

1 *Systematic Review*

2 **Changes of colonic bacterial composition in** 3 **Parkinson's Disease and other neurodegenerative** 4 **diseases**

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11 **Abstract:** In the last years evidence has emerged that neurodegenerative diseases (NDs) are strongly
12 associated with the microbiome composition in the gut. Parkinson's disease (PD) is the most
13 intensively studied neurodegenerative disease in this context. In this review, we performed a
14 systematic evaluation of published literature comparing changes in colonic microbiome in PD to the
15 ones observed in other NDs including Alzheimer's Disease (AD), Multiple system atrophy (MSA),
16 Multiple sclerosis (MS), Neuromyelitis optica (NMO) and Amyotrophic lateral sclerosis (ALS). To
17 warrant comparability of different studies, only human case-control studies were included. Several
18 studies showed an increase of *Lactobacillus*, *Bifidobacterium*, Verrucomicrobiaceae and *Akkermansia*
19 in PD. A decrease in PD was observed of *Faecalibacterium spp.*, *Coprococcus spp.*, *Blautia spp.*, *Prevotella*
20 *spp.* and Prevotellaceae. On low taxonomic resolution, like phylum level, the changes are not disease
21 specific and inconsistent. However, on higher taxonomic resolution like genus or species level, a
22 minor overlap was observed between PD and MSA, both alpha synucleinopathies. We show that a
23 methodical standardization of sample collection and analysis is necessary for ensuring the
24 reproducibility and comparability of data. We also provide the evidence, that assessing the
25 microbiota composition at high taxonomic resolution, reveals changes in relative abundance, that
26 may be specific or characteristic for one disease, or a disease-group and might evolve discriminative
27 power. The interactions between bacterial species and strains and moreover the co-abundances
28 must be more deeply investigated before assumptions of the effects of specific bacteria on the host
29 can be made with certainty.

30 **Keywords:** Parkinson's disease; gut microbiome; neurodegenerative diseases; microbiota-gut-
31 brain-axis

32

33 **1. Introduction**

34 The causes of the heterogenic group of neurodegenerative diseases (NDs) are still unknown but
35 several contributing factors including genetic and lifestyle factors and age-related aberration of
36 health are likely to play a role. The pathology in PD was found to start in more caudal parts of the
37 central nervous system (CNS) or even in the enteric nervous system moving the gut and its interaction
38 with CNS into a sudden spotlight [1-3]. While some researchers question whether PD begins in the
39 gut, a potential role of gut microbiome in PD pathology is undisputed [4].

40 PD is a neurodegenerative disease with the hallmark of cardinal progressive motor symptoms
41 such as tremor, muscular rigidity, postural instability and bradykinesia, mostly additionally
42 accompanied by nonmotor symptoms. Characteristic are furthermore the alpha synuclein containing
43 aggregations, named Lewy bodies, that can be found in the central, autonomous and enteric nervous
44 system [5].

45 Indeed, the microbiota greatly modulates the function and homeostasis of the gut and the human
46 health beyond the gut. There are up to hundred times more microbial genes, named the microbiome,
47 than human genes in one individual [6]. The largest part of humans' microbiota is gathered in the gut
48 and the community comprises of more than 10 trillion cells and up to thousand different microbial
49 species per individual [7]. This complex community is responsible of a myriad of different metabolic,
50 immunologic and homeostatic functions [8]. The different taxa interact, rely on and out crowd each
51 other, leading to distinct compositions in various stages of human health and disease [9,10] and age
52 [11,12]. In animal studies different potential mechanisms of neurodegenerative disease were
53 discovered, where the gut microbiome played a key role to affect brain physiology and function. The
54 gut-brain-axis is a bidirectional communication pathway comprising direct neural, endocrine and
55 immunological mechanisms [13]. The gut-brain-axis may be implicated in alpha synuclein mediated
56 pathology, which is supposed to spread from the gut to the brain [14]. Also, in humans, there is some
57 evidence supporting the role of the gut-brain-axis in PD pathophysiology, for example that truncal
58 vagotomy reduces the risk for PD [15]. Moreover, also a healthy intestinal barrier function seems
59 crucial for maintaining neurological health [16]. In animal models of NDs a distinct and
60 discriminative microbial composition was found, e.g. for ALS [17,18] and AD [19]. Consequently,
61 some attempts were made to assess the microbial composition in human patients suffering from
62 neurodegenerative diseases. For this purpose, different methodical approaches were used such as
63 16S rRNA or 16S cDNA sequencing, metagenomic shotgun sequencing and different microarray
64 assessments.

65 This review aims to summarize changes in the microbiota composition assessed by these
66 genetical investigations in human patients with NDs. This is, to our knowledge unique, in the broad
67 coverage of findings of microbiome in patients of different NDs. Since the largest part of gut
68 microbiota is comprised of bacteria and because their role in NDs is supported by the highest
69 scientific evidence, while the term also includes fungi and viruses, this review concentrates on the
70 compositional changes of the bacterial taxa. We show that analysis of colonic bacterial composition
71 at the highest possible resolution may be predictive for PD and discriminate PD from other
72 neurodegenerative diseases [13,20-24]. Methodical standardization procedures ensure comparability
73 of studies.

74 2. Materials and Methods

75 The key-question of this review was whether PD patients present specific taxonomic changes in
76 gut microbiota discriminating them from other NDs and from healthy controls? This is a systematic
77 review of human case-control-studies, summarizing the findings of original studies published in the
78 last five years (01.01.2013-31.12.2017), during which the most research on this topic is performed.
79 PubMed databank and online books were searched due to combining the MESH-Terms of the
80 following NDs (as focused by JPND, Eu Joint Programme – Neurodegenerative Disease Research:
81 Parkinson's Disease, Alzheimer's Disease, Prion disease, Motoneuron diseases, Huntington disease,
82 Spinocerebellar ataxia, Spinal muscular atrophy and additionally Multiple system atrophy, Multiple
83 sclerosis, Neuromyelitis optica, Amyotrophic lateral sclerosis) combined with following terms:

84 "microbiome", "microbiota", "bacteria", "bacterial", "composition", intestine, "intestinal",
85 "gut"

86 We concentrated on bacterial taxa and excluded results regarding fungi, archaea and viruses.

87 Articles which fulfilled the following criteria were included in the comparison:

- 88 - subjects in the case groups had a diagnosis for the disease given by an expert.
- 89 - human case-control-studies
- 90 - at least one part of the study analysed the gut microbiome in a cross-sectional manner
91 compared to healthy controls
- 92 - faeces collection to generate a sample probe
- 93 - microbiota analysis by amplification sequencing methods or hybridisation on microarrays:
94 Phylochip G3, YIFscan
- 95 - published in peer-reviewed journals

Alpha diversity/Richness on at least one taxonomic level (chao 1 index, *other indexes)	n	n	>	n	-	-	<*	-*	n	-
Overall Beta diversity (weighted Unifrac, *other indexes)	n	n	sd	sd	sd*	sd*	n	sd*		sd

125 **Table 1.** This table shows the significant differences in faecal bacterial counts, alpha diversity/richness and beta
 126 diversity between healthy controls (HC) and Parkinson cases (PD).

127 > symbolizes a higher abundance in HC when compared to PD

128 < symbolizes a lower abundance in HC when compared to PD

129 - symbolizes, that no statistical significant difference was found between HC and PD

130 sd indicates a statistically significantly difference

131 n symbolizes, that no information was given. The methods used by the studies are Yakult Intestinal Flora-SCAN

132 (YF), 96-well block of the ABI PRISM 7900HT Sequence Detection System (PR), Illumina Miseq sequencing (Ill

133 Miseq), Illumina Hiseq sequencing (Ill Hiseq), Roche 454 GS FLX Titanium sequencing (Ro).

134

135 3.1 Taxonomic changes

136 Taking the ten publications together, changed relative bacterial abundances between HC and PD

137 were observed on all taxonomic levels. A table is provided for each phylum, which showed changes

138 between HC and PD in at least one study. Only significant changes ($p < 0.05$), which underwent a

139 statistical analysis and correction by the authors of the original study, were included in the tables.

140 The tables only show changes on phylum, family, genus, species or operational taxonomic unit (OTU)

141 levels, always in the highest taxonomic resolution, that the authors of the study provided. The few

142 additional changes on class or order level will be specifically described in the discussion. Significant

143 changes in relative abundances were observed only within five phylae: namely Firmicutes,

144 Actinobacteria, Bacteroides, Verrucomicrobia and Proteobacteria.

145

146 3.1.1 Firmicutes

147 The most changes in relative abundance of colonic microbial taxa were observed within the

148 Firmicutes phylum. Single studies found changes in unclassified Firmicutes and in various families

149 and genera (Table 2). Significant increase of genus *Lactobacillus* [26,27,34], decrease of genus

150 *Faecalibacterium* or species *Faecalibacterium prausnitzii* or OTU's that belong in this category

151 [26,27,29,35], the decrease of genus *Coprococcus* [30] or species *Coprococcus eutactus* [26] or OTU [27]

152 belonging in this category and decrease of genus *Blautia* [29,30] or species *Blautia glucerasea* [26] or

153 OTU belonging in this category [27] were reported and confirmed in PD.

154 *Table 2 Firmicutes*

Reference	[34]	[35]	[26]	[27]	[28]	[29]	[30]	[31]	[32]	[33]
Taxa/ Method	YF	PR	Ill Miseq					Ill Hiseq		Ro
Unclassified Firmicutes (unclass.)								<		
Firmicutes unspecified (unspec.)							>			
Lactobacillaceae unspec.		>		<	<					<

Lactobacillus, m=mucosae, g=gassero, c=caseo, f=fermentum, re=reuteri, ru=ruminis,	< (+g, c, f, re, ru)		< (+m)	<					
Enterococcaceae unspec		>			<	<			
Enterococcus						<			
Ruminococcaceae unclassified				<					
Ruminococcaceae OTU 4439469				< (1o)					<
Ruminococcus, b=bromii, c=callidus			< (b)	> (c)		>			
Papillibacter c=cinnamivorans			< (c)						
Faecalibacterium, p=prausnitzii		> (p)	>	> (o2)		>			
Lachnospiraceae unclassified				> (o3)					
Lachnospiraceae unspec				>					
Roseburia				> (+o2)		>			
Coprococcus, e=eutactus			> (e)	> (o1)		>			
Blautia, g=glucerasea			> (g)	> (+o1)		>	>		
Dorea, l=longicatea			> (+l)						
Catabacteriaceae Catabacter, h=honkongensis			< (+h)						
Clostridiaceae Anaerotruncus									<
Clostridium, c=cocoides, s=saccharolyticum	> (c)							> (s)	
Eubacteriaceae, [candidatus stoquefichus massiliensis]			>						
Erysipeltrichoceae unspec.						<			
Eubacterium, b=biforme								> (b)	
Christensenellaceae unclass.				<					
unspec				<					

Christensenella, m=minuta			< (+m)							
Oscillospiraceae, oscillospira			<				<			
Streptococcaceae, unspec						<				
Streptococcus						<				
Acidaminococcaceae, Acidaminococcus						<				
Veillonellaceae unspec						<				
Veillonellaceae, Megamonas						<				
Veillonellaceae Megasphaera						<				
[Tissierellaceae] unspec.				<						

155 **Table 2.** This table shows the significant relative changes in Firmicute phyla between PD patients (PD) and
156 healthy controls (HC), that were assessed by the ten summarized Parkinson's disease studies.

157 > symbolizes a higher abundance in HC when compared to PD

158 < symbolizes a lower abundance in HC when compared to PD

159 - equals, that no significant change in PD compared to HC was found with the chosen methodological approach.

160 o1, 2, 3 symbolize, that one, two, three OTU's of the taxa were significantly changed in PD compared to HC

161 + indicates that a change in PD compared to HC on the genus and on the species level was found.

162 The methods used by the studies are Yakult Intestinal Flora-SCAN (YF), 96-well block of the ABI PRISM 7900HT

163 Sequence Detection System (PR), Illumina Miseq sequencing (Ill Miseq), Illumina Hiseq sequencing (Ill Hiseq),

164 Roche 454 GS FLX Titanium sequencing (Ro).

165

166 3.3.2. Actinobacteria

167 In all studies in which changes in relative abundance of or within the phylum Actinobacteria were

168 reported, PD patients reproducibly showed an increase of relative abundance compared to HC

169 [26,27,29,35] (Table 3). The increase of genus *Bifidobacterium* was reproduced in three studies

170 [26,27,35].

171 *Table 3 Actinobacteria*

Reference	[34]	[35]	[26]	[27]	[28]	[29]	[30]	[31]	[32]	[33]
Taxa/ Method	YF	PR	Ill Miseq				Ill Hiseq		Ro	
Unspec						<				
Bifidobacteriaceae, Unspec.				<						
Bifidobacteriaceae, Bifidobacterium		<	<	<						
Coriobacteriaceae, Unspec						<				

172 **Table 3.** This table shows the significant relative changes in Actinobacteria between PD patients (PD)
173 and healthy controls (HC), that were assessed by the ten summarized Parkinson's disease studies.

174 > symbolizes a higher abundance in HC when compared to PD
 175 < symbolizes a lower abundance in HC when compared to PD
 176 - equals, that no significant change in PD compared to HC was found with the chosen methodological approach.
 177 o1, 2, 3 symbolize, that one, two, three OTU's of the taxa were significantly changed in PD compared to HC
 178 + indicates that a change in PD compared to HC on the genus and on the species level was found.
 179 The methods used by the studies are Yakult Intestinal Flora-SCAN (YF), 96-well block of the ABI PRISM 7900HT
 180 Sequence Detection System (PR), Illumina Miseq sequencing (Ill Miseq), Illumina Hiseq sequencing (Ill Hiseq),
 181 Roche 454 GS FLX Titanium sequencing (Ro).

182

183 3.3.3. Bacteroidetes

184 The published data for the phylum Bacteroidetes was highly variable between the different reports.
 185 The potential trend of decreased Bacteroidetes on phylum level [29,35] and decreased genus
 186 *Bacteroides* [26] or species *Bacteroides fragilis* [34] was observed in the opposite direction by one
 187 publication [30]. Three studies found a significant reduction of Prevotellaceae family [24,35] or the
 188 genus *Prevotella* [26,31]. One study found a similar but not significant decrease of Prevotellaceae [35].
 189 Hill-Burns et al, 2017, did not confirm these findings, but observed an increase in one OTU that
 190 belongs to the genus *Prevotella* [27].

191 Table 4 Bacteroidetes

Reference	[34]	[35]	[26]	[27]	[28]	[29]	[30]	[31]	[32]	[33]
Taxa/ Method	YF	PR	Ill Miseq				Ill Hiseq		Ro	
Unspec		>				>	<			
Bacteroidaceae, Bacteroides c=coprocola, d=dorei, f=fragilis, p=phlebeus, m=massiliensis	> (f)		> (+m, c, d, p)				<			
Prevotellaceae, Unspec										>
Prevotellaceae, Prevotella, co=copri			> (+co)	< (o1)				> (+co)		
Porphyromonadaceae, Parabacteroides				<						
Porphyromonadaceae, Barnesiella (in the original article classified as Barnesiellaceae)					<					
Rickenellaceae, Alistipes, s=shahii								< (s)		

192 **Table 4.** This table shows the significant relative changes in Firmicute phyla between PD patients (PD) and
 193 healthy controls (HC), that were assessed by the ten summarized Parkinson's disease studies.

194 > symbolizes a higher abundance in HC when compared to PD

195 < symbolizes a lower abundance in HC when compared to PD

196 - equals, that no significant change in PD compared to HC was found with the chosen methodological approach.

197 o1, 2, 3 symbolize, that one, two, three OTU's of the taxa were significantly changed in PD compared to HC

255 Since with progression of age the Firmicutes:Bacteroidetes ratio is found to change [36], an
256 association with neurodegeneration could be considered. However, no study reported any significant
257 differences in Firmicutes:Bacteroidetes ratio in PD. A minority of studies in other NDs, namely two
258 ALS studies [37,38] and one MSA study [39], discovered an aberrant Firmicutes:Bacteroidetes ratio,
259 indicating no predominant role for the NDs. Moreover, several other factors influence the
260 Firmicutes:Bacteroidetes ratio. The characteristics of the recruited cohort are crucial. In elderly but also
261 in infants, the ratio is extremely low [36]. It was suggested that the Firmicutes:Bacteroidetes ratio is
262 also associated with obesity [40-43], but a causative key role for this ratio for obesity was questioned
263 by other groups [44]. Different types of diet change the Firmicutes to Bacteroidetes ratio [45] and it was
264 reported that the freezing process of faecal samples before extracting the DNA, significantly increases
265 the Firmicutes:Bacteroidetes ratio [46]. Other methodical choices, like choosing between the different
266 bead-beating cell lysis instruments before metagenome analysis influence this ratio up to threefold
267 in favour of Bacteroidetes [47]. Taken together, these data indicate, that the shift is not specific for
268 neurodegenerative diseases and might sometimes be a consequence of technical and lifestyle factors.
269

270 Several alterations in the Firmicutes phylum were reported in PD and other NDs. Commensal
271 *Clostridium* species, like *Clostridium saccharolyticum* and *Clostridium leptum*, showed a significant and
272 descriptive decrease in PD [34]. This reduction is in agreement with the observed reduction of the
273 genus *Clostridium* in AD [48] and MS [49]. By contrast, an increase of the harmful species *Clostridium*
274 *perfringens* was shown by one study in NMO patients [50]. This is a very interesting finding, since
275 NMO is a demyelinating disease that is associated with antibodies against the water channel protein
276 aquaporin-4 (AQP4) expressed on astrocytes and T helper 17 cells [51]. These antibodies are reported
277 to exhibit a cross-reactivity to a homologous peptide sequence within adenosine triphosphate-
278 binding cassette (ABC) transporter permease of *Clostridium perfringens* [51,52]. Also, a theoretical
279 involvement of the epsilon-toxin of *Clostridium perfringens* in inducing neurodegeneration was
280 proposed in MS, due to its ability to cross the blood-brain-barrier, damaging oligodendrocytes and
281 due to its observed contribution to enterotoxaemia in ruminants [53-55]. However, no increased
282 *Clostridium perfringens* was found in the five MS studies [49,56-59]. Nonetheless, epsilon toxin might
283 play a role in NMO, since it can damage optic nerves in vivo [60]. This and the possibility to vaccinate
284 against epsilon-toxin, at least in rodents, point to an importance of the observed increase of
285 *Clostridium perfringens* in NMO [61].

286 *Lactobacillus* was increased in three of ten PD studies, in contrast to AD [48], MSA [39,62], ALS
287 [37,38,63] and NMO [50], where no change was observed. However, this increase of *Lactobacillus* in
288 PD patients could be caused by the frequent constipation of PD patients, since *Lactobacillus* is also
289 known to be increased in the constipation-type of IBS and decreased in the diarrhoea-type of IBS [64]
290 and the co-variable constipation was methodically and statistically treated differently in the PD
291 studies. The decrease of both *Lactobacillus* and *Lactobacillus rogosae* that was reported in MS patients
292 [49,58] supports the above hypothesis, since several medications against MS lead to diarrhoea [65,66].
293 The possibility that a probiotic intervention or preventive treatment with beneficial *Lactobacillus*
294 strains could have an ameliorating effect on MS deserves to be investigated.

295 Increased Lactobacillaceae abundance and decreased *Prevotella* has been linked to reduced
296 ghrelin concentration and altered ghrelin secretion has been reported in one PD study [35]. Ghrelin
297 is a gut hormone that may contribute to the maintenance and protection of normal nigrostriatal
298 dopamine function [67]. Future studies should investigate, if *Lactobacillus* has a constipation-related
299 consecutive role, or rather a causative role. Such studies could, moreover, define which *Lactobacillus*
300 species are beneficial, and which may be detrimental. This differentiation is essential, since there are
301 probiotic treatment proposals for PD which include *Lactobacillus* strains and on the other hand the
302 potential harmful link to reduced ghrelin secretion has not been sufficiently investigated.

303 Two PD studies showed an increase of Ruminococcaceae family [23,27], which might also
304 discriminate PD from other NDs, since in other NDs either no change or even a decrease was
305 observed, namely in AD [48], MSA [39], MS [68] and ALS [38]. The increased Ruminococcaceae on

306 family level might play a role in PD patients, however, which subordinate taxa contribute to the
307 disease cannot be unequivocally stated yet.

308 *Faecalibacterium* partly specified as *Faecalibacterium prausnitzii* or as an OTU showed a significant
309 decrease in four PD studies [26,27,29,35] while this was not observed in any other ND apart from MS
310 [49,57]. In ALS an increase was noted in one study [69]. However, *Faecalibacterium* showed a negative
311 correlation to Entacapone taxa [35] and a positive correlation to vitamin D supplementation in MS
312 patients [57], indicating that also other factors might contribute to the observed change of
313 *Faecalibacterium* abundance. Thus, the specific *Faecalibacterium* change in PD might be provoked by
314 extrinsic factors including medication or nutrition. Nevertheless, *Faecalibacterium prausnitzii* is
315 advocated as a potential future probiotic due to some strain-dependent anti-inflammatory features,
316 like butyrate-production [70]. However, the hypothesized beneficial effects on intestinal barrier
317 integrity resulting in anti-inflammatory benefits could not be confirmed by one study in an *in vitro*
318 model of the large intestine [71]. Since the *Faecalibacterium prausnitzii* species include a high diversity
319 of strains, a strain-dependent classification and investigation would improve the insight of beneficial
320 and harmful effects on the host [72].

321 Lachnospiraceae family showed a depletion not only in PD [27], but also in MSA [39], MS [68]
322 and ALS [37]. Even if this reduction on family level is not specific to PD, it is still interesting, because
323 Lachnospiraceae include many putative anti-inflammatory, and thus potentially protective genera.
324 In addition, Lachnospiraceae were negatively correlated to disease duration in PD patients [30]. Thus,
325 this reduction might just be a consequence of common disease-related changes. The key members of
326 Lachnospiraceae showed a confirmed decrease in PD (Table 2). Interestingly *Blautia* and *Dorea* are
327 also decreased in MSA [39], while AD [48], NMO [50] and MS [58] showed an increase of *Blautia* and
328 MS [58] and ALS [37] a decrease of *Dorea*. On family level the decrease of Lachnospiraceae is
329 correlated with PD disease duration and the abundance of Lachnospiraceae might be, like the
330 incidence of PD, sex-dependent [28]. Concluding that the decrease of *Blautia* and *Dorea* in case-
331 control-studies is limited to alpha-synucleinopathies like PD and MSA, it is tempting to investigate,
332 if these genera additionally correlate with longitudinal data of PD and MSA patients and if the
333 changes predate the neurodegenerative progress and symptoms.

334 Another family, Christensenellaceae, showed an increase on family and species level, e.g.
335 *Christensenella minuta*, during the course of PD [26,27], a change, which was also observed by one
336 study on genus level of *Christensenella* in MS patients [68]. Thus, these changes might not be specific
337 but still characteristic for some PD patients. Same applies to the increase of *Oscillospira* in PD [26,30].
338 Petrov, et al, moreover, explained recently that Christensenellaceae is a heritable taxon and that it is
339 co-abundant with *Oscillospira*. These taxa are, like PD, significantly associated with lower body
340 weight [73], which might indicate a higher vulnerability for PD, or more severe PD stages, when these
341 families were inherited.

342 Two different species of *Eubacterium* were observed to be decreased in PD, MS and a case-
343 control-study of a cognitive impaired elderly without an explicit AD diagnosis [31,49,74]. In the
344 cognitive impaired elderly, a negative correlation between *E. rectale* and proinflammatory cytokines
345 IL-1b, NLRP3 and CXCL in addition to a positive correlation between these cytokines and
346 *Escherichia/Shigella* was observed [74]. This might imply an anti-inflammatory role for several
347 *Eubacteria* species in the development of neurodegenerative diseases. Regarding changes in the
348 metabolome of colonic microbiota, *Eubacterium* contributed the most to the decrease in genes for D-
349 glucuronate degrading enzymes and two more active tryptophan metabolism pathways observed in
350 PD patients [31]. Glucuronidase enzymes mediate the regeneration of molecules important for host
351 health, but also toxins and carcinogens [75]. Moreover, they activate endogenous glucuronides of
352 hormones and neurotransmitters [75]. L-Tryptophan is the precursor of serotonin and is shown to be
353 decreased in PD patient brains [31] and its metabolites display regulatory immune function with
354 beneficial and harmful potential. Finally, some non-significant trends, between Unified Parkinson's
355 disease rating scale III (UPDRS III) and abundances of e.g. *Eubacterium rectale*, *Eubacterium hallii* and
356 *Eubacterium eligens* were reported [31]. This is mentionable since *E. rectale* might penetrate the mucus

357 layer due to its flagella. Interactions between *E. rectale*, the mucus layer and the intestinal mucosa are
358 therefore feasible.

359

360 The members of the phylum of cyanobacteria, which belong to the blue-green algae, produce a
361 series of neurotoxins, from which beta-N-Methylamino-L-alanine (BMAA) is the most common.
362 However, the production of neurotoxins by their candidate phylum sibling Melainabacteria is not yet
363 well investigated [76]. A link between cyanobacterial derived neurotoxins and neurodegenerative
364 diseases was shown already more than ten years ago by Cox et al, who examined the Chamorro
365 people of Guam, who exhibit a 50-100 times higher incidence of ALS than anywhere else [77]. BMAA
366 is linked with intraneuronal protein misfolding, which is an important pathological hallmark of many
367 NDs. High levels of neurotoxin BMAA has been associated with AD [78]. Post-mortem brain
368 specimens of ALS, AD and Huntington disease (HD) patients showed increased BMAA
369 concentrations [79]. Moreover, BMAA targets N-Methyl-D-aspartate (NMDA) receptors and the
370 neurotransmitter glutamate, which are believed to be disturbed in AD. Also, other cyanobacterial
371 derived neurotoxins could play a role in neurodegeneration in individuals with more permeable
372 intestinal epithelial barrier of the GI tract, like the leaky gut observed in elderly people [80]. However,
373 none of the examined studies detected an altered relative abundance in Cyanobacteria in PD. Also,
374 in other neurodegenerative diseases like AD [48], MSA [39,62], MS [49,56-58,68], ALS [37,38,63] and
375 NMO [50], no change in this phylum was reported. Only Heintz-Buschart et al, reported a decrease
376 of an unclassified OTU171 with high resemblance to the Melainabacterium MelB1,57 in PD. However,
377 they could not assess any of the known cyano-neurotoxins [32]. This and the fact that this
378 Melainabacterium was decreased in PD speaks in favour of a protective outcompeting role, possibly
379 by replacing other harmful cyanobacteria. Products of other bacteria, belong to the phylum of red
380 algae, such as a phycoerythrin, were shown to have an ameliorating effect on AD [81,82]. It can be
381 summarized that Cyanobacteria can produce neurotoxins that lead to neurodegeneration, but the
382 abundance of Cyanobacteria is only increased in special, sometimes isolated populations. The role of
383 the newly detected phylum of Melainabacteria is not yet clear, but so far, no harmful association
384 could be found and even a protective role can be postulated.

385

386 Only few changes were reported in the phylum of Actinobacteria, but these were consistent
387 regarding several taxonomic resolutions (Table 3). PD patients showed an increase on phylum level
388 [29], that was reflected in an increase in Bifidobacteriaceae (family) [27] and *Bifidobacterium* (genus)
389 [26,27,35], while no changes were observed in any other examined NDs. In contrast, AD patients
390 showed a decrease on phylum level, reflected by a decrease in Bifidobacteriaceae (family) and
391 *Bifidobacterium* (genus) [48]. Despite the findings of an increased Bifidobacteriaceae in PD, a two-year
392 follow-up study showed that a decrease in *Bifidobacterium* later in disease may be able to predict
393 whether PD stage is going to deteriorate or not, even correlating with the Unified Parkinson's disease
394 rating scale I (UPDRS I) score [83]. This implicates that the increase in Bifidobacteriaceae in PD is not
395 detrimental to the patients, but this rather may represent a mechanism of beneficial action against
396 neurodegenerative aggravation. Thus, it may be postulated that a probiotic intervention with
397 *Bifidobacterium* could prevent progression of PD to severer stages.

398

399 Within the phylum of Bacteroidetes *Prevotella* is a well-known and often discussed genus.
400 However, changes in PD patients were not consistent throughout the PD studies (Table 4). In one
401 study, which failed to find any significant difference in relative *Prevotella* abundance between PD
402 subjects and controls, *Prevotella* still was the most reduced bacterium and differed 3.2-fold [34]. In
403 another study without statistically significant change of *Prevotella*, an association between the family
404 Prevotellaceae and the clinical score of PD severity, the UPDRS-III score, was reported [33].
405 Moreover, trends showing a decrease in *Prevotella* were obtained by one study, when looking at the
406 microbiome of colonic mucosa, rather than faeces [30]. Negative results concerning significant
407 changes in *Prevotella* could be a consequence of methodological differences and other criteria for
408 control subjects [30]. The fact that Prevotellaceae was decreased in idiopathic rapid eye movement

409 behavioural sleep disorder, iRBD, which predates PD in the majority of cases, supports the
410 hypothesis that this family and its genus *Prevotella* might only be changed in early stages of PD. It is
411 also suggestive, that a decrease of *Prevotella* contributes to the onset of PD and therefore could be
412 used as a biomarker for PD diagnosis [32]. However, unambiguous interpretation of changes of
413 *Prevotella* is difficult, since their abundance also depends on social factors, as observed in monkeys
414 [84] and subgenus differences in *Prevotella* and *Bacteroides* have been related to different dietary
415 patterns [85].

416 Additionally, the change in abundance of *Prevotella* depends on the species type (Table 4).
417 *Prevotella copri* and *P. clara* were decreased in PD [26,31,49]. This reduction is in agreement with
418 reduced abundances in MSA [62], MS [56] and NMO [50]. In contrast, the species *Prevotella*
419 *melaninogenica* and one OTU of *Prevotella* showed an increase in PD [31] and NMO [50]. *Prevotella*
420 exhibited one of the highest effect sizes of change in the study of NMO [50]. The fact that certain
421 *Prevotella* species (especially *Prevotella copri*) indeed are decreased in all NDs, apart from AD (see table
422 A1. in the Appendix Section A), suggests a possible protective role against neurodegenerative
423 processes in the brain and therefore deserves future investigation.

424
425 In the Verrucomicrobia phylum, four studies found *Akkermansia* genus or species increased in
426 PD patients [27,30-32]. Moreover, both high colonic *Akkermansia* abundance and PD were shown to
427 negatively be correlated to the BMI [86]. *Akkermansia muciniphila* exerts beneficial effect on the
428 intestinal mucosal layer and improves the barrier function of gut epithelium [31]. Thus, in conditions
429 of low *Akkermansia* abundance, the maintenance of a healthy gut barrier may be not possible anymore,
430 and pathogenic factors of other bacteria, like Lipopolysaccharide (LPS), could consequently harm the
431 host. On the other hand, *Akkermansia* uses mucus as an energy source and degrades the mucus layer
432 [87]. This could lead to an increased exposure of microbial antigens to immune cells and thus could
433 have an inflammatory potential. The role of the pro- and anti-inflammatory properties is not yet clear
434 but maintaining a steady state of *Akkermansia* may be a pre-requisite for normal functioning of the
435 gut homeostasis. *Akkermansia* together with *Eubacterium*, *Capnocytophaga*, *Phascolarctobacterium* and
436 OTUs no further classified than to Firmicutes, achieved a high prediction score for PD [31].

437
438 No changes within the phylum of Proteobacteria, apart from the family Enterobacteriaceae
439 [29,35] were reported in PD patients. Therefore, it can be concluded that the relative abundance of
440 these taxa is only changed in a minority of patients. Because of the missing confirmation of these
441 alterations, the clinical relevance of these findings can be questioned. *Escherichia coli*, a member of
442 Enterobacteriaceae family, showed translocation into the colonic mucosa in PD patients [88]. The
443 complexity of this interrelationship is shown by the increased abundance of Enterobacteriaceae in PD
444 patients with the postural instability and gait difficulty (PIGD) phenotype compared to the tremor-
445 dominant subtypes (TD) [33]. The more progressive and severe pathology of non-TD-phenotypes
446 (including PIGD) supports the idea of a different unknown pathological mechanisms underlying this
447 subgroup of PD [33]. The observed difference in Enterobacteriaceae abundance between the
448 subgroups of PD disease might equally occur due to other variable phenotype features in this study:
449 PIGD subjects tended to be older and to have higher nonmotor symptoms scale (NMSS) total scores
450 than TD subjects [33]. These differential abundant taxa between PD phenotypes was challenged by
451 another study [35] as these researchers only found an overall increase in PD [29,35]. However, in this
452 study Unger et al, used a slightly different classification and they interpreted their results based on a
453 small number of the TD patients in their sample. In agreement to the findings in PD, also a significant
454 increase of Enterobacteriaceae and some other taxa of Proteobacteria phylum was observed in NMO.
455 The mentioned change in Enterobacteriaceae was not only significant but they were also four times
456 higher abundant than in healthy controls [50]. A protective role of Enterobacteriaceae was proposed
457 by Hasegawa et al, demonstrating that Enterobacteriaceae (and *C. perfringens*, *B. fragilis*, and
458 *Pseudomonas*) produce hydrogen water (1000ml/d) and were associated with improved total UPDRS
459 scores in PD patients in a double-blind randomized controls study [89]. In addition, they were shown
460 to be protective against PD pathology in rats [90]. These researches performed a study to access,

461 whether the total amount of breath hydrogen in PD patients was lower than those in healthy controls
462 and confirmed this hypothesis [34]. The results regarding the potential protective or aggravating role
463 of Enterobacteriaceae are contradictory to date and need further investigation.

464 A high number of microbiota composition changes found in human case-control studies of ND
465 concern unclassified bacteria. Approximately 40% of the gut microbiome cannot be captured by
466 reference-genome-based methods [91]. It is hypothesized that during ND unclassified bacteria gain
467 more importance. Heintz-Buschart et al, discovered 10 depleted unclassified OTUs in PD patients
468 that exhibited together very low fractional abundance [32], but they still could manipulate host's
469 health via an unknown unique mechanism without a need of high abundance. Interestingly, the
470 genome of OTU 469, encoded an endoglucanase with a synuclein-like domain and thus may lead to
471 an immune system that is tolerant to alpha-synuclein like structures [32]. This could have a potential
472 implication for induction of PD and MSA pathology. The depletion of this bacterium leads to a lack
473 of host's immune system exposure to the bacterial alpha synuclein like structures, which may result
474 in an immunologically induced alpha-synuclein-aggregation. This hypothesis is in line with the
475 reports, that alpha-synuclein aggregation starts in the enteric nervous system and not in the brain
476 [1,3,92]. All unclassified OTUs of this study, where the genome could be recovered, showed common
477 features such as fermentative lifestyle, motility and the ability to synthesize vitamins. The great
478 number of observed changes in unclassified Bacteria or single OTUs must be handled carefully, since
479 the method of quantification of the most included studies was 16S rRNA amplification and high-
480 throughput sequencing, which is known to prone to sequencing errors and chimera creation, leading
481 to an artificial high diversity and low reproducibility [93]. Lastly, no studies analysed the gut
482 microbiome of iRBD patients yet (apart from Heintz-Buschart et al, 2017), but this disease showed a
483 high conversion rate to alpha Synuclein disorders, especially PD. More investigations of microbiome
484 in prodromal stages of diseases with follow up evaluation are necessary.
485

486 Only one human case-control study analysed the colonic microbiome of AD patients [48]. Most
487 AD patients were in the stage of mild or very mild AD according to clinical dementia rating (CDR)
488 scores. Targeting the early stage of the AD may help to examine possible causal associations between
489 microbiome compositional changes and the disease onset. Limited overlap was found between
490 changes of the microbiome in AD and changes identified in any of the ten PD studies. Minor
491 similarities were observed that included a decreased Firmicutes phylum and Clostridium genus in
492 AD, whereas an almost significantly decreased Clostridium leptum [34] or significant decreased
493 Clostridium saccharolyticum [31,34] was observed on PD. Moreover, AD showed an increased
494 Bacteroidetes phylum, *Bacteroides* genus [30] and Alistipes genus, while increased Alistipes shahii
495 [31] was evident in PD patients. As the above findings were only found in a minority of the cohorts,
496 no great similarity between the microbiome of AD and PD can be concluded so far.

497 5. Conclusions

498 Changes in composition of colonic microbiota are found in PD and several other NDs. Some
499 evidence emerges, that assessing the microbiota composition at high taxonomic resolution, can lead
500 to specific alterations in relative abundance for one disease or disease group that might evolve
501 discriminative power. For example, decreased *Blautia* and *Dorea* is limited to alpha synucleinopathies
502 like PD [26,27,29,30] and MSA [39]. Inheriting increased Christensenellaceae and co-abundant
503 increased *Oscillospira*, might predispose for a higher vulnerability for PD or more severe PD stages
504 [26,30] and *Prevotella* reduction occurs in early PD stages and might function as biomarker for PD
505 [32]. A definitive concluding rating of the importance of these changes for the development and
506 diagnosis of NTs, however, is difficult to date. The reasons lay in methodological discrepancies
507 collecting and analysing the microbiome as well as varying sample and effect sizes. Moreover, other
508 confounders such as genetics, medication, nutrition and life style factors may result in obtaining
509 insignificant or falsified results. Therefore, methodical standardization is necessary for ensuring the
510 collection of comparable, reliable and reproducible data. To compare microbiota composition
511 between studies and diseases, it is most straight-forward to first assess the known microbiota via the

512 more reliable microarray methods and additionally make a functional analysis. In a next step, one
 513 may try to detect new species via 16S rRNA sequencing. Finally, the functional variability within one
 514 species and the change of features due to plasmid exchange deserves more attention. The interactions
 515 between bacterial species and strains and moreover the co-abundances must be more deeply
 516 investigated before assumptions on effects of specific bacteria on the host can be made.
 517

518 **Acknowledgments:** We thank Prof. D. Wolfer for critically reading this manuscript.

519 **Author Contributions:** MHM and SG developed the idea, made the literature search and edited this manuscript.

520 **Conflicts of Interest:** The authors declare no conflict of interest.

521 Appendix A

522 A1. Changes in relative abundances in neurodegenerative diseases

Phylum and family	Genus (species, OTU)	A D	P D	M S A	N M O	M S S	A L S	Reference
Unclassified bacteria								PD: [31]
Firmicutes								
Unspecified								AD: [48], PD: [30], MSA: [39], ALS: [37]
unclassified								PD: [31], NMO: [50]
								NMO: [50]
91otu15265	o1 = one OTU							NMO, o1: [50]
Lactobacillaceae	Unspec.							PD: [33], [27], [28]
								PD: [35]
	<i>Lactobacillus</i> (<i>m</i> = <i>mucosae</i> , <i>g</i> = <i>gasseri</i> , <i>c</i> = <i>casei</i> , <i>f</i> = <i>fermentum</i> , <i>re</i> = <i>reuteri</i> , <i>ru</i> = <i>ruminis</i>), <i>r</i> = <i>rogosae</i>							PD: [27] + <i>m</i> : [26], + <i>g</i> , <i>c</i> , <i>f</i> , <i>re</i> , <i>ru</i> : [34], MS: [58], <i>r</i> : [49]
Enterococcaceae	Unspec.							PD: [28], [29]
								PD: [35]
	Enterococcus							PD : [29]
Ruminococcaceae	Unspecified							AD: (Vogt et al., 2017)
	O1 = one OTU							PD: o1: [27], [33] MSA : [39], MS: [68] ALS: [38]
	unclassified						*	PD: [27], NMO: [50], ALS: [63] detected differences in certain unculturable Ruminococcaceae in ALS patients but didn't describe the scale and direction.
	<i>Ruminococcus</i> <i>b</i> = <i>bromii</i>							PD, <i>b</i> : [26], NMO: [50], MS, o38 : [57]

Streptococcaceae	<i>Unspec.</i>									PD : [29]
	<i>Streptococcus</i> <i>t/s= thermophilus/salivarius</i>								+	PD : [29], MS, t/s : [49]
Peptococcus	<i>Desulfotomaculum sp. CYP1</i>									MS: [49]
Peptostreptococcaceae	<i>Unspec.</i>									AD: [48]
Acidaminococcaceae	<i>Phascolarctobacterium</i>									AD: [48]
	<i>Acidaminococcus</i>									PD : [29]
Veillonellaceae	<i>Unspec.</i>									PD : [29]
	<i>Megamonas, f= funiformis</i>									PD : [29],
	YIT11815								+	MS, f: [49]
	<i>Megasphaera</i>									PD : [29]
	<i>Dialister</i>									AD: [48]
Tissierellaceae	<i>Tissierella</i>									PD: [27]
Turicibacteraceae	<i>unspec.</i>									AD: [48]
	<i>Turicibacter</i>									AD: [48]
Tenericutes										
Unclassified										
										NMO: [50]
Acholeplasmataceae	<i>Acholeplasma</i>									NMO: [50]
	[Candidatus Phytoplasma]									NMO: [50]
Melainabacteria										
OTU_171 (98.9% identity to MelB1,57)										
										PD, o1: [32]
Actinobacteria										
Unspec.										
										AD: [48], PD : [29], MS : [59]
Bifidobacteriaceae	<i>Unspec.</i>									AD: [48], PD: [27]
	<i>Bifidobacterium</i>									AD: [48], PD: [26], [27], [35], MS: [59]
Coriobacteraceae	<i>Unspec.</i>									PD : [29]
	<i>Adlercreutzia</i>									AD: [48], MS: [58]
	<i>Collinsella</i>									MS: [58], [56]
	<i>Slackia</i>									MS: [56]
	<i>Eggerthella lenta</i>									MS: [49]
Corynebacteriaceae	<i>Corynebacterium</i>									NMO: [50]
Fibrobacters										
unclassified										
										NMO: [50]
Gemmatimonades										
91otu1 0683										
	o1= one OTU									NMO, o1: [50]
Bacteroidetes										
Unspec.										
										AD: [48] PD: [30] MSA: [39], ALS: [37]
										PD: [35], [29]
unclassified										
										NMO: [50]
Bacteroidaceae	<i>Unspec.</i>								+	AD: [48]

Alpha-Proteobacteria						
Unclassified						NMO: [50]
Bradyrhizobiaceae	<i>unspec</i>					PD: [33]
Brucellaceae	<i>Mycoplana</i>					MS: [58]
OTU_469, o1=one OTU						PD, o1: [32]
Beta-Proteobacteria						
Sutterellaceae	<i>Sutterella, w= wadsworthensis</i>				+	MS, w: [49]
Burkholderiaceae	<i>Ralstonia</i>					MSA: [39]
Oxalobacteraceae	Unspec.					MSA: [39]
Gamma-Proteobacteria						
Unclassified						NMO: [50]
Chromatiaceae	o1 = one OTU.					NMO, o1: [50]
Coxiellaceae	o1 = one OTU					NMO, o1: [50]
Enterobacteriaceae	Unspec.					PD: [35], [29]
	Unclassified					NMO: [50]
	<i>Escherichia</i>					ALS: [37]
	<i>Escherichia/Shigella</i>					PD : [29]
	<i>Proteus</i>					PD : [29]
Moraxellaceae	Unspec.					PD : [29]
	<i>Acinetobacter</i>					PD : [29]
Pasteurellaceae	Unspec.					PD: [27]
Pseudomonadaceae	<i>Pseudomonas</i>					MS: [58]
Delta-Proteobacteria						
Desulfovibrionaceae	<i>Bilophila</i>					AD: [48]
	<i>Desulfovibrio</i>					MS: [59]
KSB3						
unclassified						NMO: [50]
91otu6419	97otu28635 = one OTU					NMO, o1: [50]

523 Table A1 Legend

524 AD: Alzheimer disease

525 PD: Parkinson disease

526 MSA: Multiple sclerosis

527 NMO: neuromyelitis optica

528 MS: Multiple sclerosis

529 ALS: amyotrophic lateral sclerosis

530 o1,2,3, symbolise, that the change is concerning one, two, three or more operational taxonomic units (OTUs)

531 letter = abbreviation for the species in which the change was observed

532 Small letters followed by a + indicate, that the change was observed for genus and species

533 Changes in relative abundance compared to the control, are marked colour coded.

534 Green: Higher abundance in disease condition.

535 Orange: Lower abundance in disease condition.

536 Uncoloured: No study detected a significant change in abundance

537 - equals, that no significant change in PD compared to HC was found with the chosen methodological approach.

538

539 There are three intensity nuances for each colour to show how many of the included study found the same
 540 difference and direction.
 541 Light: 1 study
 542 Middle: 2 studies
 543 Dark: 3 or more studies
 544 Coloured fields containing a + indicates, that detailed information concerning species type is found in the other
 545 columns

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