible to measure the distance information of smooth gateroad surface and the data loss rate is high. However, when gateroad surface is rough, the incident angle of laser beam has little effect on the use of the developed full cross-sectional laser scanner. Considering that the gateroads developed in coal mining are usually of rough surface due to their short-term service time, the developed laser scanner can thus be well applied for deformation measurement of underground gateroads.



**Figure 9.** Measured data point distribution along the gateroad profile: (a) smooth surface; (b) rough surface.

#### 4.3. The influence of gateroad profile on data loss

In order to examine the performance of the developed laser scanner at gateroads of different geometric profiles, gateroads of trapezoidal, semi-circular arch and circular profile shapes were

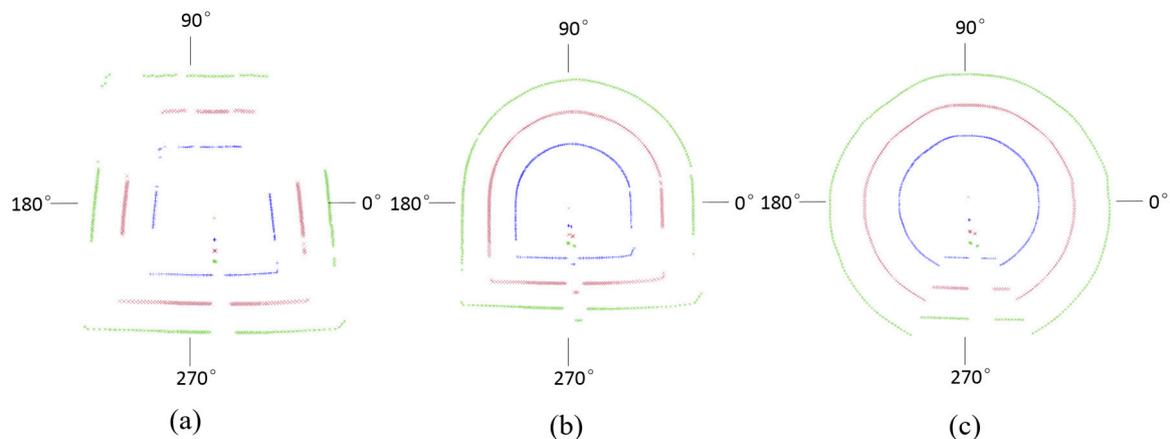
prepared and the measurements were conducted. The geometric profiles of three gateroads can be seen in Figure 4.

Table 4 shows the experimental results at gateroads of different surface roughness. It can be found that gateroad geometric profile has a certain degree of influence on the application of full cross-sectional laser scanner: the data loss rate of trapezoidal opening profile is largest and the data loss of circular gateroads is the least.

**Table 4.** The experimental results at gateroads of different geometric profiles.

Geometric profile	The number of data loss			Total number of lost data	Percentage of data loss (%)
	First measurement	Second measurement	Third measurement		
Trapezoidal gateroad	97	105	97	299	38.93
Semi-circular arch gateroads	20	20	25	70	9.11
Circular gateroads	5	5	4	13	1.69

The data loss distribution for gateroads of different geometric profiles is visually shown in Figure 10. The data loss of trapezoidal gateroad mainly occurred at the locations of  $26^{\circ}$ - $71^{\circ}$ ,  $119^{\circ}$ - $158^{\circ}$ ,  $194^{\circ}$ - $222^{\circ}$ ,  $275^{\circ}$ - $282^{\circ}$ ,  $324^{\circ}$ - $339^{\circ}$ , semi-circular arch gateroad at  $213^{\circ}$ - $224^{\circ}$  and  $330^{\circ}$ - $349^{\circ}$ , and circular gateroad at  $275^{\circ}$ - $279^{\circ}$ . Therefore, measurement data in gateroad corners tends to be lost. Such data loss at gateroad corners is also due to the increase of incidence angle, as at gateroad corners of small boundary curvatures the reflected laser beam could not be received by the laser scanner. Therefore, a high boundary curvature of gateroad geometric profile is favorable in using the full cross-sectional deformation monitoring laser scanner.



**Figure 10.** Measured data point distribution along the gateroad profile: (a) trapezoidal gateroads; (b) semi-circular arch gateroads; (c) circular gateroads.

Figures 7, 9 and 10

### 5. Influence of environmental coal dust on data loss

In gateroads near the coal mining face or with coal belt conveyor, coal dust cannot be avoided due to production operation [55-57]. The floating coal dust may also influence the deformation monitoring with this laser scanner. In order to evaluate its potential influence, gateroads with and without coal dust were reproduced and measurements were conducted subsequently as shown in Figure 11. During the experiment with coal dust, the lens of laser displacement sensor can be blocked by dust fall and the experiment was performed after lens clean up.



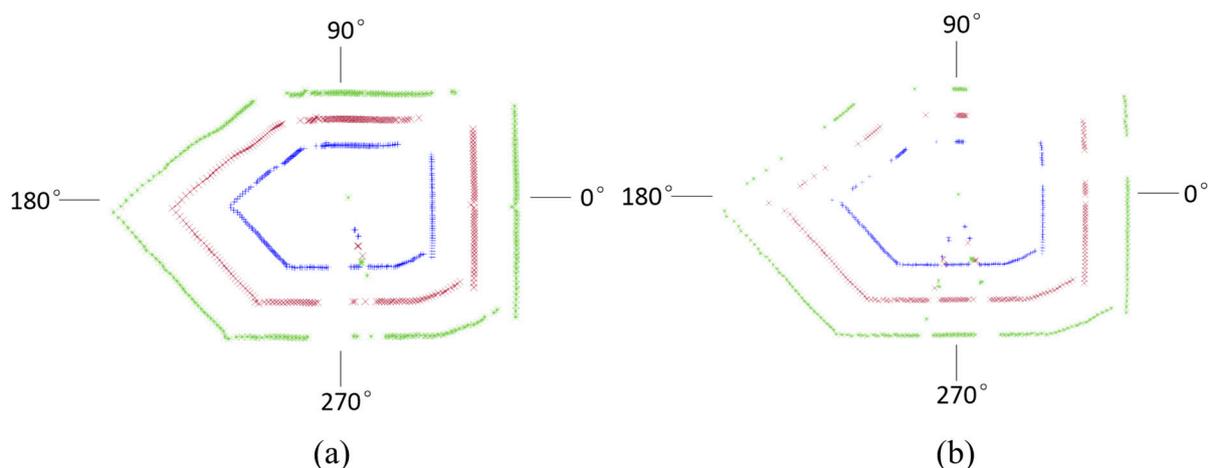
**Figure 11.** The experiment at gateroads of different dust concentration: (a) no coal dust; (b) a high level of coal dust.

Table 5 presents the experimental results at gateroads with and without coal dust. It can be seen that a large amount of data was lost when measurement was conducted under high dust concentration: the average percentage data loss of high dust environment is 36.46% compared to 15.23% for no coal dust case.

**Table 5.** The experimental results at gateroads with and without coal dust.

Environment	The number of data loss			Total number of lost data	Percentage of data loss (%)
	First measurement	Second measurement	Third measurement		
No coal dust	38	39	40	117	15.23
A high level of coal dust	90	96	94	280	36.46

Figure 12 shows measured data point distribution at gateroads with and without coal dust. It shows that significant data loss occurred under the condition of high dust concentration. Another phenomenon is that there is more data loss on the left-hand side of gateroads. However, this is mainly due to that the dust distribution is uneven and tends to be random in the process of experiment. The data loss mechanism is that the fine particles of floating coal dust leads to scattering of laser beam. As a result, the received reflection laser beam was significantly reduced and finally caused the loss of measurement data.



**Figure 12.** Measured data point distribution at gateroads of different degree of coal dust: (a) no coal dust; (b) a high level of coal dust.

## 6. Conclusions

Based on polar coordinate measuring method, a full cross-sectional deformation monitoring system was developed, which also includes a visualization software package that can generate

deformation profile of gateroads at different time instants. In order to evaluate its performance in practical application, the influence of complex environment of underground gateroads on data loss of laser distance measurement sensor was experimentally investigated considering the factors of gateroad wall flatness, gateroad wall roughness, gateroad geometric profile and coal dust. It shows that a high-level flatness and roughness is favorable in application of the developed full cross-sectional deformation monitoring system. Also, high boundary curvature of gateroads can promote the reflection rate of emitted laser beam to the laser sensor, which is good for the practical measurement. However, fine particles of floating coal dust in the air can cause the loss of measurement data due to scattering of laser beam.

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**Author Contributions:** Qianlong Yang and Zhenyu Zhang proposed the idea; Qianlong Yang, Zhenyu Zhang and Xiaoqian Liu participated in processing the results, and writing the manuscript; Qianlong Yang and Zhenyu Zhang contributed to equipment evaluation and data acquisition; Shuqi Ma analyzed the data and edited the manuscript.

**Conflicts of Interest:** The authors declare no conflict of interest.

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