

Review

# A Brief History of Cloud Application Architectures

## From Deployment Monoliths via Microservices to Serverless Architectures

### and Possible Roads Ahead - A Review from the Frontline (invited paper)

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**Abstract:** This paper presents a review of cloud application architectures and its evolution. It reports observations being made during the course of a research project that tackled the problem to transfer cloud applications between different cloud infrastructures. As a side effect we learned a lot about commonalities and differences from plenty of different cloud applications which might be of value for cloud software engineers and architects. Throughout the course of the research project we analyzed industrial cloud standards, performed systematic mapping studies of cloud-native application related research papers, performed action research activities in cloud engineering projects, modeled a cloud application reference model, and performed software and domain specific language engineering activities. Two major (and sometimes overlooked) trends can be identified. First, cloud computing and its related application architecture evolution can be seen as a steady process to optimize resource utilization in cloud computing. Second, this resource utilization improvements resulted over time in an architectural evolution how cloud applications are being build and deployed. A shift from monolithic service-oriented architectures (SOA), via independently deployable microservices towards so called serverless architectures is observable. Especially serverless architectures are more decentralized and distributed, and make more intentional use of independently provided services. In other words, a decentralizing trend in cloud application architectures is observable that emphasizes decentralized architectures known from former peer-to-peer based approaches. That is astonishing because with the rise of cloud computing (and its centralized service provisioning concept) the research interest in peer-to-peer based approaches (and its decentralizing philosophy) decreased. But this seems to change. Cloud computing could head into future of more decentralized and more meshed services.

**Keywords:** cloud computing; service-oriented architecture; SOA; cloud-native; serverless; microservice; container; unikernel; distributed cloud; P2P; service-to-service; service-mesh

#### 1. Introduction

Even very small companies can generate enormous economical growth and business value by providing cloud-based services or applications: Instagram, Uber, WhatsApp, NetFlix, Twitter - and much astonishing small companies (if we relate the modest headcount of these companies in their founding days to their noteworthy economical impact) whose services are frequently used. However, even a fast growing start-up business model should have its long-term consequences and dependencies in mind. A lot of these companies rely on public cloud infrastructures – currently often provided by Amazon Web Services (AWS). But will AWS be still the leading and dominating cloud service provider in 20 years? The IT history is full of examples that companies fail: Atari, Hitachi, America Online, Compaq, Palm. Even Microsoft – still a prospering company – is no longer *the* dominating software company it was used to be in the 1990's, and 2000's. Microsoft is even a good example for a company, that has evolved and transformed into a cloud service provider. Maybe because cloud providers becoming more and more critical for national economies. Cloud providers run a large amount

37 of mission critical business software for companies that no longer operate their own data-centers.  
38 And it is very often economical reasonable if workloads have a high peak-to-average ratio [1]. So,  
39 cloud providers might become (or even are) a to-big-to-fail company category that seems to become  
40 equally important for national economies like banks, financial institutions, electricity suppliers, public  
41 transport systems. Although essential for national economies, these financial, energy, or transport  
42 providers provide just replaceable goods or services – commodities. But the cloud computing domain  
43 is still different here. Although cloud services could be standardized commodities, they are mostly  
44 not. Once a cloud hosted application or service is deployed to a specific cloud infrastructure, it is  
45 often inherently bound to that infrastructure due to non-obvious technological bindings. A transfer  
46 to another cloud infrastructure is very often a time consuming and expensive one-time exercise. A good  
47 real-world example here is Instagram. After being bought by Facebook, it took over a year for  
48 the Instagram engineering team to find and establish a solution for the transfer of all its services from  
49 AWS to Facebook datacenters. Although no downtimes were planned noteworthy outages have been  
50 observed during that period.

51 The NIST definition of cloud computing defines three basic and well accepted service categories  
52 [2]: Infrastructure as a Service (IaaS), Platform as a Service (PaaS), and Software as a Service (SaaS).  
53 IaaS provides maximum flexibility for arbitrary consumer created software but hides almost no  
54 operation complexity of the application (just of the infrastructure). SaaS on the opposite hides operation  
55 complexity almost completely but is limited for a lot of use cases involving consumer created  
56 software. PaaS is somehow a compromise enabling the operation of consumer created software with a  
57 convenient operation complexity but at the cost to follow resource efficient application architectures  
58 and to accept to some degree lock-in situations resulting from the platform.

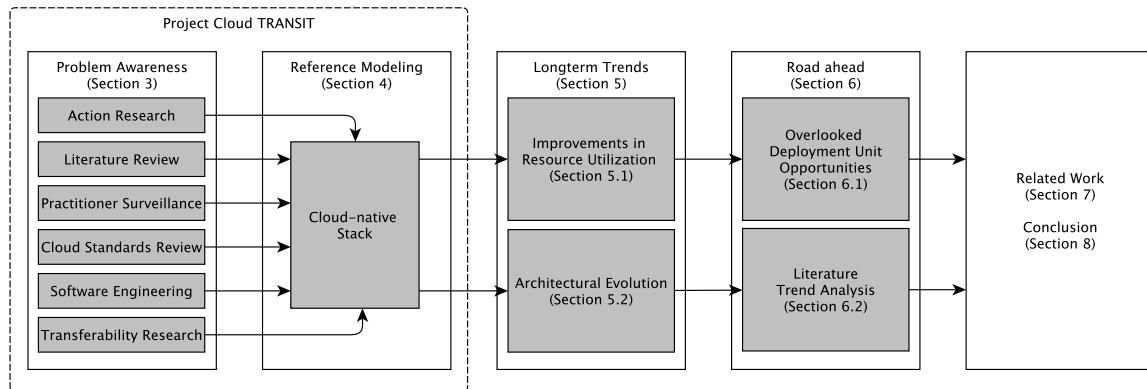
59 Throughout the course of a project called CloudTRANSIT we searched intensively for solutions  
60 to overcome this "cloud lock-in" – to make cloud computing a true commodity. We developed and  
61 evaluated a cloud application transferability concept that has prototype status but already works for  
62 approximately 70% of the current cloud market, and that can be extended for the rest of the market  
63 share [3]. But what is more essential: We learned some core insights from our action research with  
64 practitioners.

- 65 1. Practitioners prefer to transfer platforms (and not applications).
- 66 2. Practitioners want to have the choice between platforms.
- 67 3. Practitioners prefer declarative and cybernetic (auto-adjusting) instead of workflow-based  
68 (imperative) deployment and orchestration approaches.
- 69 4. Practitioners are forced to make efficient use of cloud resources because more and more systems  
70 are migrated to cloud infrastructures causing steadily increasing bills.
- 71 5. And practitioners rate pragmatism of solutions much higher than full feature coverage of cloud  
72 platforms and infrastructures.

73 All these points influence ulteriorly how practitioners nowadays construct cloud application  
74 architectures that are intentionally designed for the cloud. This paper investigates the observable  
75 evolution of cloud application architectures over the last decade.

## 76 2. Methodology and Outline of this Paper

77 Figure 1 presents the research methodology for this paper. The reminder of this paper follows  
78 basically this structure. Section 3 presents an overview of the research project CloudTRANSIT  
79 that build the foundation of our cloud application architecture problem awareness. The project  
80 CloudTRANSIT tackled intentionally the cloud lock-in problem of cloud-native applications and  
81 analyzed how cloud-applications can be transferred between different cloud infrastructures at runtime  
82 without downtime. From several researcher as well as reviewer feedbacks, we get to know that the  
83 insights we learned about cloud architectures merely as a side-effect might be of general interest for  
84 the cloud computing research and engineering community.



**Figure 1.** Research methodology

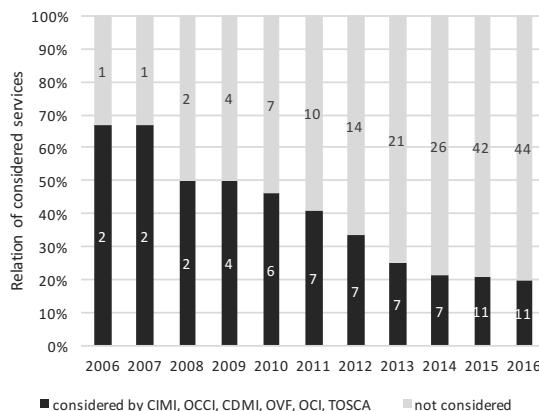
85 One thing we learned was the fact, that cloud-native applications – although they are all different –  
 86 follow some common architectural patterns that we could exploit for transferability. **Section 4** presents  
 87 a reference model that structures such observable commonalities of cloud application architectures.  
 88 Based on that insight, the obvious question arises what longterm trends exist that influence current  
 89 shapes of cloud application architectures? **Section 5** will investigate such observable long-term trends.  
 90 In particular we will investigate the resource utilization evolution in **Section 5.1** and the architectural  
 91 evolution in **Section 5.2**. This ends to some degree the observable status quo. But the question is,  
 92 whether these longterm trends will go on in the future and can they be used for forecasts? Although  
 93 forecasts are tricky in general and our research has not invented a crystal ball, **Section 6** will take a  
 94 look on the road ahead mainly by extrapolating these identified trends. Some aspects can be derived  
 95 from the observed long-term-trends regarding optimization of resource efficiency in **Section 6.1** and  
 96 architectural changes by a Scopus based literature trend analysis in **Section 6.2**. Obviously this paper  
 97 is not the only one reflecting and analyzing cloud application architecture approaches and the reader  
 98 should take related work in **Section 7** into account as well. Finally we look at our brief history of cloud  
 99 architectures and long-term trends. Assuming that these long-term trends will go on in the future for a  
 100 while, we draw some conclusions on the road ahead in **Section 8**.

### 101 3. Problem Awareness (from the research project Cloud TRANSIT)

102 Our problem awareness result mainly from the conducted research project CloudTRANSIT. This  
 103 project dealt with the question how to **transfer cloud applications and services at runtime** without  
 104 downtime across cloud infrastructures from different public and private cloud service providers to  
 105 tackle the existing and growing problem of vendor lock-in in cloud computing. Throughout the course  
 106 of the project more than 20 research papers have been published. But the intent of this paper is not to  
 107 summarize these papers. The interested reader is referred to the corresponding technical report [3]  
 108 that provides an integrated view of these outcomes.

109 This paper strives to make a step back and review the observed state-of-the-art how cloud-based  
 110 systems are being build today and how they might be build tomorrow. But obviously, it is of interest  
 111 for the reader to get an impression how the foundation for these insights have been derived by  
 112 understanding the mentioned research project.

113 The project analyzed commonalities of existing public and private cloud infrastructures via a  
 114 review of industrial cloud standards and of cloud applications via a systematic mapping study of  
 115 cloud-native application related research [4]. This was accompanied by action research projects with  
 116 practitioners. Latest evolutions of cloud standards and cloud engineering trends (like containerization)  
 117 were used to derive a reference model that guided the development of a pragmatic cloud-transferability  
 118 solution. We evaluated this reference model using a concrete project from our action research



**Figure 2.** Decrease of standard coverage over years (by example of AWS)

119 activities [5]. This solution intentionally separated the **infrastructure-agnostic operation** of elastic  
 120 container platforms (like Swarm, Kubernetes, Mesos/Marathon, etc.) via a **multi-cloud-scaler** and  
 121 the **platform-agnostic** definition of cloud-native applications and services via an **unified cloud**  
 122 **application modeling language**. Both components are independent but complementary and provide  
 123 a solution to operate elastic (container) platforms in an infrastructure-agnostic, secure, transferable,  
 124 and elastic way. This multi-cloud-scaler is described in [6,7]. Additionally we had to find a solution to  
 125 describe cloud applications in an unified format. This format can be transformed into platform specific  
 126 definition formats like Swarm compose, Kubernetes manifest files, and more. This unified cloud  
 127 application modeling language UCAML is explained in [8,9]. Both approaches mutually influenced  
 128 each other and therefore have been evaluated in parallel by deploying and transferring several cloud  
 129 reference applications [10] at runtime [7,9]. This solution supports the public cloud infrastructures  
 130 of AWS, Google Compute Engine (GCE), and Azure and open source infrastructure OpenStack. This  
 131 alone covers approximately 70% of the current cloud market. Because the solution can be extended  
 132 with cloud infrastructure drivers also the rest of the market share can be supported by additional  
 133 drivers of moderate complexity.

134 But what is more essential: We learned some core insights about cloud application architectures  
 135 in general by asking the question how this kind of applications can be transferred without touching  
 136 their application architectures. Let us investigate this in the following Section 4.

#### 137 4. Reference modeling – how cloud applications look like

138 Almost all cloud system engineers focus a common problem. The core components of their  
 139 distributed and cloud-based systems like virtualized server instances and basic networking and  
 140 storage can be deployed using commodity services. However, further services – that are needed to  
 141 integrate these virtualized resources in an elastic, scalable, and pragmatic manner – are often not  
 142 considered in standards. Services like load balancing, auto scaling or message queuing systems  
 143 are needed to design an elastic and scalable cloud-native system on almost every cloud service  
 144 infrastructure. Some standards like AMQP [11] for messaging (dating back almost to the pre-cloud  
 145 era) exist. But especially these integrating and "glueing" service types – that are needed for almost  
 146 every cloud application on a higher cloud maturity level (see Table 1) – are often not provided in a  
 147 standardized manner by cloud providers [12]. It seems that all public cloud service providers try to  
 148 stimulate cloud customers to use their non-commodity convenience service "interpretations" in order  
 149 to bind them to their infrastructures and higher-level service portfolios.

150 What is more, according to an analysis we performed in 2016 [13], the percentage of these  
 151 commodity service categories that are considered in standards like CIMI [14], OCCI [15,16], CDMI  
 152 [17], OVF [18], OCI [19], TOSCA [20] is even decreasing over the years. That has mainly to do with

**Table 1.** Cloud Application Maturity Model, adapted from OPEN DATA CENTER ALLIANCE [22]

Level	Maturity	Criteria
3	Cloud native	<ul style="list-style-type: none"> <li>- Transferable across infrastructure providers at runtime and without interruption of service.</li> <li>- Automatically scale out/in based on stimuli.</li> </ul>
2	Cloud resilient	<ul style="list-style-type: none"> <li>- State is isolated in a minimum of services.</li> <li>- Unaffected by dependent service failures.</li> <li>- Infrastructure agnostic.</li> </ul>
1	Cloud friendly	<ul style="list-style-type: none"> <li>- Composed of loosely coupled services.</li> <li>- Services are discoverable by name.</li> <li>- Components are designed to cloud patterns.</li> <li>- Compute and storage are separated.</li> </ul>
0	Cloud ready	<ul style="list-style-type: none"> <li>- Operated on virtualized infrastructure.</li> <li>- Instantiable from image or script.</li> </ul>

153 the fact that new cloud service categories are released faster than existing service categories can be  
 154 standardized by standardization authorities. Figure 2 shows this effect by example of AWS over the  
 155 years. That is how mainly vendor lock-in emerges in cloud computing. For a more detailed discussion  
 156 the reader is referred to [5,13,21].

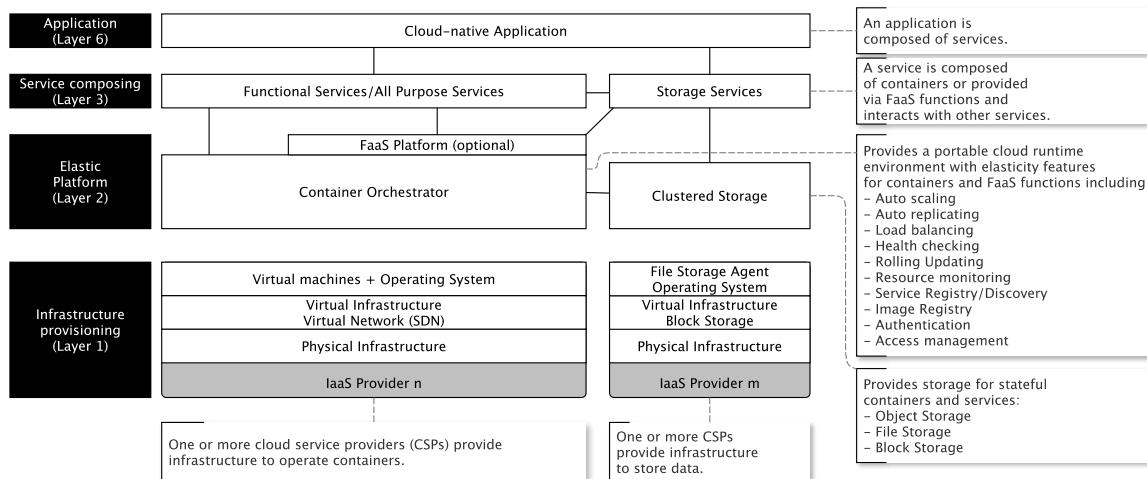
157 Therefore, all reviewed cloud standards focus a very small but basic subset of popular cloud  
 158 services: compute nodes (virtual machines), storage (file, block, object), and (virtual private)  
 159 networking. Standardized deployment approaches like TOSCA are defined mainly against this  
 160 commodity infrastructure level of abstraction. These kind of services are often subsumed as IaaS and  
 161 build the foundation of cloud services and therefore cloud-native applications. All other service  
 162 categories might foster vendor lock-in situations. This all might sound disillusioning. But in  
 163 consequence, a lot of cloud engineering teams follow the basic idea that a cloud-native application  
 164 stack should be only using a very small subset of well standardized IaaS services as founding building  
 165 blocks. Because existing cloud standards cover only specific cloud service categories (mainly the  
 166 IaaS level) and do not show an integrated point of view a more integrated reference model that take  
 167 best-practices of practitioners into account would be helpful.

168 Very often cloud computing is investigated from a service model point of view (IaaS, PaaS, SaaS),  
 169 a deployment point of view (private, public, hybrid, community cloud) [2]. Or one can look from an  
 170 actor point of view (provider, consumer, auditor, broker, carrier) or a functional point of view (service  
 171 deployment, service orchestration, service management, security, privacy) as it is done by [23]. Points  
 172 of view are particular useful to split problems into concise parts. However, the above mentioned view  
 173 points might be common in cloud computing and useful from a service provider point of view but not  
 174 from cloud-native application engineering point of view. From an engineering point of view it seems  
 175 more useful to have views on technology levels involved and applied in cloud-native application  
 176 engineering. This is often done by practitioner models. However, these practitioner models have been  
 177 only documented in some blog posts<sup>1</sup> and do not expand into any academic papers as far as the author  
 178 is aware.

179 Taking the insights from our systematic mapping study [24] and our review of cloud standards  
 180 [5] we compiled a reference model of cloud-native applications. This layered reference model is shown  
 181 and explained in Figure 3. The basic idea of this reference model is to use only a small subset of well

<sup>1</sup> Jason Lavigne, "Don't let a PaaS you by - What is a PaaS and why Microsoft is excited about it", see <http://bit.ly/2nWFmDS> (last access 13th Feb. 2018)

Johann den Haan, "Categorizing and Comparing the Cloud Landscape", see <http://bit.ly/2BY7Sh2> (last access 13th Feb. 2018)



**Figure 3.** Cloud-native stack observable in a lot of cloud-native applications

182 standardized IaaS services as founding building blocks (Layer 1). Four basic view points form the  
 183 overall shape of this model.

- 184 **Infrastructure provisioning:** This is a view point being familiar for engineers working on the  
 185 infrastructure level. This is how IaaS can be understood. IaaS deals with deployment of isolated  
 186 compute nodes for a cloud consumer. It is up to the cloud consumer what it is done with these  
 187 isolated nodes (even if there are provisioned hundreds of them).
- 188 **Clustered elastic platforms:** This is a view point being familiar for engineers who are dealing  
 189 with horizontal scalability across nodes. Clusters are a concept to handle many Layer 1 nodes  
 190 as one logical compute node (a cluster). Such kind of technologies are often the technological  
 191 backbone for portable cloud runtime environments because they are hiding complexity (of  
 192 hundreds or thousands of single nodes) in an appropriate way. Additionally, this layer realizes  
 193 the foundation to define services and applications without reference to particular cloud services,  
 194 cloud platforms or cloud infrastructures. Thus, it provides a foundation to avoid vendor lock-in.
- 195 **Service composing:** This is a view point familiar for application engineers dealing with Web  
 196 services in service-oriented architectures (SOA). These (micro)-services are operated on a Layer 2  
 197 cloud runtime platform (like Kubernetes, Mesos, Swarm, Nomad, and so on). Thus, the complex  
 198 orchestration and scaling of these services is abstracted and delegated to a cluster (cloud runtime  
 199 environment) on Layer 2.
- 200 **Application:** This is a view point being familiar for end-users of cloud services (or cloud-native  
 201 applications). These cloud services are composed of smaller cloud Layer 3 services being operated  
 202 on clusters formed of single compute and storage nodes.

203 For more details we refer to [3,5]. However, the remainder of this paper is aligned to this model.

## 204 5. Observable Longterm-Trends in Cloud Systems Engineering

205 Cloud computing emerged some 10 years ago. In the first adoption phase existing IT systems were  
 206 simply transferred to cloud environments without changing the original design and architecture of  
 207 these applications. Tiered applications were simply migrated from dedicated hardware to virtualized  
 208 hardware in the cloud. Cloud system engineers implemented noteworthy improvements in cloud  
 209 platforms (PaaS) and infrastructures (IaaS) over the years and established several engineering trends  
 210 currently observable. But often these engineering trends listed in Table 2 seem somehow isolated. We  
 211 want to review these trends from two different perspectives.

**Table 2.** Some observable software engineering trends coming along with CNAs

Trend	Rationale
Microservices	Microservices can be seen as a "pragmatic" interpretation of SOA. In addition to SOA microservice architectures intentionally focus and compose small and independently replaceable horizontally scalable services that are "doing one thing well". [25–29]
DevOps	DevOps is a practice that emphasizes the collaboration of software developers and IT operators. It aims to build, test, and release software more rapidly, frequently, and more reliably using automated processes for software delivery [30,31]. DevOps foster the need for independent replaceable and standardized deployment units and therefore pushes microservice architectures and container technologies.
Cloud Modeling Languages	Softwareization of infrastructure and network enables to automate the process of software delivery and infrastructure changes more rapidly. Applications and services and their elasticity behavior that shall be deployed to such infrastructures or platforms can be expressed by cloud modeling languages. There is a good survey on this kind of new "programming languages" [32].
Standardized Deployment Units	Deployment units wrap a piece of software in a complete file system that contains everything needed to run: code, runtime, system tools, system libraries. This guarantees that the software will always run the same, regardless of its environment. This is often done using container technologies (OCI standard [1]) Unikernels would work as well but are not yet in widespread use. A deployment unit should be designed and interconnected according to a <b>collection of cloud-focused patterns</b> like the <i>twelve-factor app</i> collection [33], the <i>circuit breaker pattern</i> [34] or <i>cloud computing patterns</i> [35,36].
Elastic Platforms	Elastic platforms like Kubernetes [37], Mesos [38], or Swarm can be seen as a unifying middleware of elastic infrastructures. Elastic platforms extend resource sharing and increase the utilization of underlying compute, network and storage resources for custom but standardized deployment units.
Serverless	The term serverless is used for an architectural style that is used for cloud application architectures that deeply depend on external third-party-services (Backend-as-a-Service, BaaS) and integrating them via small event-based triggered functions (Function-as-a, FaaS). FaaS extend resource sharing of elastic platforms by simply by applying time-sharing concepts [39–41].
State Isolation	Stateless components are easier to scale up/down horizontally than stateful components. Of course, stateful components can not be avoided, but stateful components should be reduced to a minimum and realized by intentional horizontal scalable storage systems (often eventual consistent NoSQL databases) [35].
Versioned REST APIs	REST-based APIs provide scalable and pragmatic communication, means relying mainly on already existing internet infrastructure and well defined and widespread standards [42].
Loose coupling	Service composition is done by events or by data [42]. Event coupling relies on messaging solutions (e.g. AMQP standard). Data coupling relies often on scalable but (mostly) eventual consistent storage solutions (which are often subsumed as NoSQL databases) [35].

212 • In Section 5.1 we will investigate cloud application architectures from a resource utilization point  
 213 of view over time.  
 214 • And in Section 5.2 we will investigate cloud application architectures more from an architecture  
 215 evolutionary point of view.

216 In both cases we will see, that the wish to make more efficient use of cloud resources had impacts  
 217 on architectures and vice versa.

218 *5.1. A review of the resource utilization evolution and its impact on cloud technology architectures*

219 Cloud infrastructures (IaaS) and platforms (PaaS) are build to be elastic. Elasticity is understood  
 220 as the degree to which a system adapts to workload changes by provisioning and de-provisioning  
 221 resources automatically. Without this, cloud computing is very often not reasonable from an economic  
 222 point of view [1]. Over time, system engineers learned to understand this elasticity options of modern  
 223 cloud environments better. Eventually, systems were designed for such elastic cloud infrastructures,  
 224 which increased the utilization rates of underlying computing infrastructures via new deployment  
 225 and design approaches like containers, microservices or serverless architectures. This design intention  
 226 is often expressed using the term "cloud-native".

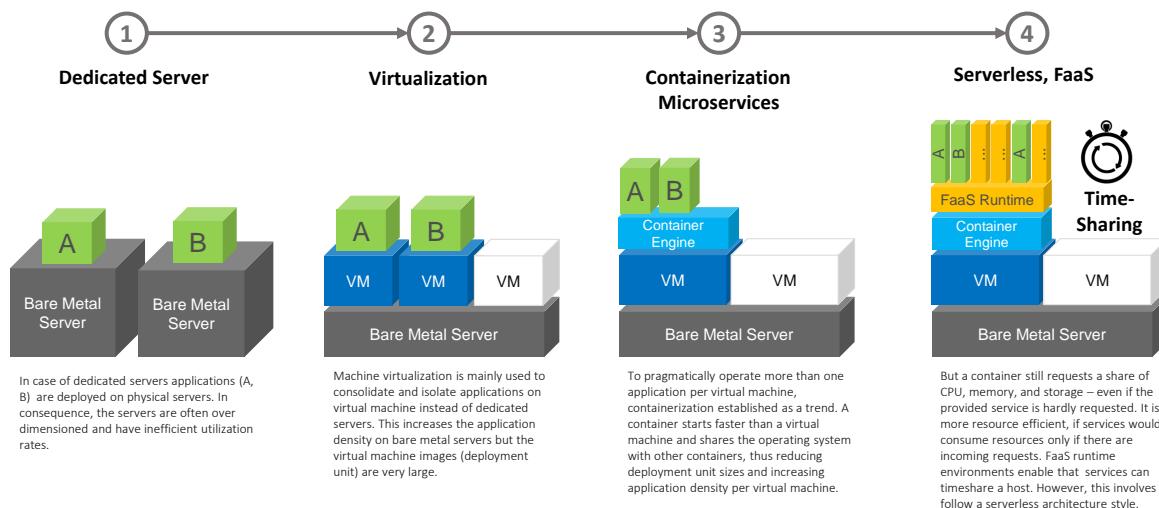


Figure 4. The cloud architectural evolution from a resource utilization point of view

227 Figure 4 shows an observable trend over the last decade. Machine virtualization was introduced  
 228 to consolidate plenty of bare metal machines in order to make a more efficient utilization of physical  
 229 resources. This machine virtualization forms the technological backbone of IaaS cloud computing.  
 230 Virtual machines might be more lightweight than bare metal servers but they are still heavy, especially  
 231 regarding their image sizes. Containers improved a standardized way of deployment but also increased  
 232 the utilization of virtual machines, mainly because containers are more fine grained. Nevertheless,  
 233 although containers can be scaled easily they are still always-on components. And "recently",  
 234 Function-as-a-Service (FaaS) approaches emerged and applied time sharing of containers on underlying  
 235 container platforms. Using FaaS only units are executed that have requests to be processed. Using  
 236 this time-shared execution of containers on the same hardware. FaaS enables even a scale-to-zero  
 237 capability. This improved resource efficiency can be even measured monetarily [43]. So, over time the  
 238 technology stack to manage resources in the cloud got more complex and harder to understand but  
 239 followed one trend – to run more workload on the same amount of physical machines.

### 240 5.1.1. Service-oriented Deployment Monoliths

241 An interesting paper the reader should dive into is [44]. Service-Oriented Computing (SOC) is a  
242 paradigm for distributed computing and e-business processing and has been introduced to manage  
243 the complexity of distributed systems and to integrate different software applications. A service offers  
244 functionalities to other services mainly via message passing. Services decouple their interfaces from  
245 their implementation. Workflow languages are used to orchestrate more complex actions of services  
246 (e.g. WS-BPEL). Corresponding architectures for such kind of applications are called consequently  
247 Service-Oriented Architectures (SOA). A lot of business applications have been developed over the  
248 last decades following this architectural paradigm. And due to its underlying service concepts these  
249 applications can be deployed into cloud environments without much problems. So, they are *cloud*  
250 *ready/friendly* according to Table 1. But the main problem for cloud system engineers emerges from  
251 the problem that – although these kind of applications are composed of distributed services – their  
252 deployment is not! These kind of distributed applications are conceptually monolithic applications  
253 from a deployment point of view. Dragoni et al. define such monolithic software as:

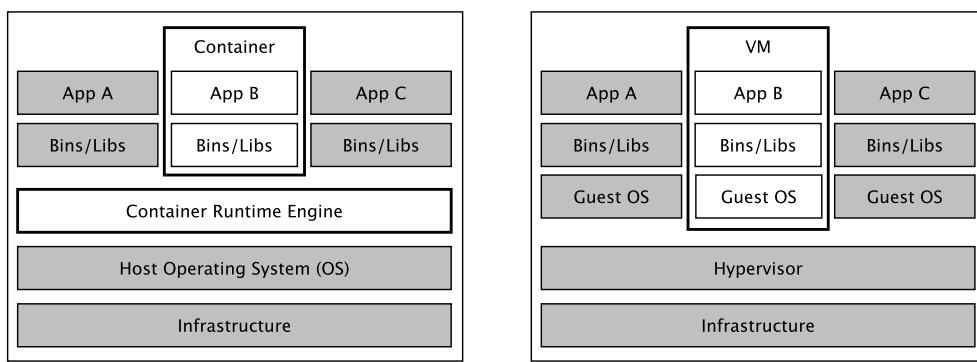
254 *"A monolithic software application is a software application composed of modules that are not*  
255 *independent from the application to which they belong. Since the modules of a monolith depend on said*  
256 *shared resources, they are not independently executable. This makes monoliths difficult to naturally*  
257 *distribute without the use of specific frameworks or ad hoc solutions [...]. In the context of cloud-based*  
258 *distributed systems, this represents a significant limitation, in particular because previous solutions*  
259 *leave synchronization responsibilities to the developer [44]"*.

260 In other words, the complete distributed application must be deployed all at once in case of  
261 updates or new service releases. This even leads to situations where complete applications are simply  
262 packaged as one large virtual machine image. That fits perfectly to situations shown in Figure 4(1  
263 + 2). But depending on the application size, this normally involves noteworthy downtimes of the  
264 application for end users and limits the capability to scale the application in case of increasing or  
265 decreasing workloads. While this might be acceptable for some services (e.g. some billing batch  
266 processes running somewhere in the night), it might be problematic for other kind of services. What  
267 if messaging services (e.g. WhatsApp), large scale social networks (e.g. Facebook), credit card  
268 instant payment services (e.g. Visa), traffic-considering navigational services (e.g. Google Maps), or  
269 ridesharing services (e.g. Uber) would go down for some hours just because of a new service release  
270 or a scaling operation?

271 It is obvious that especially cloud-native applications come along with such 24x7 requirements  
272 and the need to deploy, update, or scale single components independently from each other at runtime  
273 without any downtime. Therefore, SOA evolved into a so called microservice architectural style. One  
274 might mention that microservices are mainly a more pragmatic version of SOA. But what is more  
275 essential, microservices are intentionally designed to be independently deployable, updateable, and  
276 horizontally scalable. This has some architectural implications that will be investigated in Section 5.2.1.  
277 But deployment units should be standardized and self-contained as well in this setting. We will have a  
278 look on that in the following Section 5.1.2.

### 279 5.1.2. Standardized and Self-contained Deployment Units

280 While deployment monoliths are mainly using IaaS resources in form of virtual machines that  
281 are deployed and updated in a less frequent manner, microservice architectures split up the monolith  
282 into independently deployable units that are deployed and terminated much more frequently. What  
283 is more, this deployment is done in a horizontal scalable way that is very often triggered by request  
284 stimuli. If there are a lot of requests hitting a service, more service instances are launched to distribute  
285 the requests across more instances. If the requests are decreasing, service instances are shut down to  
286 free resources (and save money). So, inherent elasticity capabilities of microservice architectures are  
287 much more in the focus compared with classical deployment monoliths and SOA approaches. One of



Containers:

Containers are an abstraction at the application layer that packages code and dependencies together. Multiple containers can run on the same machine and share the OS kernel with other containers, each running as isolated processes in user space. Containers take up less space than VMs (typically tens of MBs) and start seconds to milliseconds.

Virtual Machines:

Virtual machines (VM) are an abstraction of physical hardware turning one server into many servers. The hypervisor allows multiple VMs to run on a single machine. Each VM includes a full copy of an operating system, one or more apps, necessary binaries and libraries – taking often up tens of GBs. VMs take normally minutes to boot.

**Figure 5.** Comparing containers and virtual machines (adapted from Docker website)

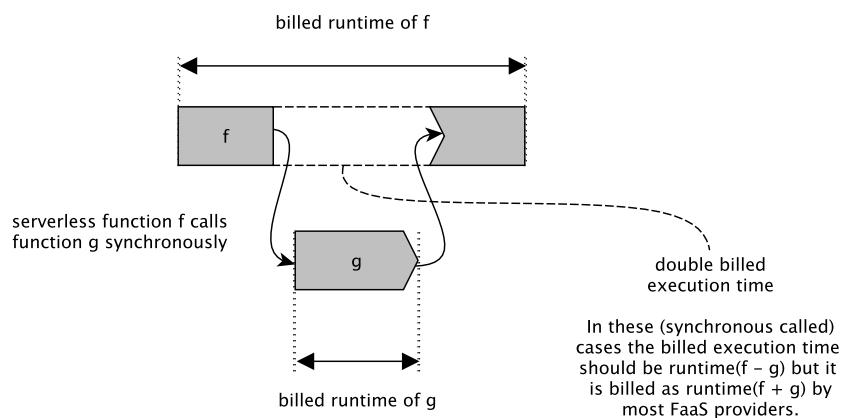
288 the key success factors that microservice architectures gained so much attraction over the last years  
 289 might be the fact, that the deployment of service instances could be standardized as self-contained  
 290 deployment units – so called containers [45]. Containers make use of operating system virtualization  
 291 instead of machine virtualization (see Figure 5) and are therefore much more lightweight. Containers  
 292 enable to make scaling much more pragmatic, and faster and because containers are less resource  
 293 consuming compared with virtual machines, the instance density on underlying IaaS hardware could  
 294 be improved.

295 But even in microservice architectures the service concept is an always-on concept. So, at least  
 296 one service instance (container) must be active and running for each microservice<sup>2</sup> at all times. Thus,  
 297 even container technologies do not overcome the need for always-on components. And always-on  
 298 components are one of the most expensive and therefore avoidable cloud workloads according to  
 299 Weinmann [1]. Thus the question arises, whether it is possible to execute service instances only in the  
 300 case of actual requests? And the answer leads to Function-as-a-Service concepts and corresponding  
 301 platforms that will be discussed in Section 5.1.3.

### 302 5.1.3. Function-as-a-Service

303 Microservice architectures propose a solution to efficiently scale computing resources that are  
 304 hardly realizable with monolithic architectures [44]. The allocated infrastructure can be better tailored  
 305 to the microservices' needs due to the independent scaling of each one of them via standardized  
 306 deployment units addressed in Section 5.1.2. But microservice architectures face additional efforts  
 307 like to deploy each single microservice, and to scale and operate them in cloud infrastructures. To  
 308 address these concerns container orchestrating platforms like Kubernetes [37], or Mesos/Marathon [46]  
 309 emerged. But this shifts mainly the problem to the operation of these platforms and these platforms  
 310 are still always-on components. Thus, so called Serverless architectures and Function-as-a-Service  
 311 platforms have emerged in the cloud service ecosystem. The AWS lambda service might be the most  
 312 prominent one but there exist more like Google Cloud Functions, Azure Functions, OpenWhisk, Spring

<sup>2</sup> And microservice architectures make use of plenty of such small services. To have a lot of small services is the dominant design philosophy of the microservice architectural approach.



**Figure 6.** The double spending problem resulting from the Serverless trilemma [41]

313 Cloud Functions to name just a few. But all (commercial platforms) follow the same principle to  
 314 provide very small and fine grained services (just exposing one stateless function) that are billed on a  
 315 runtime-consuming model (millisecond dimension). The problem with the term Serverless is that it  
 316 occurs in two different notions.

317 1. *"Serverless was first used to describe applications that significantly or fully incorporate third-party,*  
 318 *cloud-hosted applications and services, to manage server-side logic and state. These are typically*  
 319 *"rich client" applications—think single-page web apps, or mobile apps—that use the vast ecosystem*  
 320 *of cloud-accessible databases, authentication services, and so on. These types of services can be described as*  
 321 *"Backend as a Service (BaaS) [39]".*

322 2. *"Serverless can also mean applications where server-side logic is still written by the application developer,*  
 323 *but, unlike traditional architectures, it's run in stateless compute containers that are event-triggered,*  
 324 *ephemeral (may only last for one invocation), and fully managed by a third party. One way to think of*  
 325 *this is "Functions as a Service" or "FaaS". AWS Lambda is one of the most popular implementations of a*  
 326 *Functions-as-a-Service platform at present, but there are many others, too [39]".*

327 In this Section the term Serverless computing is used in the notion of FaaS and we will mainly  
 328 investigate the impact on resource utilization. The upcoming Section 5.2.2 will investigate Serverless  
 329 more in architectural terms. FaaS was specifically designed for event-driven applications that require  
 330 to carry out lightweight processing in response to an event [47]. FaaS is more fine grained than  
 331 microservices and facilitates the creation of functions. Therefore, these fine-grained functions are  
 332 sometimes called *nanoservices*. These functions can be easily deployed and automatically scaled,  
 333 and provide the potential to reduce infrastructure and operation costs. Other like the deployment  
 334 unit approaches of Section 5.1.2 – that are still always-on software components – functions are only  
 335 processed if there are active requests. Thus, FaaS can be much more cost efficient than just containerized  
 336 deployment approaches. According to a cost comparison of monolithic, microservice and FaaS  
 337 architectures case study by Villamizar et al. cost reductions up to 75% are possible [43]. On the  
 338 other hand, there are still open problems like the Serverless trilemma identified by Baldini et. al..  
 339 The Serverless trilemma *"captures the inherent tension between economics, performance, and synchronous*  
 340 *composition"* [41] of serverless functions. One evident problem stressed by Baldini et al. is the "double  
 341 spending problem" shown in Figure 6. This problem occurs when a serverless function f is calling  
 342 another serverless function g synchronously. In this case, the consumer is billed for the execution of  
 343 f and g - although only g is consuming resources because f is waiting on the result of g. To avoid  
 344 this double spending problem a lot of serverless applications delegate the composition of fine grained  
 345 serverless functions into higher order functionality to client applications and edge devices outside

<sup>346</sup> the scope of FaaS platforms. This leads to new – more distributed and decentralized – forms of  
<sup>347</sup> cloud-native architectures that will be discussed in Section 5.2.2.

<sup>348</sup> 5.2. *A review of the architectural evolution*

<sup>349</sup> The reader has seen in Section 5.1 that Cloud-native applications strived for a better resource  
<sup>350</sup> utilization mainly by applying more fine-grained deployment units in shape of lightweight containers  
<sup>351</sup> (instead of virtual machines) or in shape of functions in case of FaaS approaches. And these  
<sup>352</sup> improvements of resource utilization rates had impact on how architectures of cloud applications  
<sup>353</sup> evolved. Two major architectural trends of Cloud application architectures could be observed in the  
<sup>354</sup> last decade. We will investigate Microservice architectures in Section 5.2.1 and Serverless architectures  
<sup>355</sup> in Section 5.2.2.

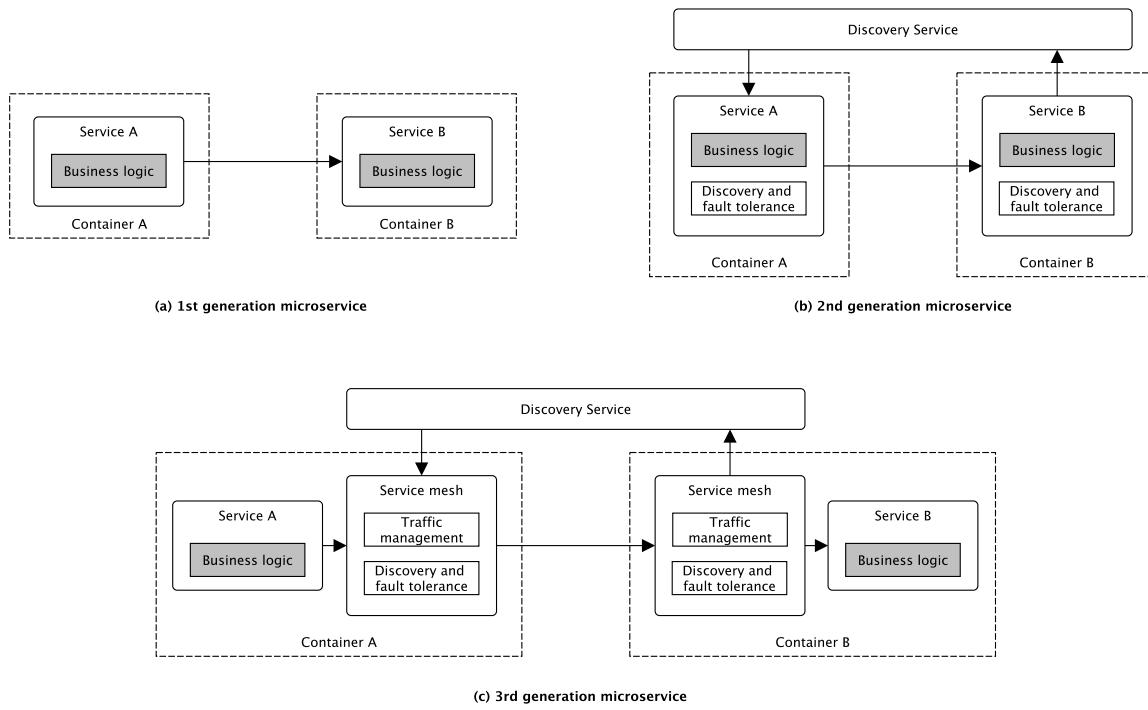
<sup>356</sup> 5.2.1. Microservice architectures

<sup>357</sup> Microservices form "*an approach to software and systems architecture that builds on the well-established*  
<sup>358</sup> *concept of modularization but emphasizes technical boundaries. Each module — each microservice — is*  
<sup>359</sup> *implemented and operated as a small yet independent system, offering access to its internal logic and data*  
<sup>360</sup> *through a well-defined network interface. This increases software agility because each micro service becomes*  
<sup>361</sup> *an independent unit of development, deployment, operations, versioning, and scaling [29]*". According to  
<sup>362</sup> [28,29] often mentioned benefits of microservice architectures are faster delivery, improved scalability  
<sup>363</sup> and greater autonomy. Different services in a microservice architecture can be scaled independently  
<sup>364</sup> from each other according to their specific requirements and actual request stimuli. What is more,  
<sup>365</sup> each service can be developed and operated by different teams. So microservices do not only have an  
<sup>366</sup> technological but also an organizational impact. These teams can make localized decisions per service  
<sup>367</sup> regarding programming languages, libraries, frameworks, and more. So, best-of-breed breaches are  
<sup>368</sup> possible within each area of responsibility on the one hand – on the other hand this might increase  
<sup>369</sup> obviously the technological heterogeneity across the complete system and corresponding longterm  
<sup>370</sup> effects regarding maintainability of such systems might be not even observed so far [4].

<sup>371</sup> Alongside microservice architectures several other accompanying trends could be observed. We  
<sup>372</sup> already investigated containerization as such a trend in Section 5.1.2. **First generation microservices**  
<sup>373</sup> formed of individual services that were packed using container technologies (see Figure 7). These  
<sup>374</sup> services were then deployed and managed at runtime using container orchestration tools, like Mesos.  
<sup>375</sup> Each service was responsible for keeping track of other services, and invoking them by specific  
<sup>376</sup> communication protocols. Failure-handling was implemented directly in the services' source code.  
<sup>377</sup> With an increase of services per application, the reliable and fault-tolerant location and invocation  
<sup>378</sup> of appropriate service instances became a problem itself. If new services were implemented using  
<sup>379</sup> different programming languages, but that made reusing existing discovery and failure-handling  
<sup>380</sup> code became increasingly difficult. So, freedom of choice and "polyglott programming" is an often  
<sup>381</sup> mentioned benefit of microservices but obviously has its drawbacks that needs to be managed.

<sup>382</sup> Therefore, **second generation microservice architectures** (see Figure 7) made use of discovery  
<sup>383</sup> services and reusable fault-tolerant communication libraries. Common discovery services (like Consul)  
<sup>384</sup> were used to register provided functionalities. During service invocation, all protocol-specific and  
<sup>385</sup> failure-handling features were delegated to an appropriate communication library, such as Finagle.  
<sup>386</sup> This simplified service implementation and reuse of boilerplate communication code across services.

<sup>387</sup> The **third generation** (see Figure 7) introduced service proxies as transparent service intermediates  
<sup>388</sup> with the intent to improve software reusability. So called sidecars encapsulate reusable service  
<sup>389</sup> discovery and communication features as a self-contained services that can be accessed via existing  
<sup>390</sup> fault-tolerant communication libraries provided by almost every programming language nowadays.  
<sup>391</sup> Because of its network intermediary conception, sidecars are more than suited for monitoring the  
<sup>392</sup> behavior of all service interactions in a microservice application. This is exactly the idea behind  
<sup>393</sup> service mesh technologies such as Linkerd. These tools extend the notion of self-contained sidecars



**Figure 7.** Microservice architecture evolution - adapted from [29]

394 to provide a more integrated service communication solution. Using service meshes operators have  
 395 much more fine-grained control over the service-to-service communication including service discovery,  
 396 load balancing, fault tolerance, message routing, and even security. So, beside the pure architectural  
 397 point of view, the following tools, frameworks, services, and platforms (see Table 3) form our current  
 398 understanding of the term *microservice*:

- 399 • Service discovery technologies let services communicate with each other without explicitly  
 400 referring to their network locations.
- 401 • Container orchestration technologies automate container allocation and management tasks and  
 402 abstracting away the underlying physical or virtual infrastructure from service developers. That  
 403 is the reason we see this technology as an essential part of any cloud-native application stack  
 404 (see Figure 3).
- 405 • Monitoring technologies that are often based on time-series databases to enable runtime  
 406 monitoring and analysis of the behavior of microservice resources at different levels of detail.
- 407 • Latency and fault-tolerant communication libraries let services communicate more efficiently  
 408 and reliably in permanently changing system configurations with plenty of service instances  
 409 permanently joining and leaving the system according to changing request stimuli.
- 410 • Continuous-delivery technologies integrate solutions often into third party services that automate  
 411 many of the DevOps practices typically used in a web-scale microservice production environment  
 412 [30].
- 413 • Service proxy technologies encapsulate mainly communication-related features such as service  
 414 discovery and fault-tolerant communication and exposes them over HTTP.
- 415 • Finally, latests service mesh technologies build on sidecar technologies to provide a fully  
 416 integrated service-to-service communication monitoring and management environment.

417 Table 3 shows that a complex tool-chain evolved to handle the continuous operation of  
 418 microservice-based cloud applications.

**Table 3.** Some observable microservice engineering ecosystem components (adapted from [29])

Ecosystem component	Example tools, frameworks, services and platforms ( <i>last access 11/07/2018</i> )
Service discovery	Zookeeper ( <a href="https://zookeeper.apache.org">https://zookeeper.apache.org</a> ), Eureka ( <a href="https://github.com/Netflix/eureka">https://github.com/Netflix/eureka</a> ), Consul ( <a href="https://www.consul.io">https://www.consul.io</a> ), etcd ( <a href="https://github.com/coreos/etcd">https://github.com/coreos/etcd</a> ), Synapse ( <a href="https://github.com/airbnb/synapse">https://github.com/airbnb/synapse</a> )
Container orchestration	Kubernetes ( <a href="https://kubernetes.io">https://kubernetes.io</a> , [37]), Mesos ( <a href="http://mesos.apache.org">http://mesos.apache.org</a> , [46]), Swarm ( <a href="https://docs.docker.com/engine/swarm">https://docs.docker.com/engine/swarm</a> ), Nomad ( <a href="https://www.nomadproject.io">https://www.nomadproject.io</a> )
Monitoring	Graphite ( <a href="https://graphiteapp.org">https://graphiteapp.org</a> ), InfluxDB ( <a href="https://github.com/influxdata/influxdb">https://github.com/influxdata/influxdb</a> ), Sensu ( <a href="https://sensuapp.org">https://sensuapp.org</a> ), cAdvisor ( <a href="https://github.com/google/cadvisor">https://github.com/google/cadvisor</a> ), Prometheus ( <a href="https://prometheus.io">https://prometheus.io</a> ), Elastic Stack ( <a href="https://elastic.co/elk-stack">https://elastic.co/elk-stack</a> )
Fault tolerant communication	Finagle ( <a href="https://twitter.github.io/finagle">https://twitter.github.io/finagle</a> ), Hystrix ( <a href="https://github.com/Netflix/Hystrix">https://github.com/Netflix/Hystrix</a> ), Proxygen ( <a href="https://github.com/facebook/proxygen">https://github.com/facebook/proxygen</a> ), Resilience4j ( <a href="https://github.com/resilience4j">https://github.com/resilience4j</a> )
Continuous delivery services	Ansible ( <a href="https://ansible.com">https://ansible.com</a> ), Circle CI ( <a href="https://circleci.com/">https://circleci.com/</a> ), Codeship ( <a href="https://codeship.com/">https://codeship.com/</a> ), Drone ( <a href="https://drone.io">https://drone.io</a> ), Spinnaker ( <a href="https://spinnaker.io">https://spinnaker.io</a> ), Travis CI ( <a href="https://travis-ci.org/">https://travis-ci.org/</a> )
Service proxy	Prana ( <a href="https://github.com/Netflix/Prana">https://github.com/Netflix/Prana</a> ), Envoy ( <a href="https://www.envoyproxy.io">https://www.envoyproxy.io</a> )
Service meshes	Linkerd ( <a href="https://linkerd.io">https://linkerd.io</a> ), Istio ( <a href="https://istio.io">https://istio.io</a> )

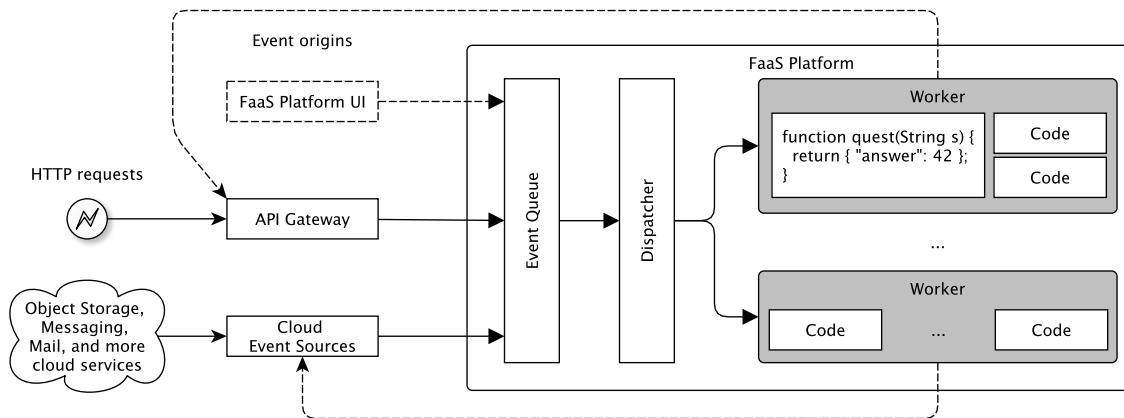
#### 419 5.2.2. Serverless Architectures

420 Serverless computing is a cloud computing execution model in which the allocation of  
 421 machine resources is dynamically managed and intentionally out of control of the service customer.  
 422 The ability to scale to zero instances is one of the key differentiators of serverless platforms compared  
 423 with container focused PaaS or virtual machine focused IaaS services. This enables to avoid billed  
 424 always-on components and therefore excludes the most expensive cloud usage pattern according to  
 425 [1]. That might be one reason why the term "serverless" is getting more and more common since 2014  
 426 [29]. But what is "serverless" exactly? Obviously, servers must still exist somewhere.

427 So called serverless architectures replace server administration and operation mainly by using  
 428 Function-as-a-Service (FaaS) concepts [39] and integrating 3rd party backend services. Figure 4 showed  
 429 the evolution of how resource utilization has been optimized over the last 10 years ending in the latest  
 430 trend to make use of FaaS platforms. FaaS platforms apply time-sharing principles and increase the  
 431 utilization factor of computing infrastructures, and thus avoid expensive always-on components. As  
 432 already mentioned at least one study showed, that due to this time-sharing, serverless architectures  
 433 can reduce costs by 70% [43]. The core capability of a serverless platform is that of an event processing  
 434 system (see Figure 8). According to [41] serverless platforms take an event (sent over HTTP or received  
 435 from a further event source in the cloud), determine which functions are registered to process the  
 436 event, find an existing instance of the function (or create a new one), send the event to the function  
 437 instance, wait for a response, gather execution logs, make the response available to the user, and stop  
 438 the function when it is no longer needed. Beside API composition and aggregation to reduce API calls  
 439 [41], especially event-based applications are very much suited for this approach [48].

440 Serverless platform provision models can be grouped into the following categories:

- 441 • **Public (commercial) serverless services** of public cloud service providers offer compute  
 442 runtimes, also known as function as a service (FaaS) platforms. Some well known type  
 443 representatives include AWS Lambda, Google Cloud Functions, or Microsoft Azure Functions.  
 444 All of the mentioned commercial serverless computing models are prone to create vendor lock-in  
 445 (to some degree).
- 446 • **Open (source) serverless platforms** like Apache's OpenWhisk or OpenLambda might be an  
 447 alternative with the downside that these platforms need infrastructure to be executed on.



**Figure 8.** Blueprint of a serverless platform architecture (adapted from [41])

448 • **Provider agnostic serverless frameworks** provide a provider and platform agnostic way to  
 449 define and deploy serverless code on various serverless platforms or commercial serverless  
 450 services. This is an option to avoid (or reduce) vendor lock-in without the necessity to operate an  
 451 own infrastructure.

452 So, on the one hand, serverless computing provides some inherent benefits like resource and  
 453 cost efficiency, operation simplicity, and a possible increase of development speed and improved  
 454 time-to-market [39]. But serverless computing comes also along with some noteworthy drawbacks, like  
 455 runtime constraints, state constraints and still unsatisfactorily solved function composition problems  
 456 like the double spending problem (see Figure 6). What is more, resulting serverless architectures  
 457 have security implications. They increase attack surfaces and shift parts of the application logic  
 458 (service composing) to the client-side (which is not under complete control of the service provider).  
 459 Furthermore, FaaS increases vendor lock-in problems, client complexity, as well as integration and  
 460 testing complexity. Table 4 summarizes some of the most mentioned benefits but also drawbacks of  
 461 FaaS from practitioner reportings [39].

462 Furthermore, Figure 9 shows that serverless architectures (and microservice architectures as well)  
 463 require a cloud application architecture redesign, compared to classical e-commerce applications. Much  
 464 more than microservice architectures, serverless architectures integrate 3rd party backend services like  
 465 authentication or database services intentionally. To reduce own development efforts, only very service  
 466 specific, security relevant, or computing intensive functionality is provided via functions on FaaS  
 467 platforms. In fact all functionality that would have been provided classically on a central application  
 468 server is now provided as a lot of isolated micro- or even *nanoservices*. The integration of all these  
 469 isolated services as meaningful end user functionality is delegated to end devices (very often in the  
 470 shape of native mobile applications or progressive web applications). In summary, we can see the  
 471 following observable engineering decisions in serverless architectures:

472 • Former cross-sectional but service-internal (or via a microservice provided) logic like  
 473 authentication or storage is sourced to external 3rd party services.  
 474 • Even nano- and microservice composition is shifted to end user clients or edge devices. That  
 475 means, even service orchestration is not done anymore by the service provider itself but by  
 476 the service consumer via provided applications. This has two interesting effects: (1) Resources  
 477 needed for service orchestration are now provided by the service consumer. (2) Because the  
 478 service composition is done outside the scope of the FaaS platform, still unsolved FaaS function  
 479 composition problems (like the double spending problem) are avoided.  
 480 • Such client or edge devices are interfacing 3rd party services directly.

**Table 4.** Serverless architecture benefits and drawbacks (mainly compiled from [39])

Benefits	Drawbacks
<b>RESOURCE EFFICIENCY</b> (service side)	
- auto-scaling based on event stimulus - reduced operational costs - scale to zero capability (no always-on)	- maximum function runtime is limited - startup latencies of functions must be considered - function runtime variations - functions can not preserve a state across function calls - external state (cache, key/value stores, etc.) can compensate this but is a magnitude slower - double spending problems (FaaS functions call other FaaS functions)
<b>OPERATION</b> (service side)	
- simplified deployment - simplified operation (see auto-scaling)	- increased attack surfaces - each endpoint introduces possible vulnerabilities - missing protective barrier of a monolithic server application - parts of the application logic are shifted to the client-side (that is not under control of the service provider) - increased vendor lock-in (currently no FaaS standards for API gateways and FaaS runtime environments)
<b>DEVELOPMENT SPEED</b> (service side)	
- development speed - simplified unit testing of stateless FaaS functions - better time to market	- increased client complexity - application logic is shifted to the client-side - code replication on client side across client platforms - control of application workflow on client side to avoid double-sending problems of FaaS computing - increased integration testing complexity - missing integration test tool-suites

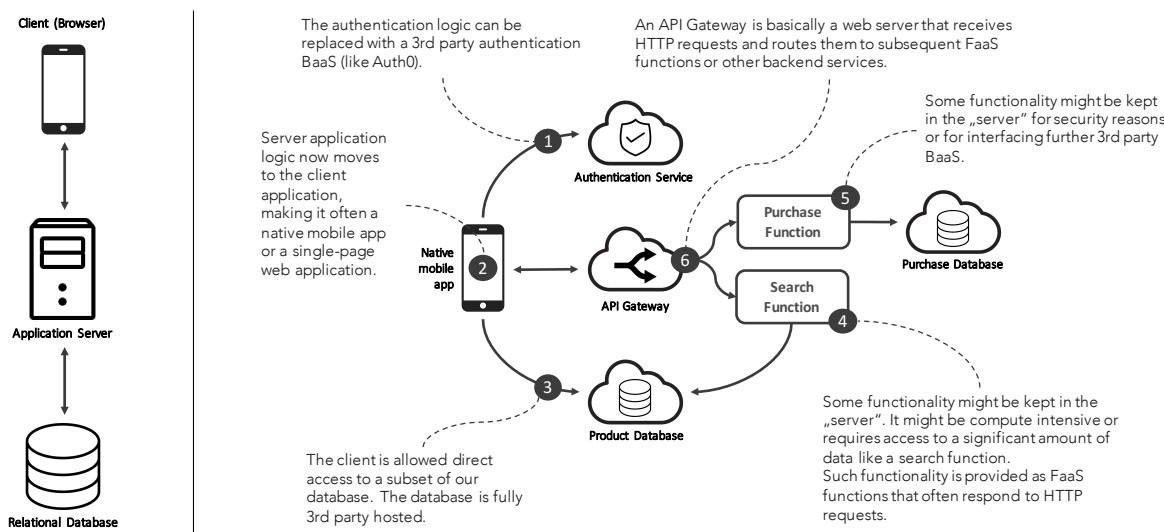
481 • Endpoints of very service specific functionality is provided via API gateways. So, HTTP- and  
 482 REST-based/REST-like communication protocols are generally preferred.  
 483 • Only very domain or service specific functions are provided on FaaS platforms. Mainly when this  
 484 functionality is security relevant and should be executed in a controlled runtime environment by  
 485 the service provider, or the functionality is too processing or data-intensive to be executed on  
 486 consumer clients or edge devices, or the functionality is so domain-, problem-, or service-specific  
 487 that simply no external 3rd party service exists.

488 Finally, the reader might observe the trend in serverless architectures that this kind of architecture  
 489 is more decentralized and distributed, makes more intentional use of independently provided services,  
 490 and is therefore much more intangible (more cloudy) compared with microservice architectures.

## 491 6. The road ahead

492 So far, we have identified and investigated two major trends. First, cloud computing and its related  
 493 application architecture evolution can be seen as a steady process to optimize resource utilization in  
 494 cloud computing. This was visualized in Figure 4 and discussed in Section 5.1. Second, in Section 5.2  
 495 it was emphasized that this resource utilization improvements resulted over time in an architectural  
 496 evolution how cloud applications are being build and deployed. We observed a shift from monolithic  
 497 SOA, via independently deployable microservices towards so called serverless architectures that  
 498 are more decentralized and distributed, and make more intentional use of independently provided  
 499 services.

500 The question is, whether and how are these trends continuing? To forecast the future is difficult,  
 501 but having current trends and the assumption that these trends will go on to some degree makes it a  
 502 bit easier. This is done in Section 6.1 for the optimization of resource utilization trend, and Section 6.2



**Figure 9.** Serverless architectures result in a different and less centralized composition of application components and backend services compared with classical tiered application architectures.

503 will take a look how cloud application architectures may evolve in the future simply by extrapolating  
 504 the existing SOA-microservice-serverless path.

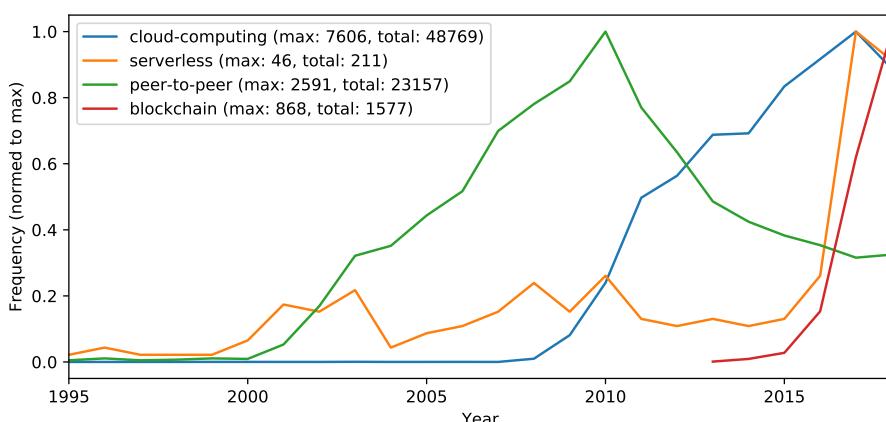
### 505 6.1. *Unikernels - the overlooked deployment unit?*

506 The resource utilization optimization trend has been massively influenced by operating system  
 507 virtualization based container technologies. However, containers are not about virtualization from a  
 508 cloud application deployment point of view. They are about a standardized and self-contained way to  
 509 define deployment units. But are containers the only solution and the most resource efficient solution  
 510 already existing? The answer is no, and roads ahead might follow directions with the same intent to  
 511 define standardized and self-contained deployment units but with a better resource utilization.

512 One option would be unikernels. A unikernel is a specialized, single address space machine  
 513 image constructed via library operating systems. The first such systems were Exokernel (MIT Parallel  
 514 and Distributed Operating Systems group) and Nemesis (University of Cambridge, University of  
 515 Glasgow, Swedish Institute of Computer Science and Citrix Systems) in the late 1990s. The basic idea  
 516 is, that a developer selects a minimal set of libraries which correspond to the OS constructs required for  
 517 their application to run. These libraries are then compiled with the application and configuration code  
 518 to build sealed, fixed-purpose images (unikernels) which run directly on a hypervisor or hardware  
 519 without an OS. So, unikernels are self-contained deployment units like containers we investigated in  
 520 Section 5.1.2 with the advantage to avoid a container overhead, a container runtime engine, and a host  
 521 operating system (see Figure 5). So, interesting aspects to investigate on the road ahead would be:

- 522 • Because unikernels make operating systems and container runtime engines obsolete this could  
 523 further increase resource utilization rates.
- 524 • FaaS platforms workers are normally container based. However unikernels are a deployment  
 525 option as well. An interesting research and engineering direction would be, how to combine  
 526 unikernels with FaaS platforms to apply the same time-sharing principles?

527 However, although there is research following the longterm trend to improve resource utilization  
 528 [49,50], most cloud computing related unikernel research [51–54] mainly investigates unikernels  
 529 as a security option to reduce attack surfaces (which are increased by serverless and microservice  
 530 architectures as we have seen in Section 5.2). But the resource optimization effect of unikernels might  
 531 be still not aware to cloud engineers. Other than container technology, unikernel technology is not  
 532 hyped.



**Figure 10.** Trends of papers dealing with the terms cloud-computing, serverless, P2P, and blockchain (as latest P2P based trend). Retrieved from Scopus (limited to computer science), 2018 extrapolated.

533 6.2. Overcoming conceptual centralized approaches

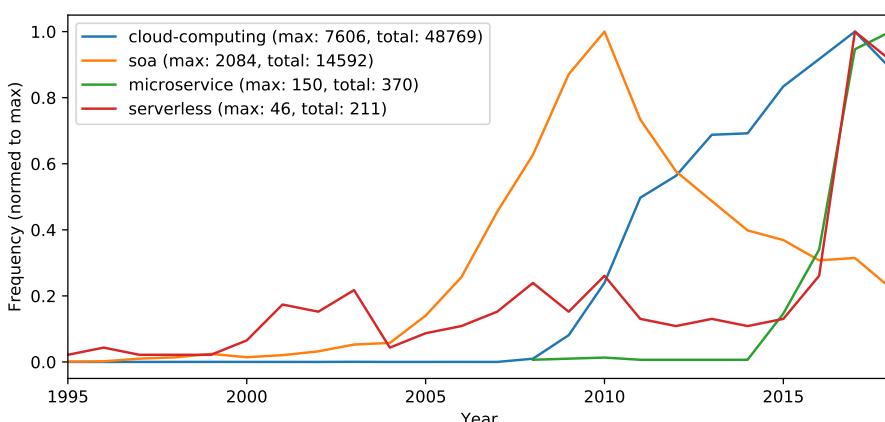
534 This Section investigates some longterm trends in cloud and service computing research. This  
 535 is done by support of a quantitative trend analysis. Scopus has been used to count the number of  
 536 published papers dealing with some relevant terms over the years. This search has been limited to the  
 537 computer science domain. The terms that have been searched in titles, abstracts, or keywords were:

538

- 539 • *Cloud computing* - to collect the amount of cloud computing related research in general.
- 540 • *SOA* - to collect the service computing related research which is still a major influencing concept  
 in cloud computing.
- 541 • *Microservices* - to collect microservice related research (which is more modern and pragmatic  
 542 interpretation of SOA and very popular in cloud computing).
- 543 • *Serverless* - to collect serverless architecture related research (which is the latest observable  
 544 architecture trend in cloud computing).
- 545 • *Peer-to-peer* - to collect P2P related research (because recently more decentralizing concepts are  
 546 entering cloud computing).
- 547 • *Blockchain* - to collect blockchain related research (which is the latest observable P2P related  
 548 research/hype).

549 The presented architectural evolution can be seen as the perpetual fight of centralism and  
 550 decentralism. Centralized architectures are known since decades. These kind of architectures  
 551 make system engineering easier. Centralized architectures simply have less problems with data  
 552 synchronization and data redundancy. They are easier to handle from a conceptual point of view. The  
 553 client-server architecture is still one of the most basic but dominant centralized architectural style.

554 However, at various point in times centralized approaches are challenged by more decentralized  
 555 approaches. Take the mainframe versus personal computer as one example dating back to the  
 556 1980's. Figure 10 shows the amount of papers per year for research that is dealing with cloud  
 557 computing in general, and relates it with serverless architectures, P2P based related research (including  
 558 blockchains as latest major P2P trend). We see a rise of interest in research about peer-to-peer (that  
 559 means decentralized) approaches starting in 2000 that reached its peak in 2010. What is interesting,  
 560 peer-to-peer based research decreased with the starting increase of cloud computing related research in  
 561 2008. So, cloud computing (mainly a concept to provide services in a conceptually centralized manner)  
 562 decreased the interest in peer-to-peer related research. P2P computing is a distributed application  
 563 architecture that partitions tasks or workloads between peers. Peers are equally privileged and  
 564 equipotent participants in the application. Peers make a portion of their resources, such as processing



**Figure 11.** Trends of papers dealing with cloud-computing, SOA, microservices and serverless. Retrieved from Scopus (limited to computer science), 2018 extrapolated.

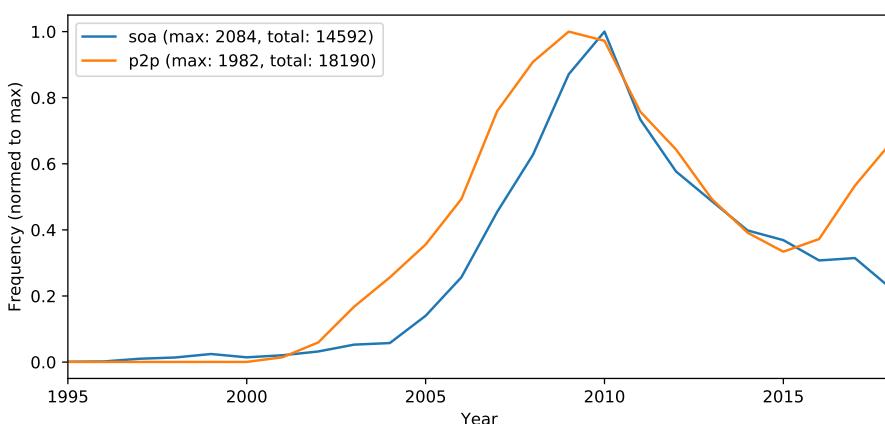
power, disk storage or network bandwidth, directly available to other network participants, without the need for central coordination by servers or stable hosts. So, peers are both suppliers and consumers of resources, in contrast to the cloud computing consumer-service model.

One astonishing curve in Figure 10 is the research interest in serverless solutions. Although on a substantial lower absolute level, a constant research interest in serverless solutions can be observed since 1995. To have "serverless" solutions seems to be a long standing dream in computer science. The reader should be aware that the notion of serverless changed over time. Serverless has been used until 2000 very often in file storage research contexts. With the rise of P2P based solutions it has been mainly used alongside P2P based approaches. And since 2015 it has been gained a lot of momentum alongside cloud-native application architectures (see Figure 11). So nowadays, it is mainly used in the notion described in Sections 5.1.3 and 5.2.2.

Figure 11 shows some further interesting correlation. With the rise of cloud computing in 2008 there is a steady decline in SOA related research. So, to deploy monolithic SOA applications in the cloud was not seen useful from the very beginning of cloud computing. However, it took almost five years in research that further and more cloud suited application architectures (microservice and serverless architectures) have been investigated.

If we look at the Figures 10 and 11 we see a decline of classical architecture approaches like SOA and an rising interest in new architecture styles like microservice and serverless architectures. It was already mentioned that especially serverless architectures come along with some decentralizing philosophy that is observable in P2P based research as well. The author does not think, that cloud application architectures will strive for the same level of decentralizing and distribution like peer-to-peer based approaches. But a more distributed service-to-service trend is clearly observable in cloud application architecture research [55]. So, the cloud computing trend started a decline in SOA (see Figure 11) and P2P (see Figure 10). But if we compare SOA and P2P (including blockchain related research), we see an increasing interest in decentralized solutions again (see Figure 12).

If we are taking all this together to forecast the road ahead, we could assume that service computing will be dominated by new architecture styles like microservices and serverless architectures. And SOA seems to die. But we see a resurgence of interest in decentralized approaches known from P2P related research. Therefore, the author assumes that especially serverless architectures will more and more evolve into cloud application architectures that follow distributed service-to-service principles (much more in the notion of peer-to-peer).



**Figure 12.** Trends of papers dealing with SOA, and P2P (including blockchain). Retrieved from Scopus (limited to computer science), 2018 extrapolated.

## 596 7. Related work

597 As far as the author knows, there is no survey that focused intentionally observable trends in  
 598 cloud applications architectures over the last decade from a "big picture" architectural evolution point  
 599 of view. This paper grouped that evolution mainly into the following point of views.

600 • Resource utilization optimization approaches like **containerization** and **FaaS** approaches have  
 601 been investigated in Section 5.1.  
 602 • The architectural evolution of cloud applications that is dominated by **microservices** and  
 603 evolving into **serverless architectures**. Both architectural styles have been investigated in Section  
 604 5.2.

605 For all of these four specific aspects (containerization, FaaS, microservices, serverless architectures)  
 606 there exist surveys that should be considered by the reader. The studies and surveys [45,56–58] deal  
 607 mainly with containerization and its accompanying resource efficiency. Although FaaS is quite young  
 608 and could be only little reflected in research so far, there exist first survey papers [41,59,60] dealing  
 609 with FaaS approaches deriving some open research questions regarding tool support, patterns for  
 610 serverless solutions, enterprise suitability and whether serverless architectures will extend beyond  
 611 traditional cloud platforms and architectures.

612 Service computing is quite established and there are several surveys on SOA related aspects  
 613 [61–65]. However, more recent studies focus mainly microservices. [27,29,44] focus especially the  
 614 architectural point of view and the relationship between SOA and microservices. All these papers  
 615 are great to understand the current microservice "hype" better. It is highly recommended to study  
 616 these papers. However, these papers are somehow bound to microservices and do not take the "big  
 617 picture" of general cloud application architecture evolution into account. [29] provides a great overview  
 618 on microservices and even serverless architectures, but serverless architectures are subsumed as a  
 619 part of microservices to some degree. The author is not quite sure whether serverless architectures  
 620 do not introduce fundamental new aspects into cloud application architectures that evolve from  
 621 the "scale-to-zero" capability on the one hand and the unsolved function composition aspects (like  
 622 the double spending problem) on the other hand. Resulting serverless architectures push former  
 623 conceptually centralized service composing logic to end user and edge devices out of direct control of  
 624 the service provider.

## 625 8. Conclusion

626 Two major trends in cloud application architecture have been identified and investigated. First,  
627 cloud computing and its related application architecture evolution can be seen as a steady process  
628 to optimize resource utilization in cloud computing. Unikernels – a technology from late 1990's –  
629 might be one option for future improvements. Like containers they are self-contained but avoid a  
630 container overhead, a container runtime engine, and even a host operating system. But astonishing  
631 little research is conducted in that field. Second, each resource utilization improvement resulted in  
632 an architectural evolution how cloud applications are being build and deployed. We observed a shift  
633 from monolithic SOA (machine virtualization), via independently deployable microservices (container)  
634 towards so called serverless architectures (FaaS function). Especially serverless architectures are more  
635 decentralized and distributed, and make more intentional use of independently provided services.  
636 What is more, service orchestration logic is shifted to end devices outside the direct scope of the service  
637 provisioning system.

638 So, service computing will be dominated by new architecture styles like microservice and  
639 serverless architectures. What is more, a resurgence of interest in decentralized approaches known  
640 from P2P related research is observable. That is astonishing because with the rise of cloud computing  
641 (and its centralized service provisioning concept) the research interest in peer-to-peer based approaches  
642 (and its decentralization philosophy) decreased. But this seems to change and might be an indicator  
643 where cloud computing could be heading in the future. Baldini et al. [41] asked the interesting  
644 question, whether serverless extend beyond traditional cloud platforms. If we are looking at the trends  
645 investigated in Section 6.2 this seems likely. Modern cloud applications might loose clear boundaries  
646 and could evolve into something that could be named *service-meshes*. Such service-meshes would be  
647 composed of small and fine-grained services provided by different and independent providers. And  
648 the service composition and orchestration might be done by mobile and edge devices not explicitly  
649 belonging to the service provisioning system anymore. This path might have already started with  
650 FaaS and serverless architectures. This all sounds astonishing familiar. In the 1960s the Internet was  
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## 664 Abbreviations

665 The following abbreviations are used in this manuscript:

666

AMQP	Advanced Message Queing Protocol
API	Application Programming Interface
GCE	Google Compute Engine
CDMI	Cloud Data Management Interface
CIMI	Cloud Infrastructure Management Interface
CNA	Cloud-native Application
DLT	Distributed Ledger Technology (aka blockchain)
IaaS	Infrastructure as a Service
FaaS	Function as a Service
HTTP	Hypertext Transfer Protocol
OCI	Open Container Initiative
667 OCCI	Open Cloud Computing Interface
OVF	Open Virtualization Format
OS	Operating System
P2P	Peer-to-Peer
PaaS	Platform as a Service
REST	Representational State Transfer
SaaS	Software as a Service
SOA	Service-Oriented Architecture
SOC	Service-Oriented Computing
TOSCA	Topology and Orchestration Specification for Cloud Applications
UCAML	Unified Cloud Application Modeling Language
VM	Virtual Machine
WS-BPEL	Web Service - Business Process Execution Language

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