

1 *Review*

## 2 **Applications of the FIV Model to Study HIV** 3 **Pathogenesis**

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11

12 **Abstract:** Feline immunodeficiency virus (FIV) is a naturally-occurring retrovirus that infects  
13 domestic and non-domestic feline species, producing progressive immune depletion that results in  
14 an acquired immunodeficiency syndrome (AIDS). Much has been learned about FIV since it was  
15 first described in 1987, particularly in regard to its application as a model to study the closely related  
16 lentivirus, human immunodeficiency virus (HIV). In particular, FIV and HIV share remarkable  
17 structure and sequence organization, utilize parallel modes of receptor-mediated entry, and result  
18 in a similar spectrum of immunodeficiency-related diseases due to analogous modes of immune  
19 dysfunction. This review summarizes current knowledge of FIV infection kinetics and mechanisms  
20 of immune dysfunction in relation to opportunistic disease, specifically in regard to studying HIV  
21 pathogenesis. Furthermore, we present data which highlight changes in the oral microbiota and oral  
22 immune system during FIV infection, and outline the potential for the feline model of oral AIDS  
23 manifestations to elucidate pathogenic mechanisms of HIV-induced oral disease. Finally, we discuss  
24 advances in molecular biology, vaccine development, neurologic dysfunction, and the ability to  
25 apply pharmacologic interventions and sophisticated imaging technologies to study experimental  
26 and naturally occurring FIV, which provide an excellent, but often overlooked resource for  
27 advancing therapies and management of HIV/AIDS.

28 **Keywords:** Feline immunodeficiency virus; FIV; human Immunodeficiency virus; HIV; animal  
29 models, opportunistic disease, lentiviral pathogenesis; molecular biology

30

### 31 **1. Feline immunodeficiency virus**

32 Feline immunodeficiency virus (FIV) is a naturally-occurring retrovirus that infects domestic  
33 and non-domestic feline species. In domestic cats, FIV produces progressive immune depletion that  
34 eventually results in an acquired immunodeficiency syndrome (AIDS) [1-10]. As a consequence, FIV  
35 infection is associated with a variety of clinical syndromes, including cachexia, anterior uveitis,  
36 chronic rhinitis, gingivostomatitis and periodontitis, encephalitis and neurologic dysfunction, and  
37 lymphoma [1,4,9,11-21]. The acute phase of FIV infection, lasting approximately 4-8 weeks, is  
38 characterized by a sharp increase in CD4+ T lymphocytes that are accompanied by high levels of FIV  
39 viral RNA and proviral DNA in circulation [4,8,22]. These hematologic changes are typically  
40 accompanied by mild to moderate clinical signs which include pyrexia, lethargy, and peripheral  
41 lymphadenopathy [4,22,23]. Following a prolonged asymptomatic phase, during which the levels of  
42 circulating virus remains stable and integrated provirus establishes a reservoir of latently infected  
43 target cells, there is progressive decline of CD4+ T lymphocytes and other immunocytes, resulting in  
44 functional immunodeficiency and susceptibility to opportunistic infections [6,24-26].

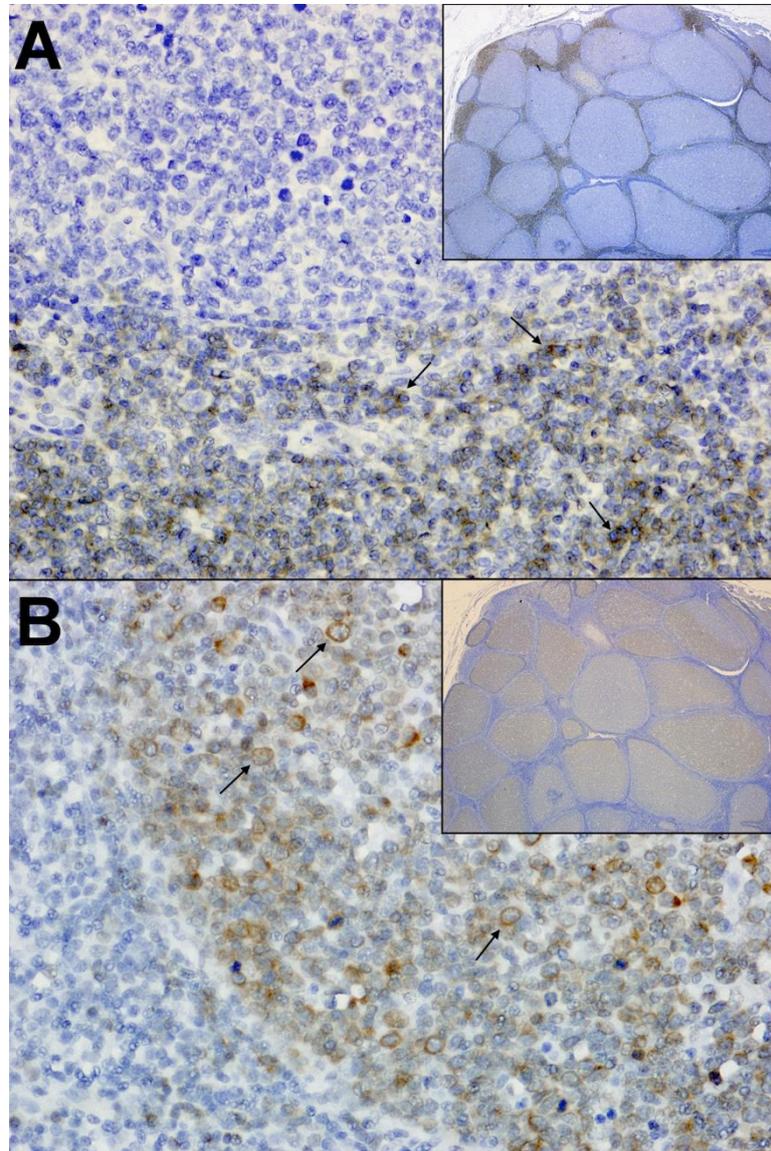
45 During FIV infection, loss of CD4+ T lymphocytes is directly attributable to a viral-induced  
46 cytopathic effect, in addition to an increase in FIV-specific CD8-mediated programmed cell death,  
47 lack of thymic regeneration, and spontaneous apoptosis in response to decreased cytokine support  
48 [10,25,27,28]. The most frequent clinical disease syndromes associated with FIV infection manifest as  
49 a consequence of immune dysfunction, such as oral opportunistic infection (gingivitis, stomatitis, and  
50 periodontitis), immune-mediated glomerulonephritis, chronic rhinitis, and dermatitis  
51 [15,16,19,20,29,30]. Oral opportunistic infections are prevalent in a high proportion of FIV-infected  
52 cats, and frequently present as erythematous, inflammatory lesions along the gingival margin  
53 (gingivitis), multifocal areas of necrotizing inflammation within the gingival sulcus or periodontal  
54 ligament (periodontitis), or ulcerative inflammatory lesions along the buccal mucosa, hard palate, or  
55 soft palate (stomatitis) [20,31-33]. Changes in the salivary/oral microbiota have been increasingly  
56 associated with FIV infection, and shifts in the proportion of opportunistic pathogens in saliva of FIV-  
57 infected cats have been associated with the development of oral inflammatory lesions [31,34].  
58 Similarly, FIV-infected cats frequently present with severe, necrotizing and/or ulcerative  
59 inflammatory lesions (dermatitis) due to opportunistic infection with various bacterial, fungal,  
60 protozoal, and parasitic etiologies, including mycobacteriosis, leishmaniasis, toxoplasmosis, and  
61 dermatophytosis [16,29,35,36]. Upper respiratory disease is also a frequently finding in FIV-infected  
62 cats, and may occur in conjunction with concurrent viral, bacterial, or fungal infections [4,15,37,38].

63 Interestingly, FIV is also associated with the occurrence of neoplastic diseases, most frequently  
64 demonstrated by the development of lymphoma in a large proportion of infected cats [7,39]. This  
65 association has been described in both naturally and experimentally infected animals, and  
66 predominately manifests as high-grade B-cell neoplasms that are remarkably similar to HIV-  
67 associated diffuse large B-cell lymphoma (DLBCL) (Figure 1) [7,40-42]. Also similar to HIV, direct  
68 viral-mediated oncogenesis related to proviral integration within oncogenes is an uncommon feature  
69 of FIV infection, and neoplastic transformation has been attributed to indirect consequences of viral-  
70 induced immune dysfunction that arise in response to prolonged viral infection [7,42-44]. Specifically,  
71 recent studies have shown that clonal proviral integration sites are not typically detected during FIV  
72 infection and that proviral loads are lower in neoplastic tissues, indicating neoplastic growth of cells  
73 lacking provirus [7]. Conversely, FIV and other lentiviral infections are strongly associated with  
74 polyclonal B-cell expansion, immunoglobulin production, and cytokine expression of proliferative  
75 mediators in response to immune activation and dysregulation [45,46]. It is proposed that such  
76 infection kinetics provide opportunities for somatic rearrangements associated with generation of B-  
77 cell receptor diversity, or mutations in immunological cells during rapid expansion that disrupt or  
78 activate oncogenes; thus resulting in neoplastic transformation [7,42]. However, the causal  
79 relationship of FIV and lymphoma has not been fully elucidated, and further studies are necessary to  
80 evaluate the specific role that viral infection and immune function play during tumorigenesis.

81 FIV-induced renal disease is also observed in both experimentally and naturally infected cats,  
82 and includes pathologic changes which include glomerulonephritis, proteinuria, protein tubular  
83 casts and tubular microcysts, as well as diffuse interstitial inflammatory infiltrates [30,47]. Mesangial  
84 widening with glomerular and interstitial amyloidosis is also observed in kidneys of FIV-infected  
85 cats, and when evaluated in the context of another frequent finding during FIV infection,  
86 hypergammaglobulinemia, indicate the potential for immune complex deposition to occur within the  
87 glomerulus as a result of chronic antigenic stimulation and immune activation [30,48,49].

88 Neurologic disease is an important manifestation of FIV infection, and affected cats may present  
89 with either central nervous system (CNS) or peripheral nervous system (PNS) involvement  
90 [14,17,18,50,51]. In the PNS, FIV induces significantly increased numbers of CD3+ T cells and  
91 macrophages in dorsal root ganglia, and infected cats exhibit pronounced changes in epidermal nerve  
92 fiber densities [50,52]. FIV enters the CNS during the acute stages of infection and is present within  
93 the brain and cerebral spinal fluid [14,17,53]. The primary neuropathogenic effect of FIV infection  
94 within the CNS manifests as infiltration and accumulation of perivascular lymphocytes and  
95 macrophages (encephalitis), activation of microglial cells and astrocytes (gliosis), and occasional  
96 neuronal loss with myelin degeneration [14,17,18,53,54]. This infiltration of inflammatory cells and

97 consequences associated with immune activation within the CNS frequently results in clinically  
98 apparent neurologic deficits and gradual decline in CNS function, functionally manifesting as  
99 abnormal stereotypic motor behaviors, anisocoria, increased aggression, prolonged latencies in  
100 brainstem evoked potentials, delayed righting and pupillary reflexes, decreased nerve conduction  
101 velocities, and deficits in cognitive-motor functions [55-58].



102

103 **Figure 1. Immunohistochemistry from a FIV-infected cat with primary B cell lymphoma.**

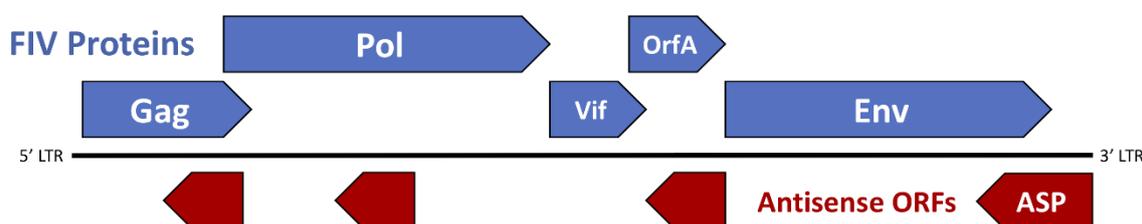
104 **(A)** Mesenteric lymph Node; 40x and 4x (inset). Normal amounts of interlobular T lymphocytes  
105 (arrows) are present throughout the lymph node. Anti-CD3 (IHC) with DAB as chromogen and  
106 hematoxylin counterstain. **(B)** Mesenteric lymph Node; 40x and 4x (inset). Neoplastic B  
107 lymphocytes (arrows) multifocally expand the normal lymph node architecture. Anti-CD79a  
108 IHC with DAB as chromogen and hematoxylin counterstain.

## 109 2. FIV as a molecular analogue to HIV

110 FIV is a member of the Lentivirus genus within the Retroviridae family, and much has been  
111 learned about FIV since it was first described in 1987, particularly in regard to its application as a  
112 model to study the closely related lentivirus, human immunodeficiency virus (HIV) [8-10,59,60]. The  
113 FIV virion is approximately 100nm in diameter, spherical, and contains two identical strands of  
114 positive-sense RNA in its 9400-base genome, which is tightly associated with the nucleocapsid  
115 protein (NC, p7) and a t-RNA<sup>lys</sup> bound to each RNA molecule, which serves as a primer for negative

116 strand transcription [25,59-61]. This protein complex, along with viral enzymes involved with  
 117 replication and maturation (protease, reverse transcriptase, integrase, and dUTPase), are enclosed  
 118 within a core of capsid protein (CA, p24), and surrounded by a shell of matrix protein (MA, p14)  
 119 [25,59,60]. Viral envelope glycoproteins (gp) are embedded within an outer lipid bilayer surrounding  
 120 the matrix coat, and include the surface (SU, gp95) and transmembrane (TM, gp40) subunits, which  
 121 are cleaved from a 130-150kDa membrane-bound precursor protein, glycosylated, and non-  
 122 covalently anchored within the envelope in a trimeric form [25,59,60,62].

123 The genomic structure of FIV consists of three primary open reading frames (ORFs), *gag*, *pol*, and  
 124 *env*, which are flanked by two long-terminal repeats (LTR) and accompanied by numerous small  
 125 ORFs containing regulatory and accessory genes such as *vif*, *rev*, and *orfA* (Figure 2). FIV *gag* encodes  
 126 the Gag polyprotein, which is cleaved by the protease to form the three mature proteins (MA, CA,  
 127 and NC) and is necessary to achieve formation of mature virus particles [59,63,64]. Pol polyprotein,  
 128 the primary product of the FIV *pol* gene, contains 4 important enzymes involved in virus replication  
 129 and maturation: protease, reverse transcriptase (RT), integrase (IN), and dUTPase (DU) [59]. Viral  
 130 protease (PR) facilitates the cleavage of Gag and Pol polyproteins into functional enzymatic or  
 131 structural proteins; DU catalyzes hydrolysis of dUTP to dUMP in effort to minimize  
 132 misincorporation of potentially mutagenic dUTP into host DNA [59,65,66]; FIV RT is an RNA-  
 133 dependent DNA polymerase involved in the reverse transcription of viral genomic RNA into a  
 134 double-stranded copy of proviral DNA (cDNA). Once synthesized, cDNA is integrated into the host  
 135 genome by a mature IN containing three functional domains: an N-terminal domain, a central  
 136 catalytic core, and a C-terminal domain [67-69]. The FIV Env polyprotein, a 130-150 kDa product of  
 137 the *env* gene, is glycosylated and trimmed within the Golgi apparatus, and proteolytically cleaved  
 138 into two mature, glycosylated proteins prior to virion budding at the cell surface: SU (gp95) and TM  
 139 (gp40), both of which play critical roles in virion attachment and entry into target cells [59,60].



140

141 **Figure 2. Genomic organization of FIV.** The genomic structure of FIV consists of three primary  
 142 open reading frames (ORFs), *gag*, *pol*, and *env*, which are flanked by two long-terminal repeats  
 143 (LTR) and accompanied by numerous small ORFs containing regulatory and accessory genes  
 144 such as *vif* and *orfA*. Potential short ORFs (antisense ORFs - shown in red) may be translated  
 145 from a negative strand message.

146 FIV requires an initial interaction with a primary binding receptor for infection, and binds to  
 147 host cells through a high-affinity interaction of the envelope SU protein (gp95) with the CD134  
 148 surface molecule present on CD4+ lymphocytes and monocytes/macrophages [70-74]. This  
 149 interaction induces a conformational change in the SU protein, which then exposes a cryptic epitope  
 150 in the V3 loop of Env; the binding site necessary for binding with the entry (co-) receptor CXCR4  
 151 [26,73,74]. Binding of the V3 loop exposes the serpentine region of TM (gp40), which results in the  
 152 formation of a hairpin structure that allows fusion with the cell membrane and subsequent cell entry  
 153 [26,74,75]. However, as infection progresses, the production of neutralizing antibodies by the host  
 154 increases the need for FIV to escape selective pressures. New viral variants arise which exhibit a  
 155 decreased dependence on CD134 and increased ability to infect cells that express CXCR4 with limited  
 156 CD134 expression, such as naïve B cells and CD8+ T cells [2,3,60,76-79]. This expanded cell tropism  
 157 results in an increase in the number of target cells susceptible to infection, which subsequently causes  
 158 immunodepletion and clinical manifestations associated with AIDS-induced disease.

159 The structural and sequence organization of FIV is very similar to HIV, which is also a member  
160 of the lentivirus genus [59]. HIV is morphologically characterized by a spherical virion that is roughly  
161 120nm in diameter, and contains a diploid genome composed of two copies of single stranded,  
162 positive-sense RNA that is packaged with nucleocapsid (p7) and accessory proteins (protease, reverse  
163 transcriptase, integrase) [80]. Like FIV, the ribonucleoprotein complex at the heart of the HIV virion  
164 is contained within a dense core of Capsid protein (CA, p24) and surrounded by a spherical shell of  
165 Matrix protein (MA, p17)[80]. Mature Env glycoproteins, SU (gp120) and TM (gp 41), are anchored  
166 within the external lipid bilayer, and play significant role in cell entry through binding to host cell  
167 receptors. HIV also requires an initial interaction with a primary binding receptor for infection, and  
168 utilizes analogous modes of receptor-mediated entry as FIV utilizing chemokine co-receptors [81-83].  
169 However, in lieu of CD134, HIV utilizes CD4 as primary binding receptor and CCR5 as its primary  
170 entry receptor, although HIV is also able to utilize CXCR4 [81,82]. Much like FIV, HIV binds to CD4+  
171 target cells through a high-affinity interaction with the CD4 receptor that induces a conformational  
172 change in the envelope glycoprotein gp120, subsequently exposing the binding sites necessary for  
173 chemokine co-receptor binding (CXCR4 or CCR5) and subsequent fusion with the cell membrane.

174 The HIV genome encodes three primary polyproteins, Gag, Pol, and Env, as well as the  
175 regulatory protein, Rev, and accessory protein, Vif – all of which exhibit similar functions to FIV  
176 [59,60,80]. However, in addition to these, HIV also contains genes that encode additional accessory  
177 proteins involved in viral maturation, replication, and survival [80]. These include: Tat (p16/p14), a  
178 viral transcriptional activator; Vpr (p10-15), a promoter of nuclear localization and inhibitor of cell  
179 division (cell cycle arrest at G2/M); Vpu (p16); a promoter of extracellular release of viral particles;  
180 Nef (p27-25), a downregulator of CD4 and MHC I expression; Vpx (p12-16), a Vpr homolog present  
181 in HIV-2 (absent in HIV-1); and Tef (p28), a tripartite tat-env-rev protein [80].

182 The FIV genome contains one regulatory gene (*rev*) and two accessory genes (*vif* and *orfA*). FIV  
183 *rev* encodes Rev, a nucleolar polyprotein that binds to the Rev Response Element (RRE) to allow  
184 export of partially spliced and unspliced viral RNA transcripts out of the nucleus with the help of the  
185 nuclear export protein, exportin-1 [59,60,84]. The FIV Vif protein, is crucial to FIV replication and is  
186 involved in counteraction of host defense mechanisms such as APOBEC3, a cellular protein that  
187 exerts an antiviral effect by deamination of cytosine to uracil during viral replication, resulting in  
188 degradation of synthesized minus-strand DNA [59,60,85]. FIV Vif counteracts APOBEC3 by  
189 targeting the host protein to the E3 ubiquitin ligase complex, which is subsequently degraded by the  
190 proteasome [59,60,85].

191 The FIV OrfA protein is encoded by the accessory gene *orfA* (Figure 2), and was originally  
192 considered a transactivator of transcription due to a role in increasing the net translation of proteins  
193 expressed from genes under transcriptional control of the FIV LTR. The localization of the *orfA* gene  
194 in the viral genome also roughly coincides with the location of the gene encoding the HIV  
195 transactivator, Tat [86]. However, studies have failed to show increase in transcription directed by  
196 OrfA and there is no trans-activation response (TAR) element, as acted on by HIV Tat. Thus, increase  
197 in net protein translation facilitated by OrfA must be by other means and may be involved in late  
198 steps of virion formation and the early steps of virus infectivity, although the precise role of OrfA is  
199 still undetermined [59,60,87-90]. OrfA localizes in the nucleus and causes cell cycle arrest at G2 in  
200 infected cells, reminiscent of effects caused by the Vpr protein in HIV-1. Also, OrfA has been shown  
201 to downregulate expression of the viral receptor for FIV (CD134) on the surface of cells, as well as E2  
202 ubiquitin-conjugating enzymes and a ubiquitin-protein ligase [60,86,91], similar to effects ascribed to  
203 the Nef protein on CD4 downregulation during HIV-1 infection. These potential functions of OrfA  
204 may have implications which aid in viral dissemination by preventing surface interactions with  
205 budding virions, and limit degradation of viral proteins by host cell ubiquitin ligase mechanisms.

206 In 1988, Miller [92] made the observation that there was also potential to encode a peptide  
207 product from an RNA transcribed from the minus strand of the provirus. Since then, there have been  
208 a number of reports providing evidence for predicted RNA and protein products from the minus  
209 strand in HIV-1 [93-102], SIV [103], FIV [104], and in the deltaretrovirus, BLV [105]. In FIV, there are  
210 several potential short open reading frames that may be translated from a negative strand message

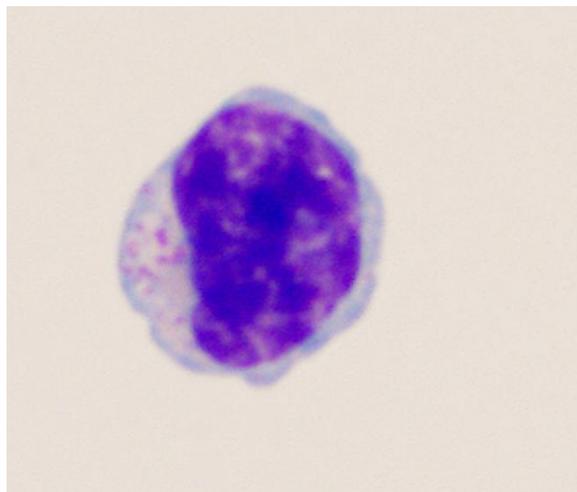
211 (Figure 2). However, the major potential reading frame in the negative strand of both FIV and HIV  
212 coincides with the Env coding region in the plus strand RNA, in the region underlying the Rev  
213 Responsive Element (RRE) encoded on the plus strand. A recombinant protein transcribed and  
214 translated from the ASP open reading frame has been used to screen both naturally and  
215 experimentally FIV infected cats for antibodies to the protein and a small percentage (<10%) do show  
216 some level of positivity (manuscript in preparation) (Figure 2). Furthermore, knocking out the  
217 putative start codon for ASP resulted in a dramatic reduction in viral protein production, suggesting  
218 a critical role in the virus life cycle. Immunohistochemistry shows a non-nuclear localization of the  
219 protein, suggestive of some post-transcription event. Further studies will be required to define the  
220 role of ASP, but it may contribute to the ability of the virus to replicate by counteracting some innate  
221 anti-viral response in the cell.

### 222 3. FIV as a model to study HIV pathogenesis

#### 223 3.1. Immune dysfunction

224 The primary immunodeficiency of FIV, a gradual and progressive decline in CD4+ T  
225 lymphocytes, is a hallmark feature of both natural and experimental infection, and the most obvious  
226 fundamental feature to parallel HIV infection. During both FIV and HIV infection, CD4+ lymphocyte  
227 numbers decline over an extended asymptomatic phase, and is associated with an increase in  
228 activated CD8+ lymphocytes that have antiviral activity [106-109]. The net effect of this event is a  
229 decrease in the ratio of CD4+ cells to CD8+ cells (CD4:CD8), and is used as a clinical indicator of  
230 immunosuppression in both FIV and HIV infected patients [108-110]. Additionally, several studies  
231 have shown that FIV induces defects in immune function similar to HIV, such as a decreased  
232 proliferation response of T lymphocytes in response to mitogens, a deficit in the humoral immune  
233 response, and dysregulation of cytokine expression [10,24,59].

234 Large granular lymphocytes (LGLs) are a lymphoid subset comprising 10-15% of peripheral  
235 mononuclear blood cells (PBMCs) (Figure 3), and consist of either CD3- NK cells or CD3+ T-cells that  
236 mediate antibody-dependent cytotoxicity [111-114]. Analysis of LGL populations during HIV  
237 infection have been hampered by the low percentage of these cells in circulation, and has typically  
238 only been reported in association with neoplasia [114-116]. However, recent studies have shown that  
239 LGLs are detectable and elevated during HIV infection in humans, and may represent viral-  
240 suppressive CD8+ T cells [114,117]. Interestingly, studies in FIV-infected cats have determined that  
241 similar elevations in LGL phenotypes may represent polyclonal T-cells with viral suppressive  
242 properties, indicated by increased interferon- $\gamma$  (IFN- $\gamma$ ) expression and decreased PBMC proviral  
243 loads in correlation with LGL lymphocytosis [114,118].



244

245 **Figure 3. Cytological morphology of a large granular lymphocyte (LGL).** Recent studies have  
246 determined that elevations in LGL phenotypes during both FIV and HIV infection may represent  
247 polyclonal T-cells with viral suppressive properties.

248 Conversely, recent studies have shown that CD4<sup>+</sup> CD25<sup>+</sup> T regulatory (Treg) cells are  
249 responsible for inhibition of CD8<sup>+</sup> IFN- $\gamma$  production during both FIV infection [119] and HIV  
250 infection [120], highlighting potential mechanisms by which these viruses exhibit an  
251 immunosuppressive effect on the CD8<sup>+</sup> immune response. Furthermore, additional studies have  
252 shown that FIV directly infects and activates CD4<sup>+</sup> CD25<sup>+</sup> Treg cells, which are then able to suppress  
253 CD4<sup>+</sup> CD25<sup>-</sup> T helper (Th) cells [121]. While this relationship and the potential mechanisms of Treg  
254 cell activation during HIV infection is still unclear, such comparative studies in FIV may offer  
255 potential to help our understanding of CD8<sup>+</sup> T cell function in HIV infection.

### 256 3.2. Neurologic dysfunction

257 Previous studies have shown that both FIV and HIV enter the central nervous system (CNS) at  
258 acute stages of infection, either via trafficking of infected monocytes and lymphocytes, or by  
259 penetration of free virus across the blood-brain or blood-CSF barriers [17,122-127]. Once present in  
260 the CNS, both FIV and HIV infection spread to microglia and astrocytes, which then serve as a  
261 reservoir for latent viral persistence [13,17,126-128]. Although multinucleated giant cells are rarely  
262 observed in the CNS during FIV infection, the fundamental neuropathologic finding of encephalitis  
263 is well-documented in both HIV and FIV infected patients, and resultant proliferation and activation  
264 of these cells (gliosis) is associated with neurodegenerative processes such as myelin degradation and  
265 neuronal injury/loss [14,17,51,54,129]. Thus, the clinical manifestations associated with  
266 neuropathology of FIV are likewise observed in HIV infection, and because of this, FIV has been  
267 repeatedly used as a model to investigate the pathogenesis of dementia and cognitive-motor  
268 processing deficits in AIDS patients. *In vitro* models of FIV have been useful to expand our  
269 understanding of role of calcium dysregulation and neural dysfunction during lentiviral infection,  
270 and have provided a unique system for the development neuroprotective treatments such as  
271 neurotrophin ligands, which prevent the delayed accumulation of intracellular calcium and  
272 decreased cytoskeletal damage of neuronal dendrites [17,130]. Furthermore, because of the low  
273 natural prevalence and slow clinical course associated with lentiviral-induced neurologic  
274 dysfunction, experimental *in vivo* studies have been developed in the FIV model which accelerate  
275 neuropathogenesis (neonatal inoculation, inoculation with neurovirulent strains, direct intracranial  
276 inoculation), allowing increased opportunity to evaluate viral kinetics of CNS infection,  
277 neurovirulence determinants, and the potential for novel treatments designed to decrease  
278 neurocognitive defects during HIV infection [53,57,130,131].

279 The use of neurovirulent strains of FIV has also allowed for the investigation of neuropathogenic  
280 effects on the peripheral nervous system (PNS) as a model of HIV distal symmetric polyneuropathy  
281 (DSP), demonstrating rapid onset of peripheral neuropathy in FIV infected cats with axonal injury,  
282 macrophage activation, and detection of virus within the nerve [50,132]. Indeed, FIV infection results  
283 in pathological events in the PNS that are very similar to HIV, including increased numbers of CD3<sup>+</sup>  
284 T lymphocytes and activated macrophages in skin and dorsal root ganglia (DRGs) that are associated  
285 with increased expression of the pro-inflammatory cytokines, as well as changes in epidermal nerve  
286 fiber densities, indicative of axonal and myelin degeneration [50,52]. FIV has also been useful in the  
287 evaluation of the neurotoxicity of antiretroviral toxic neuropathy (ATN), due to mitochondrial  
288 dysfunction associated with nucleoside analogue reverse transcriptase (NRTI) inhibitor treatment.  
289 Thus, FIV has the potential to expand our understanding of the role of the immunopathology and  
290 progression of neuropathy in FIV-infected cats.

291 SIV models of neuropathogenesis have been used to study HIV-associated neurologic  
292 dysfunction (HAND), and has resulted in elucidation of many mechanisms of neuroAIDS  
293 development, such as acute CNS infection and the importance of monocyte/macrophage activation  
294 in driving CNS lesions [133-136]. Recently, the SIV model of neuroAIDS has been adapted to study  
295 peripheral neuropathy, and significant advances have been made that have implicated macrophages  
296 within dorsal root and trigeminal ganglia as a source of viral maintenance, in addition to their role in  
297 neuronal loss and neuronophagia [137,138]. These findings are coupled with additional studies that  
298 have defined impaired mitochondrial function in distal axons which are more pronounced in ART-  
299 treated animals, indicating the potential for antiretroviral-mediated mitochondrial toxicity [139].

300 However, the SIV model of HAND is most commonly employed in rhesus macaques using SIV  
301 strains that arose via nosocomial infections or lab adaptation of African monkey strains [140]. SIV  
302 neurologic disease is therefore chiefly manifested as rapid progression to AIDS with hallmarks of  
303 CNS inflammation which amplify pathology compared to HIV-infected humans [135,136].  
304 Furthermore, NHP studies are also limited by increased zoonotic risk to researchers, high cost  
305 associated with animal care and housing, the low number of animals available for research, and the  
306 potential for co-infection with a wide array of other pathogens, including rhesus rhadinovirus (RRV),  
307 lymphocryptovirus (LCV), simian cytomegalovirus (CMV), simian foamy virus (SFV), simian virus  
308 40 (SV40), and rhesus papillomavirus (RhPV) [141,142].

309 In mechanistic studies of HIV-associated neurologic dysfunction, interaction of CXCR4 with  
310 viral envelope has been shown to enhance neuronal apoptosis via  $Ca^{2+}$ -regulating systems and  
311 NMDA receptors (NMDARs) in the synaptic membrane [143-149]. This neurotoxic pathway is known  
312 to involve  $Ca^{2+}$  influx through NMDARs, nitric oxide (NO) production, and subsequent activation  
313 cGMP-dependent protein kinase II, however, the precise cellular mechanisms by which this occurs  
314 are unknown and difficult to assess in chronically infected human patients [150-155]. Because FIV  
315 binds to CXCR4 on the neuronal membrane in a similar non-infectious interaction as HIV, the feline  
316 model may provide answers particularly in regard to the viral envelope-receptor interaction and  
317 synaptic activity-mediated neurotoxicity in HAND [156,157]. Given these similarities (and  
318 limitations of the SIV model), FIV represents an adjunct lentiviral model that can accurately  
319 recapitulate neuroAIDS progression in HIV-infected humans for applications such as evaluation of  
320 ART-induced neurotoxicity, neurofibrillary tangle development, and calcium homeostasis during  
321 viral infection [14,17].

### 322 3.3. Vaccine development

323 Considerable effort has been directed at the development of an anti-HIV vaccine strategy that  
324 can produce protective immunity in humans, and this effort has been paralleled in regard to FIV. A  
325 commercially available, whole inactivated virus vaccine containing two FIV subtypes (Fel-O-Vax  
326 FIV®) is currently licensed for use in the United States, and various reports have described virus  
327 neutralization and cellular immunity in a significant proportion of study animals [158-160].  
328 However, the efficacy of this vaccine is still under debate, as recent studies and field evaluations have  
329 reported that the vaccine does not confer immunity against certain FIV strains (ie: FIV<sub>GLS</sub>), and that  
330 the neutralizing antibody response and protective rate may be low in certain cat populations (i.e.  
331 protection is not conferred to certain virulent recombinant strains of FIV) [161-164]. Other attempts  
332 at FIV vaccine development have either failed to induce protective immunity against FIV infection,  
333 or have resulted in increased susceptibility to infection via antibody-dependent enhancement or  
334 general immune activation [165-170].

335 The development of an anti-HIV vaccine has been impeded by a wide variety of similar  
336 complications, such as lack of efficacy or unanticipated side effects, as well as increased susceptibility  
337 to infection via analogous mechanisms of FIV vaccine enhancement (antibody-dependent viral  
338 enhancement or general immune activation) [171-177]. Indeed, vaccine-induced enhancement of  
339 viral infection has been previously reported in a large number of HIV studies [178-181], and has been  
340 shown to occur via antibody-dependent or antibody-independent mechanisms of complement  
341 activation [182-189], as well as an increase in general immune activation and/or expansion of  
342 lymphoid target cells [190-194]; features that have also been observed in FIV studies [165-170].  
343 However, despite these setbacks in lentiviral vaccine development, there are many similarities in the  
344 disease course of HIV and FIV infection, and the use of the FIV model to circumvent these roadblocks  
345 may have great potential to provide a translational model for the development of novel  
346 immunotherapies to protect from HIV infection in humans.

347 Traditionally, non-human primate (NHP) models have been at the forefront of anti-HIV vaccine  
348 development due to the similarities of SIV and HIV, and have revealed several promising vaccine  
349 targets such as *nef*-deleted SIV (which protects from wild-type SIV infection) and broad neutralizing  
350 antibodies utilizing chimeric SHIVs that express the HIV-1 envelope glycoprotein [195-198].  
351 However, the successful outcome of these methods to prevent HIV infection in humans has been

352 significantly impeded by various causes, such as restrictions on the use of live-attenuated HIV-1 in  
353 humans, as well as difficulty in producing a sufficiently efficacious neutralizing antibody response  
354 by vaccination [196]. Alternatively, various humanized mouse models have played a vital role in  
355 elucidating key aspects of the immune response to HIV, primarily through use of generally  
356 immunocompromised mice engrafted with reconstituted human immune system tissues such as  
357 human fetal thymus and liver (scid-*hu-Thy/Liv*) or peripheral blood lymphocytes (scid-*hu-PBL*) [199].  
358 These models have been used for key studies in HIV immunopathogenesis, including mechanisms  
359 of CD4<sup>+</sup> T-cells loss, antiretroviral therapy response, and passive immunization with monoclonal  
360 antibodies to HIV envelope protein (and testing of *Env*-based vaccines) [142,199-203]. However,  
361 because only certain parts of the human immune system can be reconstituted in humanized mouse  
362 models, interactions between the introduced human cells and the murine immune system cannot be  
363 evaluated in these hosts, nor the effects of HIV infection in non-hematopoietic tissues [199]. Although  
364 FIV lacks certain molecular similarities to HIV, it induces similar immunopathologies in its natural  
365 host, and therefore represents an important yet underutilized animal model for full evaluate the  
366 immune response during natural lentiviral infection. Furthermore, the availability of a commercially-  
367 available vaccine in cats with efficacy against at least a subset of FIV may provide important clues to  
368 improving the efficacy of anti-HIV vaccines, and the elucidation of the mechanisms associated with  
369 vaccination failure in analogous FIV and HIV models of immunotherapy may provide key insights  
370 into improving the efficacy of lentiviral vaccines.

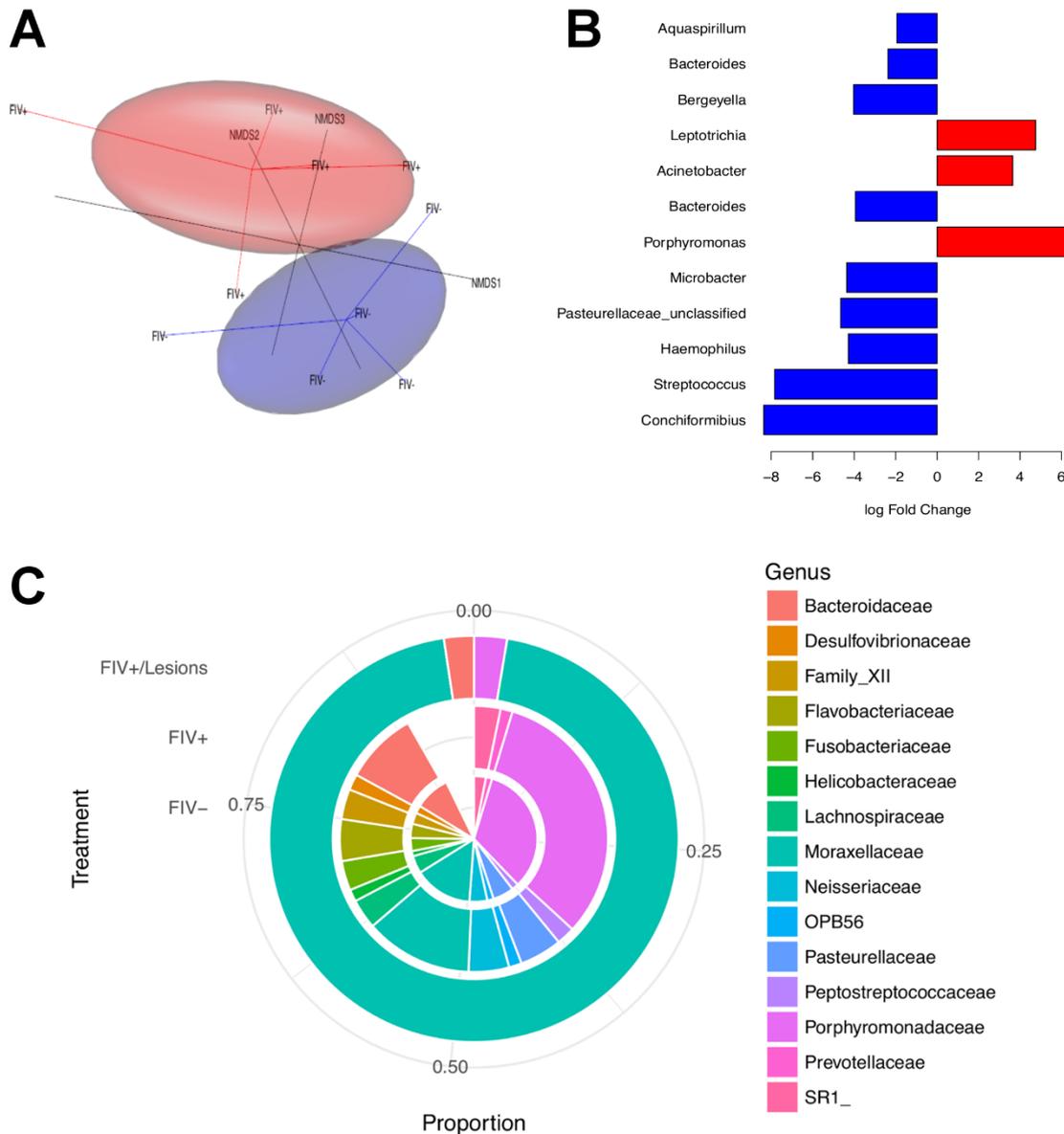
#### 371 3.4. HIV-induced oral disease

372 Oral manifestations of HIV are exhibited through various disease syndromes such as Oral  
373 Candidiasis (OC, “thrush”), Linear Gingival Erythema (LGE), Necrotizing Ulcerative Gingivitis  
374 (NUG), and Necrotizing Ulcerative Periodontitis (NUP) [204-206]. Despite the success of  
375 combinational antiretroviral therapy (cART) in diminishing HIV viral replication and prolonging  
376 immune function, lesions associated with systemic and local immune activation and opportunistic  
377 oral infections persist in HIV-infected patients [204,207-209]. Previous studies have demonstrated  
378 that CD4<sup>+</sup> T-cells are rapidly and severely depleted from the intestinal mucosa following HIV  
379 infection due to direct effects of targeted virus infection and virus-induced Fas-mediated apoptosis,  
380 resulting in loss of mucosal integrity and a reduced capacity to control potential pathogens at  
381 mucosal surfaces - thereby triggering local and systemic pro-inflammatory responses [210-213].  
382 Based upon the analogous microenvironments of the oral and gastrointestinal mucosa, the same  
383 effects of viral-induced immunosuppression is predicted to occur in the oral cavity, resulting in a  
384 chronic cycle of immune stimulation, leukocyte recruitment, and target cell infection that produces  
385 HIV-induced oral disease lesions [204,214].

386 The FIV model is particularly well suited for studies of HIV-associated oral disease, as it not  
387 only parallels HIV in its structural, biochemical, and immunological properties, but it is also the only  
388 naturally occurring lentivirus to predictably induce oral lesions in its natural host, the domestic cat  
389 [1,4,9,10,31,32]. Non-human primate (NHP) models of HIV do not reliably cause oral disease and are  
390 limited by zoonotic risk to researchers, high cost associated with animal care and housing, the low  
391 number of animals available for research, while humanized mouse models of HIV lack both the  
392 prevalence of oral lesions and the presence of tonsillar structures similar to humans [142,215-217]. In  
393 contrast, FIV oral manifestations are common in naturally and experimentally-infected cats [20,31,32],  
394 and the range of lesions seen include gingivitis, periodontitis, and feline chronic gingivostomatitis  
395 [32], with striking similarities to LGE, NUG, and NUP lesions noted in untreated HIV patients  
396 [1,4,107,204,218-221]. Furthermore, opportunistic infections detected in HIV-positive individuals are  
397 paralleled in feline oral disease syndromes [35,222-231], and feline tonsillar tissues (palatine,  
398 pharyngeal, and lingual tonsils) are analogous to those in humans [216]. Coupled with recent  
399 advances in new generation cART protocols available for use in cats [232-235], the domestic cat model  
400 of FIV presents an easily manipulated animal model to evaluate drivers of immune dysfunction and  
401 microbial dyscrasias during HIV infection using a controlled *in vivo* study design.

402 Thus, in order to assess *in vivo* mechanisms contributing to oral disease during lentiviral  
403 infection, we collected saliva from the sublingual area and ventral cheek pouches from juvenile SPF

404 cats (12-14 month-old) and examined samples by 16S rRNA metagenomics analysis to detect  
 405 differences in the oral microbiota of naïve and age-matched cats infected with FIV (PPR strain) of 8  
 406 months duration (n=5/group). FIV<sub>PPR</sub> is a relatively apathogenic strain of FIV that typically results in  
 407 mild self-limiting gingivitis and/or periodontitis during acute infection [236], and animals did not  
 408 have overt, visual signs of clinical periodontitis at the time of sampling. FIV-infected and naïve SPF  
 409 animals were maintained on a similar diet, and similar anatomic regions were swabbed from all  
 410 animals at the same time of day. DNA was extracted [237], and amplicon sequencing was performed  
 411 using illumina MiSeq to generate paired-end 2x250bp sequences of the hyper-variable region 4 (V4)  
 412 of the 16S rDNA. Data were normalized using cumulative sum scaling [238], and used to construct a  
 413 nonmetric multidimensional scaling 3D plot (Figure 4A).



414 **Figure 4. Salivary microbiome alterations during FIV infection.** (A) 3D Nonmetric  
 415 Multidimensional Scaling (NMS) separates clusters of FIV- and FIV+ cat microbiome samples.  
 416 Ovals represent the 90% confidence ellipsoids around the centroid of the clusters (FIV+ = red;  
 417 FIV- = blue). (B) OTUs with significant log-fold change in abundance between FIV+ and FIV-  
 418 cats at the 0.1 level of significance (after correcting for multiple testing). The list on the left shows  
 419 the genera of each of these OTUs. Red indicates over representation of that OTU in the FIV+ cats.  
 420 (C) FIV+ cat with clinical gingivitis/periodontitis with near monoculture of *Moraxellaceae* (outer  
 421 circle) compared to the mean microbial community structure of cats that are FIV + (middle circle)  
 422 and cats that are FIV negative (inner circle).  
 423

424 Significant differences were detected in the oral microbiota composition of FIV-infected cats  
425 relative to naïve animals (Figure 4A). Normalized data were tested using the Zero Inflated Gaussian  
426 model implemented in the R package metagenomeSeq [239] to identify the putative OTUs driving  
427 differences between FIV+ and FIV- cats. Significant log-fold change in abundance in 12 genera were  
428 noted between groups at the 0.1 level of significance after correction for multiple testing (Figure 4B).  
429 One FIV-positive cat developed moderate to severe erythematous gingivitis during the course of  
430 infection and saliva was collected and analyzed as described above. Upon analysis of saliva, this  
431 individual demonstrated a dramatically altered microbiome population with >95% operational  
432 taxonomic units (OTUs) corresponding to the genus *Moraxellaceae* as compared to the FIV+ cats with  
433 no lesions and the FIV- cats (Figure 4C).

434 Collectively, these results demonstrate that similar to HIV, FIV infection of domestic cats is  
435 associated with oral microbiota dysbiosis and marked loss of microbial diversity during lentiviral-  
436 associated periodontitis. The persistence of HIV infection and periodontitis in patients on cART  
437 indicates that ancillary treatments specifically directed at restoring the normal oral microbiota in  
438 conjunction with cART may improve HIV periodontal progression and decrease systemic immune  
439 activation [225,240-242]. Feline dental disease is currently managed by comprehensive dental  
440 treatment consisting of hand and ultrasonic scaling, identical to techniques used in humans [243,244].  
441 Probiotic supplementation has been successful in early studies as an adjuvant for treating  
442 periodontitis in people, and similar commercial oral probiotics products are available for  
443 management of feline oral conditions [245-247]. Thus, the application of comprehensive dental  
444 cleaning with probiotic treatments in the feline model has the potential to assess the impact of local  
445 therapy for restoring oral homeostasis during lentiviral infection, and may increase our  
446 understanding of the progression and/or resolution of FIV-induced oral lesions and oral microbiome  
447 in the presence and absence of cART.

#### 448 4. Conclusions

449 Our understanding of FIV infection of cats has progressed remarkably over the last 3 decades,  
450 yet much remains to be learned from this widespread lentiviral infection. Correspondingly, many  
451 aspects of HIV pathogenesis and mechanisms of immune dysfunction are still poorly understood.  
452 Most notably, complete elimination of HIV from the host and restoration of immune function has not  
453 yet been achieved, nor has the means to provide protective immunity from infection. In regard to the  
454 future of HIV research, a precise understanding of the mechanisms for immunodeficiency, especially  
455 in the face of co-infections, viral-associated disease, and in the presence and absence of antiretroviral  
456 therapy will be necessary for the development of restorative or immuno-protective therapies and  
457 prophylaxis.

458 While genetically divergent, FIV shares remarkable overlap with HIV in regard to molecular  
459 biology and function. Coupled with the flexibility of working with a small animal model, FIV  
460 represents a useful system to assess *in vivo* aspects of lentiviral pathogenesis. As noted above,  
461 comparative pathogenesis of lentiviral immune dysfunction, neurologic and oral disease in the feline  
462 model could aid understanding of HIV AIDS. Further, the successful deployment of an FIV vaccine  
463 provides great opportunities for evaluation of lentiviral prophylaxis leading to sterilizing immunity.

464 The application of investigations in the molecular biology and function of genetic elements is  
465 another area which affords great potential to understand mechanisms of lentiviral infection via the  
466 FIV model. For example, contemporary studies in FIV have recently used the 3D structure of FIV  
467 reverse transcriptase to uncover the mechanistic basis of viral resistance to non-nucleoside inhibitor  
468 drugs [248]. These studies are now uncovering crucial elements in RT structure that can be used as a  
469 template for the development of novel compounds that target conventional sites of drugs resistance,  
470 providing increased efficacy against drug-resistant strains of HIV [248].

471 Finally, the FIV model holds significant potential as a tractable vehicle to assess efficacy of novel  
472 anti-retroviral therapies. Recent studies employing progressive cART regimen composed of  
473 nucleoside reverse transcriptase inhibitors (emtricitabine, tenofovir) and integrase inhibitors  
474 (dolutegravir) have demonstrated significant efficacy in both *in vitro* and *in vivo* FIV studies [232-

475 235]. Additionally, immuno-restorative therapies employing recombinant feline interferon omega  
476 (rFeIFN- $\omega$ ) have resulted in improvement of clinical symptoms in FIV-associated oral disease and  
477 feline chronic gingivostomatitis [249-251]. IFN- $\omega$  has also been reported to be a potent inhibitor of  
478 HIV infection *in vitro*, but *in vivo* therapeutic potential in human patients has not been evaluated  
479 [252]. Because IFN- $\omega$  exerts strong immunomodulatory effects by stimulating Natural Killer cell  
480 activity, enhancing expression of MHC-I, and inhibiting lymphocyte proliferation, testing outcomes  
481 of IFN- $\omega$  therapy on FIV-associated disease may therefore elucidate anti-inflammatory mechanisms  
482 and offer significant potential for adoption as an agent to treat HIV-associated diseases [253].

483 Improvements in molecular technology and available diagnostic analyses for domestic cats, as  
484 well as the ability to apply pharmacologic interventions and sophisticated imaging technologies to  
485 the study of experimental and naturally occurring FIV provide an excellent, but often overlooked  
486 resource for advancing therapies and management of HIV/AIDS.

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#### 492 **Conflicts of Interest:**

493 The authors declare no conflict of interest.

494

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496

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