

1 Six new derivatives from *Alternaria sp* MCCC 2 3A00467

3 Tian-Hua Zhong^{1*}, Xian-Ming Zeng^{1,2}, Xin-Hua Ma², Kai-Hui Sun², Hai-Tao Zhang²,
4 Shi-Biao Hong², Yong-Hong Zhang², Zhu-Hua Luo¹, Xu Wei¹

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6 ¹ Key Laboratory of Marine Biogenetic Resources, Third Institute of
7 Oceanography, State Oceanic Administration, Xiamen 361005, P.R. China

8 ² Key Laboratory of Natural Drug Pharmacology in Fujian Province, School of
9 Pharmacy, Fujian Medical University, Fuzhou 350004, P.R. China

10 * Correspondence: Tian-Hua Zhong; e-mail: zhongtianhua@tio.org.cn; Tel.: +158-8024-2007

11 **Abstract:** Terrestrial plant resources are becoming increasingly scarce; moreover, the development
12 of science and technology has facilitated the exploration of marine ecosystems. We isolated and
13 identified six novel (1–6) and six (7–12) known secondary metabolites of *Alternaria sp* MCCC
14 3A00467 by chromatographic and spectroscopic techniques and investigated their antitumor
15 activities in human myeloma (U266), liver cancer (HepG2), and lung cancer (A549) cells by the
16 MTT assay. Among these compounds, the new compounds 2, 3 and 4 exhibited excellent anticancer
17 activities with IC_{50} values of 21.98, 24.99 and 14.78 $\mu\text{g/mL}$, respectively. These compounds obtained
18 from a marine source have the potential to be developed into novel anticancer drugs.

19 **Keywords:** antitumor; *Alternaria sp*

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21 1. Introduction

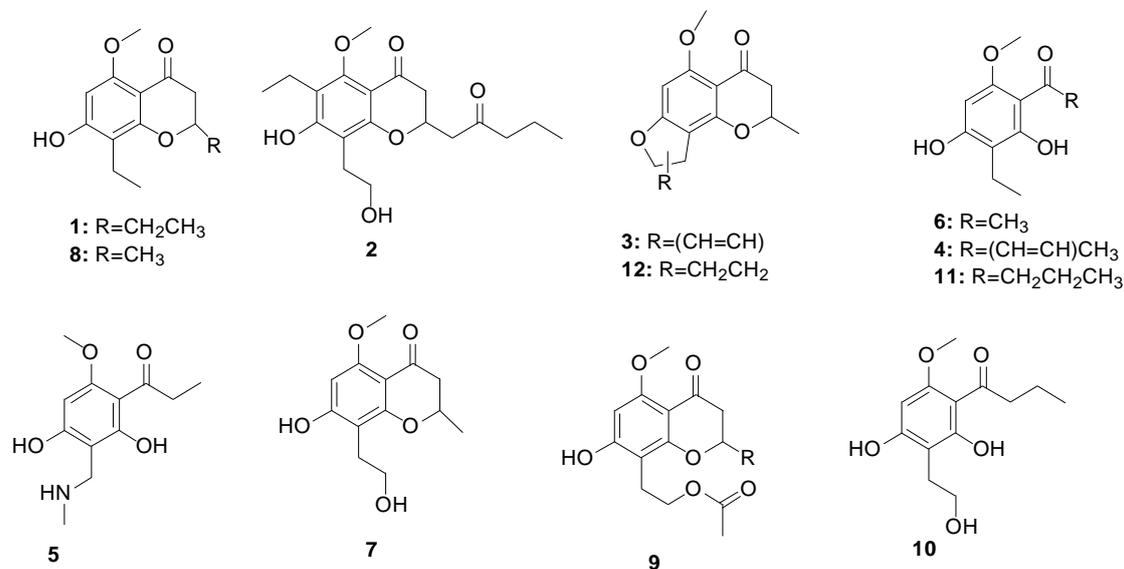
22 A wide range of bioactive natural products from numerous new species have been discovered
23 in the past [1]; however, terrestrial plant resources are becoming increasingly scarce [2]. In recent
24 years, the development of science and technology has facilitated the exploration of marine
25 ecosystems [3]. Over the past few decades, more than 6,000 kinds of marine natural products have
26 been identified, including more than 200 novel kinds of compounds with important bioactivities and
27 patented applications [4]. Continued progress in research has made possible the identification of
28 marine compounds having novel structures and better biological activities, especially antibacterial
29 and antitumor activities, than compounds from terrestrial plants [5]. It is reported that *Alternaria sp*
30 metabolites have various biological activities [6]. They can also cause toxic pathological effects in
31 plants [7], such as sickle leaf spot disease in several plants [8] and brown spot disease in the tobacco
32 plant [9]. In addition, these metabolites also have good antibacterial activity [10]. In this study, we
33 explored the secondary metabolites of *A. alternata sp* (Marine Culture Collection of China [MCCC]
34 3A00467) to identify additional active compounds from these fungi. We found that the six new and
35 six known compounds exhibit good cytotoxic activities. Therefore, our results might provide a
36 reference for the development of novel anticancer compounds based on marine sources.

37 2. Results and Discussion

38 2.1 Identification of new metabolites from *A. alternata* 3A00467

39 *A. alternata sp* MCCC 3A00467 was incubated in a solid medium for one month and extracted
40 with EtOAc to afford a crude extract. The crude extract (28.7 g) was separated by extensive
41 chromatography, including silica gel, Sephadex LH-20, and preparative HPLC, to give compounds
42 1–12 (Figure 1), including six new compounds: 1, 2, 3, 4, 5, and 6, and six known ones, including
43 7-hydroxy-8-(2-hydroxy-ethyl)-5-methoxy-2-methyl-chroman-4-one (7) [11],
44 2-methyl-8-ethyl-7-hydroxy-5-methoxy-chroman-4-one (8) [12],

45 7-hydroxy-5-methoxy-2-methyl-8-ethoxyacetyl-chroman-4-one (9) [12], phomalone (10) [11],
 46 1-(3-ethyl-2,4-dihydroxy-6-methoxy-phenyl)-butan-1-one (11) [11], and
 47 5-methoxy-2-methyl-tetrahydrofuro[7,8-h]-1-benzopyran-4-one (12) [13].



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49 Figure 1. Chemical structures of the metabolites (1–12) from *Alternaria alternata* 3A00467

50 Compound 1 was obtained as pale-yellow crystals from the CHCl₃ fraction. Its molecular
 51 formula was determined as C₁₄H₁₈O₄ based on a quasimolecular ion [M+Na]⁺ at *m/z* 274.2737 in its
 52 positive HR-ESI-MS. The ¹H NMR spectroscopic data (400 MHz, CDCl₃) (Table 1) showed signals for
 53 three methyl groups at δ 3.71 (s, 3H, O-CH₃), 1.45 (d, *J* = 6.2 Hz, 3H), and 1.07 (t, *J* = 7.4 Hz, 3H), and
 54 one methoxy group δ 3.71 (s, 3H). The ¹³C NMR spectroscopic data (400 MHz, CDCl₃) of 2 presented
 55 14 carbon signals, including one carbonyl [δ_c 191.19(C-4)], three methyl groups [13.7(C-12,
 56 20.65(C-14), 55.68(O-CH₃)], one benzene ring [92.79(C-6), 105.30(C-10), 110(C-8), 160.10(C-9),
 57 161.45(C-5), 162.28(C-7)], and one pyran ring. The NMR data of 1 were very similar to those of 8
 58 (2-methyl-8-ethyl-7-hydroxy-5-methoxy-chroman-4-one). The main difference between them was
 59 that compound 1 had one more carbon than compound 8, at C-2 (δ_c 73.52, δ_H 4.45), suggesting that it
 60 is attached to the oxygen atom and contains a branch. In combination with 2D NMR, COSY
 61 correlations connected H-2 to H-3, 3'. HMBC peaks from H3 to C-2,4 concluded a pyran ring (Figure
 62 2). A side chain was determined by COSY correlation (H-2/H-4' and H3'-H4') and HMBC peaks
 63 (H-3'/C-4', H-4'/C-2, H4'-C3). Based on ¹³C NMR chemical shifts, compound 1 was determined to
 64 have one benzene ring, a hydroxyl group attached to C-7 (162.28), and a methoxy group attached to
 65 C-5. Another side chain could also be determined by COSY correlation (H-1'/H-2') and HMBC peaks
 66 (H-1'/C-2', H-1'/C-8, H-2'/C-1', H-2'/C-8).

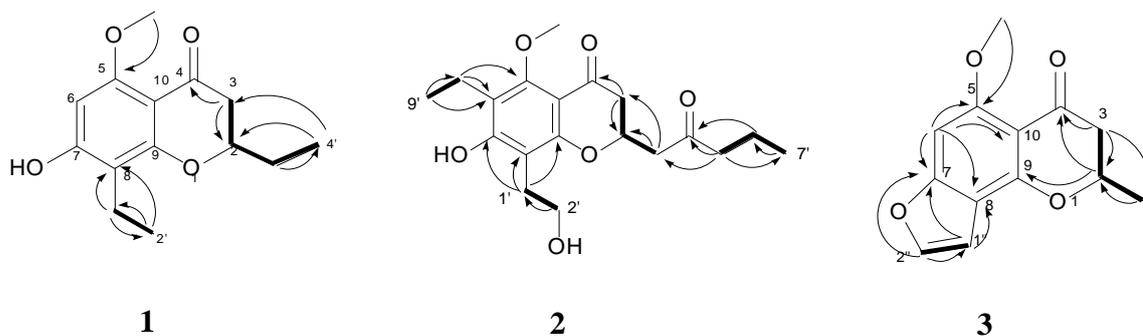
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Table 1. ¹H and ¹³C NMR Spectroscopic Data (400 MHz, CDCl₃) for compounds 1, 2, and 3

position	1		2		3	
	δ _c	δ _H (<i>J</i> in Hz)	δ _c	δ _H (<i>J</i> in Hz)	δ _c	δ _H (<i>J</i> in Hz)
2	73.5	4.45, m	75.2	4.48, m	74.5	4.71–4.62, m
3	45.5	2.56, dd (8.5,5.3)	44.1	2.64, d (12.1)	45.8	2.69, d (4.0)
4	191.1		198.1		190.4	
5	161.4		163.0		159.5	
6	92.7	6.11, s	111.6		88.7	6.64, s
7	162.2		165.8		159.7	
8	110.5		105.7		110.5	

9	160.1		162.4		157.5
10	105.3		102.9		107.3
1'	14.0	2.56, dd (8.5,5.3)	26.6	2.83, t (7.5)	
2'	13.7	1.07, t (7.4)	62.0	3.62, t (7.5)	
3'	15.9	1.60, m	21.0	1.44, d (6.2)	20.7
4'	20.4	1.45, t (6.2)	207.1		
5'			47.1	2.99–2.92, m	
6'			19.5	1.68, m	
7'			13.7	0.98, t (7.4)	
8'			16.0	2.56–2.52, m	
9'			14.3	1.05, t (7.4)	
1''					104.5 6.80, d (2.2)
2''					143.6 7.47, d (2.3)
O-CH ₃	55.6	3.74, s	55.9	3.85, s	56.4 3.93, s

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70Figure 2 Major COSY correlations (—) and HMBC peaks (---) of **1**, **2**, and **3**

71 Compound **2** was obtained as pale-yellow crystals from the CHCl₃ fraction. Its molecular
 72 formula was determined as C₁₉H₂₆O₆ based on a quasimolecular ion [M+H]⁺ at *m/z* 351.1481 in its
 73 positive HR-ESI-MS, suggesting seven degrees of unsaturation. The ¹H and ¹³C spectra of **2** were
 74 similar to **1**. The 2D NMR interpretations (Figure 2) showed that both the compounds had the same
 75 carbon skeleton. The ¹H NMR spectroscopic data (400 MHz, CDCl₃) (Table 1) showed signals for
 76 three methyl groups at δ_H 0.98 (t, *J* = 7.4 Hz, 3H), 1.05 (t, *J* = 7.4 Hz, 3H), and 3.85 (s, 3H), and seven
 77 methylene groups at 3.62 (t, *J* = 7.5 Hz, 2H), 2.99–2.92 (m, 2H), 2.83 (t, *J* = 7.5 Hz, 2H), 2.64 (d, *J* = 12.1
 78 Hz, 2H), 2.56–2.52 (m, 2H), 1.68 (p, *J* = 7.4 Hz, 2H), and 1.44 (d, *J* = 6.2 Hz, 2H). The ¹³C NMR
 79 spectroscopic data (400 MHz, CDCl₃) showed the presence of one benzene ring and two carbonyl
 80 groups (Table 1). An ethyl group attached to C-6 was determined by COSY correlation (H-8'/H-9')
 81 and HMBC peaks (H-8'/C-5, 6, 7, 9', H-9'/C-6, 8'). Another side chain was determined by COSY
 82 (H-2/H-3', H-5'/H-6',7', H-6'/H-5',7') and HMBC peaks (H-3'/C-2, 3, 5', H-5'/C-3', 4', 6', 7').

83 Compound **3** was obtained as pale-yellow crystals from the CHCl₃ fraction. Its molecular
 84 formula was determined as C₁₃H₁₂O₄ by [M+Na]⁺ at *m/z* 255.0745 in its positive HR-ESI-MS. The ¹H
 85 and ¹³C NMR spectra of **3** were similar to those of **12** [13]. The 2D NMR interpretations showed that
 86 both the compounds had the same carbon skeleton. However, ¹³C chemical shifts changes were
 87 observed (C-1'': δ 25.54 in compound **8**, δ 104.58 in compound **11**, C-12: δ 72.99 in **12**, δ 143.61 in **11**).
 88 The molecular formula and molecular weight suggest that compound **11** have eight degrees of
 89 unsaturation, one more unsaturation than **12** [13]. ¹³C NMR spectroscopic data (400 MHz, CDCl₃)
 90 showed the presence of one double bond, suggesting a bicyclic structure (Table 3). COSY
 91 correlations connected H-1'' to H-2'', H-2 to H-3, and H-3'. HMBC peaks from H-1'' to C-7, 8, 2'' and
 92 from H-2'' to C-7,8,1'' concluded a furan ring (Figure 2).

93 Compound **4** was obtained as pale-yellow crystals from the CHCl_3 fraction. Its molecular
 94 formula was determined as $\text{C}_{13}\text{H}_{16}\text{O}_4$ based on a quasimolecular ion at m/z 236.1 in its positive
 95 HR-ESI-MS, suggesting six degrees of unsaturation. The ^1H and ^{13}C spectra of **4** were similar to those
 96 of **11**. The 2D NMR interpretations (Figure 3) showed that both compounds had the same carbon
 97 skeleton. However, ^{13}C chemical shift changes were observed (C-2': δ 45.72 in **9**, δ 132.18 in **8** and
 98 C-3': δ 12.98 in **9**, δ 142.59 in **8**). In conclusion, C-2',3' were considered to be a pair of double bonds.

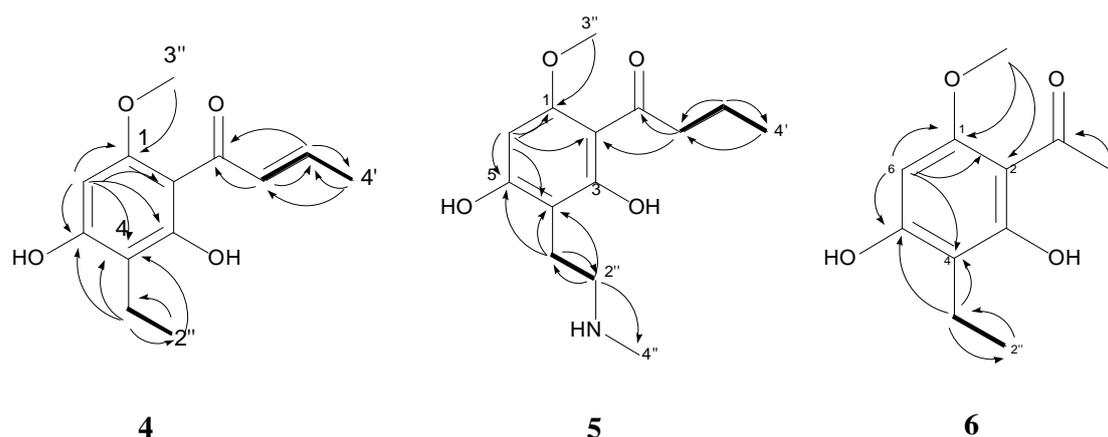
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Table 2. ^1H and ^{13}C NMR Spectroscopic Data (400 MHz, CDCl_3) for compounds **4**, **5**, and **6**

position	4		5		6	
	δ_{C}	δ_{H} (J in Hz)	δ_{C}	δ_{H} (J in Hz)	δ_{C}	δ_{H} (J in Hz)
1	162.4		162.5		161.3	
2	104.4		105.0		105.9	
3	160.9		162.1		160.2	
4	110.		105.2		109.6	
5	164.7		165.4		164.82	
6	90.1	6.01, s	92.2	6.05, s	90.2	5.9, s
1'	192.9		206.0		203.4	
2'	132.2	7.28, d (15.1)	46.22	2.99, t (7.4)	33.08	
3'	140.8	7.11–6.92, m	18.2	1.73, m		
4'	15.0	1.96, d (6.9)	14.1	1.01, t (7.3)		
1''	17.1	3.62, t (7.5)	15.3	3.12, t (6.2)	15.5	2.59–2.54
2''	12.2	1.44, d (6.2)	50.9	3.25, dd (13.1, 5.9)	13.4	1.10, t (7.5)
3''	54.5	3.86, s	55.5	3.87, s	55.4	3.85, s
4''			37.5	2.60, s		

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Figure 3 Major COSY correlations (—) and HMBC (→) peaks of **4**, **5**, and **6**

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Compound **5** was obtained as pale-yellow crystals from the CHCl_3 fraction. Its molecular
 formula was determined as $\text{C}_{14}\text{H}_{21}\text{NO}_4$, based on a quasimolecular ion of $[\text{M}+\text{H}]^+$ at m/z 268.1010 in
 its positive HR-ESI-MS, suggesting five degrees of unsaturation. The ^1H NMR (400 MHz, CDCl_3)
 spectroscopic data (Table 4) showed signals for three methyl groups at δ_{H} 1.01 (t, $J = 7.4$ Hz, 3H), 2.60
 (s, 3H), and 3.87 (s, 3H), and four methylene groups at 2.99 (t, $J = 7.4$ Hz, 2H), 1.73 (m, $J = 7.4$ Hz, 2H),

110 3.12 (t, $J = 6.2$ Hz, 2H), and 3.25 (dd, $J = 13.1, 5.9$ Hz, 2H). The ^{13}C NMR (400 MHz, CDCl_3)
 111 spectroscopic data (Table 4) showed the presence of one benzene ring and one carbonyl group.
 112 HMBC peaks from H-6 to C-1, 2, 3, 4, 5 concluded the benzene ring (Figure 2). Based on ^{13}C NMR
 113 chemical shifts and 2D NMR, a methoxy group was found to be attached to C-1 and a hydroxy
 114 group connected to C-5, another hydroxyl group connected to C-3. COSY correlations connected
 115 H-3'' to H-6 and HMBC peaks were observed from H-3'' to C-1, 2, 6 (Figure 3). A side chain was
 116 determined by COSY correlations (H-2'/H-3', 4' and H-3'/H-2', 4', and H-4'/H-2', 3') and HMBC peaks
 117 (H-2'/C-2,1', 3', 4', H-3'/C-1', 2', 4', and H-4'/C-2', 3'). Based on ^{13}C NMR chemical shifts, a nitrogen
 118 atom was found to be attached to C-2'' and C-4''. Another side chain was determined by COSY
 119 correlation (H-1''/H-2'') and HMBC peaks (H-1''/C-3, 4, 5, 2'', H-2''/C-3, 4, 5, 1'', 4'', and H-4''/C-1'', 2'').

120 Compound **6** was obtained as pale-yellow crystals from the CHCl_3 fraction. Its molecular
 121 formula was determined as $\text{C}_{11}\text{H}_{14}\text{O}_4$, determined by $[\text{M}+\text{Na}]^+$ at m/z 233.0816 in its positive
 122 HR-ESI-MS. The ^1H NMR spectroscopic data (Table 2) showed signals for three methyl groups at δ
 123 3.82 (s, 3H), 2.60 (s, 3H), and 1.10 (t, $J = 7.5$ Hz, 3H), and one methoxy group δ 3.82 (s, 3H and one
 124 methylene δ 2.59–2.54 (m, 2H). The ^{13}C NMR spectrum of **6** presented 11 carbon signals, including
 125 one carbonyl [δ_c 203.41(C-1')], three methyl groups [13.46(C-2''), 33.08(C-2'), and 55.45(O-CH₃)], one
 126 benzene ring [90.22(C-6), 105.92(C-2), 109.64(C-4), 160.20(C-3), 161.34(C-1), and 164.82(C-5)]. ^{13}C
 127 NMR chemical shifts clearly indicate signals of a benzene ring and a hydroxyl group. In combination
 128 with 2D NMR, a side chain was determined by COSY correlation (H-1''/H-2'') and HMBC peaks
 129 (H-1''/C-5, 4, 2'', H-2''/C-4, 1'') (Figure 3). Another side chain was also determined by HMBC peaks
 130 (H-6/C-1', H-2'/C-1').

131 2.2 Antitumor activities of metabolites from *A. alternata*

132 The compounds were evaluated for their cytotoxicities against U266, HepG2, and A549 cells
 133 (Table 3) by means of 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl-3H-tetrazolium bromide (MTT)
 134 assay [14]. IC_{50} values were used to determine growth inhibition in the presence of test compounds.

135 Table 3. Antitumor activities (IC_{50} values) of metabolites from *Alternaria alternata* ($\mu\text{g}/\text{ml}$)

Compound	U266	HepG2	A549
1	>100	>100	>100
2	21.98	25.77	>100
3	24.99	33.16	80.25
4	14.78	15.79	20.41
5	97	>100	>100
6	>100	>100	>100
7	15.48	33.52	>100
8	>100	>100	>100
9	70.4	80.6	78.5
10	23.49	15.6	61.15
11	13.26	14.69	24.39
12	>100	>100	>100

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137 Results of the cytotoxicity assays indicated that compounds **2**, **3**, **4**, **10**, and **11**, which contain
 138 several hydroxyls, were active against the three human tumor cells. In particular, compound **11** was
 139 the most active against all three human tumor cells, with IC_{50} values ranging from 13.26 to 24.39
 140 $\mu\text{g}/\text{ml}$. Based on the IC_{50} values of compounds **2**, **3**, **8**, and **12**, we speculated that the number of rings
 141 negatively correlates with cytotoxicity. Compounds **2** and **7**, with two hydroxyl groups, showed
 142 moderate inhibitory effects against these tumor cells, with IC_{50} values ranging from 15.45 to 33.52
 143 $\mu\text{g}/\text{ml}$. This indicated that the hydroxyl group at C-2' was responsible for their enhanced antitumor
 144 activities compared with those of **1** and **8**. Due to the lack of a hydroxyl group at C-2', compounds **1**

145 and **8** showed no cytotoxicity against these tumor cells ($IC_{50} > 100$ $\mu\text{g/ml}$). The results suggested that
146 the hydroxyl group at C-2' plays a significant effect on antitumor activity.

147 **3. Materials and Methods**

148 *3.1 General procedures*

149 ^1H NMR and ^{13}C NMR were recorded in CDCl_3 by using a BRUKER Avance II 400 MHz
150 spectrometer (Bruker BioSpin, Faellanden, Switzerland). HR-ESI-MS was performed on G2 QToF
151 Waters Xevo (Waters Corporation, Milford, MA, USA) with the MassLynx 4.1 software. Sephadex
152 LH-20 (SE-751) was purchased from GE Healthcare Life Sciences (Uppsala, Sweden). SiliaSphere
153 C18 (50 μm , 120 \AA) was obtained from SiliCycle Inc. (Quebec City, Canada). MeOH (AR grade),
154 EtOAc (AR grade), CHCl_3 (AR grade), and petroleum ether (AR grade) was purchased from Xilong
155 Scientific Co., Ltd. (Shantou, China). Chromatographic grade acetonitrile and MeOH were obtained
156 from Tedia Company Inc. (Fairfield, OH, USA).

157 *3.2 Fungi*

158 The fungal strain (*A. alternate* sp) was obtained from the Marine Culture Collection of China (MCCC
159 3A00467) used in this study was collected from the Pacific Ocean and a voucher specimen was
160 deposited.

161 *3.3 Culture method*

162 The strain was cultured on a sterilized seed medium for 72 h on a rotary shaker at 28°C and 130
163 rpm in a 1000-ml Erlenmeyer flask. The components of the seed medium were as follows: maltose
164 (2%), monosodium glutamate (1%), KH_2PO_4 (0.05%), $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ (0.03%), glucose (1%), yeast
165 extract (0.3%), corn steep liquor (0.1%), mannitol (2%), and seed salt (3.3%); the pH was adjusted to
166 6.5. After achieving logarithmic growth, the strain was transferred to a solid medium, containing
167 glucose (2%), yeast extract (1%), peptone (2%), rice (70%), and millet (30%), and cultured for 30 days
168 at 28°C.

169 *3.4 Separation and purification of metabolites*

170 The fungal strain was soaked in MeOH for three days, after which the MeOH solution was
171 concentrated in vacuum, and the residue was partitioned between EtOAc and water. Then, the
172 EtOAc fraction was separated on an ODS column and the monomers were separated by preparative
173 HPLC.

174 *3.5 Cytotoxicity activity evaluation*

175 The cytotoxicity activities of each compound were measured against human myeloma (U266),
176 liver cancer (HepG2), and lung cancer (A549) cells by the MTT assay [15]. Test cells at logarithmic
177 growth phase (4×10^4) were added to each well in 96-well plates and incubated with each compound
178 (0.1–100 $\mu\text{g/mL}$) in triplicate for 24 h in 5% CO_2 and at 37°C. Then, 20 μl MTT solution (5 mg/ml) was
179 added to each well and further incubated for 4 h. Next, DMSO was added to each well, and after
180 standing for 15 min at room temperature, the OD of each well was measured on a microplate reader
181 at 570 nm. IC_{50} values were obtained by a linear regression analysis of percentage absorbance versus
182 log [drug concentration].

183 **4. Conclusions**

184 In summary, six new compounds and six known ones were isolated from *A. alternata* 3A00467
185 and their cytotoxic activities were evaluated against U266, HepG2, and A549 cells. Compound **11**
186 showed the strongest activity against all three human cell lines. Among the novel compounds,

187 compounds **2**, **3**, and **4** exhibited good cytotoxic effects. These compounds obtained from a marine
188 source have the potential to be developed into novel anticancer drugs.

189
190 **Supplementary material:**

191 Supplementary material relating to this article is available online, including 1D NMR data of compounds 1-12,
192 2D NMR correlations of compounds 1-6, HRESIMS and NMR spectra for compounds 1-12.

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198 **Conflicts of Interest:** The authors declare no conflict of interest.

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