

1 Article

2 Prenatal Exposure to Ambient Pesticides and Adverse 3 Birth Outcomes in Agricultural Regions of California

4 Chenxiao Ling^a, Zeyan Liew^a, Ondine S. von Ehrenstein^{ab}, Julia E. Heck^a, Andrew S. Park^a, Xin Cui^b,
5 Myles Cockburn^{c,d,e}, Jun Wu^f, and Beate Ritz^{a,*}

6 ^aDepartment of Epidemiology, Fielding School of Public Health, UCLA, Los Angeles, CA, USA; lingcx@ucla.edu,
7 zeyanliew@ucla.edu, jeheck@ucla.edu, apark1986@ucla.edu, britz@ucla.edu

8 ^bDepartment of Community Health Sciences, Fielding School of Public Health, UCLA, Los Angeles, CA, USA;
9 ovehren@ucla.edu, cynthiacui1010@ucla.edu

10 ^cDepartment of Preventive Medicine, Keck School of Medicine, University of Southern California, Los Angeles, CA,
11 USA

12 ^dDepartment of Epidemiology, Colorado School of Public Health, University of Colorado, Aurora, CO, USA;
13 myles.cockburn@ucdenver.edu

14 ^eColorado Comprehensive Cancer Center, University of Colorado, Aurora, CO, USA

15 ^fProgram in Public Health, Susan and Henry Samueli College of Health Sciences, University of California, Irvine,
16 CA, USA; junwu@uci.edu

17 * Correspondence: britz@ucla.edu; Tel.: +1- 310-206-7458
18

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20 **Abstract:** Findings from studies of prenatal exposure to pesticides and adverse birth outcomes have been
21 equivocal so far. We examined prenatal exposure to agricultural pesticides in relation to preterm birth
22 and term low birthweight, respectively, in children born between 1998 and 2010 randomly selected from
23 California birth records. We estimated residential exposures to agriculturally applied pesticides within 2
24 km of residential addresses at birth by pregnancy trimester for 17 individual pesticides and 3 chemical
25 classes (organophosphates, pyrethroids, and carbamates). Among maternal addresses located within 2
26 km of any agricultural pesticide application, we identified 24,693 preterm and 220,297 term births, and
27 4,412 term low birthweight and 194,732 term normal birthweight infants. First or second trimester
28 exposures to individual pesticides (e.g., glyphosates, paraquat, imidacloprid) or exposures to 2+ pesticides
29 in the three chemical classes were associated with small increases (3-7%) in risk for preterm birth;
30 associations were stronger for female offspring. We did not find associations between term low
31 birthweight and exposures to pesticides other than for myclobutanil (OR: 1.11; 95% CI: 1.04-1.20) and
32 possibly pyrethroids as a class. Our improved exposure assessment revealed that first and second
33 trimester exposures to pesticides were associated with preterm delivery but few affected term low
34 birthweight.

35 **Keywords:** Agricultural pesticides; Residential proximity; Adverse birth outcomes; Preterm birth; Low
36 birthweight; Pregnancy
37

38 1. Introduction

39 During the first decade of the 21st century, the rates of *preterm birth* and *low birthweight* were 11%-13%
40 and 7%-8% in the US, respectively [1]. While survival of infants born preterm and/or low birthweight has
41 improved, these children are at higher risk for adverse health outcomes such as neurodevelopmental

42 impairment, respiratory and gastrointestinal complications [2,3], obesity, diabetes mellitus, hypertension,
43 and kidney disease [4–6], and infant and childhood mortality [7].

44 California is the largest agricultural state in the United States, with more than 150 million pesticide
45 active ingredients applied every year [8]. Pesticides have been found in indoor dust at residences near
46 agricultural fields, and may persist for years [9]. Experimental studies show that various pesticides,
47 including organophosphates and pyrethroids, can influence prenatal development including disturbance
48 of placental function [10], endocrine disruption [11], immune regulation and inflammation [12,13].

49 However, epidemiologic studies examining the effect of pesticides on preterm birth and low
50 birthweight have yielded inconsistent results. While ecological and cross-sectional studies reported
51 positive associations for preterm birth and low birthweight and pesticide use in agriculture [14–16], results
52 from studies assessing self-reported or occupational use of pesticides were inconsistent [17–19].
53 Nevertheless, small biomarker-based studies with measured organochlorines and/or organophosphates
54 and their metabolic breakdown products in maternal blood, urine, or umbilical cord blood suggested
55 positive associations with preterm birth or with lower birthweight, with variation by chemicals and
56 outcomes assessed [20–22]. A systematic review of 25 studies of residential proximity to pesticide
57 applications suggested weak or no effects on preterm birth and low birthweight, possibly due to
58 misclassification in exposure assessment [23]. Yet more recent residential proximity studies using simple
59 or area-level exposure assessments provided some evidence for pesticides influencing birth outcomes
60 [16,24,25]. Three Geographic Information System (GIS)-based studies of the San Joaquin Valley of
61 California (~1997-2011) reported conflicting results. After summing over all chemicals with acute toxicity
62 based on the US EPA Signal Word [26], one study found pesticide exposures to increase the risk of preterm
63 birth and low birthweight among those exposed to the highest 5th percentile applied within a 2.6 km² section
64 [27]. The other two studies assessed ever/never exposure to individual agrochemicals and chemical groups
65 including endocrine disruptors and reproductive toxicants applied within 500 m of residences in relation
66 to spontaneous preterm birth [28] or preeclampsia phenotypes resulting in preterm delivery [29] for
67 exposures in any month of gestation and - contrary to the first study- reported overwhelmingly inverse
68 associations. The discrepancies in results may be explained by various pesticides these studies included,
69 different methods of pesticide exposure assessment, or the exposure period in relation to gestational age
70 they chose.

71 Our objective was to examine whether prenatal exposure to agricultural pesticides contributes to risk
72 of preterm birth or term low birth weight. We estimated GIS-derived exposures to agricultural pesticides
73 applied near maternal residences during pregnancy selected based on previous research that indicated
74 reproductive toxicity, and considered trimester-specific exposure windows, in all agricultural regions of
75 California (defined as areas with any agricultural pesticide application within 2 km of individuals'
76 residences).

77 2. Materials and Methods

78 Study Population

79 We combined two sets of birth records randomly selected from all California births born between 1998
80 and 2010; these were: 1) controls matched to children with autism at a 1:10 ratio by sex and birth year
81 (n=339,210) as described previously [30] and, 2) controls matched to children diagnosed with cancers at a
82 1:20 ratio by birth year (n=143,595) [31], which were representative of all California births. We excluded
83 children with missing data for gestational length based on the date of last reported menses (n=20,124), with
84 extreme or implausible gestational ages (<20 weeks or >45 weeks) or birthweights (<500 g or >6,800 g)
85 (n=6,390), with missing sex (n=2), with home addresses outside of California (n=1,433), and multiple births
86 (n=13,251) and also removed duplicate subjects. The remaining births included 41,089 preterm births,
87 defined as gestational age less than 37 weeks, and 358,256 term births (not low birthweight) that were born

88 between 37 - <42 weeks as the reference. To examine term low birthweight, we used 7,407 term births with
89 less than 2,500 g birthweight, indicating intrauterine growth restriction and included 317,710 term normal
90 birthweight infants (2,500 g - 4,000 g) in the comparison group. Restricting our study population to those
91 residing at birth within 2 km of fields on which agricultural use pesticides were applied, we included 24,693
92 preterm births and 220,297 term births, and 4,412 term low birthweight and 194,732 term normal
93 birthweight infants.

94 **Exposure Assessment**

95 We geocoded maternal residential addresses listed on the birth certificates using an automated
96 approach [32] and calculated measures of residential ambient pesticide exposures using a GIS-based
97 Residential Ambient Pesticide Estimation System, as previously described [33,34]. In brief, we combined
98 California's Pesticide Use Reports (PUR), land use maps, and geocoded birth addresses to produce
99 estimates of pesticide exposure during each month of pregnancy (see Supplementary Materials and
100 Methods in Appendix A). Monthly exposure estimates (pounds per acre) were calculated by adding the
101 poundage of pesticide applied in a 2 km buffer surrounding each address and weighting the total poundage
102 by the proportion of acreage treated within the buffer. We defined the first, second, and third trimesters as
103 0-12 weeks, 13-25 weeks, and ≥ 26 weeks of pregnancy, respectively. For preterm birth, the length of
104 gestation and hence exposure period are shorter than term birth by design; to account for that, we assessed
105 third trimester exposures using 27-32 weeks of gestation only (>88% of preterm births had a gestational
106 length longer than 32 weeks). For each pesticide, monthly values were divided into daily poundage for
107 each gestational day of pregnancy, which was then averaged across all days in each trimester. Due to the
108 uncertainty in this type of exposure assessment (e.g. assuming the mothers stayed at the reported
109 residences during the entire pregnancy, wind patterns), we categorized prenatal exposure as ever/never
110 exposed to a specific chemical in each trimester.

111 We selected 17 individual chemicals previously observed to have reproductive toxicity [24,35-39].
112 Additionally, we also considered all pesticides from three widely used chemical classes in the Pesticide
113 Action Network (PAN) pesticide database (<http://www.pesticideinfo.org/>) that have been linked to
114 reproductive toxicity [21,37,40,41], i.e. 24 n-methyl carbamate/dithiocarbamates, 50 organophosphates,
115 and 29 pyrethroid pesticides to which one or more study subjects were exposed according to our 2 km
116 buffer criterion (Table S1). For each class, we used the count of individual chemicals that each subject was
117 ever exposed to in each trimester. We divided subjects into exposed to 2+ pesticides, exposed to 1 pesticide,
118 and no exposure to the respective pesticides.

119 Since specific location of non-agricultural pesticide applications (structural pest control, rights of way,
120 and landscape maintenance in urban communities) are not provided by the PUR, and due to competing
121 exposures such as air pollution in urban areas [42,43], we restricted our analyses to individuals born in
122 agricultural regions, defined as residences within a 2 km buffer of any type of agricultural pesticide
123 application during pregnancy (Figure S1).

124 **Statistical Analysis**

125 We conducted unconditional logistic regression analyses adjusting for matching factors (infant sex
126 and year of birth) and the source of control subjects (autism vs cancer study) to estimate odds ratios (ORs)
127 and 95% confidence intervals (CIs) for associations between pesticide exposures and preterm birth or term
128 low birthweight. To account for the unbalanced sex ratio (~4:1 male: female among the autism controls)
129 and birth year distribution in this combined sample, we included the inverse of the sampling fraction
130 (calculated as the sample size divided by total births in California by gender and birth year) as a stabilized
131 weighting factor to reflect the sex and birth year distribution of all California births. We additionally
132 adjusted for potential confounders based on the literature [44-47], including: maternal age at delivery (≤ 19 ,
133 20-24, 25-29, 30-34, ≥ 35), maternal race/ethnicity (non-Hispanic White, Hispanic, Black, Asian/Pacific
134 islander, others), maternal birthplace (US vs. non-US), maternal education (<12 years, 12 years, 13-15 years,
135 ≥ 16 years), parity (1, 2, ≥ 3), payment source for prenatal care as a proxy for family income

136 (private/HMO/Blue Cross Blue Shield vs. MediCal/government/self-pay), prenatal care in the first trimester
137 (yes vs. no), and neighborhood-level socioeconomic status (SES) [48]. Furthermore, we conducted stratified
138 analyses by maternal race/ethnicity (non-Hispanic Whites, US-born Hispanics, and non-US-born
139 Hispanics) since exposures may be higher among Hispanics, especially recent immigrants, who may live
140 close to agricultural fields and have poor housing conditions [49]; by infant sex because males are more
141 likely to be born preterm [50,51]; as well as by season of conception (Jan-Mar, Apr-Jun, Jul-Sep, and Oct-
142 Dec), estimated from the last menstrual period and length of gestation, because of seasonal variations in
143 pesticide applications (Figure 1). We also conducted several sensitivity analyses to evaluate the robustness
144 of our findings, including adjusting for additional confounders such as maternal cigarette smoking, pre-
145 pregnancy Body Mass Index (BMI), or air pollution, adjusting for co-exposures to other pesticides in the
146 same exposure window or exposures during earlier windows, or restricting our analyses to births with a
147 high geocode quality or with spontaneous vaginal deliveries (see Appendix A). Statistical analyses were
148 performed using SAS 9.4 (SAS Institute Inc., Cary, NC).

149 3. Results

150 Infants born preterm or born term with low birthweight were more likely to have mothers of younger
151 age, less education, lower neighborhood SES, starting prenatal care after the first trimester, who used Medi-
152 Cal or other government programs instead of private insurance. In addition, preterm births were more
153 likely to be a third or later born child, and have mothers with Hispanic or Black race/ethnic origin; term
154 low birthweight infants were more likely to be female and a first born child, and born to Black and Asian
155 mothers (Table 1).

156 Exposure to some pesticides in the first- and second trimester was associated with a small increase in
157 risk for preterm birth (ORs: 1.03-1.07) (Table 2) while third trimester exposures did not increase risk for
158 preterm birth. Effect estimates were slightly stronger in female infants, except for simazine, which showed
159 stronger effects in males with ORs of 1.06-1.07 (Table S2). Stratified analysis by season of conception
160 suggested that effect estimates were generally stronger when the peak season of pesticide application
161 concurred with the first or second trimester of pregnancy (data not shown).

162 When examining chemical classes, first trimester exposures to carbamates (OR_{1st trimester}: 1.04; 95% CI:
163 1.00-1.08), or pyrethroids (OR_{1st trimester}: 1.06; 95% CI: 1.02-1.09) increased ORs for preterm birth in the group
164 exposed to 2+ chemicals compared with no exposure (Table 3), while second trimester exposures to
165 carbamates, organophosphates, or pyrethroids were all associated with small increases (3-6%) in ORs for
166 preterm birth. In sex specific analyses, we did not observe elevated ORs for preterm birth among male
167 infants, but observed slightly stronger increases (7-11%) with exposure during the first or second trimester
168 among female infants (Table 4). Exposure prevalence was highest in infants born to non-US-born Hispanic
169 mothers, followed by US-born Hispanic mothers, and in general pesticide ORs were stronger in these two
170 groups; however, we did not observe a single racial/ethnic subgroup with stronger effect estimates across
171 all three chemical classes (Table S3).

172 Associations between the selected individual pesticides or chemical classes and term low birthweight
173 for each trimester in pregnancy were mostly null. In multivariable adjusted models, we only estimated
174 increased ORs for second or third-trimester exposures to myclobutanil (OR_{2nd trimester}: 1.11; 95% CI: 1.03-1.19;
175 OR_{3rd trimester}: 1.11; 95% CI: 1.04-1.20 (Table S4); similarly, exposures to the three chemical classes were not
176 associated with term low birthweight in general, except for marginally elevated odds (OR_{1st trimester}: 1.05; 95%
177 CI: 0.98, 1.13; OR_{2nd trimester}: 1.06; 95% CI: 0.99, 1.13) in infants exposed to 2 or more pyrethroids (Table S5).

178 *Figures and Tables*

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Table 1. Demographic and pregnancy characteristics of the study population in agricultural regions, 1998-2010.

	Preterm Birth		Term Birth		Term Low Birthweight		Term Normal Birthweight	
	N=24,693	%	N=220,297	%	N=4,412	%	N=194,732	%
Infant sex								
Males	18,586	75.3	161,076	73.1	2,972	67.4	140,308	72.1
Females	6,107	24.7	59,221	26.9	1,440	32.6	54,424	27.9
Year of Birth								
1998	1,393	5.6	12,327	5.6	262	5.9	10,728	5.5
1999	1,483	6.0	12,599	5.7	228	5.2	10,944	5.6
2000	1,504	6.1	14,190	6.4	293	6.6	12,381	6.4
2001	1,661	6.7	14,759	6.7	279	6.3	12,856	6.6
2002	1,808	7.3	15,882	7.2	319	7.2	13,846	7.1
2003	2,043	8.3	17,993	8.2	365	8.3	15,684	8.1
2004	2,127	8.6	18,030	8.2	385	8.7	15,924	8.2
2005	2,127	8.6	18,860	8.6	361	8.2	16,824	8.6
2006	2,200	8.9	19,797	9.0	409	9.3	17,680	9.1
2007	2,440	9.9	20,616	9.4	448	10.2	18,412	9.5
2008	2,359	9.6	21,950	10.0	445	10.1	19,696	10.1
2009	2,063	8.4	19,155	8.7	349	7.9	17,160	8.8
2010	1,485	6.0	14,139	6.4	269	6.1	12,597	6.5
Maternal Age								
19 or less	2,976	12.1	21,126	9.6	593	13.4	19,711	10.1
20-24	5,772	23.4	50,362	22.9	1,045	23.7	45,392	23.3
25-29	5,922	24.0	59,782	27.1	1,139	25.8	52,667	27.0
30-34	5,590	22.6	53,719	24.4	938	21.3	46,661	24.0
35 and older	4,432	17.9	35,306	16.0	696	15.8	30,299	15.6
Missing	1	0.0	2	0.0	1	0.0	2	0.0
Maternal Education								
<12 years	8,238	33.4	62,459	28.4	1,351	30.6	55,856	28.7
12 years	6,955	28.2	58,683	26.6	1,249	28.3	51,883	26.6
13-15 years	4,949	20.0	45,809	20.8	866	19.6	40,122	20.6
16+ years	3,984	16.1	48,252	21.9	827	18.7	42,367	21.8
Missing	567	2.3	5,094	2.3	119	2.7	4,504	2.3
Maternal Race/Ethnicity								

White, non-Hispanic	5,919	24.0	64,600	29.3	928	21.0	55,036	28.3
Hispanic, any race	13,801	55.9	116,509	52.9	2,278	51.6	103,428	53.1
Black	1,691	6.8	9,639	4.4	392	8.9	8,843	4.5
Asian/PI	2,437	9.9	21,570	9.8	587	13.3	20,193	10.4
Other/Refused	845	3.4	7,979	3.6	227	5.1	7,232	3.7
Parity								
1	9,319	37.7	84,535	38.4	2,238	50.7	76,877	39.5
2	7,060	28.6	70,977	32.2	1,087	24.6	62,329	32.0
3 or more	8,306	33.6	64,736	29.4	1,084	24.6	55,484	28.5
Missing	8	0.0	49	0.0	3	0.1	42	0.0
Prenatal care in first trimester								
Yes	19821	80.3	186741	84.8	3533	80.1	164640	84.5
No	4580	18.5	32233	14.6	834	18.9	28891	14.8
Missing	292	1.2	1323	0.6	45	1.0	1201	0.6
Payment type of prenatal care								
Private/HMO/BCBS	10731	43.5	110816	50.3	1961	44.4	96650	49.6
MediCal/Govt/self-pay	13591	55.0	108385	49.2	2401	54.4	97085	49.9
Missing	371	1.5	1096	0.5	50	1.1	997	0.5
Maternal birthplace								
US	13585	55.0	119055	54.0	2337	53.0	104048	53.4
Non-US countries	11093	44.9	101169	45.9	2072	47.0	90618	46.5
Missing	15	0.1	73	0.0	3	0.1	66	0.0
Quintiles of neighborhood SES								
1 (Lowest)	7157	29.0	55746	25.3	1254	28.4	49635	25.5
2	6403	25.9	55227	25.1	1174	26.6	48916	25.1
3	5143	20.8	45378	20.6	883	20.0	39879	20.5
4	3451	14.0	35467	16.1	627	14.2	31215	16.0
5 (Highest)	2525	10.2	28364	12.9	474	10.7	24980	12.8
Missing	14	0.1	115	0.1	.	.	107	0.1

Table 2. Odds ratios (95% confidence intervals) for trimester exposures to individual pesticides (ever vs never) and preterm birth.

Pesticide	First trimester				Second trimester			
	Preterm Birth*	Term Birth*	OR ¹	OR ²	Preterm Birth*	Term Birth*	OR ¹	OR ²
Fungicide								
Myclobutanil	5307	47755	1.02	1.02	5366	48337	1.02	1.02
	(22.0%)	(21.6%)	(0.99, 1.05)	(0.99, 1.06)	(22.2%)	(21.9%)	(0.98, 1.05)	(0.99, 1.06)
Chlorothalonil	5511	49183	1.03	1.02	5585	49070	1.05	1.04
	(22.8%)	(22.3%)	(1.00, 1.06)	(0.99, 1.05)	(23.1%)	(22.2%)	(1.02, 1.08)	(1.01, 1.08)
Mancozeb	3600	32779	1.00	0.98	3588	33038	0.99	0.97
	(14.9%)	(14.8%)	(0.96, 1.04)	(0.95, 1.02)	(14.8%)	(15.0%)	(0.95, 1.02)	(0.94, 1.01)
Herbicide								
Glyphosate compounds	14346	127703	1.07	1.05	14295	127672	1.06	1.04
	(59.3%)	(57.8%)	(1.04, 1.10)	(1.02, 1.08)	(59.1%)	(57.8%)	(1.03, 1.09)	(1.01, 1.07)
Paraquat dichloride	3850	32073	1.11	1.07	3823	32009	1.11	1.06
	(15.9%)	(14.5%)	(1.07, 1.16)	(1.03, 1.11)	(15.8%)	(14.5%)	(1.07, 1.15)	(1.02, 1.10)
Simazine	2613	23310	1.02	1.02	2684	22978	1.07	1.06
	(10.8%)	(10.6%)	(0.98, 1.07)	(0.97, 1.06)	(11.1%)	(10.4%)	(1.03, 1.12)	(1.02, 1.11)
Insecticide								
Chlorpyrifos	8511	74414	1.06	1.03	8390	74037	1.05	1.02
	(35.2%)	(33.7%)	(1.04, 1.10)	(1.00, 1.06)	(34.7%)	(33.5%)	(1.02, 1.08)	(0.99, 1.05)
Abamectin	7715	68819	1.04	1.02	7736	69606	1.02	1.01
	(31.9%)	(31.2%)	(1.01, 1.07)	(0.99, 1.05)	(32.0%)	(31.5%)	(0.99, 1.05)	(0.98, 1.04)
Malathion	5696	51530	1.01	0.99	5715	51429	1.02	1.00
	(23.6%)	(23.3%)	(0.98, 1.04)	(0.96, 1.03)	(23.6%)	(23.3%)	(0.99, 1.05)	(0.97, 1.03)
Imidacloprid	6107	53105	1.07	1.06	6139	54444	1.04	1.04

	(25.3%)	(24.0%)	(1.04, 1.10)	(1.03, 1.10)	(25.4%)	(24.6%)	(1.01, 1.08)	(1.00, 1.07)
Diazinon	5319	46514	1.05	1.02	5185	45430	1.05	1.02
	(22.0%)	(21.1%)	(1.01, 1.08)	(0.99, 1.06)	(21.4%)	(20.6%)	(1.01, 1.08)	(0.99, 1.06)
Permethrin	4597	40300	1.05	1.03	4465	40533	1.01	0.99
	(19.0%)	(18.2%)	(1.02, 1.09)	(1.00, 1.07)	(18.5%)	(18.3%)	(0.97, 1.04)	(0.95, 1.02)
Dimethoate	3223	27905	1.06	1.04	3216	27874	1.06	1.03
	(13.3%)	(12.6%)	(1.02, 1.10)	(1.00, 1.08)	(13.3%)	(12.6%)	(1.02, 1.10)	(0.99, 1.07)
Methyl bromide	2448	21398	1.04	1.05	2337	20851	1.02	1.01
	(10.1%)	(9.7%)	(1.00, 1.09)	(1.00, 1.10)	(9.7%)	(9.4%)	(0.98, 1.07)	(0.96, 1.06)
Carbaryl	2241	20285	1.00	1.00	2150	20160	0.97	0.96
	(9.3%)	(9.2%)	(0.96, 1.05)	(0.96, 1.05)	(8.9%)	(9.1%)	(0.92, 1.01)	(0.92, 1.01)
Phosmet	1154	9995	1.05	1.01	1099	9875	1.01	0.97
	(4.8%)	(4.5%)	(0.99, 1.12)	(0.95, 1.08)	(4.5%)	(4.5%)	(0.95, 1.08)	(0.91, 1.04)
Methyl parathion	448	3660	1.11	1.05	402	3715	0.98	0.91
	(1.9%)	(1.7%)	(1.01, 1.23)	(0.95, 1.17)	(1.7%)	(1.7%)	(0.88, 1.08)	(0.82, 1.01)

¹ Adjusted for year of birth, infant sex

² Adjusted for year of birth, infant sex, maternal age, maternal education, maternal race/ethnicity, parity, prenatal care in first trimester, payment type of prenatal care, maternal birthplace, and neighborhood SES

* Numbers of exposed cases/controls and the percentages in the parenthesis; numbers used in each model may vary depending on missing values

Table 3. Odds ratios (95% confidence intervals) for trimester exposures to chemical classes and preterm birth.

Chemical Class	First trimester					Second trimester				
	Preterm Birth*	Term Birth*	OR ¹	OR ²	OR ³	Preterm Birth*	Term Birth*	OR ¹	OR ²	OR ³
No. of carbamates ever exposed to										
0 (ref.)	15419 (63.8%)	143956 (65.2%)				15343 (63.5%)	143806 (65.1%)			
1	4519 (18.7%)	40328 (18.3%)	1.04 (1.01, 1.08)	1.03 (0.99, 1.07)	1.01 (0.98, 1.05)	4604 (19.0%)	40390 (18.3%)	1.07 (1.03, 1.10)	1.04 (1.01, 1.08)	1.03 (0.99, 1.07)
2+	4237 (17.5%)	36613 (16.6%)	1.08 (1.04, 1.11)	1.04 (1.00, 1.08)	1.01 (0.97, 1.06)	4227 (17.5%)	36702 (16.6%)	1.07 (1.04, 1.11)	1.04 (1.00, 1.08)	1.03 (0.98, 1.08)
No. of organophosphates ever exposed to										
0 (ref.)	9523 (39.4%)	90246 (40.9%)				9469 (39.2%)	90715 (41.1%)			
1	5105 (21.1%)	46306 (21.0%)	1.04 (1.01, 1.08)	1.01 (0.98, 1.05)	1.00 (0.96, 1.04)	5263 (21.8%)	46494 (21.0%)	1.08 (1.04, 1.12)	1.06 (1.02, 1.10)	1.04 (1.00, 1.08)
2+	9546 (39.5%)	84346 (38.2%)	1.07 (1.03, 1.10)	1.02 (0.99, 1.06)	0.98 (0.94, 1.02)	9442 (39.1%)	83688 (37.9%)	1.07 (1.04, 1.11)	1.03 (1.00, 1.06)	0.99 (0.95, 1.03)
No. of pyrethroids ever exposed to										
0 (ref.)	11938 (49.4%)	112936 (51.1%)				11906 (49.3%)	112617 (51.0%)			
1	4965	44681	1.05	1.03	1.03	4977	44247	1.06	1.04	1.03

	(20.5%)	(20.2%)	(1.01, 1.09)	(0.99, 1.06)	(0.99, 1.07)	(20.6%)	(20.0%)	(1.02, 1.10)	(1.00, 1.08)	(0.99, 1.07)
	7272	63281	1.09	1.06	1.06	7291	64034	1.08	1.05	1.04
2+	(30.1%)	(28.6%)	(1.05, 1.12)	(1.02, 1.09)	(1.01, 1.11)	(30.2%)	(29.0%)	(1.04, 1.11)	(1.01, 1.08)	(0.99, 1.08)

¹ Adjusted for year of birth, infant sex

² Adjusted for year of birth, infant sex, maternal age, maternal education, maternal race/ethnicity, paternal race, parity, prenatal care in first trimester, payment type of prenatal care, maternal birthplace, and neighborhood SES

³ Adjusted for year of birth, infant sex, maternal age, maternal education, maternal race/ethnicity, paternal race, parity, prenatal care in first trimester, payment type of prenatal care, maternal birthplace, neighborhood SES, and co-exposures to other two chemical classes

* Numbers of exposed cases/controls and the percentages in the parenthesis; numbers used in each model may vary depending on missing values

Table 4. Odds ratios (95% confidence intervals) for trimester exposures to chemical classes and preterm birth, stratified by infant sex.

Chemical Class	First trimester				Second trimester			
	Preterm Birth*	Term Birth*	OR ¹	OR ²	Preterm Birth*	Term Birth*	OR ¹	OR ²
Males								
No. of carbamates ever exposed to								
0 (ref.)	11978 (64.4%)	104716 (64.9%)			11937 (64.2%)	104618 (64.8%)		
1	3408 (18.3%)	29803 (18.5%)	1.00 (0.96, 1.04)	0.99 (0.95, 1.03)	3466 (18.6%)	29761 (18.4%)	1.02 (0.98, 1.06)	1.01 (0.97, 1.05)
2+	3215 (17.3%)	26835 (16.6%)	1.05 (1.00, 1.09)	1.01 (0.97, 1.06)	3198 (17.2%)	26974 (16.7%)	1.04 (1.00, 1.08)	1.00 (0.96, 1.05)
No. of organophosphates ever exposed to								
0 (ref.)	7352 (39.5%)	65519 (40.6%)			7320 (39.4%)	65953 (40.9%)		
1	3973 (21.4%)	33934 (21.0%)	1.04 (1.00, 1.09)	1.02 (0.97, 1.06)	4055 (21.8%)	34148 (21.2%)	1.07 (1.03, 1.11)	1.05 (1.01, 1.09)
2+	7276 (39.1%)	61901 (38.4%)	1.05 (1.01, 1.08)	1.01 (0.97, 1.04)	7226 (38.8%)	61253 (38.0%)	1.06 (1.02, 1.10)	1.02 (0.98, 1.06)
No. of pyrethroids ever exposed to								
0 (ref.)	9239 (49.7%)	82215 (51.0%)			9281 (49.9%)	82055 (50.9%)		
1	3902 (21.0%)	32699 (20.3%)	1.06 (1.02, 1.10)	1.03 (0.99, 1.08)	3822 (20.5%)	32618 (20.2%)	1.03 (0.99, 1.08)	1.01 (0.97, 1.06)
2+	5460 (29.4%)	46440 (28.8%)	1.04 (1.01, 1.08)	1.01 (0.98, 1.05)	5498 (29.6%)	46681 (28.9%)	1.04 (1.00, 1.08)	1.01 (0.97, 1.05)
Females								
No. of carbamates ever exposed to								
0 (ref.)	3861 (63.1%)	38769 (65.5%)			3835 (62.6%)	38723 (65.4%)		
1	1171 (19.1%)	10682 (18.0%)	1.09 (1.02, 1.17)	1.08 (1.00, 1.15)	1195 (19.5%)	10731 (18.1%)	1.12 (1.05, 1.20)	1.09 (1.01, 1.17)
2+	1090 (17.8%)	9783 (16.5%)	1.11 (1.03, 1.19)	1.07 (1.00, 1.15)	1091 (17.8%)	9778 (16.5%)	1.12 (1.04, 1.20)	1.08 (1.01, 1.17)

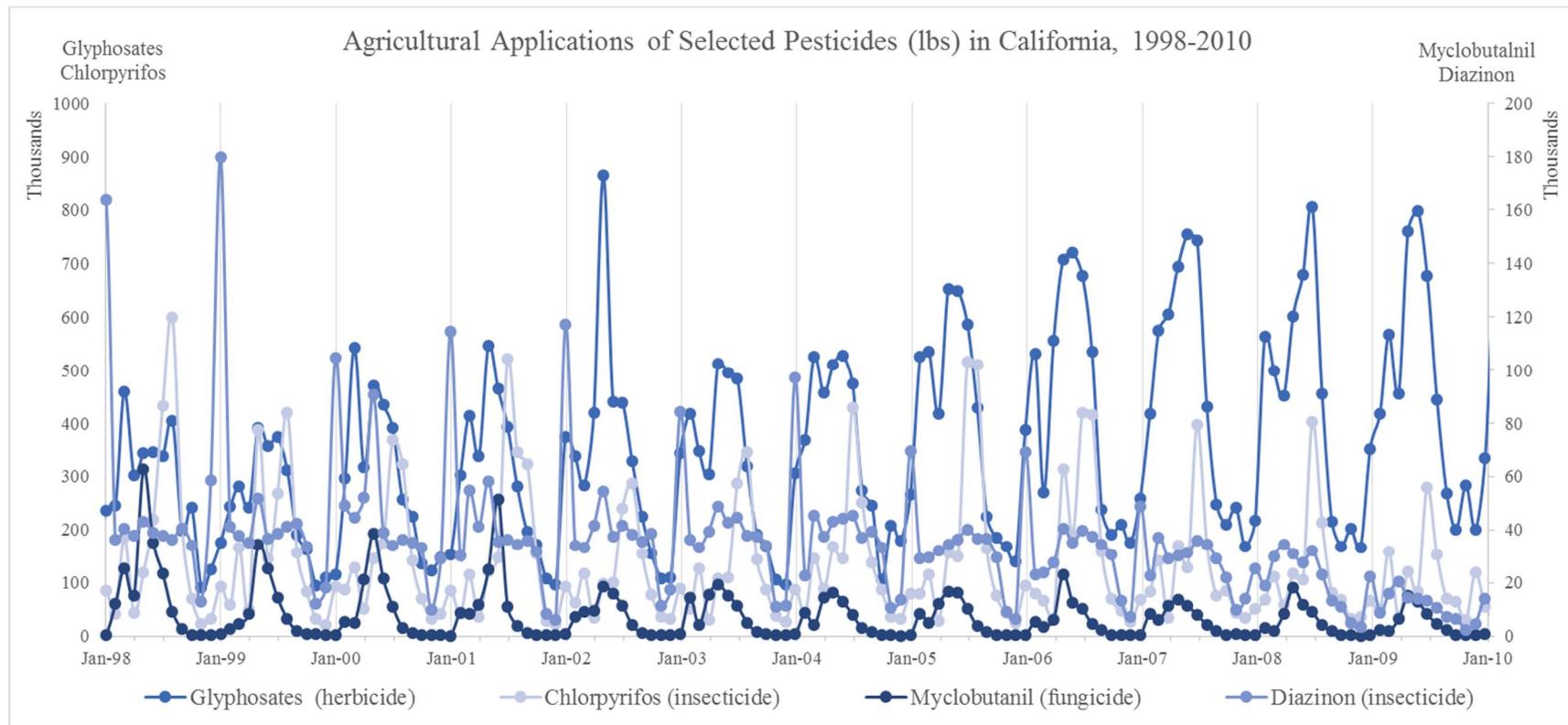
No. of organophosphates ever exposed to									
0 (ref.)	2402 (39.2%)	24352 (41.1%)			2385 (39.0%)	24443 (41.3%)			
1	1276 (20.8%)	12375 (20.9%)	1.04 (0.97, 1.12)	1.01 (0.94, 1.09)	1331 (21.7%)	12396 (20.9%)	1.10 (1.02, 1.18)	1.07 (1.00, 1.15)	
2+	2444 (39.9%)	22506 (38.0%)	1.09 (1.03, 1.16)	1.04 (0.98, 1.11)	2406 (39.3%)	22394 (37.8%)	1.09 (1.03, 1.16)	1.04 (0.98, 1.11)	
No. of pyrethroids ever exposed to									
0 (ref.)	3003 (49.0%)	30390 (51.3%)			2969 (48.5%)	30277 (51.1%)			
1	1226 (20.0%)	11958 (20.2%)	1.04 (0.97, 1.11)	1.02 (0.95, 1.09)	1263 (20.6%)	11751 (19.8%)	1.09 (1.02, 1.17)	1.08 (1.00, 1.16)	
2+	1893 (30.9%)	16886 (28.5%)	1.14 (1.07, 1.21)	1.11 (1.04, 1.18)	1889 (30.9%)	17206 (29.0%)	1.12 (1.05, 1.19)	1.09 (1.02, 1.16)	

¹ Adjusted for year of birth

² Adjusted for year of birth, maternal age, maternal education, maternal race/ethnicity, paternal race, parity, prenatal care in first trimester, payment type of prenatal care, maternal birthplace, and neighborhood SES

* Numbers of exposed cases/controls and the percentages in the parenthesis; numbers used in each model may vary depending on missing values

Figure 1. Seasonal variation of selected pesticide applications.



182

183 **4. Discussion**

184 In this large California study, we compared birth outcomes among women living within 2 km
185 of any type of agricultural pesticide applications during pregnancy. We found that first- and second
186 trimester exposure to selected individual pesticides known or suspected to be reproductive toxicants
187 were associated with a small to moderate size increase in risk of preterm birth. Early and mid-
188 pregnancy exposure to chemicals in the classes of pyrethroids, which have come into use in more
189 recent years, and possibly carbamates and organophosphates, were also linked to preterm birth. We
190 found few pesticides if any to be associated with term low birthweight, except pyrethroids as a class,
191 and myclobutanil - possibly a chance observation given that we examined 17 individual chemicals.
192 Yet, term low birthweight is rarer than preterm birth, thus we have less statistical power to estimate
193 small effect sizes accurately.

194 The positive associations with preterm birth are consistent with earlier small biomarker-based
195 studies [21,52]. This is in contrast with much of the epidemiologic literature to date that presented
196 little evidence for associations of ambient pesticide exposure with preterm birth thus far [23]. Less
197 than a handful of studies conducted in the US examined associations for environmental exposures to
198 pesticides from agricultural applications and preterm birth and/or low birthweight and also provided
199 month- or trimester-specific estimates [24,27–29]. These studies were almost exclusively conducted
200 using California's PUR system; nevertheless, they differed from each other and our study in terms of
201 study region, exposure assessment methods, specific pesticides, and outcome assessment. Our
202 California-wide study restricting to those living near actively farmed fields is most comparable with
203 an earlier study that focused on residents of the San Joaquin Valley and assessed pesticides labeled
204 with EPA signal word toxicity by summing up their active ingredients applied in a 2.6 km² section
205 surrounding maternal residences; this study reported that exposure to all pesticides in the top 5th
206 percentile in pounds applied increased risk of preterm birth and low birthweight by 5-9% overall
207 [27]. However, this method is problematic because 1) the use of total poundage of all pesticides fails
208 to distinguish between high volume but less toxic agents, and low volume but highly toxic agents,
209 and 2) even if the pesticides were assessed according to their known acute toxicity, this may not reflect
210 reproductive toxicity. Therefore, the potential for exposure misclassification and insufficient
211 exploration of the contributions of individual pesticides or pesticide classes with reproductive
212 toxicity limits the results. In contrast to the abovementioned findings, two other studies restricted
213 their sample to all residents of the San Joaquin Valley instead, which included some major
214 cities/towns, and reported overwhelmingly negative associations between 543 specific chemicals and
215 69 chemical classes (any vs no), even for reproductive toxicants and endocrine disruptors, and
216 spontaneous preterm deliveries [28] or preeclampsia with preterm deliveries [29] in 1998-2011 births.
217 However, these two studies focused mainly on exposures during the month prior to delivery rather
218 than early or mid-pregnancy, which are critical exposure periods for placenta development and
219 preterm birth [53,54]. Our sensitivity analysis stratified by season of conception is in line with this as
220 we found increased risk for exposures in early and not late pregnancy. It is quite possible that results
221 for late pregnancy exposures are affected by a 'live-birth selection bias' [55], i.e. that the most
222 susceptible fetuses exposed to pesticides were lost in early pregnancy. The live-birth bias may lead
223 to underestimation of a possible true effect of exposure in early pregnancy and could even create a
224 spurious protective association in late pregnancy such that only those who were less susceptible to
225 the exposure survived to late pregnancy and these groups may in general have a lower risk for these
226 birth outcomes. Moreover, due to seasonality of pesticide applications, those classified as no or low
227 exposure in late pregnancy could have been highly exposed in early pregnancy during the critical
228 period; thus when estimating effects of late exposure alone, they may seem protective.

229 Our study suggests that pesticide exposures affect preterm birth mostly in female children,
230 similar to a Chinese study that found high levels of metabolites of organophosphate pesticides in
231 maternal urine to be associated with duration of gestation only in girls [21]. It has been suggested
232 that exposure to pesticides in early pregnancy triggers more spontaneous abortions of male fetuses

233 [56], or stillbirth in late pregnancy [57], outcomes not captured in our study. It is well known that the
234 male fetus is more vulnerable in utero and is at greater risk of fetal demise with the male-to-female
235 ratio falling from around 120 male conceptions to 105 boys per 100 girls at birth [58]. Some pesticides
236 are endocrine disruptors such as those in the organophosphate family that mimic sex steroidal action
237 and resemble estrogenic more than androgenic action in fish models [59].

238 Maternal, placental, and fetal factors are thought to determine risk of preterm birth and may be
239 affected by prenatal exposure to environmental chemicals [60–63]. For example, it is known that
240 chlorpyrifos can cross the placenta, possibly affecting fetal growth and development [64].
241 Mechanisms by which pesticides may affect risks of preterm birth include interference with immune
242 pathways and inflammation [65], or with metabolic and endocrine regulatory pathways [60,62] and
243 oxidative stress [61]. For example, *in-vitro* study results suggested that phosmet and chlorpyrifos alter
244 cell viability and induce an inflammatory cytokine profile, indicating that organophosphates may
245 adversely affect trophoblast cells [39].

246 In general, we observed stronger associations for births among Hispanic mothers likely because
247 their exposure was higher. According to a recent agricultural survey, about 90% of female farm
248 workers in California were Mexican-born Hispanics [66]; thus, the non-US-born Hispanic mothers
249 may live near fields where they work, making them more likely to be exposed to ambient pesticides
250 when at home. Unfortunately, information on occupations and occupational addresses of the mothers
251 was not available on birth certificates and therefore we could not determine workplace exposures.

252 Fetal growth restriction, the main reason for low birthweight other than preterm birth, can result
253 from transplacental oxygen and nutrient transport, hypoxia, oxidative stress, placental inflammation,
254 and inhibition of placental growth hormone [67]; these mechanisms may be influenced by toxic
255 exposure to organophosphate and carbamate pesticides [68]. Though we did not find much evidence
256 for associations between term low birthweight and many specific pesticide exposures, others
257 however, reported associations for low birthweight (including preterm low weight births) or a
258 decrease in birthweight for some pesticides, including chlorpyrifos and/or diazinon, carbaryl, methyl
259 bromide, as well as with organophosphate and pyrethroid metabolites measured in maternal urine
260 [18,24,36,41,69]. However, it also has been reported that when adjusting for gestational age,
261 associations with low birthweight were attenuated [69]. Our term low birthweight results may have
262 been underpowered but our findings are in line with previous reports that found exposure to methyl
263 bromide or pyrethroids related to reduced birthweight [24,41].

264 Most previous pesticide and birth outcome studies examining exposures from home/garden or
265 professional use of pesticides relied on parental interviews after birth [19,70]. These studies have been
266 criticized for their potential selection or recall bias, because mothers who had infants with adverse
267 outcomes may be more likely to participate or recall their pesticide exposures. Other studies using
268 job exposure matrices may have been prone to non-differential exposure measurement errors, and
269 often could not distinguish between types of chemicals. Smaller studies were able to employ
270 biomarkers such as maternal blood or urine collected in pregnancy, or umbilical cord blood samples
271 to measure prenatal chemical concentrations (mostly persistent organochlorines and non-persistent
272 organophosphate metabolites) [20–22]. The necessarily small size of such pregnancy cohorts limits
273 the number of outcomes and hence study power considerably, and they also have to assume that
274 chemical concentrations measured in bio-samples reflect exposures during multiple gestational
275 windows accurately when many pesticides have relatively short half-lives, e.g., hours to a few days
276 for organophosphates [71]. Few studies have multiple bio-samples available throughout pregnancy.

277 The GIS-PUR and record linkage studies [27–29] including our own do not suffer from selection
278 bias due to non-response or exposure recall bias that threatens interview based studies. However,
279 there are many factors that may affect exposure assessment in these studies including the exposure
280 buffer size, accuracy of birth addresses for assessing pregnancy period exposures, and assumptions
281 about maternal time activity such as time spend at home during days when pesticides are applied.
282 Our GIS approach [34] assesses exposures at a smaller geographic area than PUR data alone (because
283 we incorporate land use data to identify the precise location of crops that PUR data reference), and
284 we consider all linkages between residential locations and sources of pesticide reports (that is, if a
285 pesticide use is mentioned but there is no data on land use for related crops, we include the exposure

286 based on PUR data alone). This approach is more comprehensive than others reported to date, and is
287 demonstrably more sensitive than using PUR alone [33]. Women living close to fields may be quite
288 different in terms of other exposures and SES from those living in towns or cities in these study areas.
289 Different from previous California studies, we expanded the study area to California statewide but
290 only included mothers living within 2 km of agricultural pesticide applications thus restricting to
291 active farming locations and making unmeasured influences of neighborhood SES or water, soil or
292 air pollution more similar. Other unmeasured sources of pesticide exposure include occupational,
293 home and garden use, or dietary exposures to pesticides which may also be more similar in the
294 women we selected as suggested elsewhere [72].

295 Our study has some limitations. We assumed that birth addresses reflected the location of
296 mothers over the entirety of pregnancy. A review on residential mobility during pregnancy showed
297 that on average 24% (range 14%-32%) of mothers move during pregnancy in the US [73]. While most
298 moving distances were short (median <10 km), this may still result in exposure misclassification when
299 using a 2 km exposure buffer. Particularly, Hispanic mothers are more mobile than White mothers
300 [73], increasing the chance of exposure misclassification. In addition, data on the potential
301 confounders maternal smoking and pre-pregnancy BMI, were only available for 4 out of 13 study
302 years. However, adjustment for these variables did not change our results more than minimally.

303 In summary, this study found that first and second but not third trimester exposures to almost
304 all pre-selected pesticides known or suspected to be reproductive toxicants were associated with
305 preterm delivery but only one pesticide (myclobutanil) and perhaps pyrethroids as a class were
306 related to term low birthweight. These associations were stronger in female infants suggesting
307 possible sex specificity for some of these agents or increased vulnerability in male fetuses that would
308 result in selective pregnancy loss.

309 **Supplementary Materials:** The following are available online at www.mdpi.com/xxx/s1,
310 Table S1: Individual pesticides included in chemical classes;
311 Table S2: Odds ratios (95% confidence intervals) for trimester exposures to individual pesticides (ever vs never
312 exposed) and preterm birth, stratified by infant sex;
313 Table S3: Odds ratios (95% confidence intervals) for trimester exposures to chemical classes and preterm birth,
314 stratified by maternal race/ethnicity;
315 Table S4: Odds ratios (95% confidence intervals) for trimester exposures to individual pesticides (ever vs never)
316 and term low birthweight;
317 Table S5: Odds ratios (95% confidence intervals) for trimester exposures to chemical classes and term low
318 birthweight;
319 Table S6: Odds ratios (95% confidence intervals) for trimester exposures to chemical classes and spontaneous
320 preterm birth;
321 Figure S1: Study subjects in agricultural and non-agricultural regions.

322 **Author Contributions:** Conceptualization, Beate Ritz; Methodology, Beate Ritz and Zeyan Liew; Software,
323 Chenxiao Ling; Formal Analysis, Chenxiao Ling; Resources, Beate Ritz, Ondine S. von Ehrenstein, Julia E. Heck,
324 Myles Cockburn, Jun Wu; Data Curation, Andrew S. Park, Xin Cui; Writing – Original Draft Preparation,
325 Chenxiao Ling; Writing – Review & Editing, all authors; Visualization, Chenxiao Ling; Funding Acquisition,
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330 Appendix A

331 Supplementary Materials and Methods

332 *Exposure Assessment*

333 In brief, since 1974 agricultural pesticide applications for commercial use are recorded in
334 Pesticide Use Reports (PUR) mandated by the California Department of Pesticide Regulation (CDPR).
335 Each PUR record includes the name of the pesticide's active ingredient, the poundage applied, the
336 crop type, and the location and date of application. The California Department of Water Resources

337 (CDWR) performs countywide, large-scale surveys of land use and crop cover every 7–10 years. Land
338 use maps increase spatial resolution because they provide more detailed land use geography that
339 allows us to refine the pesticide applications¹. Previous pesticide studies used different buffer sizes
340 from 500 m²⁻⁵, half a mile (804.5 m)⁶, 1000 m⁷, 1250 m⁸, 1600 m⁹, 5000 m¹⁰, to up to 8000 m distances
341¹¹, depending on the pesticide of interest, landscape, and weather conditions. Thus, the buffer of 2000
342 m we chose, will provide a reasonable distance for assessing pesticide applications around residential
343 addresses.

344 *Sensitivity Analyses*

345 In sensitivity analyses, we compared effect estimates with and without adjustment for two risk
346 factors of adverse birth outcomes, maternal cigarette smoking during pregnancy (yes vs. no) and pre-
347 pregnancy Body Mass Index (BMI), calculated as maternal pre-pregnancy weight divided by
348 maternal height (kg/m²)^{12,13} for births in 2007-2010 only, since these variables are only available on
349 birth certificates from 2007 onward. We also investigated the potential confounding effects of outdoor
350 air pollution that can impact fetal growth during critical periods¹⁴⁻¹⁶ among the autism controls only
351 due to data availability. We estimated trimester-specific exposures to local, traffic-derived NO_x,
352 PM_{2.5}, and CO, including roadways within 1.5 km of subjects' birth addresses^{17,18}, i.e. inter-quartile
353 range (IQR)-scaled measure of NO_x as a local traffic marker derived from the CALifornia LINE source
354 dispersion model (CALINE4) model^{19,20}. Additionally, we adjusted for co-exposure to at least one of
355 the other individual chemicals as a single variable (yes/no) when assessing each individual chemical,
356 and estimated mutually adjusted ORs for the three chemical class exposures during the same
357 exposure window. When evaluating later trimester exposures we adjusted for exposure during prior
358 pregnancy periods, because these effect estimates may be altered by earlier exposures²¹. Since birth
359 addresses with a low geocode quality (i.e., at the USPS Zip Code Area centroid level or coarser) due
360 to missing or non-geocodeable fields on the birth certificates (about 12% of all addresses) is likely to
361 introduce spatial exposure misclassification, we excluded those with a geocode quality at the USPS
362 Zip Code Area centroid level or coarser. Lastly, we examined spontaneous vaginal deliveries only,
363 excluding medically indicated preterm deliveries (about 35% of all preterm deliveries in our study
364 population) more likely to be due to severe maternal pregnancy complications including pre-
365 eclampsia²² and gestational diabetes^{23,24} and their etiology might differ from spontaneous preterm
366 deliveries.

367 *Supplementary Results*

368 In our sensitivity analyses, results were similar with additional adjustment for maternal pre-
369 pregnancy BMI and maternal smoking in the years 2007-2010, for NO_x as a marker for traffic-related
370 air pollution, or restricting to those with high geocoding quality only. For each individual pesticide,
371 adjusting for co-exposure to other pesticides resulted in attenuation of odds by 2-3%; ORs mutually
372 adjusted for three chemical classes or adjusted for exposures in prior trimesters were mostly
373 unchanged or slightly smaller; the mutually adjusted OR for pyrethroids was most stable, suggesting
374 a more robust association with pyrethroids (Table 3). ORs were slightly stronger when we restricted
375 to spontaneous preterm births only particularly for pyrethroids as a class (Table S6), but were
376 generally similar for individual chemicals or other chemical classes.

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