

# Physicochemical Characterization of Biomass Residue–Derived Biochars in Vietnam

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## Abstract

10 This study compares the physico-chemical characteristics of three different types of biochar  
11 produced from biomass residues in Vietnam as a basis for optimising their application in water  
12 purification and soil fertilisation. Wood biochar (WBC), rice husk biochar (RBC), and bamboo  
13 biochar (BBC) were produced under limited oxygen conditions using equipment available locally in  
14 Vietnam, known as a Top-Lid Updraft Drum (TLUD). The resulting biochars were characterised  
15 using a suite of state-of-the-art methods to understand their morphology, surface chemistry and  
16 cation exchange capacity. Surface areas (measured by BET) for WBC and BBC were 479.34 m<sup>2</sup>/g  
17 and 434.53 m<sup>2</sup>/g, respectively, significantly higher than that of RBC which was only 3.29 m<sup>2</sup>/g.  
18 The morphology as shown in SEM images corresponds with the BET surface area, showing a  
19 smooth surface for RBC, a hollow surface for BBC, and a rough surface for WBC. All three biochars  
20 produced alkaline, with pH values around 10, and all have high carbon contents (47.95 - 82.1 %).  
21 Cation exchange capacity (CEC) was significantly different ( $p<0.05$ ) among the biochars, being  
22 26.70 cmol/kg for RBC, 20.7 cmol/kg for BBC, and 13.53 cmol/kg for WBC, which relates to the  
23 cations (Ca, Mg, K) and functional groups with negative charge (carboxyl, hydroxyl) present on the  
24 biochar surfaces. The highest contents of Ca, Mg and K in rice husk BC may explain its highest CEC  
25 values. Thus, although the biochars were produced by the same method, the various feedstocks  
26 lead to quite different physico-chemical properties. Ongoing work is linking these physico-  
27 chemical properties to the biochar efficiencies in terms of nitrate and ammonia capture capacities  
28 for use as fertilisers, and for adsorption of heavy metals (Zn, Cu) or water filtration, in order to  
29 design optimal biochar properties for specific applications.

30 *Key words: physico-chemical characteristics, biochar, BET surface area, SEM, total carbon, CEC,*  
31 *FTIR, feedstock*

## 33 1. Introduction

34 Biochar is the carbonized product gained by pyrolysis of biomass under restricted or absent  
35 oxygen conditions,<sup>1</sup> but without an activation step and thus differs from so-called activated  
36 carbon.<sup>2</sup> The justification for carbonization through pyrolysis is to avoid the negative influences  
37 on human health and the environment that result from direct (in field) burning of biomass  
38 residues which releases carbon dioxide, one of the most important greenhouse gases.<sup>3</sup> Biomass-  
39 derived biochar is formed via a complex process,<sup>4</sup> but the reaction mechanism of biomass  
40 pyrolysis can be described as occurring mainly via three steps,<sup>5</sup> as follows:

41 Heat

## 42 Stage 1: Biomass → Moisture + Dry residues

43 Heat

44 Stage 2: Dry residues → (Volatile and Gases) + Pre-biochar

45 Heat

46 Stage 3: Pre-biochar  $\longrightarrow$  (Volatile and Gases) + Biochar

47

48 The first step is loss of moisture from the biomass, which becomes dry feedstock by heating.  
49 Then pre-biochar and volatile compounds are formed. In the last step, chemical compounds in  
50 the biosolid rearrange and form a carbon-rich solid product known as biochar. The volatiles, are  
51 either condensable such as bio-oil, or noncondensable gases<sup>6</sup> known as syngas, including  
52 hydrogen (50%), carbon dioxides (30%), nitrogen (5%), methane (5%), and others<sup>7</sup>. As a result of  
53 its aromatic structure,<sup>8</sup> biochar can be recalcitrant to microbial decomposition and  
54 mineralization,<sup>9</sup> which leads to its persistence in soil for hundreds of years.<sup>9</sup> In fact, biochar found  
55 in dark soil known as 'Terra Preta soil' in Amazônia was reported as being about 500-2,500 years  
56 old,<sup>10</sup> while biochar found in ocean sediment was estimated to date from 2,400 to 13,000 years  
57 ago<sup>11</sup>.

58

59 The benefits of biochar were demonstrated by several previous research efforts. For instance,  
60 wood biochar applied into a Colombian savanna Oxisol increased available Ca and Mg  
61 concentrations and pH, and reduced toxicity of Al.<sup>12</sup> In addition, biochar improved soil structure,<sup>13</sup>  
62 created a carbon sink in soil,<sup>14</sup> and reduced CH<sub>4</sub> emissions.<sup>15</sup> Hale et al.<sup>16</sup> reported that biochar  
63 can absorb NH<sub>4</sub><sup>+</sup>-N via cation exchange, thereby reducing the leaching of nitrogen fertilizer from  
64 soil.<sup>17</sup> Interestingly, biochar can be recalcitrant to microbial attack,<sup>18</sup> while compost or plant

65 biomass are rapidly decomposed to greenhouse gases (CH<sub>4</sub>, CO<sub>2</sub>), especially in tropical regions.<sup>19</sup>  
66 Thus, the application of biochar has a positive effect on soil fertility, particularly in tropical  
67 regions.<sup>20</sup> However, several studies have found no or negative influences of biochar application.  
68 For example, in calcareous soils, biochar did not improve pH or available P and cations.<sup>21</sup>  
69 Additionally, greenhouse gas emission (CO<sub>2</sub>) was increased for an Inceptisol type soil to which  
70 wood biochar produced at 350°C was applied.<sup>22</sup> These disadvantages may relate to biomass type  
71 and conditions of biochar production, and soil type.

72

73 Pyrolysis conditions impact on the physicochemical characteristics of the biochar.<sup>23</sup> For example,  
74 Méndez, et al. <sup>24</sup> observed that the ash content, pH, and BET surface area increased, while CEC,  
75 volatile matter and microspore area declined in biochar produced from sewage sludge at 600 °C  
76 compared to biochar prepared at 400 °C. On the other hand, a dramatic increase from 0.007  
77 m<sup>2</sup>/g to 274 m<sup>2</sup>/g in micropore (defined as being < 2 nm) area was observed for biochars derived  
78 from Cottonseed hull when the pyrolysis temperature increased from 650 °C to 800 °C.<sup>25</sup>  
79 Decreases in total N, organic carbon (OC), and CEC were also found in poultry litter biochar when  
80 the pyrolysis temperature rose from 300 °C to 600 °C, while the opposite trend was seen for pH  
81 and BET surface area.<sup>26</sup> Similar trends also were observed by Mukherjee et al.<sup>27</sup> and Chen, et al.  
82 <sup>28</sup> who explained that at low temperature (<300 °C) compounds containing -OH, aliphatic C-O and  
83 ester C=O groups were removed from the outer surface, while volatile matter shielding or  
84 connecting to aromatic cores were destroyed or partly emitted at higher temperatures (>300 °C).  
85 Thus, micropores dominating the biochar surface are filled by volatile compounds, which are  
86 emitted during pyrolysis as the temperature increases <sup>27</sup> and dramatically enlarge the surface  
87 area of the resulting biochar.<sup>29</sup>

88

89 The biomass materials used for biochar production also have a major influence on the resulting  
90 biochar properties.<sup>30</sup> For instance, wood and grass biomass-derived biochar normally contain low  
91 nutrient elements, in part due to emissions of nitrogen during the pyrolysis process, so the  
92 resulting biochar has much lower N content than fertilizers.<sup>31</sup> Song and Guo <sup>26</sup> reported that  
93 biochars formed from waste wheat straw and tree leaves contained higher organic carbon (OC)  
94 content (64% - 73.9%) than that of poultry litter-derived biochar (36.10%) produced at the same  
95 temperature (400 °C). In addition, the different original biomass affects the morphology of the  
96 resulting biochar; for instance, the exoskeleton of tracheids (elongated cells in the xylem of

97 vascular plants that serve in the transport of water and mineral salts) was an important  
98 contributor to structure for wood biochar, while a heterogeneous structure resulted from chicken  
99 manure biochar.<sup>32</sup> The CEC and pH of poultry litter-produced biochar were observed to be higher  
100 than those of pine chip biochar and peanut hull biochar.<sup>33</sup>

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102 The properties of biochar are not static once formed: the environmental conditions following  
103 biochar application were also found to affect biochar properties.<sup>34</sup> Surface oxidation of biochar  
104 particles was observed to occur when the biochar was applied into soil,<sup>35</sup> resulting in an increase  
105 of between 10% and 16% in surface negative charge (carboxyl groups) of biochars (350-600 °C)  
106 derived from corn stover residues and oak wood when applied under alternative water regimes  
107 (i.e. wet and dry conditions).<sup>34</sup> However, aliphatic groups (CH<sub>2</sub>, CH<sub>3</sub>) were decreased by 18-42% in  
108 the wet conditions, and by 4-30% in the dry conditions.<sup>34</sup> Cheng, et al.<sup>36</sup> reported that abiotic  
109 oxidation governed the increase of negative surface charge and CEC of wood biochar (black  
110 locust) in soil, rather than biotic oxidation (microbial activities). Surface oxidation increased over  
111 time, progressing from phenolic groups to carboxylic groups, which were the main (cation)  
112 adsorption sites of the biochar.<sup>36</sup> Yang, et al.<sup>37</sup> reported that the content of C=O and COOH  
113 groups of walnut shell biochar incubated with FeCl<sub>3</sub> or kaolinite was enhanced 2.0 and 2.5 times,  
114 respectively, compared with the fresh biochar. The surface groups of the fresh biochars were  
115 negatively charged and so adsorbed only cations (NH<sub>4</sub><sup>+</sup>) via CEC.<sup>27</sup> However, the O-containing  
116 groups of biochar could react with soil cations such as Al<sup>3+</sup>, Fe<sup>3+</sup>/Fe<sup>2+</sup>, Ca<sup>2+</sup>, Mg<sup>2+</sup> to form  
117 organometallic complexes,<sup>37</sup> and therefore could adsorb nutrient anions such as NO<sub>3</sub><sup>-</sup>, PO<sub>4</sub><sup>3-</sup>.<sup>27</sup>

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119 Biochar application has thus been shown to have positive effects on the physico-chemical  
120 properties of soil. However, as different biomass and pyrolysis conditions give various biochar  
121 characteristics, which have different effects on soil properties and plants, it is not always clear  
122 what the optimal biomass composition should be for specific local applications. Vietnam, for  
123 example, has tropical weather leading to highly decomposed soil organic matter, and has high  
124 rain annual fall causing severe erosion and leaching. Currently, the agricultural and forestry  
125 residues in several regions in Vietnam are burnt or left to decompose in the fields, which results in  
126 carbon dioxide release from the biomass into the atmosphere. Therefore, turning the biomass  
127 into biochar will bring more benefits for soil enrichment and reduce the environmental impact of  
128 agriculture. Hence, the objectives of this study were to identify the physicochemical properties of

129 three different biochars formed from acacia wood chip, rice husk, and bamboo by the Top-Lid  
130 Updraft Drum (TLUD) technology, a biomass production method commonly used in this region.

131

132 **2. Materials and methods**

133 2.1. Biochar production

134 •Materials for biochar production:

135 The biomass residues used for biochar production in this study were collected from small  
136 processing factories in a suburb of Hanoi city. The feedstocks include acacia wood chip, rice husk  
137 and bamboo. The wood and bamboo were chopped into suitable pieces to fit into the Top-Lid  
138 Updraft Drum equipment and air-dried before the pyrolysis process. According to Nasser and  
139 Aref<sup>38</sup> the chemical composition of acacia wood is 48% of cellulose, 22% hemicelluloses, and 30%  
140 lignin, while rice husk contains 28-38% cellulose, 9-20% lignin, and 18.8-22.3% SiO<sub>2</sub>.<sup>39</sup> In  
141 comparison, bamboo is 47.5% cellulose, 15.35% hemicelluloses, 26.25% lignin, and 0.7% silica.<sup>40</sup>

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143 •Technology of biochar production

144 The TLUD technology was inherited from the project 'Piloting Pyrolytic Cookstoves and  
145 Sustainable Biochar Soil Enrichment in Northern Vietnam Uplands' (Grant Agreement No 3-V-  
146 048). It consisted of a barrel (55 gallon, height 34.5 inch, diameter 23 inch) with a chimney, which  
147 is described in detail in Figure 1. The three different biochars were produced simultaneously in a  
148 TLUD that has been modified to allow the use of various feedstocks in parallel, resulting in  
149 biochars produced under identical conditions. The materials are put into the drum in parallel  
150 layers of about 20 cm thickness to ensure uniformity in temperature for each feedstock. The final  
151 layer is wood, as wood keeps the flame for a long time, and thus is used to reduce the smoke  
152 formed during the pyrolysis process. A fire is started at the top of the material and then the lid  
153 and chimney are placed on top, once a flame is established. After the biochar starts to form,  
154 small amounts of water are injected inside the drum via the 16 hole (d = 5 cm) and 8 hole (d = 5  
155 cm) rows in order to maintain a temperature between 400 – 550 °C. The total process takes  
156 about 3 hours, then the lid is removed and water is sprayed inside the drum to extinguish the fire  
157 and cool the biochar. Upon cooling, the layers of biochar can be removed and separated. After  
158 that, 1kg of each biochar from wood (WBC), rice husk (RBC), and bamboo (BBC) biomass was  
159 stored in air tight plastic bags and shipped to the University of Birmingham, UK. Finally, the  
160 samples were kept at room temperature in the lab for analysis. The samples were characterized

161 through BET surface area, Scanning Electron Microscopy (SEM), Fourier transform infrared  
 162 spectroscopy (FTIR), elemental and proximate analysis, and CEC, with reference to the COST  
 163 Action guidelines for standardisation of biochar analysis<sup>41</sup> where appropriate.

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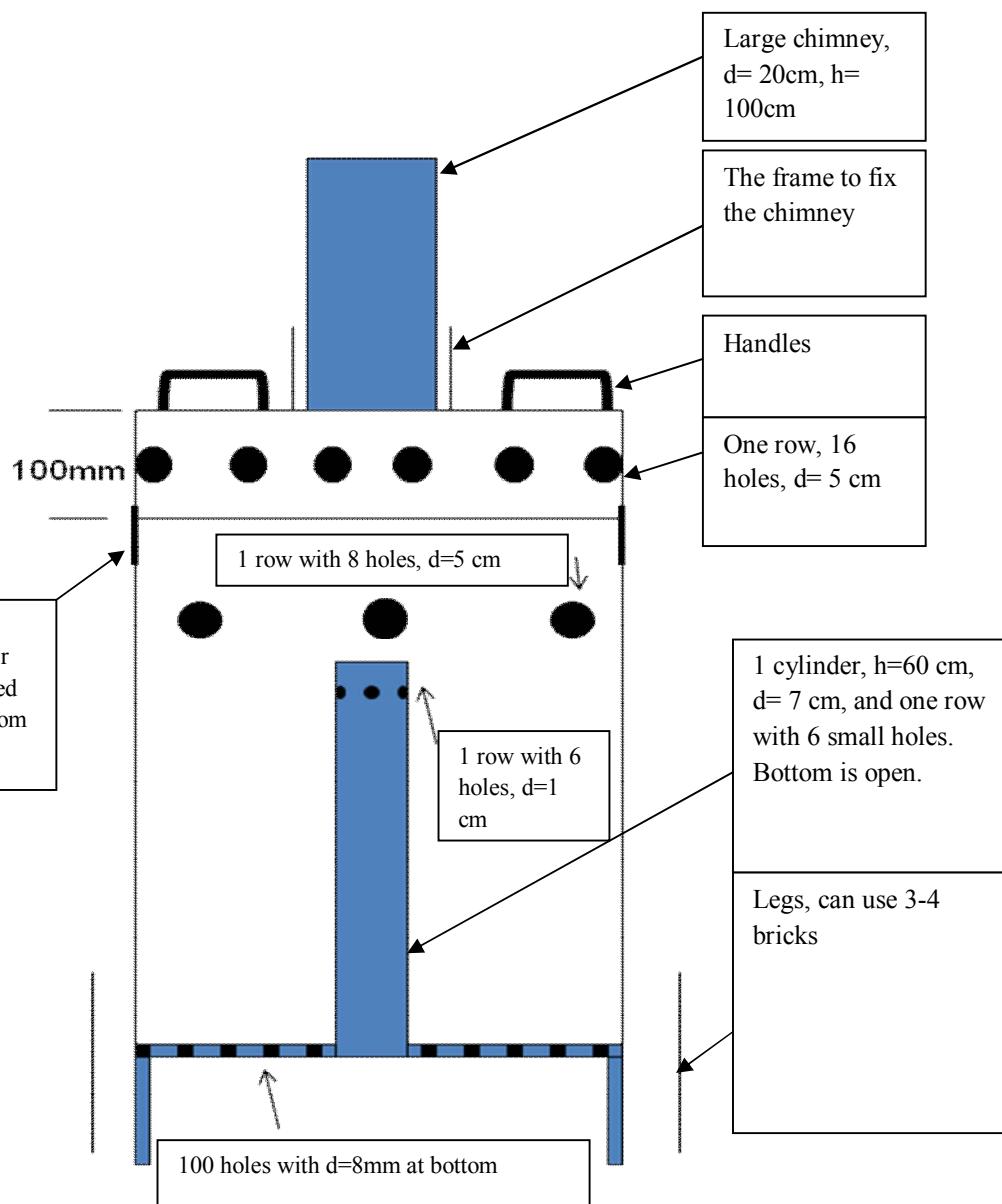
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184 Figure 1: Modified TLUD for biochar production

185 2.2. Analysis methods

186 pH<sub>H2O</sub> analysis:

187 Biochars, sieved through a 2mm mesh, were analyzed for pH using the method of <sup>42</sup>. Briefly,  
 188 distilled water, with ratio of biochar to DI water of 1:25 (w/v), was added to the biochar and the  
 189 mixture was shaken for 1.5h to ensure good contact between the water and the biochar's internal

190 surface. Then, the solvent was stirred again by steel spatula and pH of the solvent was  
191 continuously measured using a Thermo Orion 3 star pH meter.

192

193 Cation exchange capacity (CEC):

194 CEC was determined using the method described in <sup>42</sup>. In brief, 1.0 g of the biochar sieved  
195 through a 2mm mesh was saturated with 50 mL of 1N CH<sub>3</sub>COONH<sub>4</sub> (pH=7) and the mixture  
196 solution was shaken on a shaker table overnight which ensured that the biochar surfaces were  
197 sufficiently wetted. After shaking, the solution was filtered by vacuum filter (0.22  $\mu$ m) and then  
198 an additional 40 mL of the 1N CH<sub>3</sub>COONH<sub>4</sub> was added and then immediately extracted by the  
199 filtration. Next, 60 ml of ethanol (80%) was used to wash all unbound NH<sub>4</sub><sup>+</sup> from around the  
200 samples. The biochar samples were placed into a glass beaker and 50 mL of 1N KCl was added.  
201 The solution was kept for 16 h in order to reach equilibrium during which time the NH<sub>4</sub><sup>+</sup> absorbed  
202 to the biochar was completely replaced by K<sup>+</sup>, then immediately another 40 mL of 1N KCl was  
203 added for a subsequent extraction. The solutions containing NH<sub>4</sub><sup>+</sup> which replaced by K<sup>+</sup> were  
204 quantified by Ion Chromatography (Dionex DX500) using 6 standards (0, 0.5, 1.0, 5.0, 10.0, 20, 50  
205 mg/L of NH<sub>4</sub><sup>+</sup>).

206

207 Scanning electron microscopy (SEM):

208 Small pieces of initial biochar were selected and further dried at 60 °C in an oven overnight. Then,  
209 4-5 small pieces of each biochar sample were coated with 10 nm Au/Pt film by a Cressington  
210 SC7640 sputter coater and kept in a desiccator overnight. A scanning electron microscope  
211 (Philips ESEM XL30 FEG model) operating at accelerating voltages of 10-20 kV was used to image  
212 the biochars.

213

214 BET surface area:

215 Surface area was determined by the Brunauer, Emmett, and Teller (BET) method (1983). The  
216 method is based on Langmuir's theory for monolayer molecular adsorption to multilayer  
217 adsorption with the hypotheses that physical adsorption of gas molecules onto a solid occurs in  
218 layers, with no interaction between each adsorption layer. A Surface Area Analyzer (model SA  
219 3100) was used for this analysis. Approximately 1g of biochar (adsorbent), sieved through a 2mm  
220 mesh, was loaded into the vessel. Prior to the determination of the adsorption isotherm,  
221 outgassing was conducted to remove the physically adsorbed substances from the adsorbent at

222 250 °C for three hours. After degassing was complete, the sample vessel was weighed to  
 223 determine exactly the weight of the adsorbent, which was used for BET surface analysis. Then, a  
 224 known volume of nitrogen gas (adsorbate) was admitted into the sample vessel at cryogenic  
 225 temperature by the gas adsorption technique. The pressure in the sample vessels was measured  
 226 for each volume of the gas added until the adsorbate and adsorbent are in equilibrium. The  
 227 surface area of the samples was measured by plotting the data as straight line with  $y = 1/v[(P/P_0) -$   
 228 1] and  $x = P/P_0$  following equations (equations 1 and 2):<sup>43</sup>

$$\frac{1}{V\left(\frac{P_0}{P}\right) - 1} = \frac{C}{C} \frac{1}{C} \left(\frac{P}{P_0}\right) + \frac{1}{V_m C} \quad (\text{equation 1})$$

230 where  $P_0$  and  $P$  are the equilibrium and the saturation pressure of the adsorbate,  $V$  is the volume  
 231 of adsorbed gas, and  $V_m$  is the volume of the monolayer-adsorbed gas,  $C$  is BET constant, and:

$$C = \exp\left(\frac{E_1 - E_0}{RT}\right) \quad (\text{equation 2})$$

233 where  $E_1$  and  $E_0$  are the heats of adsorption for the first and higher layers, respectively.

234  
 235 A total surface area  $S_{BET, total}$  and a specific surface area  $S_{BET}$  were estimated using the following  
 236 equation (equation 3):

$$S_{BET, total} = \frac{V_m N s}{V} \quad \text{and} \quad S_{BET} = \frac{S_{total}}{\alpha} \quad (\text{equation 3})$$

238 where  $N$  is Avogadro's number,  $s$  is the adsorption cross section of the adsorbing species,  $\alpha$  is the  
 239 mass of adsorbent (g).

240

241 Proximate analysis:

242 Moisture, ash, and volatile matter were analysed by the method of the American Society for  
 243 Testing and Materials Standard (ASTM standard –D1762-84). Approximately 1g of biochar, sieved  
 244 through a 2mm mesh, was added into a porcelain crucible and the moisture content was  
 245 calculated from the loss in weight at 105 °C in the oven for 2h. After that, the crucibles used for  
 246 moisture measurement were heated to 950 °C in a muffle furnace for a period of 6 minutes to  
 247 measure volatile matter. Ash content was then calculated from the loss in weight of the samples  
 248 contained in the crucibles (used for volatile matter) following heating at 750 °C for 6h.

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252 Elemental analysis (C, N, H):

253 5mg of biochar, sieved through a 2mm mesh, was loaded into a tin capsule and placed into an  
254 autosampler drum to remove any atmospheric nitrogen. The sample was then put into a vertical  
255 quartz tube and heated at 1,000 °C with constant helium flow and pure oxygen in order to  
256 combust (oxidize) the sample completely. The gas mixture containing the three components (C,  
257 N, H) from the oxidation step was separated via a chromatographic column and detected by a  
258 thermoconductivity detector. The elemental analyzer used was a Carlo Erba EA1110 Model, Italy.  
259 The total O was calculated following the ASTM method as follows:

260  $O (\%) = 100 - ash (\%) - C (\%) - N (\%) - H (\%)$  (equation 4).

261

262 Total P, Ca, Mg, and K:

263 Total P, Ca, Mg, K were measured after biochar (0.5g) was put into a porcelain crucible heated to  
264 500°C over 2 h, and held at 500 °C for 8 h for dry combustion. The sample was then moved into  
265 the combustion vessel. Next, 5 mL HNO<sub>3</sub> was added to each vessel and the samples were digested  
266 by a heating block at 120°C until dryness (5h). The tubes were allowed to cool before adding 1.0  
267 mL HNO<sub>3</sub> and 4.0 mL H<sub>2</sub>O<sub>2</sub>. After that, samples were preheated to 120°C to dryness, and then  
268 dissolved with 1.43 mL HNO<sub>3</sub>, made up with 18.57 mL deionized water to achieve 5% acid  
269 concentration, sonicated for 10 min, and filtered. The total P was measured by spectroscopy  
270 using a UV-Vis 2000 model with quartz cuvette at  $\lambda=725$  nm with 6 standards (0, 0.02, 0.04, 0.06,  
271 0.08, 0.1 mg P<sub>2</sub>O<sub>5</sub>/100mL) to establish the standard curve. Ca and Mg were titrated by EDTA (0.01  
272 M) with murexide (the ammonium salt of purpuric acid) indicator for Ca and Eriochrome Black T  
273 for Mg, and K was analyzed by Flame Atomic Adsorption Spectroscopy (FAAS 2900 model) with 4  
274 standards (0.25, 0.5, 1.0, and 2.0 mg K/L).

275

276 Fourier-transform infrared (FTIR) spectroscopy:

277 Approximately 0.5 g of biochar, sieved through a 2mm mesh and dried at 60°C, was used for FTIR  
278 analysis. The FTIR spectra of the biochar were measured using a Varian 660 spectrometer. The  
279 spectra were an average of 32 scans at 4 cm<sup>-1</sup> resolution from 4000–400 cm<sup>-1</sup> region.

280

281 Statistical analysis:

282 Microsoft office excel 2007 was used for determination of standard deviation (SD) and T-test:  
283 Two-Sample Assuming Unequal Variances.

284 **3. Results and discussion**285 **3.1. Elemental components**

286 The biochar samples were analyzed in duplicate for C, H, N, P, K, Ca, and Mg, whereas O was  
 287 calculated using equation 4 shown in section 2.2 above. The data are presented as mean  $\pm$  SD  
 288 (standard deviation) in Table 1. Results indicate that all three biochars had high carbon content,  
 289 and that there was a difference ( $p<0.05$ ) among the biochars. Wood BC had the highest value  
 290 ( $82.10 \pm 0.21 \text{ \%C}$ ), while rice husk BC was lowest with only  $47.82 \pm 0.18 \text{ \%C}$ . A high carbon  
 291 concentration is an important property of biochar for soil enrichment. Nitrogen (N) accounted for  
 292 a very low proportion in the ultimate analysis, with similar values ( $p>0.05$ ) for all three biochar  
 293 samples with N in the range  $0.62 \pm 0.06$  -  $0.72 \pm 0.02 \text{ \%N}$  in the order rice husk BC < wood BC <  
 294 bamboo BC. Hydrogen content was also low and similar across the three biochars, ranging from  
 295  $2.07 \pm 0.04 \text{ \%}$  to  $2.33 (\pm 0.01) \text{ \%H}$ , with wood BC < rice husk BC = bamboo BC. Unlike the carbon  
 296 content, the oxygen content of rice husk and bamboo were similar ( $p>0.05$ ), fluctuating between  
 297  $8.25 \pm 0.28 \text{ \%}$  and  $8.86 \pm 0.02 \text{ \%}$ , whereas wood biochar oxygen was  $12.93 \pm 0.16 \text{ \%}$ . There was no  
 298 statistical difference of phosphorous ( $\text{P}_2\text{O}_5$ ) content ( $p>0.05$ ) among the biochars nor for  
 299 potassium (K) or magnesium (Mg), although the absolute values varied. However, the Ca content  
 300 of rice husk BC ( $2.37 \pm 0.18 \text{ \%}$ ) was significantly different ( $p<0.05$ ) in comparison with those of  
 301 wood BC ( $0.65 \pm 0.06 \text{ \%}$ ) and bamboo BC ( $0.57 \pm 0.01 \text{ \%}$ ).

302

303 **Table 1. Elemental composition of the three different biochar samples**

Parameters	Wood BC	Rice husk BC	Bamboo BC
C, %	$82.10 \pm 0.21$	$47.82 \pm 0.18$	$80.27 \pm 0.08$
H, %	$2.33 \pm 0.01$	$2.07 \pm 0.04$	$2.07 \pm 0.04$
N, %	$0.71 \pm 0.05$	$0.62 \pm 0.06$	$0.72 \pm 0.02$
O, %	$12.93 \pm 0.16$	$8.25 \pm 0.28$	$8.86 \pm 0.02$
$\text{P}_2\text{O}_5$ , %	$0.51 \pm 0.21$	$0.50 \pm 0.20$	$0.19 \pm 0.13$
K, %	$1.58 \pm 0.62$	$1.89 \pm 0.63$	$0.47 \pm 0.13$
Ca, %	$0.65 \pm 0.06$	$2.37 \pm 0.18$	$0.57 \pm 0.01$
Mg, %	$0.21 \pm 0.05$	$0.26 \pm 0.10$	$0.14 \pm 0.03$

304

305 The biochars produced from plant residues normally contain a low portion of nutrient elements.<sup>31</sup>  
306 The loss of H and N via volatile matter is related to the degree of carbonization during pyrolysis,  
307 explaining in part the low H and N values.<sup>44</sup> Cantrell *et al.* reported that H and N contents in initial  
308 biomass were volatilised 50-85% and 21.4%-77.5%, respectively, when pyrolysis temperatures  
309 increased from 350 °C to 700 °C.<sup>31</sup> However, N content can be preserved in biochar due to the  
310 transformation of the amine functionality in the original feedstocks into pyridine-like compounds  
311 during pyrolysis.<sup>44</sup> The very low N contents determined here (Table 1) suggests that most were  
312 volatilised, and that low pyridine functionality can be expected from the FTIR analysis.

313

## 314 3.2. Proximate analysis, pH and CEC of the biochar samples

315 Samples were run in duplicate for moisture, volatile matter, and ash proportions, and in triplicate  
316 for pH and CEC. The results are shown in Table 2 and are presented as mean ± SD. The data  
317 indicate that the biochars were all alkaline with pH values ranging from 9.51 (± 0.02) to 10.11 (±  
318 0.04) in the order Rice BC < Bamboo BC < Wood BC. Several previous studies proved that the  
319 alkalinity of biochar is attributed to the presence of alkaline metals such as Ca, Mg, and K,<sup>26, 45</sup>  
320 carbonate (CaCO<sub>3</sub> and MgCO<sub>3</sub>), and organic functional groups.<sup>46</sup> This is consistent with the data  
321 from Table 1, where the Rice husk BC had the highest values of Ca, Mg and K, and the Bamboo BC  
322 having the lowest concentrations of each. The pH is known as a pivotal parameter of soils, which  
323 impacts on available nutrients and plant growth.<sup>47</sup> Thus, the high pH of these biochars suggests  
324 their suitability for use as soil amendments to ameliorate acidic soils,<sup>47</sup> which may also contain  
325 aluminium, iron and manganese which can be toxic to crops and cause nutrient (P, Mo, Mg, Ca)  
326 deficiencies in several soils.<sup>46</sup> For example, Fe<sup>2+</sup> is toxic to rice when soil pH<5.0 due to excessive  
327 Fe<sup>2+</sup> uptake. A high Fe<sup>2+</sup> content in soil can also lead to poor root oxidation due to accumulation in  
328 the rhizosphere of H<sub>2</sub>S and FeS which inhibit respiration.<sup>46</sup>

329 The ash content of the biochars, as determined by the ASTM method, shows significant  
330 differences among the various biochars (p<0.05). The largest proportion of ash was found in the  
331 rice husk BC, with 41.24 (± 0.49) % ash, while the lowest was observed for wood BC (1.93 ± 0.03  
332 %). Rice husk BC has been reported previously to have a relatively high ash content, e.g., 54.0%<sup>48</sup>  
333 which is due to the biomass having lower abundance of lignin, e.g., 9-20%<sup>39</sup> as compared to  
334 woody residues, e.g., 20-40%.<sup>49</sup> In addition, biochar with higher ash contents was found to  
335 correspond to lower values of fixed carbon, as reported in several previous studies.e.g.<sup>50</sup>

336 Interestingly, although there were significant differences ( $p<0.05$ ) in both the ash and fixed  
 337 carbon (rice husk BC < bamboo BC < wood BC) proportions among the biochars, their volatile  
 338 matter values were similar ( $p>0.05$ ), ranging between  $45.61 (\pm 0.54)$  % and  $48.72 (\pm 3.22)$  % (rice  
 339 husk BC < wood BC < bamboo BC). The low fixed carbon content of rice husk BC ( $7.82 \pm 0.10$  %)  
 340 means that this material is less resistant to biotic decomposition and thus has a shorter existence  
 341 in soil.<sup>50a</sup> However, rice husk BC's high pH and CEC are useful properties for soil enrichment.<sup>51</sup>

342

343 Table 2. CEC, pH, and composition of the three biochars

Parameters	Wood BC	Rice husk BC	Bamboo BC
pH	$10.11 \pm 0.04$	$9.51 \pm 0.02$	$9.94 \pm 0.02$
CEC, Cmol/kg	$13.53 \pm 0.65$	$26.70 \pm 1.57$	$20.77 \pm 1.21$
Moisture, %	$5.45 \pm 0.03$	$5.37 \pm 0.05$	$6.11 \pm 0.11$
Volatile, %	$46.68 \pm 1.68$	$45.61 \pm 0.54$	$48.72 \pm 3.22$
Ash, %	$1.93 \pm 0.03$	$41.24 \pm 0.49$	$8.08 \pm 0.20$
Fixed carbon, %	$45.94 \pm 1.68$	$7.82 \pm 0.10$	$37.09 \pm 3.32$

344

345 There were significant differences of CEC values among the three biochars ( $p<0.05$ ). In fact, rice  
 346 husk BC had the highest CEC with  $26.70$  Cmol/kg, while bamboo and wood BC were  $20.77$   
 347 Cmol/kg and  $13.53$  Cmol/kg, respectively. A high CEC indicates the ability of biochar to adsorb  
 348 cationic nutrients (e.g.  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  $\text{NH}_4^+$ ,  $\text{K}^+$ ) and thus high CEC biochars reduce the leaching of  
 349 these nutrients along a soil profile.<sup>26</sup> CEC depends on the presence of negatively charged surface  
 350 functional groups<sup>52</sup> and other sources like metal hydroxides and silica phytoliths in biochar ash.<sup>53</sup>  
 351 In addition, ageing and oxidization of biochar surface following application in the environment  
 352 (soil) contributes to increased CEC values,<sup>36</sup> thus enhancing the adsorption and retention  
 353 capacities of soil for nutrient cations.<sup>46</sup>

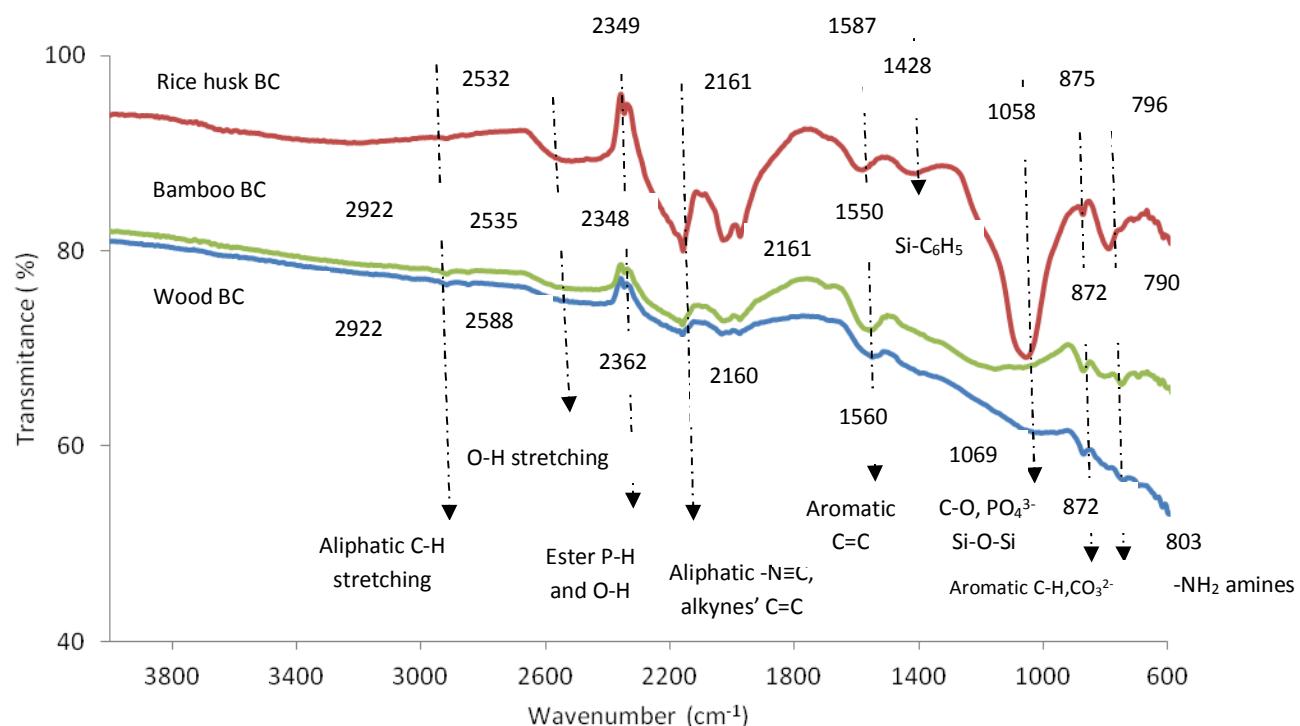
354

## 355 3.3. FTIR spectroscopy

356 FTIR spectra of wood, rice husk, and bamboo BCs are shown in Figure 2. The characteristic  
 357 vibration was interpreted based on Stuart<sup>54</sup> and Özçimen and Ersoy-Meriçboyu.<sup>50</sup> The band at

358 2922  $\text{cm}^{-1}$  of both bamboo and wood BC refers to the aliphatic C-H stretching of alkenes. The O-H  
 359 stretching of carboxylic acids in the three BCs is observed in the region of 2588-2532  $\text{cm}^{-1}$ , while  
 360 O-H and P-H stretching of ester compounds are assigned in the range of 2362-2348  $\text{cm}^{-1}$ . The  
 361 bands that appear at around 2160  $\text{cm}^{-1}$  in rice husk and wood BC are attributed to aliphatic  
 362 isonitrile  $-\text{N}\equiv\text{C}$  stretching, C=C stretching of alkynes, as well as Si-H stretching of silicon  
 363 compounds.

364



365

366 Figure 2. FTIR spectra of the three different biochars in the range 4000 – 400  $\text{cm}^{-1}$ .  
 367

368 The C=C stretching bands of aromatic compounds of the biochars appeared in the range 1587-  
 369 1550  $\text{cm}^{-1}$ , whereas aromatic C=C stretching and C-H bending bands are assigned between 875  
 370  $\text{cm}^{-1}$  and 872  $\text{cm}^{-1}$ . Interestingly, there was one band near 1430  $\text{cm}^{-1}$  for rice husk BC only,  
 371 suggesting silicon attachment to the ring of benzene. Bands in the range of Si-O-Si asymmetric  
 372 stretching (1000-1130  $\text{cm}^{-1}$ ) were observed for both wood BC (1069  $\text{cm}^{-1}$ ) and rice husk BC (1058  
 373  $\text{cm}^{-1}$ ). This finding was in contrast with the report of Nasser and Aref<sup>38</sup> who found no Si content  
 374 in wood BC from six Acacia species in Saudi Arabia. In addition,  $\text{CO}_3^{2-}$  groups were also  
 375 represented in the bands at 872  $\text{cm}^{-1}$  for wood BC and bamboo BC or at 875  $\text{cm}^{-1}$  for rice husk BC,  
 376 while the bands appearing in the range of 950-1100  $\text{m}^{-1}$  for wood BC (1069  $\text{m}^{-1}$ ) and rice husk BC  
 377 (1058  $\text{m}^{-1}$ ) are assigned to  $\text{PO}_4^{3-}$  ions. In the range 1069-1058  $\text{cm}^{-1}$ , C-O stretching bands of

378 alcohols and phenols and aliphatic C–O stretching of esters were found in wood and rice husk BC,  
379 but were not present in bamboo BC. The bands observed between 803–796 cm<sup>–1</sup> for all three  
380 biochars were associated with NH<sub>2</sub> wagging and twisting of amines, and aliphatic symmetric P–O–  
381 C stretching. Similarly, =C–H bending of alkynes and C–S stretching bands were assigned in the  
382 range of 700–600 cm<sup>–1</sup> and were present in all three biochars. Thus, the three biochars were  
383 dominated by the aromatic structure <sup>55</sup> which results from the transformation of cellulose,  
384 hemicelluloses, and lignin and protein present in the parental feedstocks <sup>31, 56</sup> to form condensed  
385 structures via aromatization <sup>53</sup> during the pyrolysis process. This is consistent with the alkaline  
386 pHs found for all three biochars. Additionally, carboxylic acid, alcohol, phenol, and amine  
387 functional groups were found to have formed on the biochar surfaces, which play an important  
388 role in cation and anion adsorption capacity of biochar, and indeed is consistent with the original  
389 biomass components.

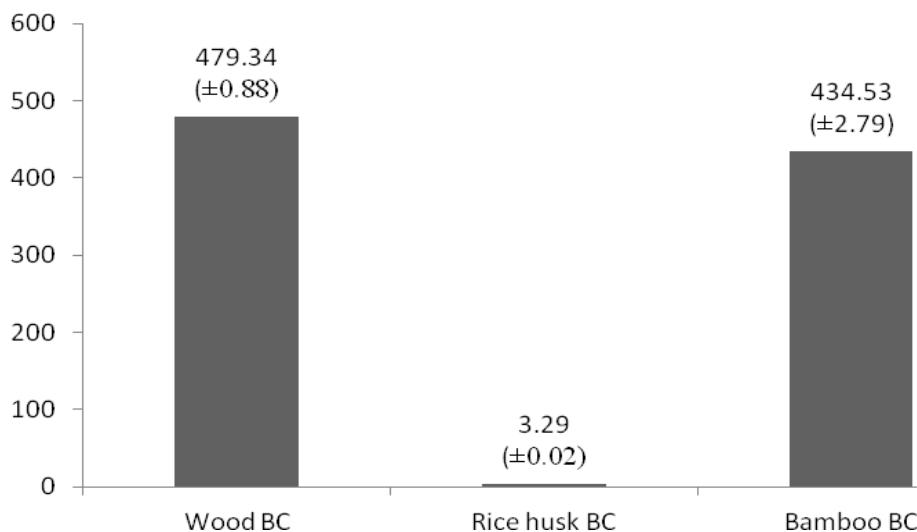
390

### 391 3.4. BET and SEM

392 The BET surface area of the three biochars is presented in Figure 3, with values varying  
393 significantly with different parental biomass (p<0.05). The biochar produced from rice husk has the  
394 lowest BET surface area of 3.29 ± 0.02 m<sup>2</sup>/g while bamboo and wood biochar both resulted in  
395 higher values of 434.53 ± 2.79 and 479.34 ± 0.88 m<sup>2</sup>/g, respectively. The low value of surface area  
396 of rice husk BC corresponds with its less complicated morphology as indicated in the SEM images  
397 (Figure 4 c & d), compared to wood and bamboo BCs (Figure 4). In general, the surface area of  
398 biomass biochar is increased by the development of a porous system during the pyrolysis  
399 process.<sup>1b</sup> However, the high ash content of rice husk (41.24%, see Table 2) can result in blocking  
400 of the pores <sup>50a</sup> which contributes to the reduction in surface area. In addition, softening, melting,  
401 fusing, and carbonizing during pyrolysis process, which can result in significant blocking of the  
402 pores, also causes a decrease of the surface area values.<sup>57</sup> According to Rouquerol, et al. <sup>58</sup> biochar  
403 porosity was characterized by the International Union of Pure and Applied Chemistry (IUPAC) as  
404 micropores (<2 nm), mesopores (2–50 nm), and macropores (>50 nm). In fact macropores do not  
405 contribute to the measured surface area, while meso- and micropores do.<sup>47</sup>  
406 Scanning electron microscopy (SEM) was used to characterize the morphology of the three  
407 biochar samples. The pore shape, size and morphology are visualised by SEM, providing  
408 information on the structure of the three biochars after pyrolysis of the different biomasses.

409 Figure 4 shows representative images of each biochar, at two different magnifications (20  $\mu\text{m}$  x  
410 1000  $\mu\text{m}$  and 200  $\mu\text{m}$  x 100  $\mu\text{m}$ ). These pictures demonstrate the difference in porous structure  
411 among the biochars. In fact, the surface of the wood biochar has a complicated and rough  
412 surface with hollow structure (Figure 4a) and various pores (Figure 4b), representative of volatile  
413 compound release.<sup>59</sup> In contrast, the morphology of rice husk shows elongated hollow regions  
414 (resembling the shape of a boat) (Figure 4d) with one side having rough surface formed by mostly  
415 closed vesicles in rows, which were presumably formed by the melting of lignin while transporting  
416 the volatiles towards the surface<sup>60</sup> and cracking and polymerization of hydrocarbons.<sup>61</sup> In  
417 addition, there are crystals of inorganic salts present as individual particles and soot particles<sup>61</sup>  
418 covering the surface (figure 4c). In contrast, the other side of the wood biochar (Figure 4d) was  
419 observed as a smooth surface. Liu, et al.<sup>62</sup> reported that the melting and fusion process of lignin  
420 and other small organic molecules could be the cause of smooth surface formation.

421



422

423 Figure 3. BET surface area of three different biochars.

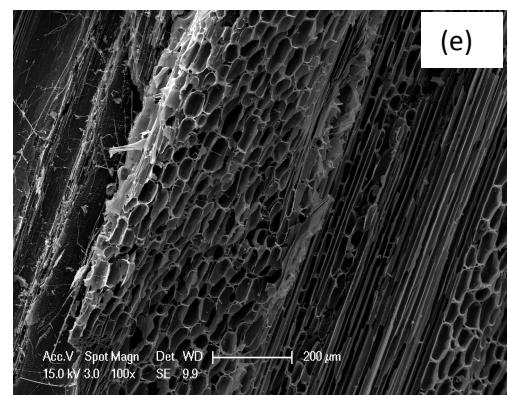
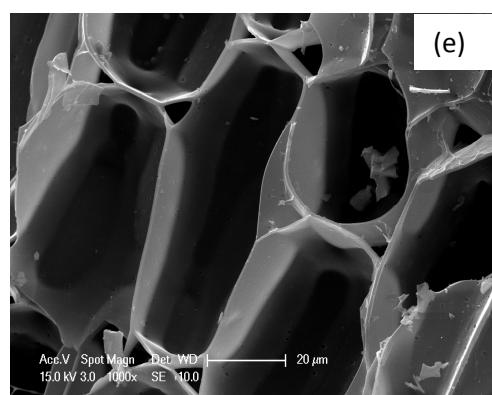
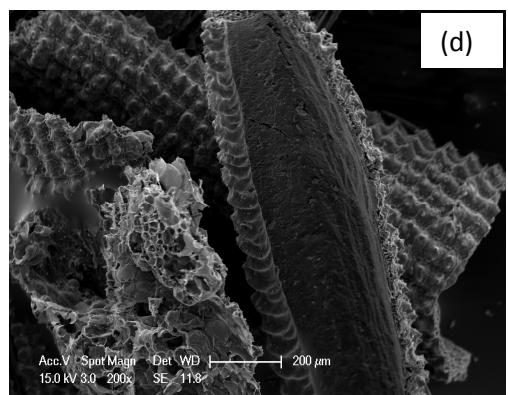
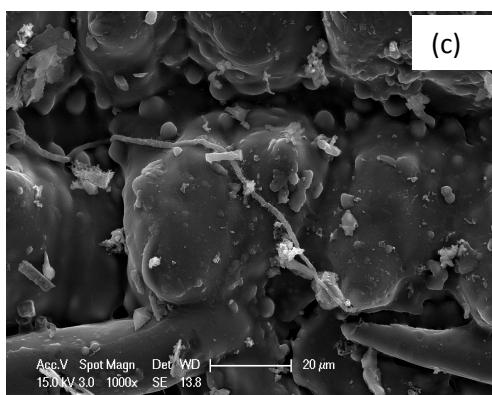
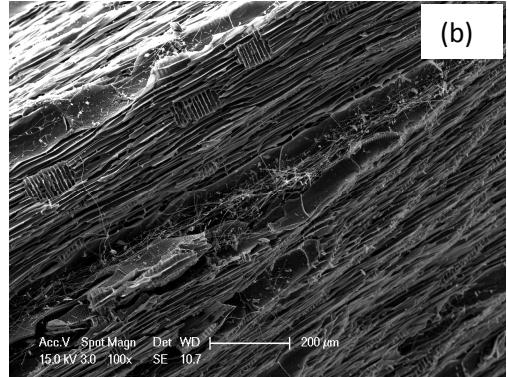
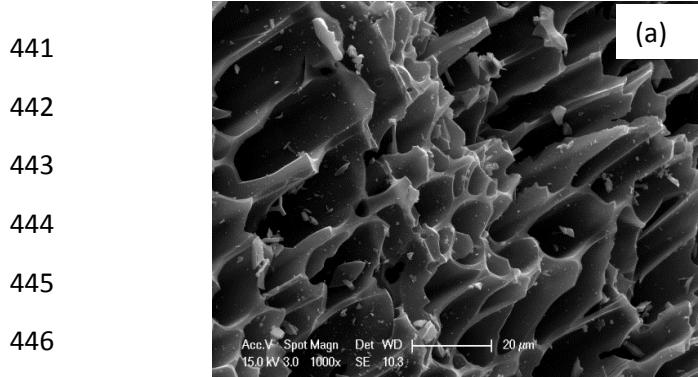
424

425 Several mineral crystals (Figure 4a) were observed on the surface of rice husk BC. Septien, et al.<sup>63</sup>  
426 proposed that the mineral grains moved to the surface and then coalesced after fusion or re-  
427 condensed volatiles. Interestingly, the porous structure did not show on the SEM images of rice  
428 husk BC, while this parameter plays a key role in surface area of biochar, particularly, mesopores  
429 and micropores.<sup>47</sup> In addition, a porous system serves as habitat for soil microorganisms such as  
430 bacteria, fungi, and protozoa which interact with the rhizosphere to improve the ability of plant for  
431 nutrient uptake.<sup>64</sup> Thus, these limitations suggest that rice husk BC may be less suitable as a soil

432 nutrient, due to the lower BET surface area of this biochar. In comparison, bamboo BC (Figure 4e  
433 & f) had a special morphology, appearing as a hollow honeycomb-like structure with various pore  
434 sizes. The volatile compounds released quickly during the pyrolysis process created an internal  
435 overpressure and led to the coalescence of small pores, which lead to inner cavities and a more  
436 open structure.<sup>65</sup> Such open pores with dominant micropores are the main source of this  
437 biochar's high surface area<sup>60</sup>. Thus, the complicated surface structure of both wood BC and  
438 bamboo BC allows them to have higher BET surfaces than rice husk BC.

439

440



462 Figure 4. SEM photographs of biochar samples, (a, b) wood BC, (c, d) rice husk BC, and (e, f)  
463 bamboo BC. Magnifications are included in the images, all of which were taken from the same  
464 sample orientations.

## 466 3.5 Optimising the biochar selection for specific applications

467 The detailed characterisation of the biochars presented here is the first step in an ongoing project  
 468 to support local agriculture in Vietnam by optimising the functional capacity of biochars through  
 469 selection of the most suitable biomass or combination of biomass sources to produce biochars  
 470 with the desired functional characteristics depending on the intended application. Thus, where  
 471 the goal is improvement of soil quality, for example via providing a carbon sink or encouraging  
 472 colonisation by microorganisms the set of desired BC properties needed would be different than  
 473 those required for applications such as binding of heavy metals or other toxicants or indeed for  
 474 soil conditioning via enhanced retention of vital nutrients. Table 3 below presents an initial  
 475 analysis of the BC properties required for the three main applications of interest in this work, and  
 476 a first mapping of the physical-chemical properties of the three biochars analysed here (wood,  
 477 rice husk and bamboo) to these requirements. Subsequent work will confirm experimentally that  
 478 the properties identified in theory as correlating with key functional behaviours, which translate  
 479 in practice to assessing the ammonium-nitrogen binding and heavy metal binding capacity of the  
 480 different biochars and correlating these with key physico-chemical properties driving the reactive  
 481 potential of the biochars.

482 Table 3: Summary of the BC properties required for different applications and an initial  
 483 assessment of the suitability of the different BCs match to these properties.

Environmental application	Wood BC	Rice BC	Bamboo BC
<b>Improving soil quality (C sink)</b>			
- High C content	✓	X	✓
- Low Ash content	✓	X	✓
- Alkaline pH	✓	✓	✓
- High surface area	✓	X	✓
- Highly porous structure (habitat for microrganisms and water holding capacity)	✓	X	✓
<b>Binding of heavy metals (remediation)</b>			
- Alkaline pH	✓	✓	✓
- High CEC	X	✓	✓
- High surface area	✓	X	✓
- Alcohol groups / phenols / esters	✓	✓	✓
- Acidic groups ( $\text{COO}^-$ , $\text{CO}_3^{2-}$ )	✓	✓	✓
- Other groups ( $\text{PO}_4^{3-}$ )	✓	✓	X
<b>Retention of nutrients (fertilisation)</b>			
- High CEC	X	✓	✓

- High Surface area	✓	✓	✓
- Porous structure	✓	X	✓
- Acidic groups (COO <sup>-</sup> , CO <sub>3</sub> <sup>2-</sup> )	✓	✓	✓
- Other groups (PO <sub>4</sub> <sup>3-</sup> , Si-O-Si)	✓	✓	X

484

485 **4. Conclusions**

486 The comparison of three biochars, from different biomass sources widely available in Vietnam  
 487 (i.e. Acacia wood, rice husk and bamboo), produced using a pyrolysis approach indicated  
 488 important differences of the physicochemical properties among the biochars produced under  
 489 identical conditions. The high carbon content and alkaline pH of the three BCs makes them  
 490 suitable for creating a carbon sink and improving the pH of acidic soils, as well as theoretically  
 491 providing the capability to reduce mobility of toxic metals by precipitation. The immobility of  
 492 heavy metals governed by changes pH in soil amended with biochar was reported by previous  
 493 studies <sup>66-71</sup>. The formation of metal hydr(oxide), carbonate, and phosphate precipitates are one of  
 494 possible mechanisms for the immobility of the metals <sup>66</sup>. Testing of the metal mobility reduction  
 495 of the biochars is underway and will be reported separately.

496 CEC and BET surface area are important parameters determining the adsorption capacity of a BC  
 497 for ion nutrients or heavy metals, so the high CEC values (rice husk BC) and BET surface area  
 498 values (bamboo BC and wood BC) are useful for soil enrichment and environmental mitigation.  
 499 The values of CEC relate to cation binding capacity (Ca<sup>2+</sup>, Mg<sup>2</sup>, K<sup>+</sup>, Na<sup>+</sup>) and functional groups on  
 500 surface, while BET surface area corresponds to surface morphology, as observed by SEM. The  
 501 complex morphology with variously sized pores present in bamboo and wood BC not only serves  
 502 as habitat for microorganisms, but also potentially improves the water holding capacity of soil.

503 Thus, the detailed characterisation of the biochars is the first step towards optimisation of the  
 504 selection of biochars for specific applications, as part of an ongoing project to support local  
 505 agriculture. Indeed, it might be that mixtures of different biomass sources could be developed to  
 506 fully optimise the functionality of the BCs for a range of agricultural applications.

507

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520

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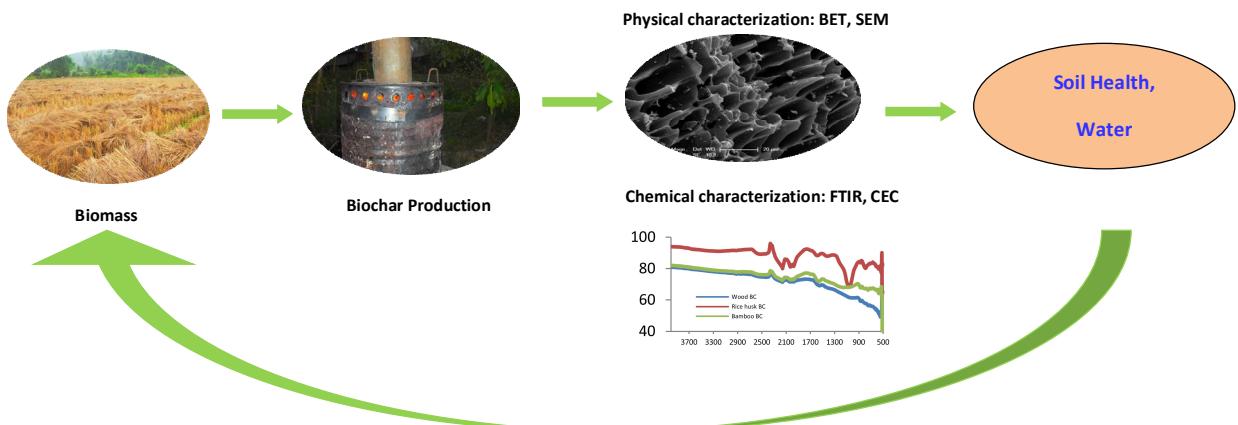
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700 ToC figure



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