

1 Article

## 2 Environmental Lead Exposure and Adult Literacy in 3 Myanmar: An Exploratory Study of Potential 4 Associations at the Township Level

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9

10 **Abstract:** Environmental lead exposure is a population health concern in many low- and middle-  
11 income countries. Lead is found throughout Myanmar and prior to the 1940s, the country was the  
12 largest producer of lead worldwide. The aim of this study was to examine any potential association  
13 between lead mining and adult literacy rates at the level of the 330 townships in Myanmar.  
14 Townships were identified as lead or non-lead mining areas and 2015 census data were examined  
15 with association being identified using descriptive, analytical and spatial statistical methods.  
16 Overall, there does appear to be a significant relationship between lead mining activity and adult  
17 literacy levels ( $P<0.05$ ) among townships with both low access [ $OR= 2.701 (1.136-6.421)$ ] as well as  
18 townships with high access to safe sanitation [ $OR=18.40 (1.794-188.745)$ ]. LISA cluster maps confirm  
19 these findings. This exploratory analysis is a first step in the examination of potential environmental  
20 lead exposure and its implications in Myanmar.

21 **Keywords:** lead exposure, adult literacy, global health, environmental health, Myanmar.  
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### 23 1. Introduction

24 Literacy, traditionally defined as proficiency in reading and writing, is an important determinant of  
25 health and can support an individual's functional role in society<sup>1</sup>. Adult literacy has been used as  
26 one marker of cognitive proficiency and intelligence<sup>2</sup>, as well as being a facilitator of  
27 comprehension and reasoning<sup>3</sup>. Illiteracy in adult populations may be attributed to many variables,  
28 including limited access to educational institutions or opportunities due to low socioeconomic  
29 status<sup>4,5</sup>. Exposure to harmful environmental contaminants has also been adversely associated with  
30 cognitive function and literacy. Lead exposure specifically has been associated with negative  
31 outcomes related to neurological function, cognitive proficiency, intelligence quotient (IQ) and  
32 literacy<sup>3</sup>.

33 The most common pathway of lead toxicification is through inhalation of lead via the respiratory  
34 tract, but the heavy metal can also be absorbed in the gastrointestinal tract via ingestion<sup>6</sup>. Lead can  
35 then be redistributed to the skeletal system, where it may have a half-life of five to nineteen years<sup>6,7</sup>.  
36 The main anatomical target during lead absorption and toxicification is the nervous system, which  
37 can lead to adverse neurological functions such as ataxia, coma, or even death<sup>6</sup>. Additional  
38 neurological impacts include reductions in attention span and levels of educational engagement,  
39 leading to a subsequent decrease in educational attainment<sup>5</sup>. Furthermore, heavy metals such as  
40 lead have high affinity and can bind N-methyl-D-aspartate receptors found in nerve cells, resulting  
41 in cognitive dysfunction due to reactive oxygen species<sup>8</sup>.

42 There are no previous studies specifically looking at the cognitive outcome of adult literacy in  
43 relation to lead exposure at a population level. However, one previous study does conclude that

44 people residing in lead mining communities have a higher exposure, and greater absorbance, of  
45 lead than populations residing in areas without lead mining activity<sup>10</sup>. This increase in lead  
46 absorption could be detrimental to human health and development, as prolonged exposure may  
47 result in the neurological impacts mentioned.

48 Myanmar (previously known as Burma), is a country with a large mining industry and great  
49 potential for further mine development, as its lands are rich with jade, oil and metals<sup>13,14</sup>. Lead has  
50 great historical significance in the country. Prior to the Second World War, Myanmar was the  
51 world's largest producer of lead, providing a significant source of economic activity<sup>14</sup>. Today,  
52 following recent political and economic reform, many lead mines in Myanmar are developed  
53 through foreign investment as well as Myanmar's Ministry of Mines<sup>14</sup>. However, it is recognized  
54 that private investors, small-scale artisan extraction, and informal or undocumented groups also  
55 conduct lead mining. Despite the potential economic benefits, there are many negative human  
56 health implications of lead toxicification, particularly regarding impacts upon human brain  
57 development and neurological function<sup>3,4,6</sup>. To date there is no research evidence available related to  
58 the level of environmental contamination or the potential health effects of lead exposure for  
59 populations in Myanmar. However, studies conducted on Burmese refugee populations in the  
60 United States indicate that blood lead levels may be elevated in this population<sup>43</sup>.

61 In this exploratory study, townships in Myanmar were categorized as "lead" or "non-lead" mining,  
62 and census data for adult literacy levels and socioeconomic levels were examined. Myanmar's  
63 country-wide adult literacy rate was reported as 89.5%, and this cognitive-related indicator has also  
64 been determined at the township level in the 2014 Myanmar Census<sup>12</sup>. This study specifically  
65 explored the relationship between lead exposure and adult literacy level, based on residency in  
66 townships of lead mining. This relationship may be modified by the level of affluence or poverty  
67 (measured by a basic sanitation indicator), so this was explored as an effect-modifying variable. As  
68 there is minimal research regarding the human health impact of lead mining in Myanmar, this  
69 study may reveal potential population-level health and mining exposure patterns that could be  
70 further investigated in future field-based studies.

## 71 **2. Materials and Methods**

72 The main research question for this study was whether adult literacy levels differed across lead  
73 mining and non-lead mining townships in Myanmar when taking into consideration access to safe  
74 sanitation as an indicator of affluence. To answer this question our research objectives were to  
75 screen and categorize the 330 townships in Myanmar as either lead-mining or non-lead-mining; to  
76 use the 2014 Myanmar Census data, to describe and map the adult literacy level, and level of access  
77 to safe sanitation (as a measure of poverty/affluence) at the township level in Myanmar; to  
78 statistically examine the relationship between lead exposure and Myanmar's adult literacy level at a  
79 township level, taking into consideration poverty/affluence as a potential effect modifying variable;  
80 to explore global and local tests of spatial autocorrelation to identify patterns of clustering; and to  
81 map and report the results to inform future studies.

82 We began by establishing a series of study hypotheses. First, that adult literacy levels will be lower  
83 in lead-mining townships than in townships without lead mining. It is assumed this relationship is  
84 due to the negative effect of lead exposure upon neurological and cognitive development of which  
85 adult literacy is a proxy measure; second, that poverty or affluence levels modify the relationship  
86 between lead mining exposure and adult literacy; third that access to safe sanitation is a proxy  
87 measure for poverty/affluence that has a relevant threshold in Myanmar, and finally fourth that  
88 townships with low adult literacy levels may cluster in similar locations to lead-mining townships.

89

**90 Data Collection:**

91 Data for the outcome, adult literacy, and data for the development indicator (safe sanitation) was  
92 available in Myanmar's 2014 Census, which was undertaken by the Ministry of Immigration and  
93 Population, and supported by the United Nations Development Program (UNDP). Data collected  
94 from the country-wide census was accessed through the Myanmar Information Management Unit  
95 (MIMU). The 2014 Myanmar Census was the first census conducted in Myanmar since 1983,  
96 following the transition of Burma to Myanmar<sup>12</sup>. Receiving a vast amount of international support,  
97 the census results included over 50 million Burmese citizens. The adult literacy levels, and levels of  
98 access to safe sanitation as an indicator of socioeconomic status were collected at a township level.

99 Locations of lead deposits in Myanmar are well documented and tend to be concentrated in specific  
100 areas including the Shan State and the Kayah State<sup>14</sup>. Lead mining takes place at large and small  
101 scales in the country and there are no complete and current maps of lead mining activity in  
102 Myanmar. The specific location of lead mining sites, and thus the lead mining townships, were  
103 identified using three sources of information. First, coordinates for lead mines were recorded using  
104 yearly reports from the United States Geological Survey (USGS) for Myanmar. In addition, socio-  
105 economic analyses and occupational reports from MIMU were used to corroborate and add to the  
106 USGS records of lead mining activity. The mineralogy database Mindat was used to identify and  
107 confirm mining sites across Myanmar. It should be noted that these data comprehensively captured  
108 large and medium scale mining activities but it is possible that very small-scale lead mines went  
109 undetected.

**110 Study Population:**

111 Myanmar has a population of approximately 51.5 million people located in 14 state regions,  
112 subdivided further into 330 townships<sup>12</sup>. As stated previously, Myanmar's lead mining  
113 development could have critical implications for human health and development particularly in  
114 lead mining areas. For instance, the Hpasaung Township in the Kayah State is known to have a  
115 large portion of its population working in lead mines. Citizens in this township have expressed  
116 concern about water pollution from lead mine development, indicating worry for adequate safety  
117 regulations<sup>15</sup>. Improper safety precautions in regions of lead mining development could not only  
118 provide a hazardous occupational exposure towards workers in the mining industry, but also could  
119 impact the surrounding township through lead dust or contamination of sediments and water  
120 sources.

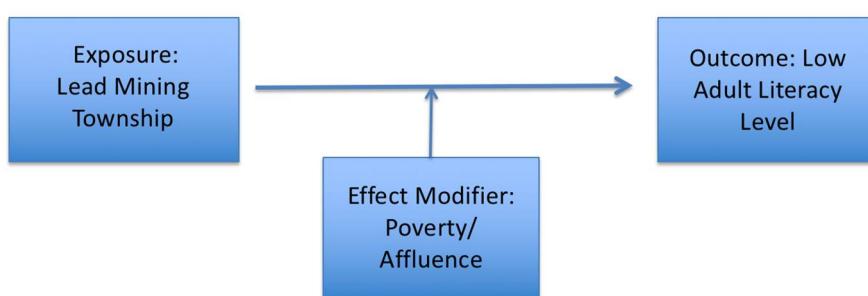
**121 Study Design:**

122 The first portion of this exploratory cross-sectional study was a descriptive analysis. The descriptive  
123 analysis explored the prevalence of illiteracy and lead mining in Myanmar, and visually assessed  
124 patterns of distribution of adult literacy levels, access to safe sanitation, and lead mining. Basic  
125 descriptive tables were first created, followed by Geographic Information System (GIS) maps  
126 including the 330 townships using GeoDa mapping software (v1.12). Townships were identified as  
127 a lead mining township if there was a confirmed active lead mine, or if there had been a mine  
128 within the last 50 years, as lead has the ability to leech into soils and continuously contaminate the  
129 surrounding groundwater long after such mining sites close<sup>16</sup>. Townships were also considered as  
130 lead mining if there was an active lead mine within 15 kilometers of its borders, as research  
131 indicates that communities living several kilometers from lead mines still have a significant  
132 exposure to lead when compared to the general population<sup>10</sup>. The maps created through GeoDa  
133 provide a visual representation of adult literacy in Myanmar, as well as other variables considered  
134 within this study.

135 To assess the degree of patterning in the data, techniques of exploratory spatial data analysis were  
 136 applied. First, a global indicator of spatial autocorrelation – Moran's I – was applied to the adult  
 137 literacy, safe sanitation, and lead mining variables<sup>17,18</sup>. Spatial autocorrelation is a measure of how  
 138 similar one value is to its neighboring values<sup>19</sup>. Moran's I is measured from negative one to positive  
 139 one with values further from zero indicating decreasing spatial randomness, whereby a value of  
 140 positive one indicates perfect clustering, and a value of negative one indicates perfect dispersion<sup>19</sup>.  
 141 Although the Moran's I provides insight towards the global spatial patterns, this method is not able  
 142 to identify cluster locations or the type of clustering. Local Indicators of Spatial Association (LISA)  
 143 was applied to each of the three variables to identify the presence of localized clusters<sup>20</sup>. LISA is a  
 144 spatial analytic tool adapted from the Moran's I and conducts spatial autocorrelation test statistics  
 145 at a localized level for each unit of geography to identify statistically significant clusters. To verify  
 146 the significance of the LISA cluster maps, 999 random Monte Carlo permutations were calculated.  
 147 The LISA maps characterize five different types of spatial relationships: (1) High-High, indicating  
 148 clustering of high values surrounded by high values; (2) High-Low, indicating high values  
 149 surrounded by low values; (3) Low-Low, indicating clustering of low values surrounded by low  
 150 values; (4) Low-High, indicating low values surrounded by high values; and (5) Not-Significant,  
 151 indicating the absence of spatial autocorrelation<sup>20</sup>.

152 To conduct global and local tests of spatial autocorrelation, a spatial weights matrix had to be  
 153 specified<sup>19,20</sup>. The purpose of the spatial weights matrix is to identify the extent to which  
 154 neighboring geographic units are associated with each other. This study utilized a first-order queen  
 155 contiguity spatial weights matrix, whereby a geographic unit will only be affected by the  
 156 immediately contiguous units sharing a common border or vertex.

157 Following the descriptive analysis, the relationship between lead exposure and adult literacy was  
 158 assessed to determine whether there was a significant association, using the statistical program  
 159 SPSS (v.24). The primary exposure was lead mining in Myanmar's townships, while the primary  
 160 outcome was low adult literacy levels (Figure 1). Townships were categorized as having high adult  
 161 literacy levels if over 89.1% of their adult population was literate. This was chosen as the cutoff for  
 162 the dichotomy, as it included the upper two tertiles and had practical relevance. Effect modification  
 163 by level of access to safe sanitation (as an indicator of development or poverty/affluence) was  
 164 determined using the Mantel-Haenszel Chi-square test for homogeneity. Townships were  
 165 categorized as having high access to safe sanitation if over 83.3% of their population had access to  
 166 safe sanitation, also representing the upper two tertiles. The association between lead mining and  
 167 adult literacy was assessed primarily through a one-tailed Chi-square test for independence, both  
 168 for townships of low and high access to safe sanitation. However, if any cells during the statistical  
 169 analysis had a sample under 5 townships, the Fisher's Exact test was used as an alternative  
 170 calculation. Finally, assuming effect modification did exist, the stratum-specific odds ratio test was  
 171 conducted with related confidence intervals. Through the use of descriptive, statistical and spatial  
 172 analyses, the potential threat of lead mining towards cognitive development was identified.



173

174 *Figure 1: The causal pathway between the exposure (lead mining township) and outcome (adult literacy  
 175 level) of interest, while taking into consideration poverty/affluence as a potential effect-modifying variable.*

176 **3. Results**177 **3.1 Demographic description**178  
179  
180  
181

The demographic description of Myanmar's population (Table 1) indicates that there are discrepancies between Myanmar's townships in terms of both access to safe sanitation and adult literacy levels.

182

183 **Table 1.** Descriptive Characteristics of the Study Sample (Townships in Myanmar, n=330)

Descriptor	Townships in Myanmar n (%)
<b>Lead Mine Development:</b>	
Lead mining activity	29 (8.79%)
No lead mining activity	301 (91.21%)
<b>Adult Literacy:</b>	
High adult literacy level (>95% of township population) <sup>1</sup>	105 (31.82%)
Low adult literacy level (<95% of township population)	225 (68.18%)
<b>Access to Safe Sanitation:</b>	
Access for >90% of township population <sup>2</sup>	63 (19.09%)
Access for 70-90% of township population	148 (44.85%)
Access for <70% of township population	120 (36.6%)
<b>Average and Range of Descriptors at the Township Level</b>	
Average adult literacy rate	85.56%
Range of adult literacy rates	11.5-99.2%
Average level of access to safe sanitation	71.42%
Range of access to safe sanitation	5.4-100.0%

184 <sup>1</sup> The United Nations Educational, Scientific and Cultural Organization (UNESCO) reported that there was a National  
185 Goal to achieve 95.0% adult literacy levels in Myanmar by 2010<sup>22</sup>.186 <sup>2</sup> The national strategy for the Government of Myanmar is to achieve 90% of the population having access to safe  
187 sanitation within the next 10 years<sup>23</sup>.

188

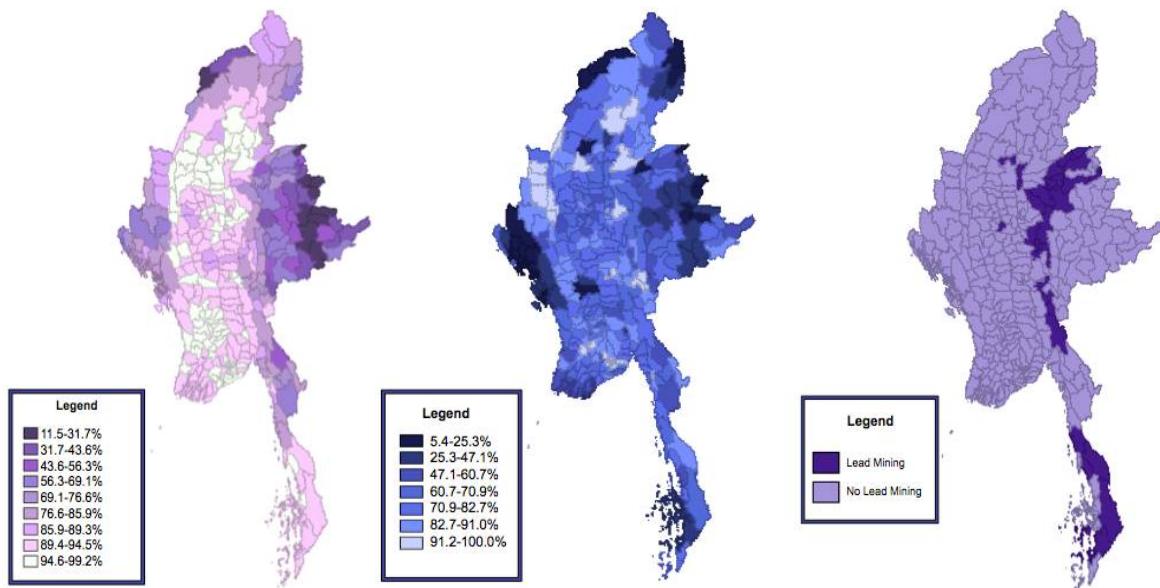
189 For instance, there is a large range of access to safe sanitation in Myanmar (5.4-100.0%). It was  
190 reported that many urban centres have relatively high levels of access to safe sanitation, while many  
191 rural areas are not able to afford infrastructure for improved sanitation<sup>18</sup>. Similarly, the range of adult  
192 literacy levels among townships was large (11.5-99.2%). The Ayeyarwady State had the highest adult  
193 literacy rates (99.82%), while the Shan State had the lowest adult literacy rates (55.9%)<sup>19</sup>. Alongside  
194 the low adult literacy levels, the Shan State also had the majority of lead mining activity in Myanmar,  
195 with 17 lead mining townships. It is worth noting that the Shan State also had generally low levels of  
196 access to safe sanitation (average of 56.75%), indicating that it may be a state of low affluence or more  
197 limited development.

198

199 The demographic descriptions of adult literacy levels, access to safe sanitation, and lead mining are  
200 represented in Figure 2, while the LISA cluster maps for each of the respective variables are  
201 represented in Figure 3.

202

203



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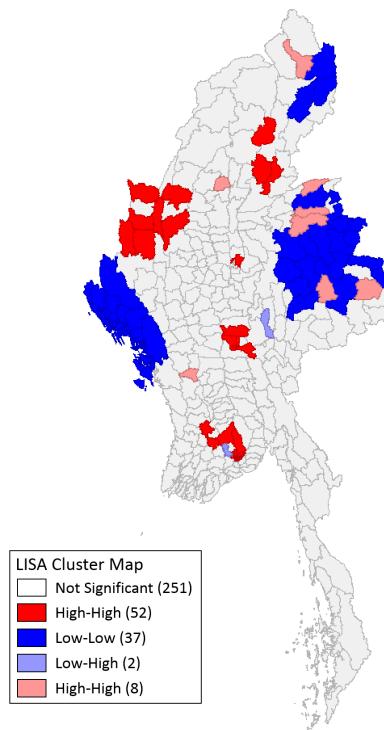
205 **Figure 2a-c:** Descriptive maps of Myanmar visually representing adult literacy levels (2a-left), access to safe  
 206 sanitation (2b-middle), and lead mining activity status (2c-right). \* Both access to safe sanitation and adult  
 207 literacy levels were mapped using natural breaks calculated through GeoDa, while the lead mining map  
 208 represents dichotomous variables.

209



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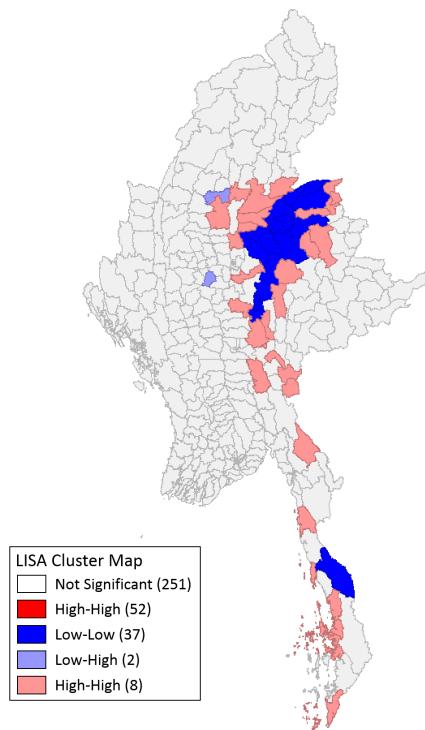
211 **Figure 3a.** LISA Cluster Map of Adult Literacy at the  
 212 Geographic Level of Myanmar Townships.  
 213



214

215 **Figure 3b.** LISA Cluster Map of Access to Safe Sanitation at the  
216 Geographic Level of Myanmar Townships.

217



218

219 **Figure 3c.** LISA Cluster Map of Lead Mining at the  
220 Geographic Level of Myanmar Townships

221

222

223

224 **3.2 Adult Literacy**

225

226 When exploring the choropleth map of adult literacy in Figure 2a, it appears that there is a high  
227 concentration of low adult literacy townships in the Eastern regions of Myanmar, followed by smaller  
228 aggregations in the Western and Northern regions. Further analysis with the Moran's I also suggests  
229 a strong degree of positive spatial autocorrelation with a test statistic of 0.83. When exploring the  
230 LISA map of adult literacy in Figure 3a, it is apparent that there are statistically significant "Low-  
231 Low" clusters of low adult literacy predominantly in the Eastern and Western regions of Myanmar  
232 previously identified in the choropleth maps of Figure 2, and two distinct "High-High" clusters of  
233 high adult literacy in central Myanmar.

234

235 **3.3 Access to Safe Sanitation**

236

237 Similar the spatial patterns depicted by adult literacy rates, there appears to be a concentration of  
238 townships with low access to safe sanitation in most Eastern, Western, and Northern regions of  
239 Myanmar as depicted in Figure 2b. A Moran's I statistic of 0.53 suggests that access to safe sanitation  
240 exerts moderate positive spatial autocorrelation, albeit less than what was depicted with adult  
241 literacy. When exploring the LISA map of access to safe sanitation in Figure 3b, three "Low-Low"  
242 clusters of poor access to safe sanitation emerge in the areas identified by the choropleth maps, as  
243 well as 5-6 "High-High" clusters in central Myanmar.

244

245 **3.4 Lead Mining**

246

247 The lead mining choropleth map depicted in Figure 2c differs from the previous two figures in that  
248 there appears to be a narrow ribbon of lead mining townships from central to southern Myanmar.  
249 The Moran's I statistic of 0.38 indicates a low degree of positive spatial autocorrelation and suggests  
250 that lead mining is relatively diffuse in Myanmar. The findings from the LISA cluster map in Figure  
251 3c parallel that of the Moran's I since the LISA map uncovered two localized clusters of lead mining  
252 townships in central and southern Myanmar.

253

254 **3.5 Cluster Location Comparisons**

255

256 Based on the exploratory spatial data analysis, it reveals there is likely a spatial correlation between  
257 adult literacy and access to safe sanitation, based on the location of the statistically significant "Low-  
258 Low" cluster in Eastern Myanmar. In addition, it appears there could be a relationship between lead  
259 mining activity and low adult literacy levels due to overlapping geographic units depicted in the  
260 central-Myanmar clusters for both variables. These descriptive assessments were further investigated  
261 during the statistical analysis.

262

263 **3.6 Lead Mining and Adult Literacy**

264

265 According to the results (Table 2), there does appear to be a significant relationship between lead  
266 mining activity and adult literacy levels ( $P<0.05$ ) among townships with high access and those with  
267 low access to safe sanitation as an indicator of relative affluence and development. The Fisher's Exact  
268 test was used for the relationship between lead mining and adult literacy in townships of high access  
269 to sanitation, as there were under 5 townships with high levels of access to improved sanitation that  
270 were also lead mining townships for both high and low adult literacy levels. The majority of lead  
271 mining townships also had low access to safe sanitation (86.2% of lead mining townships).

272

273

274  
275**Table 2.** Cross Tabulation of Lead Mining Activity and Adult Literacy in Myanmar's Townships, and the Effect of Access to Safe Sanitation on This Relationship (n=330).

Descriptor	Low Adult Literacy Level ( $\leq 89.1\%$ of Population)	High Adult Literacy Level ( $> 89.1\%$ of Population)	P-Value
<b>Lead Mining Development:</b>			
Lead Mining	19 (5.76%)	10 (3.03%)	<0.01*
No Lead Mining	92 (27.88%)	209 (63.33%)	
<b>Access to Safe Sanitation:</b>			
High Proportion ( $> 83.3\%$ of Population)	18 (5.45%)	93 (28.18%)	<0.01*
Low Proportion ( $< 83.3\%$ of Population)	93 (28.18%)	126 (38.18%)	
<b>Townships with High Access to Safe Sanitation (<math>&gt; 83.3\%</math>):</b>			
Lead Mining	3 (0.91%)	1 (0.30%)	0.013**
No Lead Mining	15 (4.55%)	92 (27.88%)	
<b>Townships with Low Access to Safe Sanitation (<math>&lt; 83.3\%</math>):</b>			
Lead Mining	16 (4.85%)	9 (2.73%)	<0.05*
No Lead Mining	77 (23.33%)	117 (35.45%)	

\*P-value from Chi-Square test (one-tailed), with significance indicated when P&lt;0.05.

\*\*P-value from Fisher's Exact Test (one-tailed) with significance indicated when P&lt;0.05.

276

277

It was also concluded that access to safe sanitation interacted (was an effect modifier) in the relationship between lead mining and adult literacy levels. Both townships with low access to safe sanitation [OR=2.701 (1.136-6.421)] as well as townships with high access to safe sanitation [OR=18.40 (1.794-188.745)] appeared to have an increased risk of lower adult literacy levels in lead mining townships. These was a meaningful difference in the odds ratios of these two strata. Due to small cell sizes in the contingency tables, however, there were wide confidence intervals. Overall, the statistical tests seem to confirm the assumptions made in the geographic descriptive assessment, as lead mining activity appears associated with lower literacy levels at the township-level, with access to safe sanitation acting as an effect modifier on this relationship, but the statistical results have to be interpreted with caution.

#### 288 4. Discussion

289 Lead mining activity in Myanmar tends to be localized in certain spatial areas, such as Kayah, Shan  
290 and Tanintharyi States, all regions of large lead deposits<sup>13</sup>. Spatial and statistical analyses reveal  
291 significant associations between lead mining and adult literacy at the township level, with differential  
292 risks in areas of low and high access to safe sanitation, our chosen development or affluence indicator.  
293 Many areas of low access to safe sanitation also had low levels of adult literacy, and we acknowledge  
294 the well-established relationship between poverty and adult literacy<sup>20,22</sup>. However, our findings  
295 indicate that citizens living in townships with high access to safe sanitation and lead mining activity  
296 may also be more at risk of having lower adult literacy than those in similar situations but in non-  
297 mining townships. Further exploration of this pattern is warranted particularly at community and  
298 individual levels. Do citizens in these townships have high lead levels in biological samples?

299

300 Myanmar citizens located in proximity to lead mines could be exposed to lead from contaminated  
301 soils, lead dust, or ingesting contaminated drinking water or food items<sup>38-39</sup>. As many people living  
302 in lead mining townships don't have adequate access to safe drinking water or sanitation, this could

303 increase their consumption of lead due to contaminated water sources<sup>15,19</sup> while it is also possible that  
304 bottled water is used. Lower adult literacy levels in lead mining townships could be due to lead's  
305 ability to impact neurological functions<sup>5</sup>. It is expected that there is an increased exposure to  
306 environmental lead for children in lead mining townships. For instance, dust lead levels have been  
307 found to be significantly associated with blood lead levels in children whose specific behavioural and  
308 physiological characteristics make them particularly at risk for environmental exposures<sup>23-24</sup>. It is  
309 likely that Myanmar citizens located in lead mining townships could have increased lead toxification  
310 if exposed to lead from an early age. Additionally, it was reported in one study that children from  
311 low-income families are at a differential risk for being exposed to environmental lead, highlighting  
312 ongoing environmental injustices occurring today<sup>25</sup>. It is possible that lower-income families have  
313 resided near lead mining activities for a lack of a better option, demonstrating the relationship  
314 between lead mining activity and affluence. The collection and testing of biological specimens from  
315 residents in different townships could confirm or refute these ideas although currently there is  
316 limited opportunity to conduct these kinds of studies in Myanmar (personal communication, WHO-  
317 Myanmar Country Representative Dr. J. Luna, February 2, 2016).

318  
319 Early exposure to environmental lead could have severe and long-lasting impacts upon cognitive  
320 function, causing cognitive impairment that lasts well into adulthood. It has been determined that  
321 along with hypochromic anemia and lead-caused encephalopathy, high lead exposure has also  
322 resulted in poorer school performance, a decreased IQ and hyperactivity<sup>27</sup>. Many studies have  
323 reported a positive association between lead exposure and encephalopathy<sup>27-29</sup>. Lead encephalopathy  
324 may abrupt neurological function, as it is associated with difficulties concentrating, behavioural  
325 problems, and restlessness. In more severe cases, it may cause confusion, difficulty in understanding,  
326 and deterioration of memory storage. Understandably, the occurrence of encephalopathy should be  
327 further explored, as alongside other cognitive dysfunction, it could have resulted in increased  
328 illiteracy rates found in Myanmar's lead mining townships.

329  
330 As mentioned previously, many studies have looked at the association between lead exposure and  
331 reduced IQ<sup>3,27,30-31</sup>. It has been reported that alongside a lower IQ, high lead exposure can also impact  
332 emotional well being, aggression and anxiety<sup>30</sup>. These negative impacts on emotional health could  
333 contribute to poor performance in academic settings and potential illiteracy. Although adult literacy  
334 has not been used as an indicator of cognitive function in studies of lead exposure, there are studies  
335 indicating that IQ and memory are significantly associated with performance in adult literacy tests<sup>32</sup>.  
336 A reduction in IQ from lead toxification could therefore contribute to decreased adult literacy levels  
337 in lead mining townships.

338  
339 Although children are arguably at a much greater risk of the acute and chronic impacts of  
340 environmental lead exposure, lead may also have direct detrimental consequences upon the cognitive  
341 functioning of adults. Therefore, it is also possible that short-term residents of lead mining townships  
342 in Myanmar could also have had neurological impacts decreasing literacy capability. For instance,  
343 lead accumulation in adults may result in increased brain lesions, and a subsequent decline in  
344 cognitive function<sup>33</sup>. This decrease in cognitive function could result in reduced verbal and visual  
345 memory, declining verbal learning and a decrease in actual brain size from cell death. In fact,  
346 epidemiological studies have indicated that lead exposure may increase the rate of cognitive decline  
347 in older populations, as increased blood lead concentrations were associated with lower scores on a  
348 mental status examination<sup>34</sup>. The neurodegenerative effects of lead exposure have also been studied,  
349 and it has been reported that increased lead exposure is significantly associated with Parkinson's  
350 Disease, amyotrophic lateral sclerosis, and potentially Alzheimer's Disease<sup>35-37</sup>. Therefore, it is  
351 possible that even individuals without early age exposure to lead could encounter cognitive deficits  
352 when moving to a lead mining township in Myanmar. This could reduce the literacy capability in  
353 Myanmar's adult population.

354

355 This exploratory study fills an important gap in literature that could help inform future etiological  
356 studies about the negative health effects of lead exposure from mining development in Myanmar. As  
357 previous studies have not investigated lead mining in Myanmar, this is the first study to categorize  
358 and map Myanmar's townships as lead or non-lead mining. There has also been an absence of studies  
359 exploring lead's impact upon adult literacy as an indicator of cognitive function. Additionally, the  
360 2014 Myanmar Census was the first undertaken in 30 years and provides extensive data for the  
361 majority of Myanmar's population. Along with other reports from MIMU, the USGS, and mineralogy  
362 databases, this study draws information from high-quality and current data sources. The study plan  
363 and results were discussed with public health stakeholders in Myanmar to ensure the  
364 appropriateness of the design, analysis and dissemination of findings. The World Health  
365 Organization (WHO) outlined that lead mining in lower-income countries, including Myanamr, is a  
366 grave concern because of possible adverse effects during brain development<sup>17</sup>.  
367

368 There are many strengths of the study, however there are also limitations important to consider. As  
369 mentioned, even though Myanmar's larger lead mining development areas are identified, it is  
370 possible that smaller-scale productions could go unnoticed. Small and independent operations may  
371 not necessarily report lead mining activity to Myanmar's government<sup>13,15</sup>. In addition, lead can travel  
372 in water, air and soil, and is present in leaded gasoline, paints and other products. We recognize that  
373 lead exposure could extend beyond the immediate zones around mining sites. This kind of more  
374 extended assessment of broader environmental exposure will not be possible to capture in this study  
375 and this limitation is recognized. Although adults readily absorb lead, or may have absorbed lead  
376 when they were children, direct measures of cognitive development among younger populations  
377 would have been an ideal addition to this study. Unfortunately, there are no township-specific data  
378 sets available for cognitive or neurological indicators for children in Myanmar. Lead exposure is  
379 cumulative and affects neurological function over time, so if adults had moved in and out of lead-  
380 mining areas this may represent a limitation to the study. Migration between townships was not  
381 available in the census data, and therefore could not be incorporated into the results. Moreover, there  
382 is a potential for ecological fallacy in this study, as data in the Census was not available at a finer-  
383 scale than at the township level. It is unlikely that information at the township level can account for  
384 individual citizen diversity within a township, however it is also worth noting that there are  
385 relatively large discrepancies between townships in relation to safe sanitation access and adult  
386 literacy. Therefore, although it is difficult to generalize populations within a township, many of these  
387 townships do have distinct differences. Given the limitations mentioned, and the inherent inability  
388 to measure all potential confounding variables, we propose this study as the first exploratory step in  
389 what might represent a longer program of research. The current study has successfully tested an  
390 approach to spatial and population health analysis that could be used to examine other potential  
391 environmental health concerns, particularly in areas where field study might be difficult. These kinds  
392 of studies, while inherently limited, represent starting points in examining potential health or illness  
393 threats. Results from this study are being used to advocate for further focused study in Myanmar.

## 394 5. Conclusions

395 With growing development of lead mining projects in Myanmar<sup>13</sup> and other low- and middle-income  
396 countries, these results signify the importance of further investigation and the implementation of  
397 safety measures to guard against harmful exposure. It is essential to test and regulate lead  
398 concentrations found in soil and water sources and to protect workers in lead mines to prevent lead  
399 poisoning and toxification<sup>38</sup>. Ongoing surveillance should be explored in current lead mining  
400 operations, while site-specific remediation plans should be implemented on inactive lead mining  
401 sites.  
402

403 Overall, there was a significant relationship calculated between lead mining and adult literacy at the  
404 township level in Myanmar. Future studies should measure the extent of human and environmental  
405 lead accumulation in lead mining and neighbouring areas. Lead exposure, health and cognitive

406 function research should be conducted at the individual level. In addition, further studies should  
407 investigate the role of lead exposure in the cognitive development of Myanmar's children.

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417

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521