

1 *Case Report*

2 **ERBB1 and ERBB2 Positive Medullary Thyroid Carcinoma:**

3 **A Case Report**

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17 **Abstract:** Medullary thyroid carcinomas (MTCs) are rare thyroid tumors occurring in both
18 sporadic and hereditary forms and whose pathogenesis is related to RET proto-oncogene
19 alterations. MTCs originate from parafollicular cells, which produce calcitonin that
20 represents the biochemical activity of MTC. Total thyroidectomy is the main treatment for
21 MTC and often cures patients with confined diseases. In cases of metastasis, the approach
22 depends on the rate of progression of disease. We report a case of a 54 years old female with
23 a single, incidentally discovered, thyroid nodule of 1 cm, classified as suspicious MTC after a
24 stimulation test with i.v. calcium. After surgery, we examined the nodule using
25 immunohistochemistry, immunofluorescence and electron microscopy. In addition to
26 calcitonin, we found that it expressed intracellular positivity for the RTK receptors ERBB1
27 and ERBB2. Consistently with MTC features, ultrastructural examination of the tumor
28 displayed heterogeneous spindle-shaped cells containing two groups of secretory granules.
29 Due to the significant correlation found between high ERBB1/ERBB2 levels in MTCs and
30 extrathyroidal growth, the detection of ERBB1 and ERBB2 expression suggests that the two
31 oncoproteins may possibly be involved in tumor proliferative responses and/or
32 differentiation of C-cells. The biological, prognostic and therapeutic significance of these
33 patterns would merits further investigations.

34 **Keywords:** MTC; calcitonin; parafollicular C cells; secretory granules; immunofluorescence;
35 ultrastructure; transmission electron microscopy; ERBB1; ERBB2

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39 1. Introduction

40 Medullary thyroid cancers (MTCs) are rare neuroendocrine tumors arising from
41 differentiated parafollicular cells (C cells) of the thyroid gland. Current understanding on C
42 cells propose that their precursors arise in the foregut endoderm, and not in the neural crest,
43 arguing that MTC should be reclassified to the family of neuroendocrine tumors with
44 endodermal origin [1]. MTC accounts for less than 5% of all thyroid cancers worldwide. Its
45 prognosis, although worse than that of differentiated thyroid cancers arising for the follicular
46 cells, is overall favorable, with a survival of 80-85% at 5 years and 70-75 at 10 years for
47 patients with localized disease, despite the presence of residual disease in more than 50% of
48 patients treated with surgery [2]. The prognosis for metastatic MTC is worse, since only 20%
49 of patients with distant metastases at diagnosis survive 10 years [3]. MTCs secrete calcitonin
50 and carcinoembryonic antigen (CEA) and deposits of amyloid are frequently observed [4].
51 Calcitonin (Ct) is the most sensitive and specific tumor marker both at the preoperative
52 diagnosis and at the post-surgery follow up. Its values suggest the diagnosis of MTC in
53 patients with thyroid nodules, and then reflect the oncologic radicality of surgery, since Ct is
54 not detectable after the complete resection of the tumor and decreases after its debulking,
55 revealing the presence of residual disease.

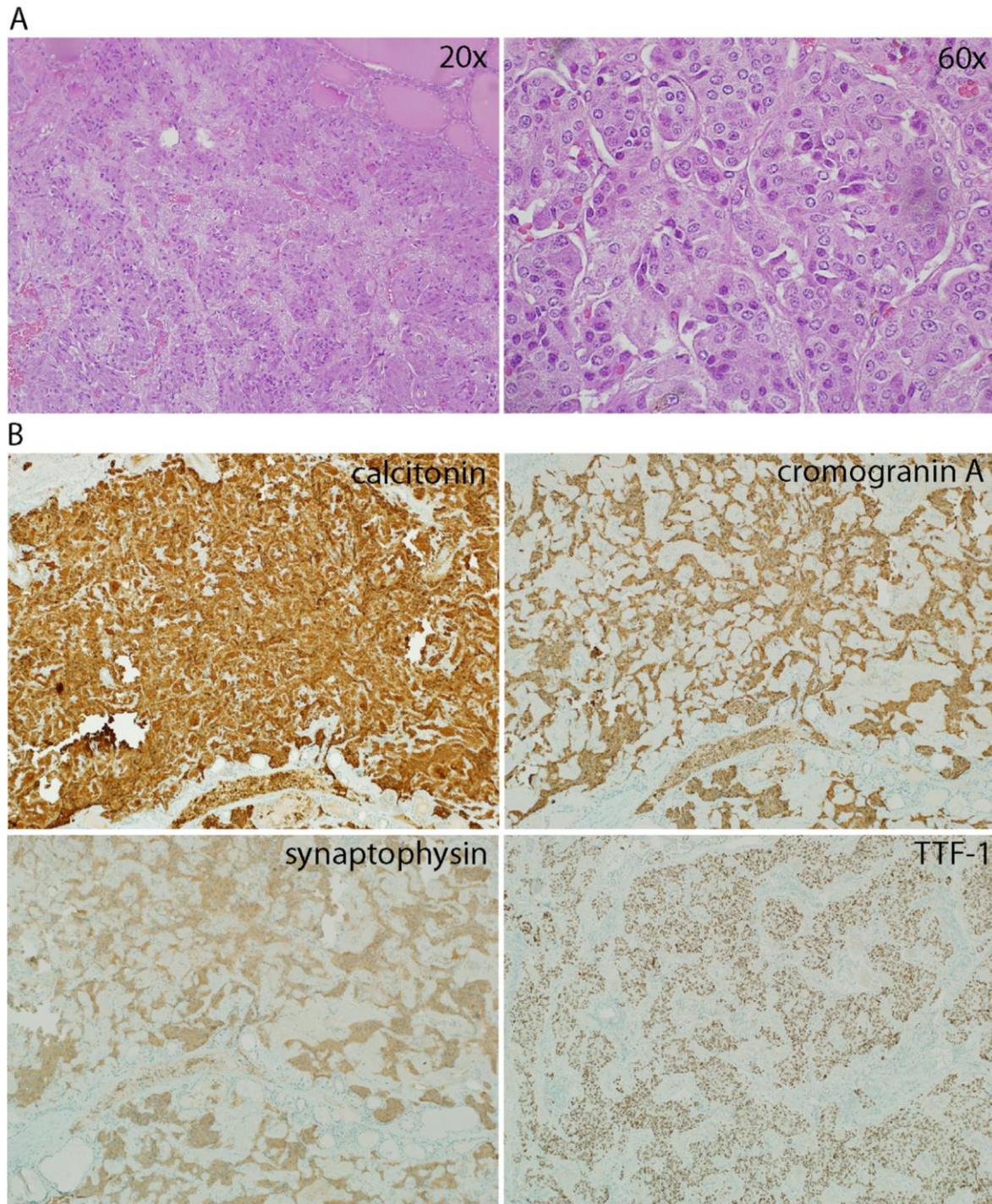
56 MTCs can either occur sporadically (75%) or as a part of a genetic disease (e.g. multiple
57 endocrine neoplasia (MEN) type 2 syndrome, or familial MTC). Inherited forms of MTC are
58 due to autosomal dominant mutations of the RET proto-oncogene with incomplete
59 penetrance, often presenting as multifocal disease in a background of C-cell hyperplasia
60 (CCH). So far, total thyroidectomy is the only successful option for treatment of confined
61 disease, whereas the clinical efficacy of RET inhibitors as targeted agents for treatment of
62 metastatic MTC is limited due to intrinsic and acquired resistance [5]. Therefore, additional
63 candidates as prognostic markers and/or potential therapeutic targets are needed. ERBB
64 family members, such as epidermal growth factor receptor 1 (ERBB1), ERBB2, ERBB3 and
65 ERBB4, are widely distributed in organ tissues, including endocrine organs [6], and these
66 receptors are strongly implicated in various human carcinomas [7]. It has been reported a
67 significant correlation between high ERBB1 and ERBB2 levels and extra thyroidal growth in
68 MTCs [8, 9]. In particular, ERBB2 expression, a non-autonomous amplifier of the ERBB
69 signaling network [10], has been found especially enriched in C-cell hyperplasia areas within
70 the MTCs. Thus, to investigate the invasive potential of this case of MTC, we analyzed the
71 expression levels of two ERBB family members e.g. ERBB1 and ERBB2, and performed
72 ultrastructural analysis.

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74 2. Case Presentation

75 The case is that of a 54 years-old female coming to our attention in April 2017 for a single
76 thyroid nodule of 1 cm in its largest diameter, incidentally discovered in the left lobe during
77 an US performed for another indication. When the complete biochemical screening (TSH,
78 Autoantibodies and Ct) was performed, Ct was found only slightly elevated (40 ng/mL,
79 normal values: 1-4.8), therefore the stimulation test with i.v. calcium was performed. After

80 stimulation Ct levels peaked at 1420 ng/mL, indicating surgical treatment. The patient then
81 underwent total thyroidectomy and central neck dissection (level VI) on the side of the
82 tumor. The postoperative course was uneventful, with only a slight hypocalcemia recorded in
83 the first postoperative day, which completely recovered 48 hours after surgery when the
84 patient was discharged. Immunohistochemistry performed on the nodule showed the
85 presence of a polilobulated medullary thyroid cancer (MTC) of 1 cm, composed of cells with
86 fused shape with eosynophilic cytoplasm, agglomerated in solid nests with a predominantly
87 expansive growth pattern (**Figure 1A**). Histological examination showed that tumor cells
88 were positive for Ct, Cromogranin A, Synaptofisin, and TTF-1 (**Figure 1B**), and negative for
89 the presence of amyloid (not shown). Focal foci of C-cells hyperplasia were spread in the
90 entire gland. In none of the lymph nodes of the central compartment were found metastases.
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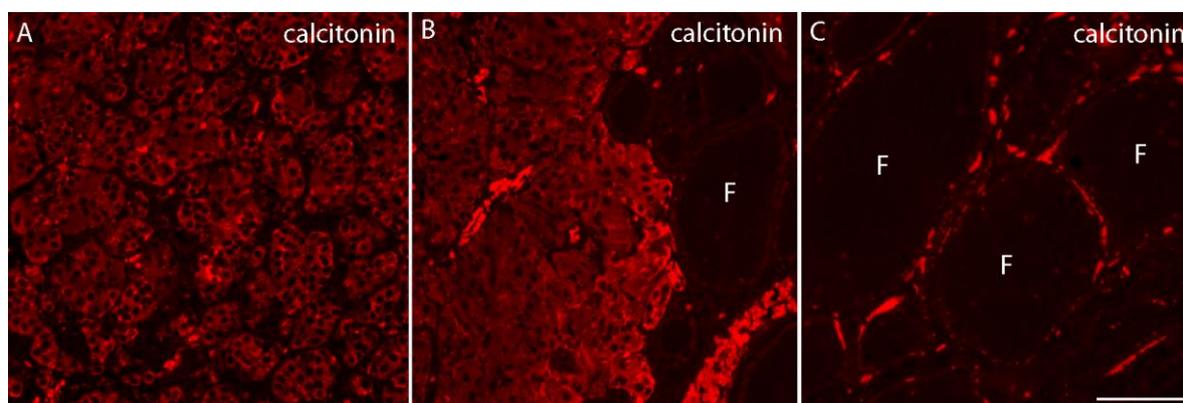
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93 **Figure 1.** A. Hematoxylin-Eosin (H&E) staining of MTC nodule at 20x and 60x magnification. B.
94 Immunohistochemistry for calcitonin, cromogranin A, synaptophysin and TTF-1 of the MTC nodule.
95 Magnification 10x.

96 To perform a more detailed morphological analysis, formalin-fixed paraffin-embedded
97 sections (3 μm thick) were subjected to antigen retrieval with citrate buffer at high pH and
98 immunolabeled with rabbit monoclonal anti-calcitonin (SP17, Cell Marque) (**Figure 2**) and
99 were then incubated with the appropriate fluorescent secondary antibodies (anti-rabbit
100 Alexa546) from Invitrogen/Life Technologies. As shown in **Figure 2**, tumor cells express

101 strong intracellular positivity for Ct (**Figure 2 A-B**), as well as C-cells surrounding found in
102 follicular parenchyma (F) (**Figure 2C**).

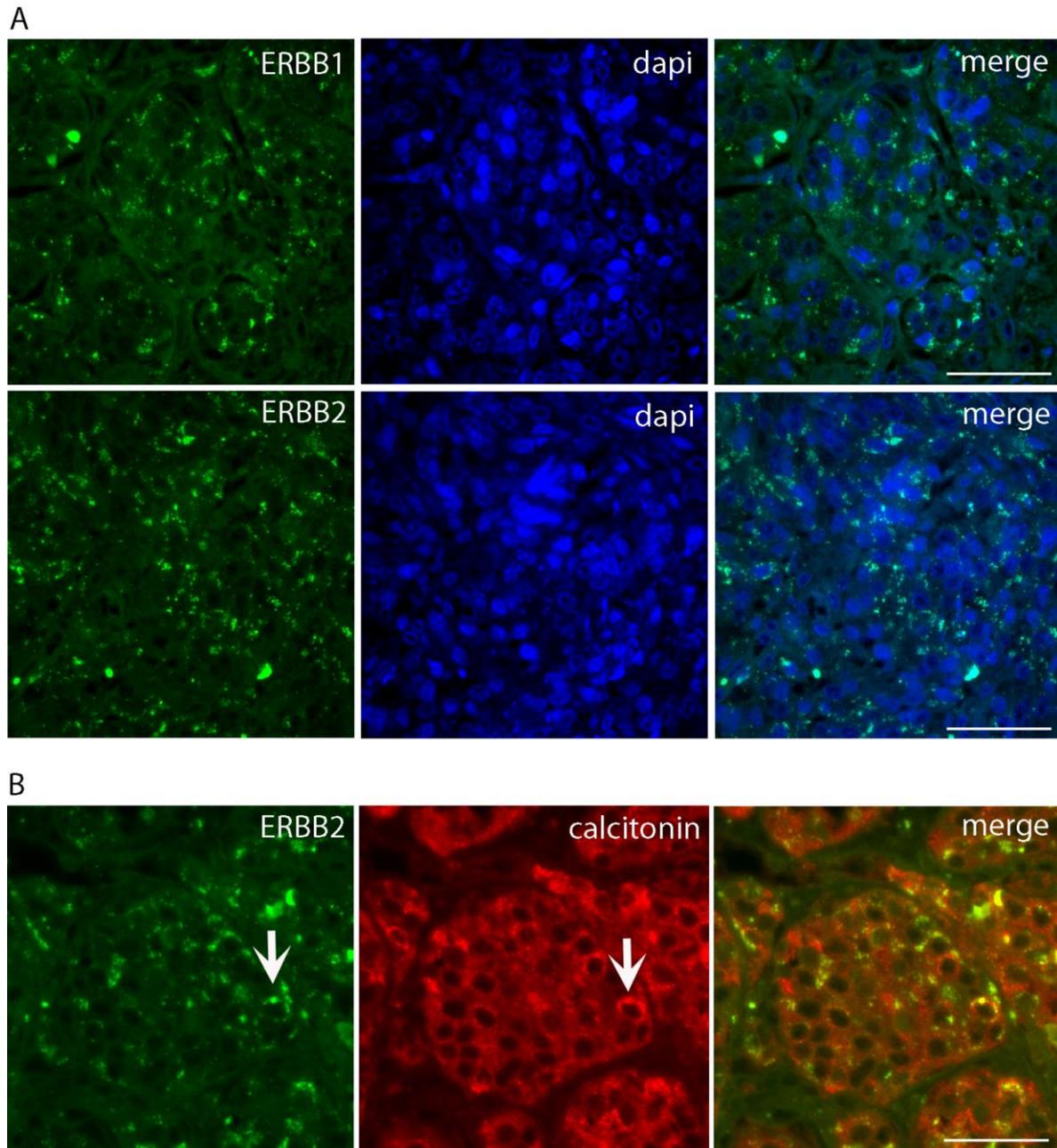
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105 **Figure 2.** Immunofluorescence labeling for calcitonin on semi-thick sections of the MTC nodule.
106 A-B represents tumor C cells positive for intracellular calcitonin. In C are depicted thyroid follicles (F)
107 surrounding the MTC nodule showing the presence of C cells positive for calcitonin. Images were taken at 40x
108 magnification, scale bar 10 μ m.

109 We next performed immunofluorescence labeling for RTK receptors ERBB1 (EGFR) using
110 a polyclonal antibody anti-EGFR (Sigma-Aldrich), and for ERBB2 using the monoclonal
111 humanized anti-ERBB2 antibody trastuzumab-alexa488 conjugated (10 μ g/ml),
112 (Genetech-Roche). The slides were examined and imaged with an inverted Olympus
113 microscope (Olympus Italia, Segrate, Italy) at 40x and 63x magnification. As shown in
114 **Figure 3A-B**, tumor cells display strong punctate positivity for both ERBB1 and ERBB2
115 receptors, consistent with localization in intracellular compartments, likely the
116 endolysosomal system. Indeed, double immunofluorescence for ERBB2 and Ct showed a
117 limited overlap of the two proteins, indicating that Ct and ERBB2 are segregated in distinct
118 intracellular compartments.



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Figure 3. Immunofluorescence labeling for ERBB1 and ERBB2 on semi-thick sections of the MTC nodule. A represents tumor C cells positive for intracellular punctate staining for ERBB1 and ERBB2. Magnification 40x, scale bar 10 μ m. In B is depicted a double immunolabeling for ERBB2 and calcitonin showing only a partial co-localization of the two proteins (arrows). Magnification 63x, scale bar 30 μ m.

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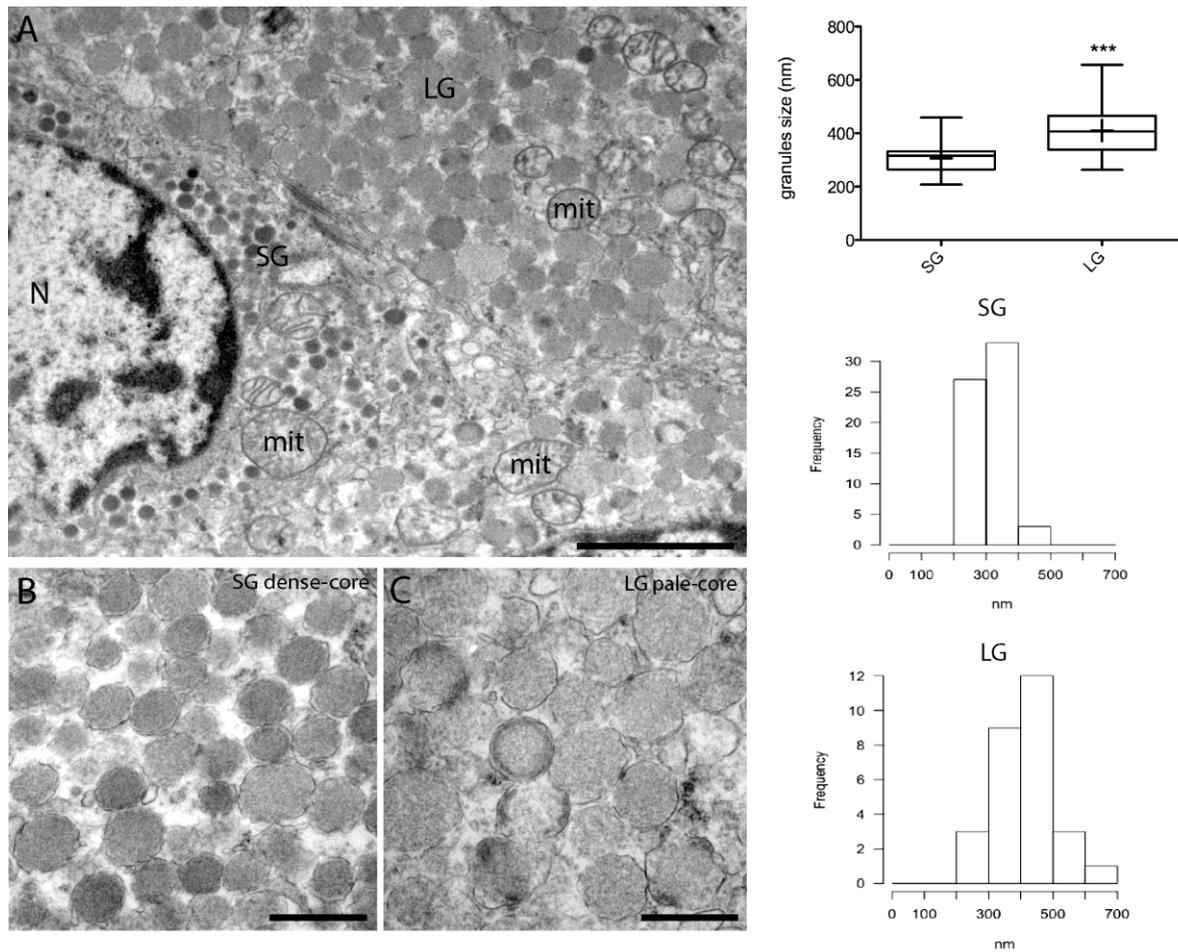
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For electron microscopy, small pieces of fresh tissue were immediately fixed after surgical resection in 2.5% glutaraldehyde, post-fixed with 1% osmium tetroxide and embedded in epoxy resin. Ultrathin sections were double stained with uranyl acetate and lead citrate and examined under a transmission electron microscope (TEM, CM10 Philips, The Netherlands). Ultrastructural examination of the tumor nodule displayed heterogeneous spindle-shaped cells containing two distinct classes of tightly packed secretory granules uniformly distributed throughout the cytoplasm (**Figure 4A**).



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133 **Figure 4. Representative TEM micrographs of MTC.** A. Two adjacent cells containing small dense cored
 134 granules (SG) and large pale-cored granules (LG). (N) nucleus, (mit) mitochondria. B-C. Higher magnification
 135 insets of A showing granules morphology. Scale bars: 3 μm (A), 500 nm (B-C). In the right side of the panel are
 136 shown a box plot and histograms representing the frequency distribution of granules size. LG granules are
 137 significantly larger than SG (** $p < 0.0001$, *t*-test).

138 Round-shaped mitochondria (mit) with disorganized cristae were frequently observed
 139 (**Figure 4A**). Tumor cells containing large, pale-cored secretory granules (LG) were the
 140 majority with respect to cells containing small and dense-cored granules (SG). The tumor
 141 nodule also contained blood and connective tissue in which cancer cells were scattered.
 142 Consistently with other morphological studies [11, 12], morphometric analysis of granule
 143 size and distribution showed two main classes of secretory granules based on their size and
 144 morphology (**Figure 4B-C**). The small granules (SG) had an electron dense core and a size
 145 ranging from 200 to 400nm, while the large granules (LG, 300-500nm in size) were
 146 pale-cored. Statistical significant differences in granules size (** $p < 0.0001$) and
 147 morphology might reflect distinct stages of hormonal production [11, 12]. In particular,
 148 mature granules appear characterized by their uniform and moderate electron density and by
 149 their generalized distribution throughout the cytoplasm, while small electron dense granules
 150 would represent pre-secretory immature granules [13].

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153 3. Discussion

154 Medullary thyroid carcinoma (MTC) is a rare calcitonin-secreting neoplasm derived from C
155 cells that occurs in both a familial and sporadic form [14]. It is the most aggressive among
156 well-differentiated thyroid cancers, with survival rates of 40–80 % at 10 years [15].

157 Because of the relative aggressiveness of MTC, routine measurement of serum calcitonin is
158 suggested as an integral part of the diagnostic evaluation of thyroid nodules to unmask its
159 diagnosis [2]. When an elevated calcitonin level is found, a calcitonin stimulation test with
160 calcium is often indicated to allow an early diagnosis and ultimately decrease morbidity and
161 mortality of this tumor [2, 3, 16]. Serum Ct is the most specific and sensitive marker of MTC,
162 not only for its diagnosis, but also during the postsurgical follow-up [17], since it is produced
163 in high concentrations by almost 100% of primary and metastatic MTCs. However, in a few
164 cases serum calcitonin can be negative or only slightly increased [18].

165 Primary MTC is efficiently controlled by surgery, but only when the tumor is confined to the
166 thyroid [3]. Patients with progressive or symptomatic metastatic disease who cannot be
167 treated by surgery should be considered candidates for systemic and/or targeted therapy.
168 Current and experimental targeted therapies for advanced medullary thyroid carcinomas
169 include pan tyrosine kinase (TK) inhibitors such as sorafenib, sunitinib, and vandetanib
170 [19-22].

171 The ERBB family of receptor tyrosine kinases has been implicated in carcinogenesis with
172 special attention to EGFR (ERBB1) and ERBB2. In particular, the expression or activation of
173 EGFR and ERBB2 and related signaling circuitries are altered in many epithelial tumors,
174 especially breast, gastric, lung and ovarian cancers [10]. Both pre-clinical and clinical studies
175 indicate that ERBB receptors have important roles in tumor progression and distant
176 metastasis [7]. Accordingly, these receptors have been intensely studied to understand their
177 importance in cancer biology and as therapeutic targets, and many ERBB inhibitors are now
178 used in the clinic [23, 24]. We report the case of a female patient with no familiar history of
179 MTC presenting a single thyroid nodule of 1 cm, incidentally discovered. The nodule was
180 classified as sporadic MTC and the patient was admitted for total thyroidectomy. After a
181 successful post-surgery recovery, the patient was discharged at hospital day 3 with
182 recommendation for 1-year follow up. We examined structural and ultrastructural features of
183 this case of MTC by immunofluorescence and electron microscopy and found that almost all
184 tumor cells showed strong granular staining for Ct, as expected. In addition, tumor C cells
185 displayed strong positivity for ERBB2 and ERBB1, but in distinct subcellular compartments,
186 most likely the endolysosomal compartment. As the presence of EGFR and ERBB2 within
187 the endocytic system is related to propagation of pro-survival signaling originating from
188 endosomes [13], our finding supports the hypothesis of a role for these receptors in
189 oncogenic C cells proliferation. Ultrastructural morphology of MTC showed the presence of
190 uniformly distributed secretory granules of different size and morphology. Tumor C cells
191 containing large, pale-cored, secretory granules were more represented with respect to cells
192 containing small and dense-cored granules. These characteristics suggest that MTC cells
193 might store and elaborate precursor forms of Ct and/or produce different hormones. As this
194 nodule was actively producing calcitonin after calcium stimulation, our morphological

195 observation of predominant C cells containing mature, pale-cored, granules is in agreement.
196 To our knowledge, the biological and prognostic significance of ERBB expression in
197 sporadic MTCs and in endocrine organs is still largely unknown [6]. However, ERBB1 and
198 ERBB2 expression in MTCs have been recently correlated with more aggressive disease and
199 extrathyroid growth [8] suggesting a role for ERBB1 and ERBB2 as important prognostic
200 markers for tumor invasiveness. Thus, deregulation of these receptors and their signaling
201 pathways might be connected with essential MTC properties such as tumor cell proliferation
202 and invasive behavior [25].

203 4. Conclusions

204 MTCs are rare but aggressive thyroid carcinomas that produce calcitonin. The therapeutic
205 option for patients with confined MTCs is total thyroidectomy, while options for metastatic
206 medullary thyroid carcinoma are still limited. Overexpression of ERBB receptors has been
207 related to metastasis in various cancers, including MTCs. This case suggests that further
208 preclinical and clinical studies are required to establish the role for ERBB1 and 2 receptors in
209 C cells proliferation, as novel biomarkers for tumor invasiveness and potential candidates for
210 additional targeted therapies.

211
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215
216 **Author Contributions:** MM, EV and GM were involved in the direct patient care. KC, MM,
217 EC conceived and designed the experiments. ADS, GM and KC performed histology,
218 immunofluorescence and electron microscopy analysis. KC and MM wrote the manuscript.
219 All the authors have critically discussed, read and approved the final manuscript.

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221 **Conflicts of Interest:** The authors declare no conflict of interest.

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