

## Article

# Evaporation Boundary Conditions for the Linear R13 Equations based on the Onsager Theory

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**1** **Abstract:** Due to failure of the continuum hypothesis for higher Knudsen numbers, rarefied gases and microflows of gases are particularly difficult to model. Macroscopic transport equations compete with particle methods, such as DSMC to find accurate solutions in the rarefied gas regime. Due to growing interest in micro flow applications, such as micro fuel cells, it is important to model and understand evaporation in this flow regime. Here, evaporation boundary conditions for the R13 equations, which are macroscopic transport equations with applicability in the rarefied gas regime, are derived. The new equations utilize Onsager relations, linear relations between thermodynamic fluxes and forces, with constant coefficients, that need to be determined. For this, the boundary conditions are fitted to DSMC data and compared to other R13 boundary conditions from kinetic theory and Navier-Stokes-Fourier (NSF) solutions for two one-dimensional steady-state problems. Overall, the suggested fittings of the new phenomenological boundary conditions show better agreement to DSMC than the alternative kinetic theory evaporation boundary conditions for R13. Furthermore, the new evaporation boundary conditions for R13 are implemented in a code for the numerical solution of complex, two-dimensional geometries and compared to NSF solutions. Different flow patterns between R13 and NSF for higher Knudsen numbers are observed.

**16** **Keywords:** rarefied gas dynamics; modelling evaporation; R13-equations

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**17** **1. Introduction**

**18** For modeling ideal gas flow, there are in general two approaches, the microscopic and the macroscopic approach. In the microscopic approach the Boltzmann equation [1][2] is solved, e.g., with the Direct Simulation Monte Carlo method (DSMC) [3]. However, tracking particles is computationally expensive and for engineering applications determining the macroscopic quantities is often sufficient. In the macroscopic approach, microscopic information is condensed into quantities such as mass density, bulk velocity, temperature, heat flux and stress. Macroscopic transport equations reduce the number of variables and when simplified allow for analytical solutions. The advantage of faster calculations is associated with the restriction to certain flow regimes. Flow regimes can be characterized by the Knudsen number, which is the ratio of the mean free path, i.e., the average distance a molecule travels between two subsequent collisions, and a characteristic length, e.g., the diameter of a pipe. For Knudsen numbers larger than  $\text{Kn} \approx 4 \cdot 10^{-2}$  [4] the classical Navier-Stokes-Fourier (NSF) equations start to fail [4][5]. Applications for Knudsen numbers in the transition regime, i.e.,  $4 \cdot 10^{-2} < \text{Kn} < 2.5$  [4] may be those with large mean free paths, e.g., in vacuum or aerospace applications, or those with small characteristic lengths, which can be found in microflows. In this regime rarefaction effects are observed, such as temperature jump and velocity slip at interfaces, Knudsen layers in front of interfaces, transpiration flow, thermal stresses, or heat transfer without temperature gradients

[4][5][6][7][8]. Knudsen layers are thin areas in front of boundaries in the order of a few mean free paths, where particle interaction with the boundary is the dominant mechanism.

By combining the Grad and Chapman-Enskog methods into the new order of magnitude method, Struchtrup and Torrilhon proposed the regularized R13 equations, macroscopic transport equations which account for effects in the transition regime [9]. Like all macroscopic transport equations, the R13 equations are an approximation of the Boltzmann equation. R13 introduces higher moments which have a large influence in the rarefied gas regime and small influence in the regime of small Knudsen numbers. Coefficients within the R13 equations allow quick adjustment between different collision models, such as Maxwell molecules, hard-spheres (HS) or the Bhatnager-Gross-Krook (BGK) model [5]. In the following, only Maxwell molecules will be considered.

Due to increasing interest in microelectromechanical devices (MEMS) [10], it is of interest to model evaporation processes for Knudsen numbers in the transition regime.

Based on microscopic boundary conditions of the Boltzmann equation, Struchtrup et al. derived macroscopic boundary conditions for R13 [11]. These equations, which are referred to as MBC (Macroscopic Boundary Conditions) in the following, show promising results for Knudsen numbers in the transition regime. Here we seek to derive improved evaporation boundary conditions by using an entropy balance integrated around an interface between liquid and vapor phase. Based on the Onsager theory, the integrated entropy balance is rewritten as sum of thermodynamic fluxes and forces [12]. The Onsager theory assumes linear relations between fluxes and forces and allows to break the entropy balance into sets of equations, which we utilize as evaporation/condensation boundary conditions [13][14].

A challenge lies in determining the Onsager coefficients, which provide the linear relations between fluxes and forces. The linear R13 equations, accompanied by the new phenomenological boundary conditions (PBC), are solved for two one-dimensional, steady-state configurations. The first system consists of a vapor phase between two liquid reservoirs. A DSMC solution for this set-up is used to fit the Onsager coefficients and to compare the results with the macroscopic boundary conditions for R13 and also with two Navier-Stokes-Fourier models, which are based on the Onsager theory as well. The second configuration is a half space problem [15], for which dimensionless flow parameters are used to compare the different models.

The remainder of the paper proceeds as follows: Section 1 gives an overview of the R13 equations and the corresponding macroscopic evaporation boundary conditions, based on kinetic theory. Section 2 explains the derivation of the Onsager boundary conditions. Section 3 shows how the Onsager coefficients are determined, mainly by fitting to DSMC data. In Sec. 4 the newly derived boundary conditions are put to test in a numerical steady-state simulation with complex geometries. The work is summarized and discussed in Sec. 5.

### 1.1. The R13 Equations

In the following all equations are non-dimensionalized and linearized around an equilibrium state defined by a reference density for the vapor  $\rho_0$  and reference temperature  $T_0$ . The equilibrium saturation pressure for both liquid and vapor is defined as  $p_0 = p_{sat}(T_0)$ . We shall consider small deviations from equilibrium, caused by pressure or temperature gradients, to drive evaporation or condensation. Non-dimensionalizing allows to introduce meaningful coefficients into the equations, e.g., Prandtl or Knudsen numbers. The connection between variables denoting non-dimensional deviation to an equilibrium state (with hat) and the regular variables with dimension is

$$T = T_0 (1 + \hat{T}) , \quad \rho = \rho_0 (1 + \hat{\rho}) , \quad p = p_0 (1 + \hat{p}) , \quad (1)$$

$$v_k = \sqrt{RT_0} \hat{v}_k , \quad q_k = \rho_0 \sqrt{RT_0} \hat{q}_k , \quad \sigma_{ik} = \rho_0 RT_0 \hat{\sigma}_{ik} ,$$

$$h = h_0 (1 + \hat{h}) , \quad u = u_0 (1 + \hat{u}) , \quad \eta = \rho s = \eta_0 (1 + \hat{\eta}) ,$$

$$x_k = L\hat{x}_k, \quad t = \frac{L}{\sqrt{RT_0}}\hat{t}.$$

70 Here,  $T$  is temperature,  $\rho$  mass density,  $p$  pressure,  $v_k$  velocity vector,  $q_k$  heat flux vector,  $\sigma_{ik}$  stress  
 71 tensor,  $h$  enthalpy,  $u$  internal energy,  $\eta = \rho s$  entropy density,  $x_k$  position vector and  $t$  time. From now  
 72 on, the hats are not shown.

The governing macroscopic equations that describe the gas are given by the conservation laws for mass, momentum and energy, which in linearized and dimensionless form, read

$$\frac{\partial \rho}{\partial t} + \frac{\partial v_k}{\partial x_k} = 0, \quad (2)$$

$$\frac{\partial v_i}{\partial t} + \frac{\partial \sigma_{ik}}{\partial x_k} + \frac{\partial p}{\partial x_i} = F_i, \quad (3)$$

$$\frac{3}{2} \frac{\partial T}{\partial t} + \frac{\partial v_k}{\partial x_k} + \frac{\partial q_k}{\partial x_k} = 0. \quad (4)$$

73 Here,  $F_i$  is a body force, e.g., gravitational force. One has five equations for the five unknowns  $\rho$ ,  $v_i$   
 74 and  $T$ . An algebraic equation for  $p$  is found in the ideal gas law  $p = \rho RT$ , which assumes for the  
 75 non-dimensional and linear case the form  $p = \rho + T$ , with all variables describing the deviation to the  
 76 equilibrium state.

It is necessary to find equations for the heat flux vector  $q_k$  and stress tensor  $\sigma_{ik}$ , which beyond the hydrodynamic regime become full balance equations. By means of the order of magnitude method, Struchtrup & Torrilhon derived the following (here linearized & non-dimensionalized) balance equations from the Boltzmann equation, known as the regularized 13 moment equations, Ref. [9],

$$\frac{\partial \sigma_{ij}}{\partial t} + \frac{4}{5} \text{Pr} \frac{\bar{w}_3}{\bar{w}_2} \frac{\partial q_{\langle i}}{\partial x_{j\rangle} + \frac{\partial m_{ijk}}{\partial x_k} = -\frac{2}{\bar{w}_2} \frac{1}{\text{Kn}} \left[ \sigma_{ij} + 2\text{Kn} \frac{\partial v_{\langle i}}{\partial x_{j\rangle} \right], \quad (5)$$

$$\frac{\partial q_i}{\partial t} + \frac{5}{4} \text{Pr} \frac{\theta_4}{\theta_2} \frac{\partial \sigma_{ik}}{\partial x_k} + \frac{1}{2} \frac{\partial R_{ik}}{\partial x_k} + \frac{1}{6} \frac{\partial \Delta}{\partial x_i} = -\frac{1}{\theta_2} \frac{5}{2} \text{Pr} \frac{1}{\text{Kn}} \left[ q_i + \frac{5}{2} \text{Pr} \frac{\partial T}{\partial x_i} \right]. \quad (6)$$

The higher moments are defined over the relations

$$\Delta = -\frac{8\text{Kn}}{\text{Pr}_\Delta} \frac{\partial q_k}{\partial x_k}, \quad (7)$$

$$R_{ij} = -\frac{28}{5} \frac{\text{Kn}}{\text{Pr}_R} \frac{\partial q_{\langle i}}{\partial x_{j\rangle}, \quad (8)$$

$$m_{ijk} = -\frac{3\text{Kn}}{\text{Pr}_M} \frac{\partial \sigma_{\langle ij}}{\partial x_{k\rangle}. \quad (9)$$

77 By using the Chapman-Enskog expansion, while considering low Knudsen numbers, Eqs. (5,6) reduce  
 78 to the laws of Navier-Stokes and Fourier, i.e., the left hand sides become zero [5]. The balance laws  
 79 (5,6) use the higher moments  $\Delta$ ,  $R_{ik}$  and  $m_{ijk}$ . Here,  $\text{Pr} = \frac{\mu c_p}{k}$  denotes the Prandtl number, with  $\mu$  as  
 80 the shear viscosity. For a monatomic gas one has  $c_p = \frac{5}{2}R$  as the isobaric specific heat and  $k = \frac{15}{4}\mu$  as  
 81 the thermal conductivity. The Knudsen number is  $\text{Kn} = \frac{\mu \sqrt{RT}}{pL}$ , with  $L$  as characteristic length, e.g.,  
 82 the diameter of a pipe. Here,  $\theta_2$ ,  $\theta_4$ ,  $\bar{w}_2$  and  $\bar{w}_3$  are coefficients for different collision models, such as  
 83 Maxwell, HS and BGK models. In the following sections only Maxwell molecules are considered,  
 84 nevertheless the corresponding coefficients for Maxwell, Hard Sphere or BGK models for stress tensor,  
 85 heat flux vector and higher moments can be found in Table 1 [12].

**Table 1.** Coefficients for Maxwell (MM), Hard Sphere (HS) and Bhatnager-Gross-Krook (BGK) models for the R13 equations.

|     | $\omega_2$ | $\omega_3 = \theta_4$ | $\theta_2$ | Pr     | Pr <sub>R</sub> | Pr <sub>M</sub> | Pr <sub>Δ</sub> |
|-----|------------|-----------------------|------------|--------|-----------------|-----------------|-----------------|
| MM  | 2          | 3                     | 45/8       | 2/3    | 7/6             | 3/2             | 2/3             |
| BGK | 2          | 2                     | 5/2        | 1      | 1               | 1               | 1               |
| HS  | 2.02774    | 2.42113               | 5.81945    | 0.6609 | 1.3307          | 1.3951          | 0.9025          |

### 86 1.2. Macroscopic Evaporation Boundary Conditions for Maxwell Molecules

87 For the case that a vapor molecule hitting the liquid interface is reflected back to the vapor and  
 88 not being absorbed, Maxwell proposed an accommodation model, which is based on the assumption  
 89 that the fraction  $\chi$  of the vapor molecules hitting the liquid surface are diffusively reflected, i.e., with  
 90 momentum and energy exchange, and the remaining fraction  $(1 - \chi)$  is specularly reflected, without  
 91 energy exchange [7].

92 Based on microscopic evaporation boundary conditions of the Boltzmann equation, which are  
 93 derived from a Maxwell model for the interface, Struchtrup et al. derived macroscopic evaporation  
 94 boundary conditions (MBC) for the R13 equations [11]. In these, interface effects are described through  
 95 the accommodation coefficient  $\chi$  and the evaporation coefficient  $\vartheta$ . The evaporation coefficient equals  
 96 the condensation coefficient, which is the probability that a vapor particle hitting the liquid interface  
 97 will condense [16].

After non-dimensionalization and linearization around an equilibrium state, the MBC for evaporation [11] read

$$V_n = \sqrt{\frac{2}{\pi}} \frac{\vartheta}{2 - \vartheta} \left( p_{sat} (T^l) - p^g + \frac{1}{2} (T^g - T^l) - \frac{1}{2} \sigma_{nn}^g + \frac{1}{120} \Delta + \frac{1}{28} R_{nn} \right), \quad (10)$$

$$q_n^g = -\sqrt{\frac{2}{\pi}} \frac{\vartheta + \chi(1 - \vartheta)}{2 - \vartheta - \chi(1 - \vartheta)} \left( 2 (T^g - T^l) + \frac{1}{2} \sigma_{nn}^g + \frac{1}{15} \Delta + \frac{5}{28} R_{nn} \right) - \frac{1}{2} V_n^g, \quad (11)$$

$$m_{nnn} = \sqrt{\frac{2}{\pi}} \frac{\vartheta + \chi(1 - \vartheta)}{2 - \vartheta - \chi(1 - \vartheta)} \left( \frac{2}{5} (T^g - T^l) - \frac{7}{5} \sigma_{nn}^g + \frac{1}{75} \Delta - \frac{1}{14} R_{nn} \right) - \frac{2}{5} V_n^g, \quad (12)$$

$$\bar{\sigma}_{nk} = -\sqrt{\frac{2}{\pi}} \frac{\vartheta + \chi(1 - \vartheta)}{2 - \vartheta - \chi(1 - \vartheta)} \left( \bar{V}_k^g + \frac{1}{5} \bar{q}_k^g + \frac{1}{2} \bar{m}_{nnk} \right), \quad (13)$$

$$\bar{R}_{nk} = \sqrt{\frac{2}{\pi}} \frac{\vartheta + \chi(1 - \vartheta)}{2 - \vartheta - \chi(1 - \vartheta)} \left( \bar{V}_k^g - \frac{11}{5} \bar{q}_k^g - \frac{1}{2} \bar{m}_{nnk} \right), \quad (14)$$

$$\tilde{m}_{nij} = -\sqrt{\frac{2}{\pi}} \frac{\vartheta + \chi(1 - \vartheta)}{2 - \vartheta - \chi(1 - \vartheta)} \left( \tilde{\sigma}_{ij}^g + \frac{1}{14} \tilde{R}_{ij} + \left( \frac{1}{5} (T^g - T^l) - \frac{1}{5} \sigma_{nn}^g + \frac{1}{150} \Delta \right) \delta_{ij} \right) + \frac{1}{5} \delta_{ij} V_n^g. \quad (15)$$

98 Here, the index  $n$  refers to the direction normal to the interface. The Einstein notation, i.e.,  $A_{jj} = \sum_{j=1}^3 A_{jj}$   
 99 is not applicable for the index  $n$ . The variables are tensor components, where the overbar denotes the  
 100 normal-tangential- and tilde the tangential-tangential parts, see Appendix A. Note, that all variables  
 101 describe the deviation to an equilibrium state.

### 102 2. Evaporation Boundary Conditions for linear R13 based on the 2nd Law of Thermodynamics

The MBC have the major drawback of stability problems, see [17]. Therefore, we aim to derive stable phenomenological boundary conditions (PBC) for the regularized R13 equations for a liquid-gas

interface. The approach follows Ref. [12], in which a reduced entropy balance is used to derive boundary conditions for a wall-gas interface. The entropy balance for a fluid with dimensionless entropy density  $\tilde{\eta}$ , entropy flux  $\Psi_k$  and entropy generation rate  $\Sigma_{gen}$  reads

$$\frac{\partial \tilde{\eta}}{\partial t} + \frac{\partial \Psi_k}{\partial x_k} = \Sigma_{gen} . \quad (16)$$

Eq. (16) shall be integrated over a small volume of area  $\Delta A$  and height  $\Delta z$  across the liquid-vapor interface. By using Gauss' Theorem the integrated entropy balance becomes

$$\int_{\Delta A \Delta z} \frac{\partial \tilde{\eta}}{\partial t} dV + \oint_{\partial \Delta V} \Psi_k n_k dA = \int_{\Delta A \Delta z} \Sigma_{gen} dV . \quad (17)$$

For  $\Delta z \rightarrow 0$  the first term vanishes and (17) reduces to the entropy balance for the interface,

$$(\Psi_k^g - \Psi_k^l) n_k = \Sigma_{surface} \geq 0 . \quad (18)$$

Hence, the entropy generation rate  $\Sigma_{surface} = \frac{1}{\Delta A \Delta z} \int_{\Delta A \Delta z} \Sigma_{gen} dV$  is equal to the difference in entropy fluxes entering and leaving the interface. In the following, all variables on liquid side are denoted with  $l$  and all variables on vapor side with  $g$ . A linear combination of manipulated mass, energy and entropy balances (Appendix B) leads to the (linearized and non-dimensional) entropy flux on liquid side as

$$\Psi_k^l = -q_k^l T^l - \sigma_{ik}^l v_i^l - p^l v_k^l . \quad (19)$$

Here  $T$ ,  $\rho$  and  $v$  are deviations from an equilibrium state defined by  $T_0$ ,  $\rho_0$  and  $p_0 = p_{sat}(T_0)$ . For the linear R13 equations and the vapor side, the linearized and dimensionless entropy flux (Appendix B) is

$$\Psi_k^g = -(\rho^g + T^g) v_k^g - v_i^g \sigma_{ik}^g - T^g q_k^g - \frac{\omega_3}{5} \text{Pr} q_i^g \sigma_{ik}^g - \frac{\omega_2}{4} \sigma_{ij}^g m_{ijk} - \frac{2\theta_2}{25} (\text{Pr})^2 \left( q_i^g R_{ik} + \frac{\Delta}{3} q_k^g \right) . \quad (20)$$

Furthermore, the (linearized and non-dimensional) balance laws for mass, momentum and energy, integrated around the interface similar to (18) become

$$\rho_l v_k^l n_k = \rho_0 v_k^g n_k , \quad (21)$$

$$p^l n_i + \sigma_{ik}^l n_k = p^g n_i + \sigma_{ik}^g n_k , \quad (22)$$

$$\frac{\rho_l h_0^l}{R \rho_0 T_0} v_k^l n_k + q_k^l n_k = \frac{h_0^g}{R T_0} v_k^g n_k + q_k^g n_k . \quad (23)$$

103 The variables  $v_k^l$  and  $v_k^g$  are the velocities on the liquid and vapor sides from the perspective of an  
104 observer resting on the interface.

The entropy fluxes (19,20) are plugged into the integrated entropy balance (18). Eqs. (21-23) are used to eliminate the variables  $v_k^l$ ,  $\sigma_{ik}^l$  and  $q_k^l$ . All variables describe the deviation to equilibrium, are dimensionless and linearized. After applying the appropriate coefficients for Maxwell molecules, according to Table 1, using the Clausius Clapeyron equation [18] (linearized and dimensionless) in the form  $p_{sat}(T^l) = \frac{h_0^g}{RT_0} T^l$  and by considering  $\rho_l \gg \rho_0$ , one may write (18) as

$$\begin{aligned} J_k^g n_k \frac{1}{\rho_0} \left( p_{sat}(T^l) - p^g \right) - (T^g - T^l) q_k^g n_k - V_i \sigma_{ik}^g n_k - \frac{\omega_3}{5} \text{Pr} q_i^g \sigma_{ik}^g n_k \\ - \frac{\omega_2}{4} \sigma_{ij}^g m_{ijk} n_k - \frac{2\theta_2}{25} (\text{Pr})^2 \left( q_i^g R_{ik} n_k + \frac{\Delta}{3} q_k^g n_k \right) = \Sigma_{surface} \geq 0 , \quad (24) \end{aligned}$$

105 where  $V_i = v_i^g - v_i^l$ ,  $J_k^g n_k = \rho_0 v_k^g n_k$  and the corresponding ideal gas law, given as  $\rho^g = p^g - T^g$  was  
 106 used. To accomplish a proper entropy balance for the linearized equations, terms up to second order  
 107 are kept [19].

Next, the entropy balance is split into contributions from normal and tangential components, see  
 Appendix A; all matrices and higher moments are symmetric and trace free,

$$\begin{aligned} \Sigma_{surface} = & J_n^g \frac{1}{\rho_0} \left[ p_{sat} (T^l) - p^g - \sigma_{nn} \right] \\ & + q_n^g \left[ - (T^g - T^l) - \frac{\omega_3}{5} \text{Pr} \sigma_{nn} - \frac{2\theta_2}{25} (\text{Pr})^2 \left( R_{nn} + \frac{\Delta}{3} \right) \right] \\ & + m_{nnn} \left[ - \frac{3\omega_2}{8} \sigma_{nn} \right] \\ & + \bar{\sigma}_{nk} \left[ - \bar{V}_k - \frac{\omega_3}{5} \text{Pr} \bar{q}_k - \frac{\omega_2}{2} \bar{m}_{nnk} \right] + \bar{R}_{nk} \left[ - \frac{2\theta_2}{25} (\text{Pr})^2 \bar{q}_k \right] \\ & + \tilde{m}_{nij} \left[ - \frac{\omega_2}{4} \tilde{\sigma}_{ij} \right] . \end{aligned} \quad (25)$$

108 As before, overbar denotes normal-tangential and tilde denotes tangential-tangential components. In  
 109 case that the mass flow  $J_n^g$  vanishes, Eq. (25) simplifys to the entropy generation at a wall-gas-interface,  
 110 see Ref. [12].

The entropy generation may be written as a superposition of thermodynamic fluxes  $J_i$  and forces  
 $X_i$ , [13][14]:

$$\Sigma_{surface} = \sum_i J_i X_i \geq 0 . \quad (26)$$

Here, moments with odd degree in the normal direction  $n$  are identified as fluxes, i.e.,  $J_n$ ,  $q_n$ ,  $m_{nnn}$ ,  $\bar{\sigma}_{nk}$ ,  
 $\bar{R}_{nk}$  and  $\tilde{m}_{nij}$ , while moments with even degree in  $n$  are identified as the corresponding forces, i.e.,  $p^g$ ,  
 $T^g$ ,  $T^l$ ,  $\sigma_{nn}$ ,  $R_{nn}$ ,  $\Delta$ ,  $\bar{V}_k$ ,  $\bar{q}_k$ ,  $\bar{m}_{nnk}$  and  $\tilde{\sigma}_{ij}$ . Note that  $p^g$ ,  $T^g$ ,  $T^l$ ,  $\sigma_{nn}$ ,  $R_{nn}$ ,  $\Delta$ ,  $J_n$ ,  $q_n$  and  $m_{nnn}$  are scalars,  
 $\bar{V}_k$ ,  $\bar{q}_k$ ,  $\bar{m}_{nnk}$ ,  $\bar{\sigma}_{nk}$  and  $\bar{R}_{nk}$  are vectors, and  $\tilde{\sigma}_{ij}$  and  $\tilde{m}_{nij}$  are tensors. Furthermore, a linear force-flux  
 relation is stated within the Onsager theory, to satisfy Eq. (26):

$$J_i = \sum_j L_{ij} X_j . \quad (27)$$

111 Here,  $L_{ij}$  is a positiv-definite matrix of Onsager coefficients with the Onsager reciprocity relation,  
 112 requiring symmetry of  $L_{ij}$ . Only equations of the same tensor rank are coupled over the reciprocity  
 113 relation (Curie principle, [20]). This means, that all force terms of the same tensor rank superimpose  
 114 each other and impact all fluxes of the same tensor rank, hence:

### Scalar fluxes:

$$\begin{pmatrix} V_n^g \\ q_n^g \\ m_{nnn} \end{pmatrix} = \begin{pmatrix} \lambda_0 & \lambda_1 & \lambda_2 \\ \lambda_1 & \lambda_3 & \lambda_4 \\ \lambda_2 & \lambda_4 & \lambda_5 \end{pmatrix} \begin{pmatrix} \left[ p_{sat} (T^l) - p^g - \sigma_{nn} \right] \\ \left[ - (T^g - T^l) - \frac{\omega_3}{5} \text{Pr} \sigma_{nn} - \frac{2\theta_2}{25} (\text{Pr})^2 \left( R_{nn} + \frac{\Delta}{3} \right) \right] \\ \left[ - \frac{3\omega_2}{8} \sigma_{nn} \right] \end{pmatrix} \quad (28)$$

### Vector fluxes:

$$\begin{pmatrix} \bar{\sigma}_{nk} \\ \bar{R}_{nk} \end{pmatrix} = \begin{pmatrix} \zeta_0 & \zeta_1 \\ \zeta_1 & \zeta_2 \end{pmatrix} \begin{pmatrix} \left[ - \bar{V}_k - \frac{\omega_3}{5} \text{Pr} \bar{q}_k - \frac{\omega_2}{2} \bar{m}_{nnk} \right] \\ \left[ - \frac{2\theta_2}{25} (\text{Pr})^2 \bar{q}_k \right] \end{pmatrix} \quad (29)$$

### Tensor fluxes:

$$\tilde{m}_{nij} = -\kappa_0 \frac{\omega_2}{4} \tilde{\sigma}_{ij} \quad (30)$$

115 For  $\lambda_0 = \lambda_1 = \lambda_2 = 0$  one obtains the full set of phenomenological boundary conditions for  
 116 a wall-gas interface, which are independent of evaporation as in Ref. [12]. The interface conditions  
 117 (29-30), which consist of first order tensors (vectors) and second order tensors (matrices), respectively,  
 118 have been fitted for a wall-gas interface in Ref. [12]. The fitting of (28) for evaporation at liquid-vapor  
 119 interfaces shall be discussed in Sec. 3. In the following, the new evaporation boundary conditions  
 120 (28-30) shall be referred to as PBC (phenomenological boundary conditions).

121 **3. Determining the Onsager coefficients**

122 *3.1. Comparison to previous Macroscopic Boundary Conditions*

123 The structure of PBC and MBC is very similar, the main difference lies in the values of the  
 124 coefficients. As first step for determining the Onsager coefficients of the PBC (28-30), we aim to use  
 125 the coefficients of the MBC in a way that all terms - except those where higher order moments, i.e.,  $\Delta$ ,  
 126  $R_{ij}$ ,  $m_{ijk}$  occur - agree to the MBC. This is justified due to the fact that the MBC predict effects in the  
 127 Navier-Stokes regime very well. In the rarefied gas regime, however, their application seems to be  
 128 more limited [11]. Since the higher moments are responsible for predicting a simplified Knudsen layer  
 129 and also for rarefaction effects, a difference between PBC and MBC in these terms is desired. For a  
 130 liquid-gas interface the matrix of Onsager coefficients of those boundary conditions with variables  
 131 of zero tensor rank (28) assumes the dimension 3x3, in contrast to the wall-gas interface, where the  
 132 matrix reads 2x2 [12]. Based on these thoughts, the following Onsager coefficients are suggested:

$$\lambda_0 = a\vartheta_2, \quad (31)$$

$$\lambda_1 = b \left( -\frac{1}{2}\vartheta_2 \right), \quad (32)$$

$$\lambda_2 = c \left( -\frac{2}{5}\vartheta_2 \right), \quad (33)$$

$$\lambda_3 = d \left( \frac{1}{4}\vartheta_2 + 2\chi_2 \right), \quad (34)$$

$$\lambda_4 = e \left( \frac{1}{5}\vartheta_2 - \frac{2}{5}\chi_2 \right), \quad (35)$$

$$\lambda_5 = f \left( \frac{4}{25}\vartheta_2 + \frac{52}{25}\chi_2 \right), \quad (36)$$

133 with

$$\vartheta_2 = \sqrt{\frac{2}{\pi}} \frac{\vartheta}{2-\vartheta}, \quad \chi_2 = \sqrt{\frac{2}{\pi}} \frac{\vartheta + \chi(1-\vartheta)}{2-\vartheta - \chi(1-\vartheta)}.$$

134 To leave the coefficients adjustable, the factors  $a, \dots, f$  have been introduced. For  $a = b = \dots = f = 1$ ,  
 135 the PBC differ from the MBC, only in the higher order terms, see Appendix C. The boundary conditions  
 136 (29-30) have been fitted for a wall-gas interface in Ref. [12] and shall not further be investigated here.  
 137 To determine the coefficients  $a, \dots, f$  by fitting to a DSMC solution, two evaporation problems will be  
 138 discussed, for which analytical solutions for R13 with PBC can be obtained.

139 *3.2. Simplification of R13 for 1-D Problems*

140 As can be expected, the present PBC, just like the MBC, give less accurate results than methods,  
 141 that solve the full Boltzmann Equation. The R13 equations and their corresponding interface and  
 142 boundary conditions are simplifications of the Boltzmann Equation and carry fewer information.

<sup>143</sup> The adjustable coefficients  $a \dots f$  in (31-36) leave six degrees of freedom to determine the Onsager  
<sup>144</sup> coefficients. It is of interest, whether the simplification of R13 to the Boltzmann equation can be partly  
<sup>145</sup> corrected by adjusting the Onsager coefficients. In this context we simplify the linear R13 equations for  
<sup>146</sup> one-dimensional and steady systems and solve them for two problems, previously discussed in [11].  
<sup>147</sup> Then, the new solutions are fitted to DSMC data.

All variables depend only on the location  $x$ . For the equilibrium rest state the saturation pressure of the liquid interface is set to  $p_{sat}(T_0) = p_0$ . We assume that the liquid temperature at the interface is controlled. Small pressure- or temperature changes are sufficient to drive evaporation or condensation. All equations are linear and dimensionless and describe the deviation to their equilibrium state. The simplified balance equations for mass, momentum and energy read

$$\frac{\partial v}{\partial x} = \frac{\partial \sigma}{\partial x} + \frac{\partial p}{\partial x} = \frac{\partial q}{\partial x} = 0. \quad (37)$$

After simple integration follows

$$v = V_0 = \text{const}, \quad p + \sigma = P_0 = \text{const}, \quad q_0 = Q_0 = \text{const}. \quad (38)$$

Hence, velocity and conductive heat flux are constant in the vapor phase. The normal components of the linear and non-dimensional constitutive equations for (7-9) obtain the form

$$\Delta = -\frac{8\text{Kn}}{\text{Pr}_\Delta} \frac{\partial q}{\partial x} = 0, \quad R_{nn} = -\frac{28}{5} \frac{\text{Kn}}{\text{Pr}_R} \frac{\partial q}{\partial x} = 0, \quad m_{nnn} = -\frac{3\text{Kn}}{\text{Pr}_M} \frac{\partial \sigma}{\partial x}, \quad (39)$$

with data to adjust between the molecule models from Table 1. The linear and non-dimensional equations for normal stress  $\sigma$  and conductive heat flux  $q_0$  become

$$\frac{6}{5} \text{Kn} \frac{\partial^2 \sigma}{\partial x^2} = \frac{\sigma}{\text{Kn}}, \quad (40)$$

$$\frac{\partial T_g}{\partial x} = -\frac{4q_0}{15\text{Kn}} - \frac{2}{5} \frac{\partial \sigma}{\partial x}. \quad (41)$$

Integration yields

$$\sigma = A \sinh \left[ \sqrt{\frac{5}{6}} \frac{x}{\text{Kn}} \right] + B \cosh \left[ \sqrt{\frac{5}{6}} \frac{x}{\text{Kn}} \right], \quad (42)$$

$$T_g = K - \frac{4q_0 x}{15\text{Kn}} - \frac{2}{5} \sigma, \quad (43)$$

with  $A, B, K$  as constants of integration. There are 6 unknowns ( $V_0, P_0, Q_0, A, B, K$ ), that must be determined for finding the solution. For evaporating interfaces, and by taking  $\Delta = R = 0$  (39) into account, the normal boundary conditions (28) simplify to

$$V_0 = \lambda_0 \left[ -P_0 + p_{sat}(T^l) \right] + \lambda_1 \left[ -(T_g - T_l) - \frac{\omega_3}{5} \text{Pr} \sigma \right] - \lambda_2 \frac{3\omega_2}{8} \sigma, \quad (44)$$

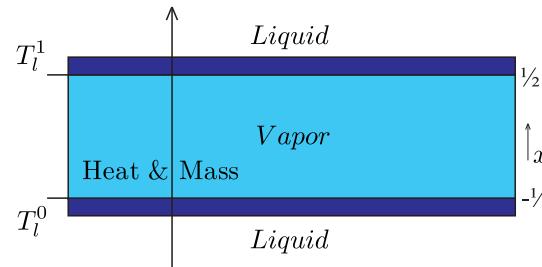
$$q_0 = \lambda_1 \left[ -P_0 + p_{sat}(T^l) \right] + \lambda_3 \left[ -(T_g - T_l) - \frac{\omega_3}{5} \text{Pr} \sigma \right] - \lambda_4 \frac{3\omega_2}{8} \sigma, \quad (45)$$

$$\frac{6}{5} \text{Kn} \left[ \frac{\partial \sigma}{\partial x} \right] = \lambda_2 \left[ P_0 - p_{sat}(T^l) \right] + \lambda_4 \left[ (T_g - T_l) + \frac{\omega_3}{5} \text{Pr} \sigma \right] + \lambda_5 \frac{3\omega_2}{8} \sigma, \quad (46)$$

<sup>148</sup> with  $V_0 = n_k V_k$  and  $q_0 = q_k n_k$ .

<sup>149</sup> 3.3. Problem I: Vapor layer between two liquid reservoirs

<sup>150</sup> In the first problem for fitting the coefficients  $a \dots f$ , and also for getting an insight into the Knudsen  
<sup>151</sup> layers, we consider one-dimensional, steady-state heat- and mass transfer within a vapor phase in  
<sup>152</sup> between two liquid reservoirs with controlled temperature on liquid side of the liquid-vapor interfaces.  
<sup>153</sup> The configuration has been discussed in [11] and shall be outlined only briefly here.



**Figure 1.** System I: Vapor phase between two liquid reservoirs.

The interfaces are located at  $x = \pm \frac{1}{2}$  with the normal vector  $n$  pointing from liquid into vapor and the superscripts 0 for  $x = -\frac{1}{2}$  and 1 for  $x = \frac{1}{2}$ , i.e.,  $V_0^0 = -V_0^1 = V_0$ . Driving force for evaporation and condensation is the temperature difference between  $T_l^0$  and  $T_l^1$ . The required six equations are found by evaluating the boundary conditions (28) at both interfaces. For evaluation of the equations, it is convenient to take both the sums and the differences at both interfaces. For the three sums follows

$$P_o = \frac{1}{2} \left( p_{sat}^0(T_l^0) + p_{sat}^0(T_l^1) \right), \quad (47)$$

$$(T_l^0 + T_l^1) - (T_g^0 + T_g^1) = 0, \quad (48)$$

$$\sigma^0 = -\sigma^1. \quad (49)$$

Stress profile Eq. (42) and temperature profile, Eq. (43), follow as

$$\sigma = A \sinh \left[ \sqrt{\frac{5}{6}} \frac{x}{Kn} \right], \quad (50)$$

$$T_g = \frac{(T_l^0 + T_l^1)}{2} - \frac{4q_0 x}{15Kn} - \frac{2}{5} A \sinh \left[ \sqrt{\frac{5}{6}} \frac{x}{Kn} \right]. \quad (51)$$

The three differences of the normal boundary conditions form a linear system for  $V_0$ ,  $Q_0$  and  $A$  as

$$V_0 = \frac{1}{2} \left( \begin{array}{l} \lambda_0 [p_{sat}(T_l^0) - p_{sat}(T_l^1)] \\ + \lambda_1 \left[ -\frac{4q_0}{15Kn} + (T_l^0 - T_l^1) + \left( \frac{2\omega_3}{5} \text{Pr} - \frac{4}{5} \right) A \sinh \left[ \frac{1}{2} \sqrt{\frac{5}{6}} \frac{1}{Kn} \right] \right] \\ + \frac{3\omega_2}{4} \lambda_2 A \sinh \left[ \frac{1}{2} \sqrt{\frac{5}{6}} \frac{1}{Kn} \right] \end{array} \right), \quad (52)$$

$$Q_0 = \frac{1}{2} \left( \begin{array}{l} \lambda_1 [p_{sat}(T_l^0) - p_{sat}(T_l^1)] \\ + \lambda_3 \left[ -\frac{4q_0}{15Kn} + (T_l^0 - T_l^1) + \left( \frac{2\omega_3}{5} \text{Pr} - \frac{4}{5} \right) A \sinh \left[ \frac{1}{2} \sqrt{\frac{5}{6}} \frac{1}{Kn} \right] \right] \\ + \lambda_4 \frac{3\omega_2}{4} A \sinh \left[ \frac{1}{2} \sqrt{\frac{5}{6}} \frac{1}{Kn} \right] \end{array} \right), \quad (53)$$

$$A = \frac{1}{\frac{12}{5} \sqrt{\frac{5}{6}} \cosh(\frac{1}{2} \sqrt{\frac{5}{6}} \frac{1}{Kn})} \begin{pmatrix} \lambda_4 \left[ \frac{4q_0}{15Kn} + (T_l^1 - T_l^0) + \left( \frac{4}{5} - \frac{2\omega_3}{5} \Pr \right) A \sinh \left[ \frac{1}{2} \sqrt{\frac{5}{6}} \frac{1}{Kn} \right] \right] \\ -\lambda_5 \frac{3\omega_2}{4} A \sinh \left[ \frac{1}{2} \sqrt{\frac{5}{6}} \frac{1}{Kn} \right] + \lambda_2 [p_{sat}(T_l^1) - p_{sat}(T_l^0)] \end{pmatrix}. \quad (54)$$

Here,  $A$  is the amplitude of the Knudsen layer. We refrain from showing the solution but will only show results from the inversion in the figures. For the linear NSF-Onsager boundary conditions, see Appendix D, one finds

$$V_0 = \frac{r_{22}}{r_{11}r_{22} - r_{12}r_{12}} \frac{1}{\sqrt{2\pi}} \frac{1}{2} \left( p_{sat}^0(T_l^0) - p_{sat}^1(T_l^1) + \frac{r_{12}}{r_{22}} \left( \frac{4Q_0}{15Kn} + T_l^1 - T_l^0 \right) \right), \quad (55)$$

$$q_0 = \frac{1}{r_{22}} \frac{1}{2} \left( \frac{1}{\sqrt{2\pi}} \left( -\frac{4Q_0}{15Kn} + T_l^0 - T_l^1 \right) - 2r_{12}V_0 \right), \quad A = 0. \quad (56)$$

154 The given solution for NSF is a simplification for  $\chi = \vartheta = 1$ , see Appendix D. For the NSF-Onsager  
 155 coefficients  $r_{11}$ ,  $r_{12}$  and  $r_{22}$  the Onsager matrix (D.2) or the corrected Onsager matrix (D.3) can be used.  
 156 The solution of the MBC for this system can be found in [11]. Results shall be compared in Sec. 3.5 and  
 157 3.6.

158 **3.4. Problem II: Evaporation in Half-Space Problem**

159 In the half space problem, a liquid interface evaporates into the equilibrium state, as discussed  
 160 previously in Ref. [11]. Driving force is the prescribed pressure  $p_\infty$  far away from the interface, see  
 161 Fig. 2.

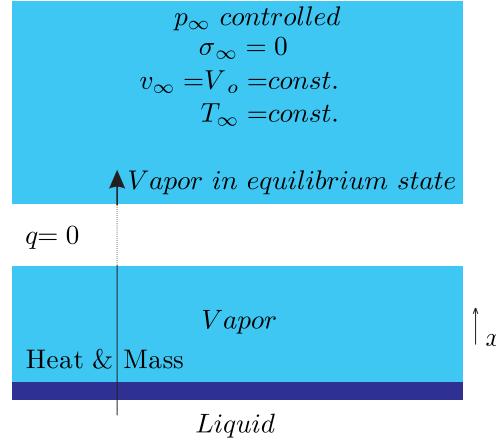


Figure 2. System II: Half-space problem.

The six unknowns are found by considering evaporation boundary conditions on one side and constant velocity  $v_\infty = V_0$ , pressure  $p_\infty = P_0$  and temperature  $T_\infty$  far away from the interface. For reaching constant pressure  $p_\infty$  and due to the momentum balance (38), it is necessary to set the normal stress far away from the interface to  $\sigma_\infty = 0$ . Moreover, conductive heat flux  $q_0$  is set to zero as well. With  $T_\infty$  prescribed, one finds the constant  $K$ . For (50,51) it follows

$$\sigma(x) = A \exp \left[ -\sqrt{\frac{5}{6}} \frac{x}{Kn} \right], \quad (57)$$

$$T(x) = T_\infty - \frac{2}{5} \sigma(x). \quad (58)$$

**Table 2.** Factors to adjust the Onsager coefficients of the PBC for the standard temperature profile.

| PBC standard profile | <i>a</i> | <i>b</i> | <i>c</i> | <i>d</i> | <i>e</i> | <i>f</i> |
|----------------------|----------|----------|----------|----------|----------|----------|
|                      | 1.02     | 0.96     | 1.30     | 0.94     | 0.50     | 1.20     |

Evaluating the boundary conditions (28) at the interface between liquid and vapor leads to

$$v_\infty = \lambda_0 [p_{sat}(T_l) - p_\infty] + \lambda_1 (T_l - T_\infty) + \left( \lambda_1 \left( \frac{2}{5} - \frac{\omega_3}{5} \text{Pr} \right) - \lambda_2 \frac{3\omega_2}{8} \right) A, \quad (59)$$

$$0 = \lambda_1 [p_{sat}(T_l) - p_\infty] + \lambda_3 (T_l - T_\infty) + \left( \lambda_3 \left( \frac{2}{5} - \frac{\omega_3}{5} \text{Pr} \right) - \lambda_4 \frac{3\omega_2}{8} \right) A, \quad (60)$$

$$0 = \lambda_2 [p_{sat}(T_l) - p_\infty] + \lambda_4 (T_l - T_\infty) + \left( \lambda_4 \left( \frac{2}{5} - \frac{\omega_3}{5} \text{Pr} \right) - \lambda_5 \frac{3\omega_2}{8} - \frac{6}{5} \sqrt{\frac{5}{6}} \right) A. \quad (61)$$

For Navier-Stokes-Fourier out of Eq. (D.1) follows

$$v_\infty = \frac{p_{sat}(T_l) - p_\infty}{\sqrt{2\pi}r_{11}}, \quad (62)$$

$$v_\infty = \frac{1}{\sqrt{2\pi}} \frac{T_l - T_\infty}{r_{21}}. \quad (63)$$

With prescribed pressure  $p_\infty$  and by setting  $p_{sat}(T_l) - p_\infty = \Delta p$  and  $T_l - T_\infty = \Delta T$ , there are three unknowns  $v_\infty$ ,  $T_\infty$  and  $A$ , which can be calculated with (59-61) for PBC and (62,63) for NSF. The solution for the MBC can again be found in Ref. [11]. Note that for NSF  $A$  is zero and the given two equations are sufficient.

Ytrehus, who discussed the half space problem in Ref. [15], proposed dimensionless ratios in which the prescribed pressure  $p_\infty$  is eliminated. The ratios which make it easy to compare different models, e.g., Maxwell molecules, BGK, Navier-Stokes-Fourier etc. read:

$$\alpha_p = \frac{p_{sat}(T_l) - p_\infty}{\frac{v_\infty}{\sqrt{2}}}, \quad (64)$$

$$\alpha_\theta = \frac{T_l - T_\infty}{\frac{v_\infty}{\sqrt{2}}}. \quad (65)$$

Note, that (59-63) and therefore also (64,65) are independent of the Knudsen number.

### 3.5. Fitting of the Onsager Coefficients: Standard Temperature Profile

The ratios (64,65) from Problem II together with DSMC data for Problem I shall be used to fit the coefficients  $a \dots f$  in (31-36). The temperatures and saturation pressures at the liquid boundaries are given as  $T_l^0 = p_{sat}(T_l^0) = 1.05$  and  $T_l^1 = p_{sat}(T_l^1) = 0.95$ . All results in the following are based on full evaporation and fully diffusive reflection, by setting the evaporation and accommodation coefficients  $\vartheta = \chi = 1$ . Maxwell molecules are considered, and their data is taken out of Table 1. In Table 2 factors for the Onsager coefficients, used in Eqs. (31-36), which have been found by trial and error are suggested to adjust the PBC, Eqs. (28), for best fit. The results of the new PBC are compared with the previously derived evaporation boundary conditions (MBC) and also with Navier-Stokes-Fourier solutions. NSF is based on Onsager boundary conditions as well and uses the Onsager matrix (D.2) or the corrected Onsager matrix (D.3).

Ytrehus used a moment method to solve the half space problem with high precision [15] and his results are used here as reference. Ytrehus' ratios  $\alpha_p$ ,  $\alpha_\theta$  (64,65) have been calculated for PBC, MBC,

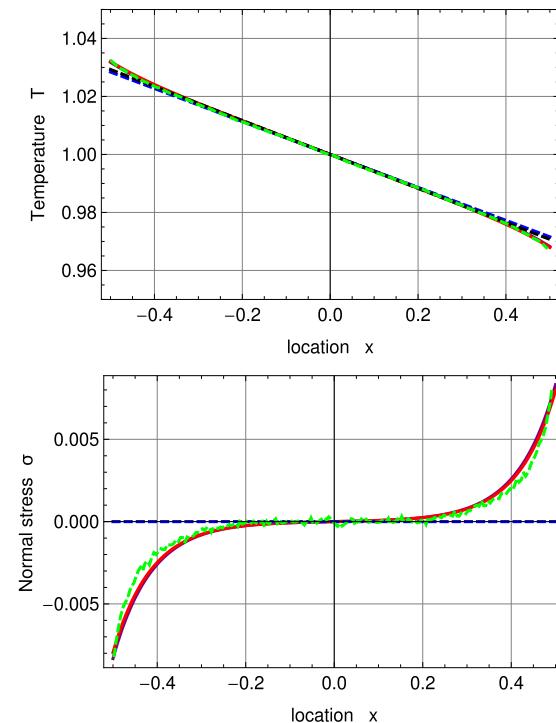
**Table 3.** Solutions for Ytrehus' ratios and percentual deviation to Ytrehus' solution for the standard temperature profile.

|                      | $\alpha_p$ | % to Ytrehus | $\alpha_\theta$ | % to Ytrehus |
|----------------------|------------|--------------|-----------------|--------------|
| PBC standard profile | 2.0956     | 1.40         | 0.4875          | 10.02        |
| MBC                  | 2.1097     | 0.74         | 0.4894          | 10.44        |
| NSF                  | 1.9940     | 6.18         | 0.4431          | -            |
| NSF corrected        | 2.1254     | -            | 0.4472          | 0.93         |
| Ytrehus              | 2.1254     | -            | 0.4431          | -            |

180 NSF and corrected NSF. Together with the percentual deviation to Ytrehus' solution they are given in  
 181 Table 3.

182 By trial and error fitting of the Onsager coefficients it was not possible to achieve superior  
 183 agreement between PBC and DSMC for Problem I (Sec. 3.3) and proper results for Ytrehus' ratios  
 184 (64,65) at the same time. Forcing good agreement between Ytrehus' solution of the half space problem  
 185 and PBC regarding the dimensionless ratios showed significant decrease in agreement between PBC  
 186 and DSMC for Problem I. The fittings that are chosen here are compromises between Problem I and  
 187 Problem II but with strong emphasis on achieving proper results for Problem I, which means proper  
 188 agreement with DSMC results.

189 Fig. 3 shows temperature and normal stress profiles for  $\text{Kn} = 0.078$ . R13 with PBC (solid, purple)  
 190 and MBC (solid, red) are in good agreement with DSMC (green, dashed). The amplitude of the  
 191 Knudsen layer  $A$  is zero for NSF (black, dashed) and corrected NSF (blue, dashed). As a result both  
 192 NSF solutions slightly deviate from DSMC close to the boundaries.  $A = 0$  removes the last term in (51)  
 193 and therefore leads to a linear function. In Problem I, NSF is not able to predict normal stress at all, see  
 194 Eqs. (55,56).



**Figure 3.** Temperature and normal stress profiles for  $\text{Kn} = 0.078$  with  $\Delta T = 0.05$  and  $\Delta p = 0.05$ :  
 DSMC (symmetrized; green, dashed), R13 with PBC (purple), R13 with MBC (red), corrected NSF (blue,  
 dashed), uncorrected NSF (black, dashed).

195 In Fig. 4 temperature and normal stress profiles are illustrated for  $\text{Kn} = 0.235$ . Both sets of  
 196 boundary conditions for R13 reconstruct the DSMC results well but slightly underpredict the Knudsen  
 197 layers both for temperature and normal stress. For the temperature profile they are in better agreement  
 198 with DSMC than the two NSF solutions. For both  $\text{Kn} = 0.078$  and  $\text{Kn} = 0.235$  one notes the significant  
 199 temperature jumps at the boundaries.

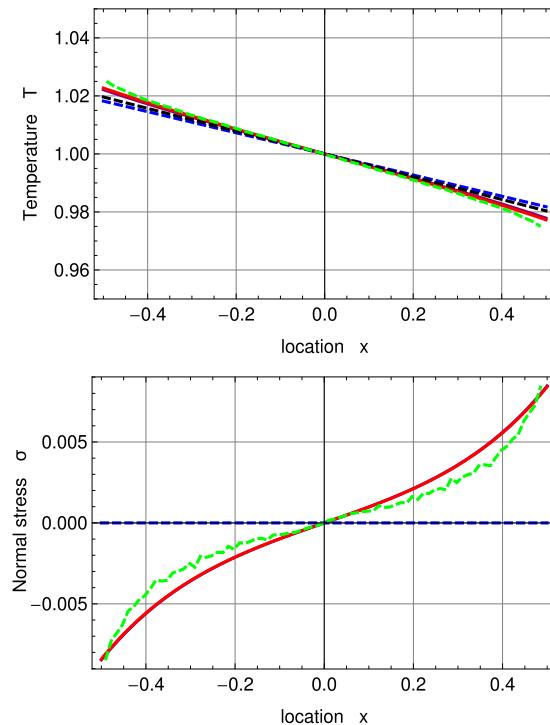


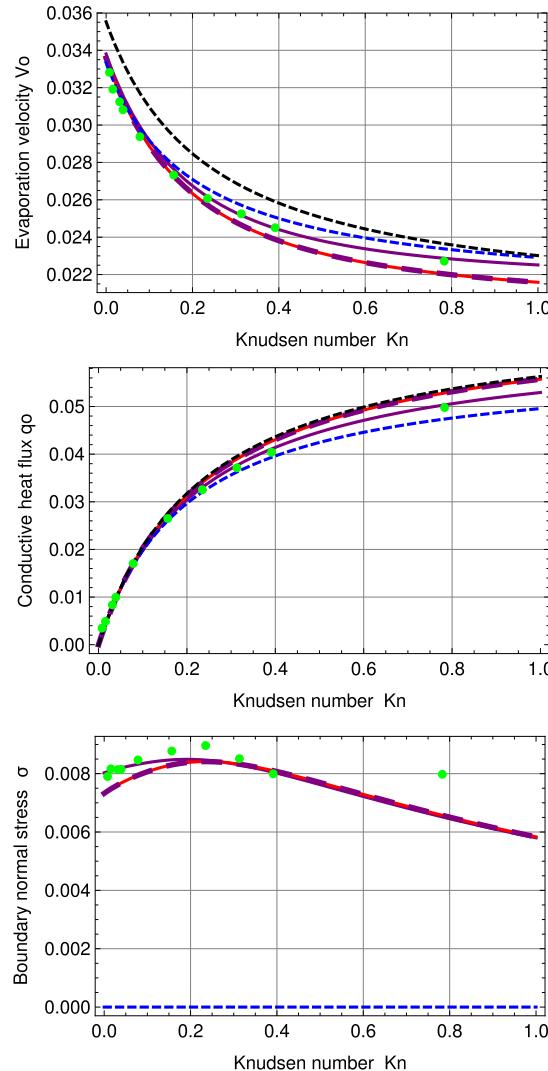
Figure 4. Temperature and normal stress profiles for  $\text{Kn} = 0.235$  with  $\Delta T = 0.05$  and  $\Delta p = 0.05$ :  
 DSMC (symmetrized; green, dashed), R13 with PBC (purple), R13 with MBC (red), corrected NSF (blue,  
 dashed), uncorrected NSF (black, dashed).

200 Additionally to temperature and normal stress profiles, we seek to get insight into the three  
 201 integration constants velocity  $V_0$ , heat conduction  $q_0$  and Knudsen Layer amplitude  $A$ , depending on  
 202 the Knudsen number. The three variables are plotted over  $\text{Kn} = \{0, \dots, 1\}$  in Fig. 5.

203 The sign of velocity  $V_0$  and heat conduction  $q_0$  are positive. That is, mass and conductive heat  
 204 flux are transferred from warm to cold, which means they are transported at  $x = -\frac{1}{2}$  into the system  
 205 via evaporation and due to steady state, the same amount of mass and conductive heat is transported  
 206 at  $x = \frac{1}{2}$  out of the system into the colder reservoir via condensation.

207 The purple, large, dashed line represents R13 with PBC for  $a = b \dots = f = 1$ , see Appendix C.  
 208 Although there are differences in the higher order terms between PBC and MBC, if the adjustable  
 209 coefficients are set to unity, the order of magnitude of the maximum deviation between the two models  
 210 is with  $\pm 10^{-7}$  very small, i.e., at first glance, both plots appear to be identical.

211 R13 with PBC shows very good agreement with DSMC for  $V_0$  and  $q_0$  for all Knudsen numbers.  
 212 The PBC results for normal stress are better than those of MBC for  $\text{Kn} < 0.3$ . For higher Knudsen  
 213 numbers both PBC and MBC fail to predict  $\sigma$  in precise agreement with DSMC. Again normal stress  
 214 can not be predicted by NSF.



**Figure 5.** Evaporation velocity  $V_0$ , conductive heat flux  $q_0$  and boundary normal stress  $\sigma_0$  for standard temperature profile: DSMC (green, dots), R13 with PBC (purple), R13 with PBC:  $a \dots f = 1$  (purple, large, dashed), R13 with MBC (red), corrected NSF (blue, dashed), uncorrected NSF (black, dashed).

Interestingly for this PBC fit, Ytrehus' ratios are similar to those of the MBC, i.e., 1.4% (PBC) and 0.74% (MBC) deviation for  $\alpha_p$  and 10.02% (PBC) and 10.44% (MBC) for  $\alpha_\theta$ , see Table 3. Corrected NSF is under 1% deviation for both ratios. Uncorrected NSF shows zero deviation for  $\alpha_\theta$  and 6.18% for  $\alpha_p$ . For Knudsen numbers larger than  $\text{Kn} = 0.235$  the deviation between DSMC and PBC becomes slightly larger for the temperature profile and stays similar for the normal stress profile. The temperature jump at the boundaries increases with increasing Knudsen number.

### 3.6. Fitting of the Onsager Coefficients: Inverted Temperature Profile

By adjusting the values for  $\Delta T$  and  $\Delta p$ , it can be shown that the sign of the conductive heat flux  $q_0$  switches. This leads to an inverted temperature profile as depicted below. The negative sign of  $q_0$  indicates conductive heat transport from  $x = \frac{1}{2}$  to  $x = -\frac{1}{2}$ , see Fig. 1. Though, the second law is not violated, since the overall heat transport is given with  $Q = \rho V_0 h + q_0$  and the advective term  $\rho V_0 h$  is dominant. Hence, the overall heat  $Q$  is transported from hot to cold as expected. One notes, that due to the reversed sign of the conductive heat flux, the necessary vaporization enthalpy is partly provided by the colder boundary. The liquid temperatures at the boundaries are set to  $T_l^0 = 1.01$  and  $T_l^1 = 0.99$  and the respective saturation pressures to  $p_{sat}(T_l^0) = 1.0752$  and  $p_{sat}(T_l^1) = 0.9248$ . Therefore the

**Table 4.** Factors to adjust the Onsager coefficients of the PBC for the inverted profile.

|                      | <i>a</i> | <i>b</i> | <i>c</i> | <i>d</i> | <i>e</i> | <i>f</i> |
|----------------------|----------|----------|----------|----------|----------|----------|
| PBC inverted profile | 0.983    | 0.83     | 1.30     | 0.87     | 0.50     | 1.20     |

**Table 5.** Solutions for Ytrehus' ratios and percentual deviation to Ytrehus' solution for inverted profile.

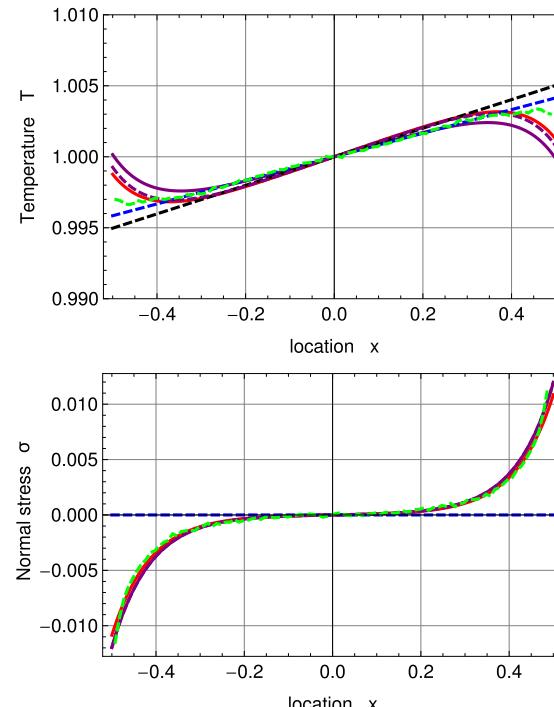
|                      | $\alpha_p$ | % to Ytrehus | $\alpha_\theta$ | % to Ytrehus |
|----------------------|------------|--------------|-----------------|--------------|
| PBC inverted profile | 2.1352     | 0.46         | 0.4657          | 5.11         |
| Ytrehus              | 2.1254     | -            | 0.44311         | -            |

230 evaporating material of the system is different to the one considered for the standard temperature  
 231 profile. The small temperature difference between hot and cold boundaries and the large difference  
 232 between the saturation pressures allows for a temperature jump large enough to reverse the sign of the  
 233 conductive heat flux.

234 By fitting with trial and error, it was not possible to achieve good fits for the standard and inverted  
 235 temperature profiles at the same time. We believe, this is due to the evaporating material being different  
 236 between the standard and inverted cases, since the saturation pressures are different. Therefore we  
 237 present a fitting for the adjustable factors within the PBC for the inverted case, which is given in  
 238 Table 4.

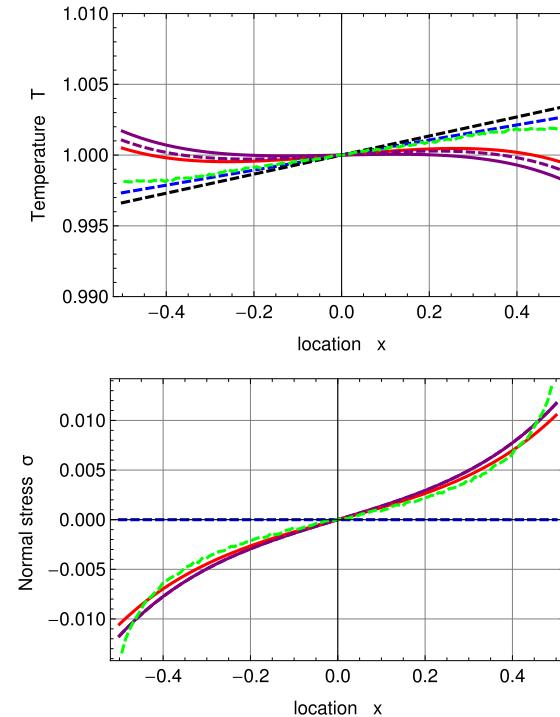
239 The ratios  $\alpha_p, \alpha_\theta$  as well as the percentual deviation to Ytrehus' solution are presented in Table 5.

240 The temperature and stress profiles for  $\text{Kn} = 0.078$  are given in Fig. 6. As comparison to the new  
 241 fitting, a PBC solution, which uses the previous coefficients, is given as well (purple, dashed). R13  
 242 with PBC and MBC both overpredict the Knudsen layer at the interfaces. For the temperature profile,  
 243 corrected NSF shows the best agreement with DSMC here. Normal stress is predicted well for PBC  
 244 and MBC and is again zero for NSF.



**Figure 6.** Inverted temperature and normal stress profiles for  $\text{Kn} = 0.078$  with  $\Delta T = 0.01$  and  $\Delta p = 0.075$ : DSMC (symmetrized; green, dashed), R13 with PBC (purple), R13 with PBC and previous fitting (purple, dashed), R13 with MBC (red), corrected NSF (blue, dashed), uncorrected NSF (black, dashed).

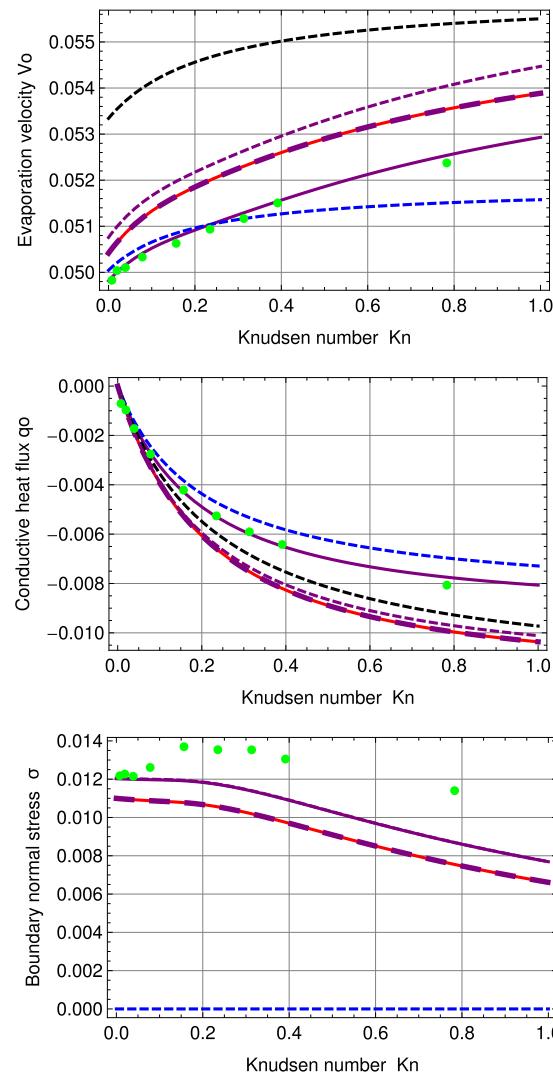
245 For  $\text{Kn} = 0.235$  the overprediction of the R13 boundary conditions becomes so large, that the  
 246 profiles are not inverted anymore, as shown in Fig. 7. Note, that it is possible to "turn" the PBC  
 247 temperature profile to match the DSMC results, however this leads to worse results for other plots. In  
 248 this case, MBC shows slightly better results for temperature and normal stress profiles than PBC.



249 **Figure 7.** Inverted temperature and normal stress profiles for  $\text{Kn} = 0.235$  with  $\Delta T = 0.01$  and  
 250  $\Delta p = 0.075$ : DSMC (symmetrized; green, dashed), R13 with PBC (purple), R13 with PBC and previous  
 251 fitting (purple, dashed), R13 with MBC (red), corrected NSF (blue, dashed), uncorrected NSF (black,  
 252 dashed).

249 Fig. 8 illustrates velocity, conductive heat flux and normal boundary stress for the inverted  
 250 temperature profile. The purple, large, dashed line represents R13 with PBC and  $a = b \dots = f = 1$ .  
 251 With an order of magnitude of  $\pm 10^{-7}$ , in the deviation to the MBC solution, the results of both models  
 252 are again very similar, see also Fig. 5.

253 For evaporation velocity  $V_0$  and conductive heat flux  $q_0$ , R13 with PBC is in very good agreement  
 254 with DSMC. In comparison to the standard temperature profile, the normal boundary stress of the PBC  
 255 starts to differ from DSMC earlier, i.e., for  $\text{Kn} > 0.1$ . Corrected NSF is in surprisingly good agreement  
 256 with DSMC for  $\text{Kn} < 0.3$  but fails to predict normal boundary stress. Except for temperature and  
 257 normal stress profiles for  $\text{Kn} = 0.235$ , R13 with PBC shows the best agreement with DSMC compared  
 258 to all discussed models here.

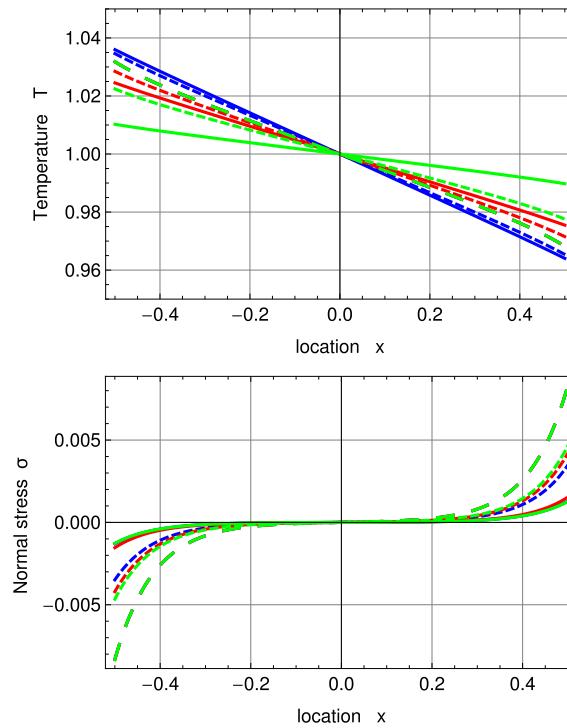


**Figure 8.** Evaporation velocity  $V_0$ , conductive heat flux  $q_0$  and boundary normal stress  $\sigma_0$  for inverted temperature profile: DSMC (green, dots), R13 with PBC (purple), R13 with PBC:  $a...f = 1$  (purple, large, dashed), R13 with PBC and previous fitting (purple, dashed), R13 with MBC (red), corrected NSF (blue, dashed), uncorrected NSF (black, dashed). Note: For  $\sigma$ , the purple, dashed line is underneath the purple, solid line.

259 One notes, that for this PBC fitting, the deviations of 5.11% in  $\alpha_\theta$  and 0.46% in  $\alpha_p$  to Ytrehus'  
 260 solution become smaller than for the standard profile.

261 3.7. Impact of evaporation and accommodation coefficients

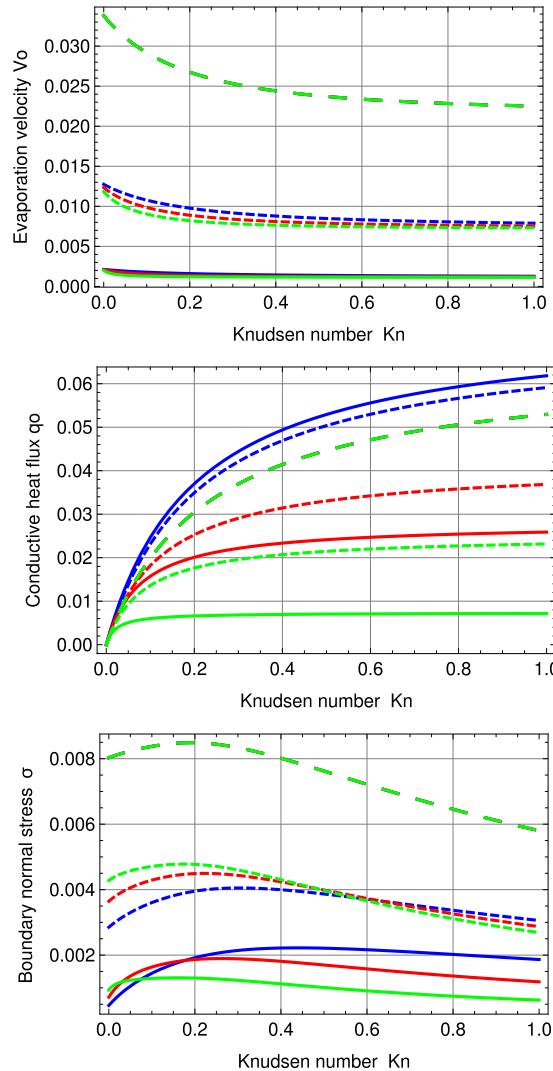
262 To gain a better understanding of the impact of evaporation and accommodation coefficients, the  
 263 PBC shall be tested for the standard temperature profile of the previously discussed problem and a  
 264 variety of  $\vartheta, \chi$ . Fig. 9 illustrates solutions of the PBC for Problem I (Sec. 3.3) together with the fitting  
 265 from Table 2 and  $\text{Kn} = 0.078$ . The plots are based on  $\chi = 0.1$  (Green),  $\chi = 0.5$  (Red),  $\chi = 1$  (Blue),  
 266  $\vartheta = 0.1$  (solid),  $\vartheta = 0.5$  (dashed) and  $\vartheta = 1$  (large dashed).



**Figure 9.** PBC temperature and normal stress profiles for  $\text{Kn} = 0.078$  and various evaporation and accommodation coefficients:  $\chi = 0.1$  (Green),  $\chi = 0.5$  (Red),  $\chi = 1$  (Blue),  $\vartheta = 0.1$  (solid),  $\vartheta = 0.5$  (dashed),  $\vartheta = 1$  (large, dashed). Note: For  $\vartheta = 1$ , the green, large dashed curve represents the solutions of all three  $\chi$ .

267 For  $\vartheta = 1$ , the solutions are independent of  $\chi$ . Since the evaporation coefficient is defined through  
 268 the condensation coefficient, this may be explained due to the fact that for the condensation coefficient  
 269 being unity, no reflection occurs, all vapor molecules hitting the liquid interface are condensed. The  
 270 largest temperature jump between gas and boundary is found for  $\vartheta = 0.1$  and  $\chi = 0.1$  and the smallest  
 271 for  $\chi = 1$ .

272 The stress profile seems to be dependent, mainly on the evaporation coefficient. The  
 273 accommodation coefficient has only a small impact for  $\vartheta = 0.5$ . The largest stress can be found  
 274 for  $\vartheta = 1$ . Evaporation velocity  $V_0$ , conductive heat flux  $q_0$  and boundary normal stress  $\sigma$  for various  
 275 values of  $\vartheta$  and  $\chi$  are depicted in Fig. 10.



**Figure 10.** PBC evaporation velocity  $V_0$ , conductive heat flux  $q_0$  and boundary normal stress  $\sigma_0$  for standard temperature profile and various evaporation and accommodation coefficients:  $\chi = 0.1$  (Green),  $\chi = 0.5$  (Red),  $\chi = 1$  (Blue),  $\vartheta = 0.1$  (solid),  $\vartheta = 0.5$  (dashed),  $\vartheta = 1$  (large, dashed). Note: For  $\vartheta = 1$ , the green, large dashed curve represents the solutions of all three  $\chi$ .

The results of  $V_0$  seem to be almost independent of  $\chi$ , except for  $\vartheta = 0.5$ , where  $\chi$  has a small impact. Interestingly,  $\chi$  has a large influence on  $q_0$  and  $\sigma$ , particularly for  $\vartheta = 0.1$ .

### 3.8. Notes on the meaning of the individual Onsager coefficients of the normal fluxes

The fittings used in the Tables 2 and 4 are based on a trial and error procedure, in which the factors  $a \dots f$  within the Onsager coefficients (31–36) are individually adjusted. Due to symmetry of the Onsager matrix, six independent parameters need to be determined. The tuning of the Onsager coefficients one by one gives an insight into their respective impact. However, one notes, that due to the coupling within the Onsager matrix in Eq. (28), the individual Onsager coefficient impacts multiple fluxes. The following is an attempt to highlight some trends, which were observed during the fitting procedure.

Since  $\lambda_0$  appears only in the equation for the normal velocity, it has a strong impact on  $V_0$  and no impact on the conductive heat flux  $q_0$ . Apparently it has no impact on the boundary normal stress  $\sigma$ . Temperature and stress profiles appear to be independent of  $\lambda_0$  as well. The coefficient  $\lambda_1$  has a big impact on  $V_0$  and  $q_0$  and a small impact on  $\sigma$ . It has a major impact on the temperature profile and a

**Table 6.** Derivation of boundary conditions by adjusting the Onsager coefficients

|             | Evaporation/condensation        | Wall with energy transfer   | Inflow/outflow   |
|-------------|---------------------------------|-----------------------------|------------------|
| $\lambda_0$ | $0.975\vartheta_2$              | 0                           | $1/10^{-5}$      |
| $\lambda_1$ | $-0.4375\vartheta_2$            | 0                           | 0                |
| $\lambda_2$ | $-0.4\vartheta_2$               | 0                           | 0                |
| $\lambda_3$ | $2.2\chi_2$                     | $1.744\vartheta_2$          | $1/10^{-5}$      |
| $\lambda_4$ | $-0.28\chi_2$                   | $-1.744\vartheta_2$         | 0                |
| $\lambda_5$ | $2.184\chi_2 + 0.28\vartheta_2$ | $2\vartheta_2$              | 0                |
| $\zeta_0$   | $\chi_2$ (Not fitted)           | $0.9143\vartheta_2$         | 1.0 (Not fitted) |
| $\zeta_1$   | $-\chi_2$ (Not fitted)          | $-0.9143\vartheta_2$        | 1.0 (Not fitted) |
| $\zeta_2$   | $13\chi_2$ (Not fitted)         | $\vartheta_2$               | 1.0 (Not fitted) |
| $\kappa_0$  | $2\chi_2$ (Not fitted)          | $2\vartheta_2$ (Not fitted) | 1.0 (Not fitted) |

290 smaller impact on the stress profile.  $\lambda_2$  strongly influences  $V_0$  and  $\sigma$  and very slightly  $q_0$ . Since  $\lambda_2$  does  
 291 not appear in the equation for  $q_0$ , this is expected. It has an impact on temperature and stress profiles  
 292 but with clear emphasis on the stress profile.

293 The coefficient  $\lambda_3$  seems to play a key role in the fitting. Even though it appears only in the  
 294 equation for  $q_0$ , it has not only a strong impact on the magnitude and slope of  $q_0$ , but also on those of  
 295  $V_0$  and  $\sigma$ . Regarding the profiles,  $\lambda_3$  seems to impact mainly the temperature and only very slightly  
 296 the stress. The Onsager coefficient  $\lambda_4$  mainly impacts  $\sigma$ , but also  $V_0$ ,  $q_0$  and both profiles, with stronger  
 297 impact on the stress profile, as expected.  $\lambda_5$  appears only in the equation for the normal component of  
 298 the higher moment  $m_{nnn}$ . The coefficient has a strong impact on  $\sigma$ , a medium impact on  $V_0$  and no  
 299 impact on  $q_0$ . It influences the stress profile significantly and the temperature profile slightly.

300 After these dependencies were established, several rounds of fitting were done, until a reasonable  
 301 fitting was obtained.

#### 302 4. Evaporation in Numerical Two-Dimensional Steady-State Simulation

##### 303 4.1. R13 with Onsager Boundary Conditions in Numerical Simulation

304 It shall be shown that the applicability of R13 with PBC (Phenomenological Boundary Conditions)  
 305 is not limited to one-dimensional systems. The code of Torrilhon & Sarna [21], written in C++, is used  
 306 in this section to solve the R13 equations with PBC for evaporation. As comparison, simplified NSF  
 307 (Navier-Stokes-Fourier) is solved with the same program. Torrilhon & Sarna's code allows for generic  
 308 implementation of macroscopic transport equations. The numerical solver relies on a discontinuous  
 309 Galerkin (DG) method which utilizes finite elements to discretize the system. Here the code is extended  
 310 by implementing the evaporation boundary conditions previously derived in Sec. 3 and also simplified  
 311 Onsager boundary conditions for NSF.

312 The PBC for R13, given in Eqs. (28–30), are adjusted by using data for Maxwell molecules out  
 313 of Table 1. The liquid phase is not solved and therefore can be treated in the same manner as a wall,  
 314 which allows for mass transfer. Adjustment of the Onsager coefficients allows to derive other boundary  
 315 conditions, such as wall with energy transfer or inflow/outflow. Table 6 gives an overview about these  
 316 modifications.

317 For an adiabatic wall (fully specular reflective) all Onsager coefficients are set to zero, which leads  
 318 to  $v_n^g = q_n^g = m_{nnn} = \bar{\sigma}_{nk}^g = \bar{R}_{nk} = \tilde{m}_{nij} = 0$ . The Onsager coefficients for a wall with energy transfer  
 319 are taken from Ref. [12]. The adjustable coefficients within the Onsager coefficients for the different  
 320 boundaries are already implemented in Table 6.

321 Note: Compared to Sec. 3.1, a slightly different fitting is used here. Additionally, the coefficients  
 322 used in  $\lambda_0, \dots, \lambda_5$  are based on adjustments as in Problem I (Sec. 3.3), however different definitions of  
 323 the Knudsen number between DSMC and R13 were used. Therefore a small error is introduced here.

**Table 7.** Overview of input parameters for the boundary conditions

|           | Evaporation/condensation | Wall with energy transfer | Inflow/outflow |
|-----------|--------------------------|---------------------------|----------------|
| $p_{sat}$ | $p_{evap}$               | —                         | $\pm p_{flow}$ |
| $T_l$     | $T_{evap}$               | $T_w$                     | $T_{flow}$     |

324 The coefficients in  $\zeta_0, \dots, \zeta_2$  and  $\kappa_0$  are not fitted and set to unity. The adjustable coefficients for  
 325 a wall with energy transfer  $\lambda_3, \dots, \lambda_5$  and  $\zeta_0, \dots, \zeta_2$  are taken from Ref. [12] and  $\kappa_0$  is set to unity here.  
 326 Depending on the boundary, different pressures and temperatures are assumed, as depicted in Table 7.  
 327 For a detailed description of the numerical solution, see [21].

#### 328 4.2. Navier-Stokes-Fourier with Onsager Boundary Conditions in Numerical Simulation

For obtaining a comparison to the R13 solutions for two-dimensional systems, the Navier-Stokes-Fourier equations together with Onsager boundary conditions for evaporation/condensation are used here. For  $\chi = \vartheta = 1$  and considering one-dimensional geometry, evaporation boundary conditions for NSF are given in Appendix D, see. (D.1). For 2- and 3-dimensional geometries an additional boundary condition is found in Ref. [11] and reads

$$\bar{\sigma}_{nk}^g = -\frac{\vartheta + \chi(1 - \vartheta)}{2 - \vartheta - \chi(1 - \vartheta)} \sqrt{\frac{2}{\pi RT}} \left( p\bar{v}_k^g + \frac{1}{5}\bar{q}_k^g \right). \quad (66)$$

Note that Eqs. (D.1) are simplified equations for 1-D geometry. Again by considering  $\chi = \vartheta = 1$  and after full linearization and non-dimensionalization, Eq. (66) becomes

$$\bar{\sigma}_{nk}^g = -\sqrt{\frac{2}{\pi}} \left( \bar{v}_k^g + \frac{1}{5}\bar{q}_k^g \right). \quad (67)$$

#### 329 4.3. Numerical Solutions for Two-Dimensional Channel-Flow with four Evaporating Cylinders

330 The system of interest for the two-dimensional, steady-state simulation is a channel with four  
 331 evaporating cylinders, which is discretized as depicted in Fig. 11.

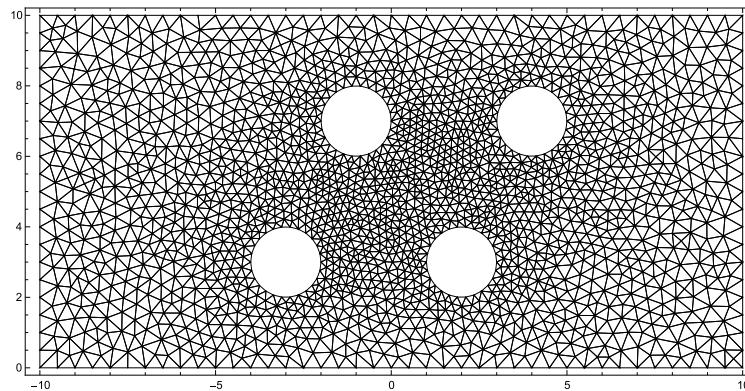


Figure 11. Grid of two-dimensional channel-flow with four evaporating cylinders.

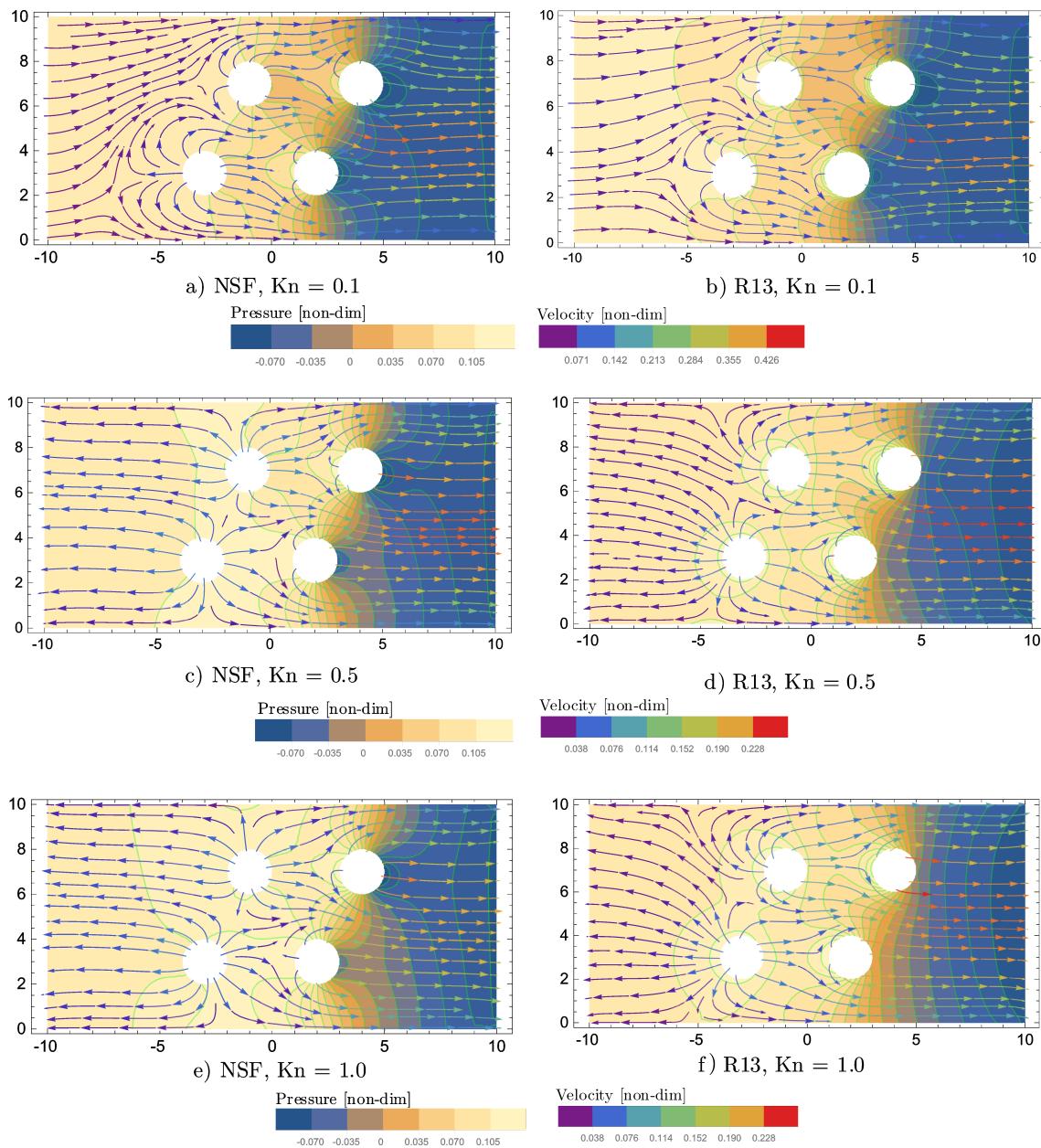
332 The left boundary is the inlet of the channel flow and the right boundary is the outlet. Top  
 333 and bottom are walls, which allow energy transfer. The cylinder walls use evaporation boundary  
 334 conditions given by (28-30) with Table 6 for R13 and (67, D.1, D.3) for NSF.

335 The input parameters, which are given in Table 8, are non-dimensional and describe the deviation  
 336 to equilibrium. They are chosen in a way, that evaporation at the cylinders can be observed clearly.

337 The plots in Fig. 12 show pressure contours, superimposed by velocity streamlines, for R13 and  
 338 NSF, for the three Knudsen numbers:  $\text{Kn} = \{0.1, 0.5, 1\}$ .

**Table 8.** Input parameters for two-dimensional channel flow with four evaporating cylinders.

|           | Evaporation/condensation | Wall with energy transfer | Inflow/outflow       |
|-----------|--------------------------|---------------------------|----------------------|
| $p_{sat}$ | $p_{evap} = 0.2$         | —                         | $\pm p_{flow} = 0.1$ |
| $T_l$     | $T_{evap} = 0.2$         | $T_w = 0.2$               | $T_{flow} = 0.2$     |

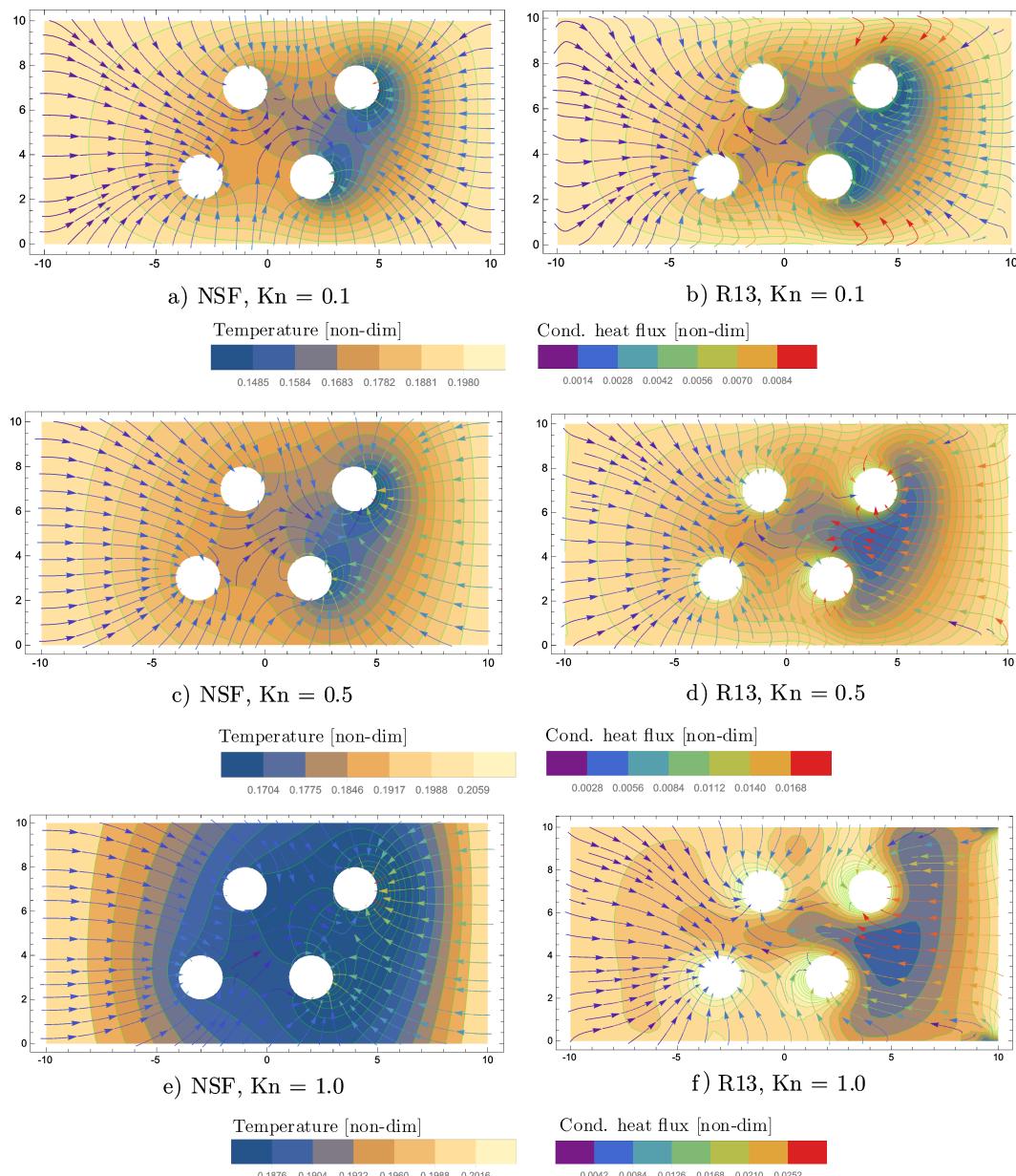
**Figure 12.** Pressure contours superimposed by velocity streamlines for two-dimensional channel-flow with four evaporating cylinders and various Knudsen numbers.

339 For  $\text{Kn} = 0.1$ , the velocity streamlines are similar between R13 and NSF. The inflow of the left  
 340 boundary collides with the evaporating flow, which leaves the two cylinders on the left-hand side. The  
 341 largest flow velocity is observed in between the two cylinders on the right-hand side. For  $\text{Kn} = 0.5$ ,  
 342 the evaporation overcomes the inflow and leaves the system at the inlet of the channel. This interesting  
 343 effect is observed for R13 and NSF, but with different flow behavior. For R13, the streamlines, which  
 344 leave the inlet, have their origin mainly in the left bottom cylinder. The dominance of the left cylinder

345 of R13 becomes even more apparent for  $\text{Kn} = 1$ . The NSF velocity streamlines at the inlet for  $\text{Kn} = \{0.5,$   
 346 1} come almost equally from both cylinders on the left-hand side.

347 For  $\text{Kn} = 0.1$ , the pressure contours of R13 and NSF show very similar behavior. With increasing  
 348  $\text{Kn}$ , the R13-pressure contours on the right hand side of the diagrams disconnect from each other and  
 349 become almost vertical for  $\text{Kn} = 1$ .

350 Also, for  $\text{Kn} = 1$ , significant differences between R13 and NSF are found for the temperature  
 351 profiles, which are depicted in Fig. 13.



352 **Figure 13.** Temperature contours superimposed by cond. heat flux streamlines for two-dimensional  
 353 channel-flow with four evaporating cylinders and various Knudsen numbers.

352 The overall temperature around the four evaporating cylinders is much lower for NSF, than for R13.  
 353 As can be seen by the conductive heat flux streamlines, the enthalpy of vaporization is provided by  
 354 the boundaries, as in the previous simulations. The magnitude of the R13 heat flux, shows interesting  
 355 peaks in between the two cylinders on the right-hand side for  $\text{Kn} = \{0.5, 1\}$ .

356 The large differences between R13 and NSF for  $\text{Kn} = \{0.5, 1\}$  are likely due to rarefaction effects,  
357 which can not be captured by NSF. It has to be taken into account, as mentioned in Sec. 4.2, that  
358 simplified NSF boundary conditions are used here. Note, that R13 is limited to flow regimes below  
359  $\text{Kn} = 1$  and can only describe a tendency here. For validation of the R13 results a reliable reference,  
360 such as from a DSMC simulation is necessary, which might be part of future work.

## 361 5. Conclusions

362 Based on the Onsager Theory, which utilizes the second law of thermodynamics, evaporation  
363 boundary conditions (PBC) for the R13 equations are derived. The Onsager coefficients have been  
364 determined by following a process consisting of three steps: In the first step (Sec. 3.1), the boundary  
365 conditions are compared with previously discussed boundary conditions for evaporation (MBC), which  
366 represent an alternative approach for deriving boundary conditions for R13. Under the assumption of  
367 proper results for MBC in the Navier-Stokes-Fourier (NSF) regime and by keeping in mind that higher  
368 moments develop a significant impact only for higher Knudsen numbers, coefficients are being taken  
369 over from MBC to PBC so that the differences between the sets of boundary conditions lie only in the  
370 terms with higher moments [12]. The idea is to find boundary conditions, which are just as reliable  
371 as MBC in the NSF regime and more accurate in the rarefied gas regime. In the next step, adjustable  
372 coefficients are suggested for the PBC. These coefficients are fitted by trial and error to DSMC data  
373 for the analytical solution of a finite, one-dimensional system (Sec. 3.3). In the third step for finding  
374 meaningful Onsager coefficients, the half space problem (Sec. 3.4) is solved analytically and ratios  
375 suggested by Ytrehus [15] are used to fine tune the coefficients. The overall agreement between PBC  
376 and DSMC (Sec. 3.5 and 3.6) has been shown to be better than for MBC/NSF and DSMC. Even though,  
377 there are differences in the higher order terms, when setting the adjustable coefficients  $a = b = \dots = f$  of  
378 the PBC to unity, the maximum deviation to the MBC, for the boundary values of the finite problem, is  
379 in the order of magnitude of  $\pm 10^{-7}$ , only.

380 For a general approach to convert MBC to PBC, with differences in the higher order terms only,  
381 see [17]. The impact of the evaporation and accommodation coefficients is discussed in Sec. 3.7. In  
382 Sec. 3.8 it is explained, how the trial and error fitting gives an insight into the meaning of the individual  
383 Onsager coefficients.

384 Due to lack of a mathematical approach for the fitting, i.e., an optimization algorithm, it is  
385 uncertain if significantly better fittings for the presented problems are possible. This may be part of a  
386 future analysis. Even though, NSF fails to predict normal stress for the presented systems, it shows  
387 surprisingly good results for low to moderate Knudsen Numbers. The advantage of R13 with PBC  
388 compared to NSF might be shown even more clearly in numerical simulations for complex geometries.  
389 The Onsager coefficients appear to be dependent on the evaporating material, which in the practical  
390 application becomes problematic. Therefore we recommend an investigation considering the fitting of  
391 Onsager coefficients as function of the enthalpy of vaporization, which defines the material.

392 In Sec. 4 the new evaporation/condensation boundary conditions are implemented into a code  
393 for the numerical solution of two-dimensional, steady-state problems. Results for Knudsen numbers  
394 of  $\text{Kn} = \{0.1, 0.5, 1.0\}$  are obtained and compared to simplified Navier-Stokes-Fourier solutions. It is  
395 observed that with increasing Knudsen number, R13 shows different flow behavior than NSF.

396 It is necessary to compare these results to a reliable reference, such as a DSMC solution, which  
397 shall be a future effort. Additionally it might be of interest to compare the numerical R13 results to  
398 those of a 26-moment method, see [22].

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**406** during the process of implementing the new boundary conditions into the code of Torrilhon & Sarna, which  
**407** provided the numerical results in Sec. 4.

**408 Conflicts of Interest:** The authors declare no conflict of interest.

**409 Appendix Normal and tangential components**

Within the process of deriving Onsager boundary conditions, it is desirable to decompose the tensors into their respective normal and tangential components. The normal component of a vector can be defined as

$$q_n = q_k n_k, \quad (\text{A.1})$$

with its tangential component

$$\bar{q}_i = q_i - q_n n_i, \text{ with } \bar{q}_i n_i = 0. \quad (\text{A.2})$$

Similar one may define the components of a symmetric and trace-free tensor as [12]

$$\sigma_{nn} = \sigma_{rk} n_k n_r, \quad (\text{A.3})$$

$$\bar{\sigma}_{ni} = \sigma_{ik} n_k - \sigma_{nn} n_i, \text{ with } \bar{\sigma}_{ni} n_i = 0, \quad (\text{A.4})$$

$$\tilde{\sigma}_{ij} = \sigma_{ij} - \sigma_{nn} \left( \frac{3}{2} n_i n_j - \frac{1}{2} \delta_{ij} \right) - \bar{\sigma}_{ni} n_j - \bar{\sigma}_{nj} n_i, \text{ with } \tilde{\sigma}_{ij} n_j = \tilde{\sigma}_{kk} = 0. \quad (\text{A.5})$$

Here,  $\sigma_{nn}$  is the normal-normal component,  $\bar{\sigma}_{ni}$  the normal-tangential component and  $\tilde{\sigma}_{ij}$  the tangential-tangential component. As mentioned in Sec. 1.2, the Einstein notation does not apply for index  $n$ . Similar for a symmetric and trace-free third order tensor, i.e., a 3-dimensional matrix one finds

$$m_{nnn} = m_{ijk} n_i n_j n_k, \quad (\text{A.6})$$

$$\bar{m}_{nni} = m_{ijk} n_j n_k - m_{nnn} n_i, \text{ with } \bar{m}_{nni} n_i = 0, \quad (\text{A.7})$$

$$\tilde{m}_{nij} = m_{ijk} n_k - m_{nnn} \left( \frac{3}{2} n_i n_j - \frac{1}{2} \delta_{ij} \right) - \bar{m}_{nni} n_j - \bar{m}_{nnj} n_i, \text{ with } \tilde{m}_{nij} n_j = 0. \quad (\text{A.8})$$

Additionally one has:

$$\delta_{ij} \bar{m}_{nnj} n_i = \delta_{ij} \bar{\sigma}_{nj} n_i = \delta_{ij} \tilde{m}_{nij} = 0, \quad (\text{A.9})$$

$$\delta_{ij} n_i n_j = n_j n_j = 1. \quad (\text{A.10})$$

**410 Appendix Derivation of entropy fluxes**

Based on the incompressible Navier-Stokes-Fourier-equations, a reduced entropy flux  $\Psi_k^l$  for the liquid side of a liquid-gas interface shall be derived in the following. Here, the vapor is a monatomic ideal gas with specific heat  $c_p = \frac{5}{2}R$  and the liquid is described as an incompressible simple liquid. The heat of vaporization at reference state  $T_0$ ,  $p_{sat}(T_0)$  is

$$h_{gl}^0 = h^g(T_0) - h^l(T_0) = \frac{5}{2}RT_0 - \left( c_l T_0 + \frac{p_{sat}(T_0)}{\rho_l} + h_0 \right), \quad (\text{B.1})$$

with the enthalpies

$$h^l = c_l(T - T_0) + \frac{5}{2}RT_0 + \frac{p - p_{sat}(T_0)}{\rho_l} - h_{gl}^0, \quad (\text{B.2})$$

$$h^g = \frac{5}{2}RT. \quad (\text{B.3})$$

The energy density of the liquid  $\varepsilon^l = \rho_l u^l$ , with  $u^l$  as the internal energy, is

$$\varepsilon^l = \rho_l \left( h^l - \frac{p}{\rho_l} \right) = \rho_l \left( c_l (T - T_0) + \frac{5}{2} RT_0 - \frac{p_{sat}(T_0)}{\rho_l} - h_{gl}^0 \right). \quad (\text{B.4})$$

The entropy density  $\eta^l = \rho_l s^l$  of the incompressible liquid is given as

$$\eta^l = c_l \rho_l \ln \frac{T^l}{T_0} - \frac{\rho_l}{T_0} h_{gl}^0, \quad (\text{B.5})$$

where the proper entropy difference at equilibrium state  $\frac{\eta^v(T_0)}{\rho^v} - \frac{\eta^l(T_0)}{\rho_l} = \frac{h_{gl}^0}{T_0}$  was used. The conservation laws for mass, energy and entropy for a fluid are

$$\frac{\partial \rho}{\partial t} + \frac{\partial \rho v_k}{\partial x_k} = 0, \quad (\text{B.6})$$

$$\frac{\partial (\varepsilon + \frac{\rho}{2} v^2)}{\partial t} + \frac{\partial ((\varepsilon + \frac{\rho}{2} v^2) v_k + q_k + p v_k + \sigma_{ik} v_i)}{\partial x_k} = 0, \quad (\text{B.7})$$

$$\frac{\partial \eta}{\partial t} + \frac{\partial (\eta v_k + \phi_k)}{\partial x_k} = \sigma_{gen}, \quad (\text{B.8})$$

with  $\eta v_k + \phi_k = \Psi_k$  as sum of convective and conductive entropy flux. When one intends linearized balance laws, the entropy must be considered up to quadratic terms in deviations from equilibrium. Motivated by entropy for the vapor given in Ref. [19],  $\eta$  is replaced by a linear combination  $\alpha$

$$\alpha = \eta + \frac{5}{2} R \rho - \frac{1}{T_0} \left( \varepsilon + \frac{\rho}{2} v^2 \right), \quad (\text{B.9})$$

which obeys the balance laws (B.6-B.8). Then, the reduced entropy balance reads

$$\frac{\partial \alpha}{\partial t} + \frac{\partial \left( \alpha v_k + \phi_k - \frac{1}{T_0} (p v_k + q_k + \sigma_{ik} v_i) \right)}{\partial x_k} = \Sigma_{gen}. \quad (\text{B.10})$$

For deriving the entropy flux on liquid side, incompressible NSF is used with  $\phi_k = \frac{q_k}{T^l}$  for the conductive part of the entropy flux. Hence the reduced entropy flux can be read from (B.10) as

$$\Omega_k^l = \alpha^l v_k^l + \frac{q_k^l}{T^l} - \frac{1}{T_0} \left( q_k^l + p^l v_k^l + \sigma_{ik}^l v_i^l \right). \quad (\text{B.11})$$

By using the equations of state for a liquid, (B.4, B.5) in (B.9) and after linearizing and non-dimensionalizing with (1), the reduced entropy density  $\tilde{\eta}^l$  obtains the form

$$\tilde{\eta}^l = \frac{\alpha^l}{R \rho_l} = \frac{p_{sat}(T_0)}{\rho_l R T_0} - \frac{c_l}{R} \frac{(\hat{T}^l)^2}{2} - \frac{1}{2} (\hat{v}^l)^2. \quad (\text{B.12})$$

The reduced entropy flux (dimensionless, linearized) on liquid side which, depending on evaporation or condensation, either enters or leaves the interface between liquid and vapor follows as

$$\Psi_k^l = \frac{\Omega_k^l}{\rho_0 R \sqrt{R T_0}} = -\hat{p}^l \hat{v}_k^l - \hat{q}_k^l \hat{T}^l - \hat{\sigma}_{ik}^l \hat{v}_i^l. \quad (\text{B.13})$$

The hats, which denote dimensionless deviations from the respective equilibrium state are neglected in Sec. 3. By considering R13 for the vapor phase, the entropy for vapor can be found in the same manner,

over a linear combination of (B.6-B.8). Though due to the higher moments, there are additional terms in the (dimensionless, linearized) reduced entropy density  $\tilde{\eta}^g$  and reduced entropy flux  $\Psi_k^g$ , see Ref. [19]:

$$\tilde{\eta}^g = \hat{\eta}_0 - \frac{(\hat{\rho}^g)^2}{2} - \frac{(\hat{v}^g)^2}{2} - \frac{3}{4} (\hat{T}^g)^2 - \frac{\omega_2}{8} (\hat{\sigma}^g)^2 - \frac{2\theta_2}{25} (\text{Pr})^2 (\hat{\eta}^g)^2 , \quad (\text{B.14})$$

$$\Psi_k^g = -\hat{p}^g \hat{v}_k^g - \hat{q}_k^g \hat{T}^g - \hat{\sigma}_{ik}^g \hat{v}_i^g - \frac{\omega_3}{5} \text{Pr} \hat{q}_i^g \hat{\sigma}_{ik}^g - \frac{\omega_2}{4} \hat{\sigma}_{ij}^g \hat{m}_{ijk} - \frac{2\theta_2}{25} (\text{Pr})^2 \left( \hat{q}_i^g \hat{R}_{ik} + \frac{\hat{\Delta}}{3} \hat{q}_k^g \right) . \quad (\text{B.15})$$

#### 411 Appendix Comparison PBC vs. MBC for non-fitted coefficients

For Maxwell molecules, the normal boundary conditions of PBC and MBC are compared with each other. The Onsager coefficients (31-36) are plugged into the PBC, which consist of normal components (28), while considering data for Maxwell molecules from Table 1 and setting the adjustable coefficients  $a = b = \dots = f = 1$ :

$$V_n^g = \sqrt{\frac{2}{\pi}} \frac{\vartheta}{2 - \vartheta} \left( p_{\text{sat}}(T^l) - p^g - \frac{1}{2} \sigma_{nn}^g + \frac{1}{2} (T^g - T^l) + \underline{\frac{1}{30} \Delta} + \underline{\frac{1}{10} R_{nn}} \right) , \quad (\text{C.1})$$

$$q_n^g = -\sqrt{\frac{2}{\pi}} \frac{\vartheta + \chi(1 - \vartheta)}{2 - \vartheta - \chi(1 - \vartheta)} \left( 2(T^g - T^l) + \frac{1}{2} \sigma_{nn}^g + \underline{\frac{2}{15} \Delta} + \underline{\frac{2}{5} R_{nn}} \right) - \frac{1}{2} V_n^g , \quad (\text{C.2})$$

$$m_{nnn} = \sqrt{\frac{2}{\pi}} \frac{\vartheta + \chi(1 - \vartheta)}{2 - \vartheta - \chi(1 - \vartheta)} \left( \frac{2}{5} (T^g - T^l) - \underline{\frac{7}{5} \sigma_{nn}^g} + \underline{\frac{2}{75} \Delta} + \underline{\frac{2}{25} R_{nn}} \right) - \frac{2}{5} V_n^g . \quad (\text{C.3})$$

412 The terms, that are different between PBC and MBC are underlined. All lower order terms, i.e.,  
 413  $p^g$ ,  $\sigma_{nn}$  and  $(T^g - T^l)$  are equal between PBC and MBC, whereas the higher order terms  $\Delta$  and  $R_{nn}$   
 414 differ, see Sec. 1.2.

#### 415 Appendix Onsager Boundary Conditions for Navier-Stokes-Fourier

416 Here, the Navier-Stokes-Fourier equations are used together with evaporation boundary  
 417 conditions, based on the Onsager theory. For full evaporation  $\vartheta = 1$ , fully diffusive reflection  $\chi = 1$   
 418 and by considering one-dimensional heat and mass transfer only, the boundary conditions are given  
 419 as [23][11]

$$\begin{bmatrix} \frac{p_{\text{sat}} - p^g}{\sqrt{2\pi}} \\ \frac{(T^l - T^g)}{\sqrt{2\pi}} \end{bmatrix} = \begin{bmatrix} r_{11} & r_{12} \\ r_{21} & r_{22} \end{bmatrix} \begin{bmatrix} v_x^g \\ q_x^g \end{bmatrix} . \quad (\text{D.1})$$

420 All variables are non-dimensional and linearized. The matrix of Onsager coefficients read [23][11]

$$r_{\alpha\beta} = \begin{bmatrix} \left( \frac{1}{\vartheta} - \frac{1}{2} \right) + \frac{1}{16} & \frac{1}{8} \\ \frac{1}{8} & \frac{1}{4} \end{bmatrix} . \quad (\text{D.2})$$

421 The solutions based on D.2 are referred to as uncorrected NSF. A correction can be found in kinetic  
 422 theory, which yields [23][11]

$$r_{\alpha\beta,corr} = \begin{bmatrix} \frac{1}{\vartheta} - 0.40044 & 0.126 \\ 0.126 & 0.291 \end{bmatrix} . \quad (\text{D.3})$$

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