

1 Article

2 A feasibility review of innovative prefabricated 3 footing systems for residential structures

4 Bertrand Teodosio ^{1, *}, Shanaka Kristombu Baduge ¹, Tuan Duc Ngo ¹, Priyan Mendis ¹, Maral
5 Tecer ², and David Heath ¹

6 ¹ The University of Melbourne, Centre for Advanced Manufacturing of Prefabricated Houses;
7 bteodosio@student.unimelb.edu.au

8 ² The Australian Reinforcing Company; maral.tecer@arcreo.com.au

9 * Correspondence: bteodosio@student.unimelb.edu.au; Tel.: +61-410-517-555

10

11 **Abstract:** The consistently positive Australian economic environment and stable population
12 increase have led to a higher demand for new houses in recent years. Prefabrication is a promising
13 method to help alleviate the issues related to housing shortage and affordability due to reduced
14 material wastage, construction delays due to weather conditions, unexpected costs, shortage in
15 labour and onsite risks. With the advancements in automation and manufacturing methods such as
16 Design for Manufacturing and Assembly (DfMA), the quality and precision of prefabricated
17 materials is tightly controlled, and the fabrication and assembly period are reduced. However, the
18 full potential of prefabricated construction is yet to be realised in part due to most of developments
19 being focused on its superstructure. A review of the current available options suitable for houses is
20 necessary to understand the present state of the residential footing industry, which will help
21 evaluate the necessary innovations for the growth of the Australian construction industry
22 considering the local reactive soil conditions. This paper presents a summary of existing footing
23 systems and potential prefabricated footing solutions for low-rise residential structures with one
24 storey to two storeys. This paper also reviews the benefits and challenges of designing,
25 manufacturing, transporting, handling and installing of prefabricated footings on site, which have
26 great influence on the acceptance of these innovative footing systems.

27

28 **Keywords:** prefabricated footing system; residential structures; reactive soils; modular
29 construction; design for manufacture and assembly

30 31 1. Introduction

32 The positive Australian economic environment and population increase have led to a growing
33 demand for residential structures. The Australian property market for dwellings has seen consistent
34 increases of approximately 3% per annum since 1970s [1]. The average total number of dwelling
35 commencements from 2001 to present is on average 150,000 per year [2] and yet, the cumulative
36 housing shortage is still around 220,000 [3]. This strong demand for houses and acceptable rate of
37 dwelling completion has been countered by a shortage of skilled trades which has constrained
38 sustainable growth in the housing industry [4]. In turn, there have been price increases, material and
39 labour shortages, issues related to construction quality and delays [5]. Prefabricated housing offers a
40 solution for these challenges by building houses with less waste, greater certainty for building costs,
41 improved site safety, controlled quality of materials and workmanship, and shorter construction
42 cycle time [6]. Furthermore, prefabrication only requires in-situ assembly reducing the necessity for
43 skilled trades for site preparation, general building, bricklaying, carpentry, ceramic tiling, joinery,
44 plastering, other trades [7].

45 Prefabrication, the method of constructing off-site then transporting and assembling on-site, has
46 been adopted for the superstructure of residential houses for many years [5]. Significant advances in
47 the design and construction of superstructures have increased the number of prefabricated houses
48 built in countries like Japan and the United States of America [8,9]. Safety of prefabricated elements
49 has also been globally investigated to assure the robustness of superstructures [10]. However, most
50 innovations are focused on the superstructure of houses, accepting conventional methods for
51 construction of the footing [11]. Constructing footing systems using traditional cast-in-place concrete
52 causes site disturbance and requires more construction, which lead to environmental degradation
53 and construction delays [12].

54 The prefabrication of footing systems has the potential to have a positive impact on the housing
55 industry by: improving construction quality, improving sustainability, reducing construction delays,
56 reducing the industry's reliance on skilled labour, and increasing certainty in project expenses [13].
57 To date there has been minimal development of light-weight prefabricated footing solutions that are
58 suitable for low-rise residential structures, which will aid in solving the housing shortage and reduce
59 the dependence on skilled labour.

60 Because of the collapse of automotive industry in Australia due to closure of motor vehicle
61 manufacturing plants, employees with automation and manufacturing expertise transit to
62 construction industry. It increases interests for automation and prefabrication in construction
63 industry while creating more job opportunities to retrenched employees of automotive industry.
64 Therefore, prefabricated foundation system can cater growing residential construction industry while
65 creating more job opportunities and smooth transition for retrenched employees who have expertise
66 and skills in automation and manufacturing.

67 A review of prefabricated footing systems currently available in the market is necessary to
68 understand the present state of the footing industry for residential structures considering reactive
69 soil conditions. Results from this review will help to identify possible innovations that may be
70 accepted not only in the Australian housing industry but also for residential construction worldwide.
71 The aim of this paper is to present an overview of existing footing systems and potential prefabricated
72 solutions considered suitable for low-rise conventional and prefabricated residential structures. This
73 paper also aims to identify the benefits and challenges of designing, manufacturing, handling,
74 transporting and installing innovative prefabricated footings on site, which are informative and
75 beneficial to aid on market acceptance of prefabricated footing solutions for residential projects.

76 **2. Current footing systems**

77 Traditional and innovative footing systems being used in practice for both conventional and
78 prefabricated houses classified as Class 1 and Class 10a [14] are presented in this section. This section
79 is divided into shallow footing systems and deep footing systems. A system is considered a shallow
80 footing if the depth-to-width ratio is less than 5.0 and the system transfers applied structure loads
81 near to the surface. On the other hand, a system is considered a deep footing if the depth-to-width
82 ratio is equal to or greater than 5.0 and the system transfers applied structure loads to a deeper and
83 stronger subsurface layer.

84
85

86 2.1. Shallow footing systems

87 Shallow footings are commonly used for houses. The Australian Standard 2870: residential slabs
 88 and footings [15] sets out the criteria for site classification for reactive soils and the design and
 89 construction of footing systems used in Australian residential structures. The shallow footings being
 90 used in practice for houses are: stiffened raft, footing slab, waffle pods, stiffened slab with deep edge
 91 beams and strip footings. The site class (Table 1) is assigned base on the characteristic surface
 92 movement (y_s) due to expansion and shrink of reactive clayey soils, calculated using

$$y_s = \frac{1}{100} \sum_{n=1}^N (I_{pt} \bar{\Delta u} h)_n = \frac{1}{100} \sum_{n=1}^N (\alpha I_{ps} \bar{\Delta u} h)_n, \quad (1)$$

93 where I_{pt} is the instability index (pF), $\bar{\Delta u}$ is the average soil suction change over the layer thickness
 94 (pF), α is the lateral restraint factor, I_{ps} is the soil shrinkage index (%/pF), h is the layer thickness, and
 95 N is the number of soil layers. The specification of a suitable footing (depth of the beams and internal
 96 ribs, size and amount of reinforcement) depends on the classification of sites and the nature of the
 97 superstructure (e.g. full masonry, articulated masonry, brick veneer, cladding, weatherboard, etc.).
 98 For expected surface characteristic movement and for given wall system, differential settlement is
 99 limited selecting adequate stiffness to the foundation so that superstructure within permissible
 100 damage levels. The stiffness for the foundation is provided by slab raft or series of stiffening beams
 101 or internal rib beams.

102 **Table 1.** Site classification based on surface characteristic movement (y_s) [15].

| Site class | Foundation | y_s (mm) |
|------------|--|------------|
| A | rock and sand | 0 |
| S | slightly reactive silt and clay | 0-20 |
| M | moderately reactive silt and clay | 20-40 |
| H1 | highly reactive clay | 40-60 |
| H2 | very highly reactive clay | 60-75 |
| E | extremely reactive clay | >75 |
| P | filled, soft silt or clay, loose sands, sandslip, mine subsidence, collapsing | varying |

103
 104 Different shallow footing systems adopted in low-rise housing, from traditional to innovative,
 105 are presented in this section. The different types of footing systems include: stiffened rafts, block piers
 106 or pad footings with ground anchors, footing systems with bracing, footing systems with beam
 107 clamps, corrugated panel cover with poured concrete, footing systems with a deadman, an semi-
 108 adjustable column stands with tension anchors, waffle pod raft and permanent on-ground formwork.
 109 These have depth-to-width ratios less than 5.0 and transfer applied structure loads near to the ground
 110 surface.

111 2.1.1. Stiffened rafts

112 One of the most commonly used footing system is the stiffened raft, comprised of reinforced
 113 concrete beams and slabs across the entire floor plan (Figure 1). Excavation is necessary, which is
 114 dependent on the depth of the beam and its slab thickness. Formwork is then installed, and concrete
 115 is poured in-situ, which shapes the profile of the stiffened raft system. The slab is usually raised above
 116 the ground level to ensure that stormwater will not flow into the house and cause damage. The
 117 stiffened raft requires significant site preparation including grading, excavation, formwork setup and
 118 concrete curing.

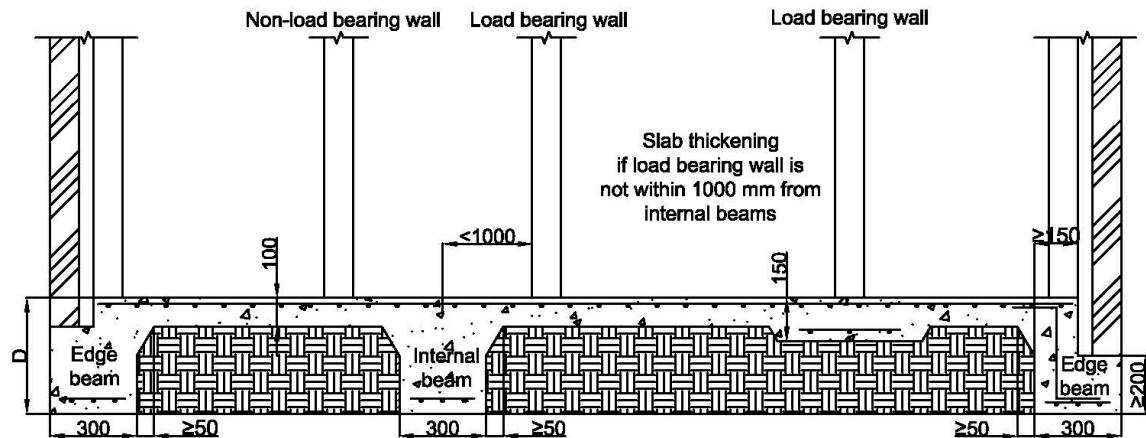
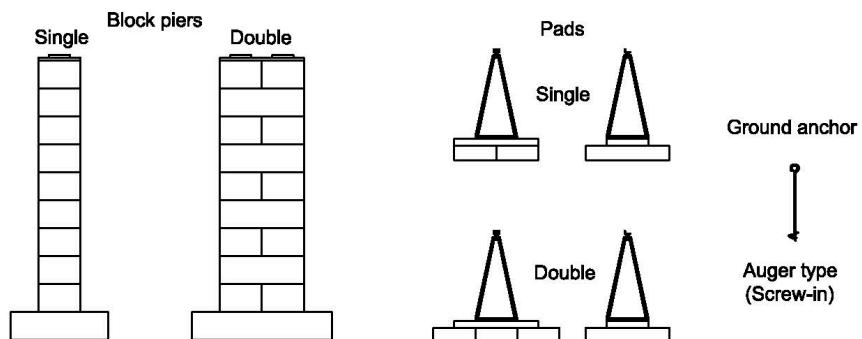
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Figure 1. A typical stiffened raft design adapted from [15].

121 2.1.2. Piers, pads and ground anchors

122 Another type of shallow footing is a system with block masonry piers or pads with ground
 123 anchors [16]. Stacked block piers can be a single block pier or a double block pier (Fig. 4). On the other
 124 hand, the pads can be a double pad or a triple pad. The block piers or pads are installed under the
 125 main beams of prefabricated houses. The typical block pier or pad height ranges from 0.9 m to 2 m
 126 off the ground and spaced from 1.5 m to 3.0 m apart depending on the house design and soil type.
 127 The ground anchors are attached to the beams of prefabricated houses using steel straps to resist
 128 wind uplift forces. This footing system is adaptable to local site conditions and does not require a
 129 strict dimensional manufacturing and installation tolerance. Perimeter walls made up of stacked
 130 blocks may also be a part of the footing system. A reinforced version of the block piers is also available
 131 holding up chassis that supports prefabricated houses in the United States of America [17]. This
 132 chassis beam is similar to the footing system of [18], however, instead of using a reinforced pier, pads
 133 supporting the chassis beams are used. The chassis beams are ideal due to its light weight and easy
 134 assembly. However, the performance of the system for reactive soil has not been tested yet. This
 135 footing system has an advantage when installed on reactive soils since the contact between the
 136 footing system and the reactive soil minimised. However, the pads should have supplementary
 137 ground supports (i.e. ground anchors, reticulated piles or screw piles).

138



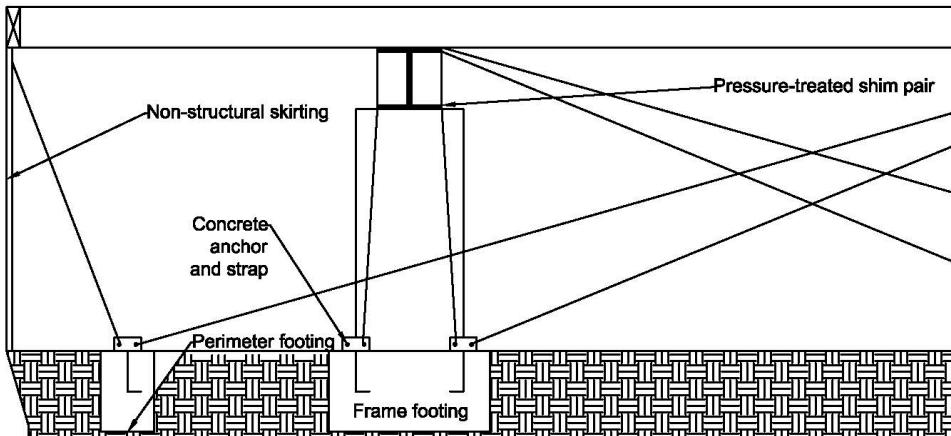
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Figure 2. Footing systems using single or double block piers or pads with ground anchors.

140 2.1.3. Braced masonry piers with metal straps

141 Another footing system includes braced masonry piers on cast-in-place concrete pads with metal
 142 straps (Figure 3) that are utilised to resist vertical and lateral loads such as extreme wind conditions
 143 and earthquakes. The metal straps are integrated in the footing system redistributing the loads to
 144 adjacent portions of the footing system. However, if straps are loaded to their maximum capacity,

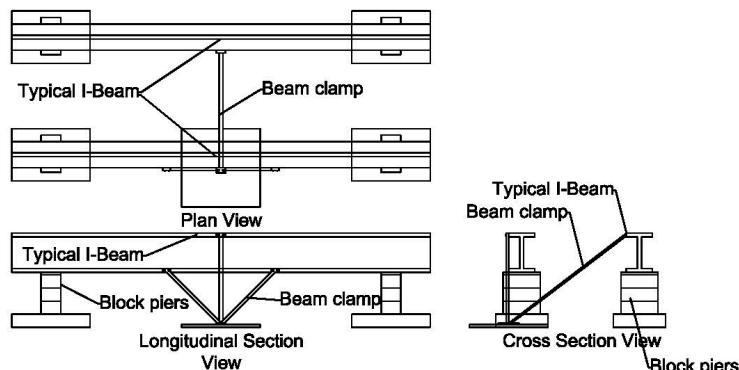
145 redistribution of load may lead to progressive failure and collapse. To prevent progressive failure,
 146 redundant straps are necessary but may be inefficiently designed.



147
 148 **Figure 3.** A footing system with bracing using metal straps.

149 **2.1.4. Piers and beam clamps attached to I-beams**

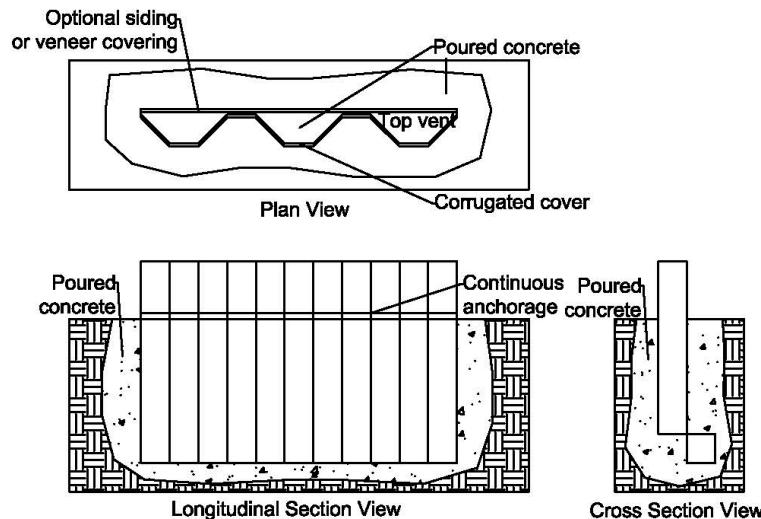
150 Alternatively, a footing system comprised of a galvanised steel pan having a 3-bolt connected
 151 tubes (Figure 4) can be used. The V-shaped component has tubes connected with the pan by carriage
 152 bolts known as a beam clamp. The other ends of the tubes are then attached to the flanges of I-beams
 153 using connectors. The mechanism relates to the tension and compression load distribution from the
 154 base pad at one pier to the I-beams. These footing systems can also be used for retrofitting existing
 155 substructures.



156
 157 **Figure 4.** A footing system with an I-beam and a beam clamp.

158 **2.1.5. Structural panels as perimeter wall support**

159 Another hybrid footing system is comprised of structural panels attached around the perimeter
 160 of houses with pour-in concrete (Figure 5). Concrete is cast into the structural panels that will act as
 161 a perimeter wall support. However, block piers with beam supports are still necessary under the
 162 middle area of a prefabricated house.



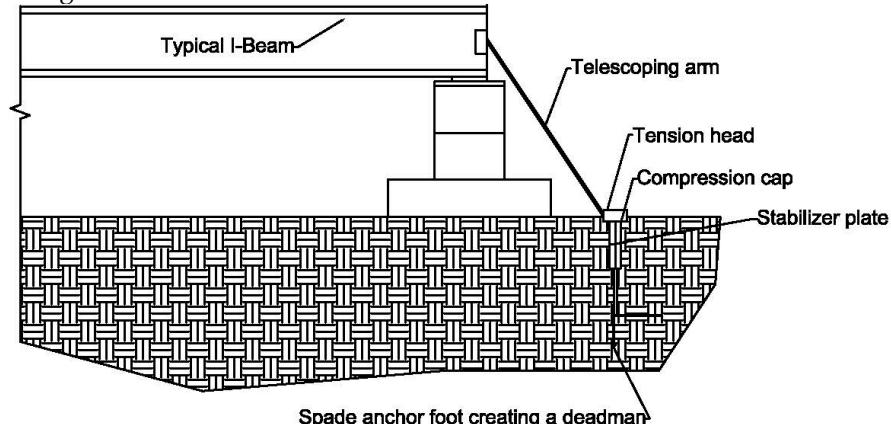
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164

Figure 5. A footing system with structural panels and cast-in-place concrete.

165 2.1.6. Structural panels as perimeter wall support

166 An alternative option is a footing system made up of several components including a pivoting
 167 deadman (Figure 6). It is applicable to most types of soil except gravelly sands with little or no fines,
 168 since these soils does not have the cohesion the deadman mechanism requires. Houses are connected
 169 by a telescoping arm consisting of a locking frame clamp that transfers both tension and compression
 170 loads to the pivoting deadman.



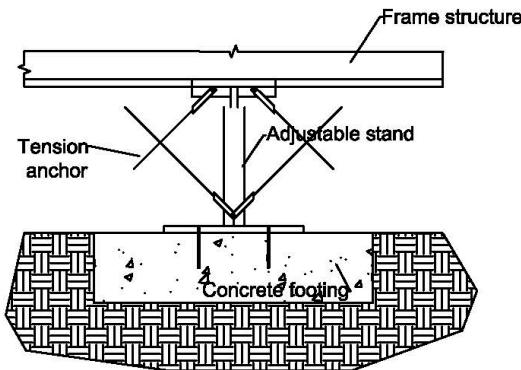
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172

Figure 6. A footing system with telescoping arm and a spade anchor deadman.

173 2.1.7. Semi-adjustable column

174 Another footing system is made up of a permanent support column that replaces blocks and
 175 anchors of houses (Figure 7). It is designed to be fastened to I-beam flanges that has an adjustable cap
 176 plate that can be positioned to a desired elevation. However, once the installation is finished, the
 177 height cannot be adjusted since the rotation of the cap plate is restricted. The forces are transferred
 178 by the footing system to the cast-in-place concrete pad, typically the surface of an isolated or a strip
 179 footing. This system has the advantage of adjusting the slab in case of differential settlement due to
 180 soil swell and shrink, differential loading conditions, uneven ground conditions and earthquakes.
 181 Also, prefabricated volumetric modules of superstructure can sit on the stumps and connected to the
 182 substructure.



183

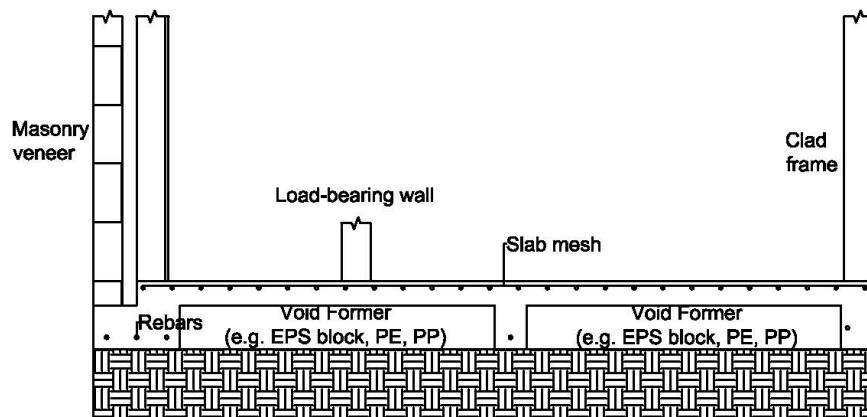
184 **Figure 7.** A permanent support column system embedded in a concrete footing with tension
185 anchors.

186 2.1.8. Waffle pod rafts

187 On-ground footings are commonly designed with permanent moulds. One of the commonly
188 used integrated formwork footing system is the waffle pod (Figure 8). Other types of on-ground
189 footings include post-tensioned waffle pods [19], or different shapes, materials and dimensions of
190 modular cardboard, Expanded Polystyrene (EPS), polypropylene (PP) or polyethylene (PE)
191 formwork [20].

192

193 The most common on-ground footing system in Australia for low-rise residential structure is the
194 waffle pod raft. It is comprised of closely spaced beams and voids created by formed voids (e.g. EPS,
195 PP, PE, card board). The spacing of internal beams is approximately 1.1 m centre-to-centre with an
196 internal beam width equal to 110 mm. The internal and edge beams vary depending on the house
197 wall system (i.e. clad frame, articulated masonry veneer, masonry veneer, articulated full masonry
198 and full masonry) and its site classification (Class A, S M, H1, H2 and E). A minimum of 300 mm
199 wide edge beams are required for full masonry and masonry veneer systems where 110 mm wide
200 edge beams are required for cladding frames and articulated masonry veneer. The internal and edge
201 beam depths range from 300 mm to 1100 mm as specified in AS 2870. Beam excavation is not
202 necessary, and the slab thickness of waffle pods is typically thinner (85 mm) than raft slabs (100 mm).
203 Waffle pods shall be laid out on a levelled surface; hence, they are only used in sites that do not have
204 significant slopes (e.g. sites requiring cut and fill). In addition, since the whole footing system is
205 resting on the ground without anchors, it is not advisable to adopt these systems in areas with high
206 cyclonic winds due to the limited resistance to uplift forces.



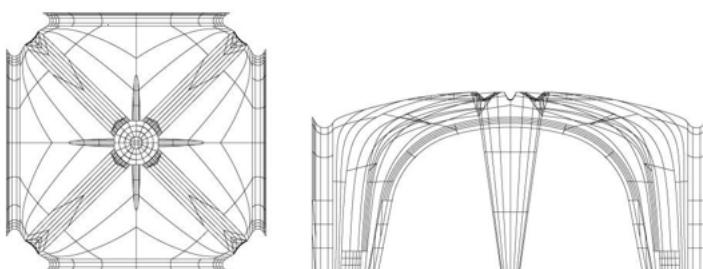
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208 **Figure 8.** A typical waffle pod system adapted from AS 2870-2011 [15].

209

210 2.1.9. On-ground permanent formwork systems

211 Many on-ground footing systems are derived from the waffle pod footing system. For instance,
 212 a two-way post-tensioned waffle pod footing system was designed to decrease the slab thickness and
 213 suffice ductility requirements [19]. Other footing systems derived from the generic waffle pod has
 214 EPS spanning across the entire floor area to provide passive insulation for houses. [21] developed
 215 modular formworks, which are laid out on the ground and then concrete is poured. Likewise, a
 216 polyethylene formwork similar to the shape of the EPS of waffle pods was developed to ease the
 217 placement of reinforcing bars, steel mesh and concrete. A dome formwork (Figure 9), on the other
 218 hand, has a mould with cone support at the middle. Each dome inter-connects, providing a
 219 supplementary damp-proofing for capillary action with impervious liners. Additional reinforced
 220 beams or piles are used for sites with reactive soils.

221 **Plan View** **Section View**222 **Figure 9.** An on-ground permanent dome formwork system.

223
 224 Shallow footing systems are typically used for houses due to their affordability. The most
 225 commonly used are the stiffened raft slab and waffle pod rafts. Despite the popularity of the stiffened
 226 raft and waffle pods, there are a significant number of emerging technologies related to shallow
 227 footings due to the need for better quality and faster construction. These innovative footings use
 228 prefabricated isolated footings or prefabricated strip footings, apply block piers, pods, anchors,
 229 bracings and chassis beams, deploy beam clamps, structural panels and deadman, and utilise
 230 integrated formwork. Nonetheless, soils are sometimes expansive or do not have sufficient bearing
 231 capacity to carry overburden pressures. Thus, deep footing systems may be an option to reduce
 232 deformation that may damage not only the footing system but also the remainder of the house.
 233

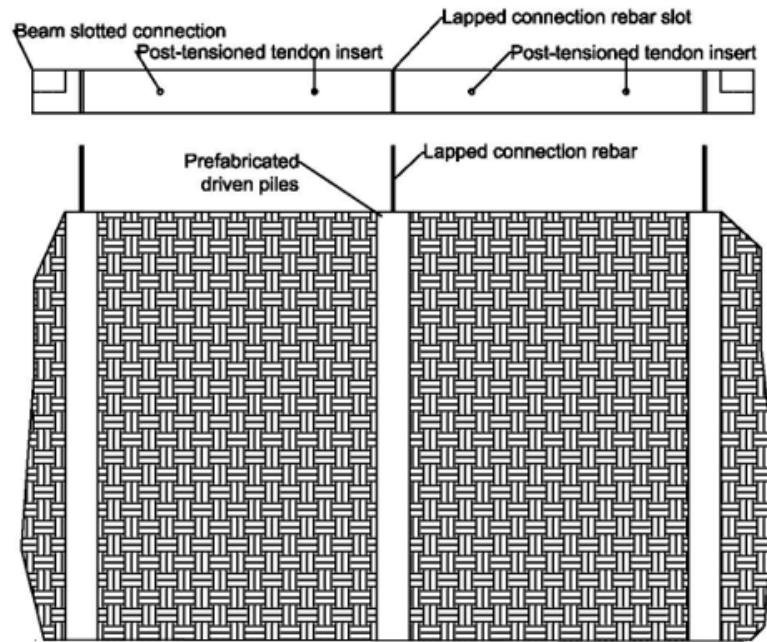
234 2.2. Deep footing systems

235 Deep footing systems can reduce the total and the differential settlement of houses by
 236 transferring applied structure loads to a deeper and stronger subsurface layer. The depth-to-width
 237 ratios of these systems are equal to or greater than 5.0. These systems cost more since more materials
 238 are needed to and cause greater site disturbance and require skilful installations using heavy or
 239 specialised handheld equipment. Deep footing systems available for houses are displacement or non-
 240 displacement piles, micropiles with a head cap, an integrated wall and footing system, and
 241 permanent pier formwork.

242 2.2.1. Prefabricated piles with modular beams

243 The first type of deep footings is the prefabricated, in-situ concrete or steel screw piles with
 244 modular beams, which is one of the most recommended systems in the market (Figure 10). This
 245 footing is suggested to have a gap underneath the slab to isolate the system and reduce deformation
 246 due to shrinking and swelling of an expansive soil underneath [22]. Piles are driven first and then
 247 prefabricated beams are connected. Most installations post-tension the prefabricated concrete beams
 248 on-site to create a rigid, homogenous footing system applicable to variety of structures. Some
 249 installations drive piles and then connect the prefabricated beams and modular blocks. The

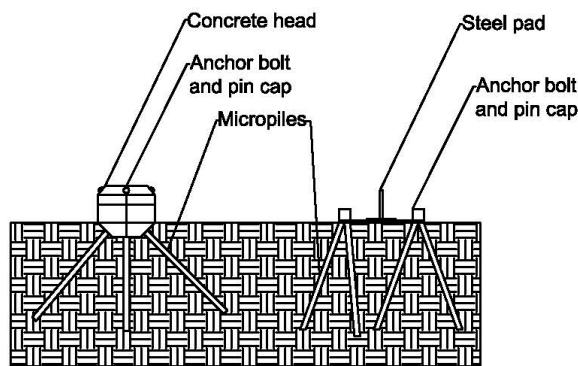
250 prefabricated beams and modular blocks have dowels for easier placement. A cement grout is then
 251 poured after placement to ensure a continuous connection and monolithic behaviour of the footing
 252 system.



253
 254 **Figure 10.** An on-ground permanent dome formwork footing system.

255 2.2.2. Micropile systems with pile caps

256 Another deep footing system is a micropile system, which is a solid pin footing that is embedded
 257 deep into the ground without digging holes or pouring concrete (Figure 11). It is comprised of precast
 258 concrete or reticulated steel head installed on the ground surface, the steel bearing micropile are
 259 driven through the head using specialised hand-held tools. However, this footing system can only
 260 carry light structures such as decks, boardwalks, trails and pedestrian bridges. Further design
 261 analysis should be performed for housing application. It may also be challenging to drive into hard
 262 soil strata, which may cause initial deflection due to installation. Another variation of the micropile
 263 system uses multi-directional pin caps and piles, which provide support to the superstructure by
 264 resisting vertical loads including uplift, shear and moment loads.

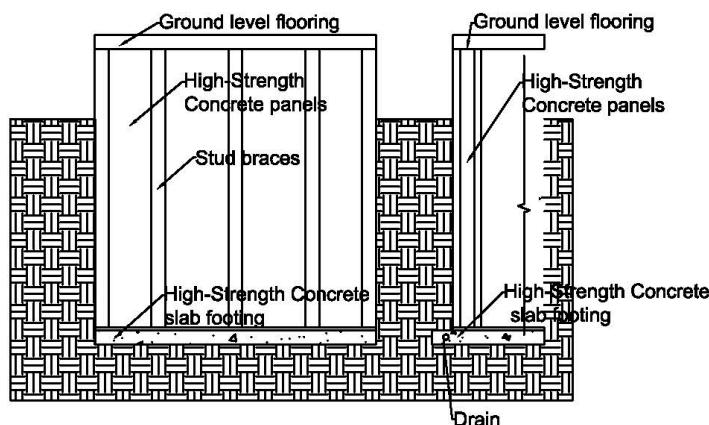


265
 266 **Figure 11.** Micropiles with concrete head and anchor bolt and a reticulated micropiles with steel
 267 pad.

268 2.2.3. Integrated wall and footing system

269 An alternative deep footing system is an integrated wall and footing system, which also serves
 270 as a basement. The integrated wall and footing system is constructed off-site using a high-strength,
 271 low-water concrete with no additional damp-proofing required. The foundation wall is

272 monolithically poured for a solid structure with steel reinforcements and polypropylene fibres
 273 (Figure 12). [5] also developed a similar footing system that is efficiently constructed in harsh
 274 climates. This footing system also reduces the active depth of expansive soils prone to moisture
 275 changes by excavating the ground for a basement. Nevertheless, it is prone to lateral pore water
 276 pressure that necessitates the installation of drain pipes to reduce the moisture and stress experienced
 277 by the walls.

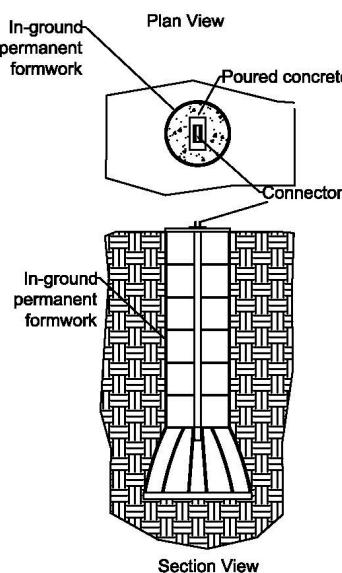


278

279 **Figure 12.** Prefabricated basement foundation using an integrated wall and footing system
 280 installed in an excavation [5].

281 2.2.4. Permanent pier formwork

282 An integrated formwork footing system can also be used for houses. Permanent formwork was
 283 developed to easily pour concrete into the moulds and to control the quality of footings. The form is
 284 installed with body snaps together with no additional specialised tools required. However, manual
 285 labour is needed for excavating the soil. The first type of integrated formwork is the permanent
 286 formwork for pier footings. Custom-fabricated vertical and horizontal rebars can also be placed using
 287 the rebar holder in- side the form (Figure 13). The integrated rebar holder reduces the amount of
 288 concrete needed and properly locates and holds rebars. However, since this formwork only has one
 289 available dimension, there will be limited options that may cause an inefficient design for a set of
 290 footings of a prefabricated house.



291

292 **Figure 13.** A permanent pier formwork with cast-in-place concrete.

293 Deep footing systems are effective on reducing deformation experienced by a house due to a
 294 stiffer and more stable support installed through deeper soils with less soil moisture variability. The

295 types of deep footings are driven piles with interlocking beams, micropiles with cap head and
296 integrated wall and footing systems as basements. Although structurally robust, deep footings are
297 typically more expensive relative to shallow footing due to a greater quantity of materials and labour
298 required, as specialised equipment needed for construction.
299

300 **3. Prefabricated footing design**

301 A design of an ideal prefabricated footing solution based on the reviewed innovative footing
302 systems is presented in this section considering the applications for low-rise and light-weight
303 residential structures classified as single dwelling house, townhouse, or similar structure (Class 1 and
304 Class 10a,[14,23] while considering the reactive soil conditions. To develop an ideal design,
305 consideration of factors including structural design, manufacturing design, handling and
306 transportation of goods, assembly process and system sustainability, which affect the integrity of the
307 footing system, total cost and construction lead time [13]. The factors considered in designing an ideal
308 prefabricated footing system are discussed in this section.
309

310 *3.1. Structural design requirements*

311 An ideal design of a prefabricated footing solution should have mechanism to adapt with
312 different site classifications (Table 1, AS 2870-2011), specifically sites with reactive soils. These soils
313 have high potential to change their volume depending on the presence and characteristics of clay
314 particles and soil moisture, shrinking with soil moisture decrease and swelling with soil moisture
315 increase. The interaction between soils and footing system is affected by weather factors (i.e. soil
316 suction change), soil factors (i.e. soil modulus, shrink-swell index, hydraulic conductivity), active
317 depth zone where ground movement extends [24] and loading factors [25,25,26]. The approaches to
318 prevent substantial amount of damage [27], not only to footing systems but also for the
319 superstructure elements of houses (i.e. walls, ceilings, frames, slabs designed base on [28–30], are
320 either (1) to stiffen the footing systems or (2) to isolate the superstructure.

321 Stiffening of footing systems are implemented to resist soil movement [22], which is effective to
322 site classes A, S and M. With site classes having highly reactive soils. Most stiffened footing systems
323 used for residential applications are stiffened rafts (2.1.1), braced masonry with metal straps (2.1.3),
324 structural panel wall support (2.1.5) and integrated wall and footing system (2.2.3). Stiffening of
325 footing systems can be costly to prevent severe cracking. For instance, stiffened rafts have deeper
326 beam depths and thicker slab compared to waffle pod rafts to resist the shrink-swell ground
327 movement [15]. Likewise, braced masonry with metal straps is stiffened using the distribution of
328 loads through tension. However, redundant metal straps are used to over-engineer and prevent
329 failure of this system. On the other hand, structural panel wall support is stiffened using a composite
330 concrete wall covered with corrugated metal and integrated wall and footing system is stiffened
331 using High-Strength Concrete (HSC) with stud patterns. These two systems are effective in reducing
332 the shrink-swell ground movement through reducing the active depth zone by excavation [5].
333 However, well-planned drainage should be installed. Most stiffened footing systems discussed are
334 labour and material intensive, which affect the cost-efficiency [31,32]. If stiffening of the footing
335 system of a house is not cost-efficient, isolation of the superstructure can be considered.

336 Isolation of the superstructure is achieved by installing a system with minimum contact area
337 between footings and founding ground. Isolated footing system can either be embedded shallowly
338 or deeply into the ground. Shallow isolated footing systems are piers, pads and ground anchor
339 system (2.1.2), piers and beam clamps (2.1.4), telescoping arm with deadman (2.1.6), semi-adjustable
340 columns (2.1.7), waffle pod raft (2.1.8) and on-ground permanent formwork systems (2.1.9). These
341 footing systems are mostly comprised of piers and pads acting as stumps for isolation with anchors
342 (i.e. ground anchors, beam clamps and deadman) to prevent overturning. Some of these footing
343 systems use formwork to avoid soil-structure interaction (e.g. waffle pod raft and on-ground
344 permanent formwork systems). Isolated footings are recommended to stable ground since when

345 these are installed in reactive sites, there will be insufficient support embedded in the ground and
346 insufficient stiffness to resist ground movements leading to possible structural damage. Deep isolated
347 footing systems are prefabricated piles with modular beams (2.2.1), micropile systems (2.2.2) and
348 permanent pier formwork (2.2.3). These systems extend through the stable part of a soil profile to
349 anchor a residential structure. However, extending the footing system to the inactive depth zone is
350 costly but effective to prevent damage due to the shrink-swell ground movement [22].

351 In summary, the ideal structural design of a prefabricated footing is aptly developing a system
352 with structural integrity yet economical. From the review of different footing systems, isolation of
353 residential structures to reduce the soil-structure interaction are commonly used. [15–17]. An ideal
354 prefabricated solution would be a deep isolated footing system, which has sufficient anchorage
355 protruding into a soil profile. This ideal prefabricated solution shall have competitive cost and can
356 be rapidly constructed on-site without any special requirements for installation (e.g. equipment,
357 levelling, curing).

358

359 *3.2. Manufacturing requirements*

360 Balancing the structural integrity and cost of a system is challenging to achieve to develop an
361 ideal prefabricated solution. A possible key to achieve this goal is to apply optimised manufacturing,
362 a systematic method to minimise material usage and waste disposal [33,34]. Optimised
363 manufacturing does not only reduce the cost through material and waste reduction, this also
364 enhances the end product and process efficiencies [13,35]. To maximise the benefit given by a
365 optimised prefabricated footing system, it is important that the manufacturing processes are
366 considered thoroughly from the design outset. Based from past studies, it is well proven that the
367 optimised philosophy enable successful results with design, manufacturing and assembly
368 considerations [34]. The philosophy of thinking optimisation permits manufacturing in controlled
369 factory conditions, leading to a more efficient and safe construction of prefabricated footings
370 assembled on-site [36].

371 Another important consideration for an ideal prefabricated solution is using dimensional
372 coordination. Dimensional coordination is a manufacturing tool to organise different elements
373 independently to be connected and integrated as a whole [37,38]. This method does not only improve
374 the assembly of a structure considering strict tolerance, this also improves the flexibility of material
375 usage and practicality of design [39]. Modular coordination is defined as the definitive goal of
376 dimensional coordination, which will help to industrialise footing systems through prefabrication
377 [40]. Modular coordination, together with optimised manufacturing, permits advantageous usage of
378 materials, hence, reducing material and total cost.

379

380 *3.3. Handling and transportation requirements*

381 An ideal prefabricated footing solution shall be safe and easy to handle [41–43] and to transport
382 [44–46]. Handling and transportation are areas in particular where prefabricated footing systems
383 introduce novel considerations [47]. These considerations include lifting of prefabricated elements
384 [48], packaging [49], transportation load restraints [50], safe containers [51] and proper
385 documentation. The constraint in transportation due to the vehicle size may also limit the dimension
386 of prefabricated footing systems. Furthermore, weight restrictions and site access for cranes should
387 be taken into account [13].

388

389 *3.4. Assembly requirements*

390 The main challenges of a prefabricated solution are (1) to have a rapid installation on-site
391 without any labour-intensive process and (2) to have proper tolerance for ease of installation. On-site
392 rapid installation of prefabricated solutions should comply with safety work guidelines [52–54],

393 neglect non-essential earthwork (e.g. levelling) [55] and disregard unnecessary temporary structures
394 (e.g. formwork) [56]. Although labour is much less intensive for prefabricated footing solutions,
395 specialised skills for assembly are required [57]. For ease of installation during the assembly stage,
396 tolerance should be well-considered in the design outset and manufacturing [58]. The superstructure
397 shall be positioned ensuring that the alignment of actual connection locations of the footing system
398 are within acceptable tolerance [59]. The assembly of joints and connections shall also have strict
399 tolerance and must fit aptly with the elements being connected [60,61]. Furthermore, it is advisable
400 for an ideal prefabricated footing system can be reused without compromising the structural integrity
401 of an entire residential structure [62]. A suggested conceptual design considering design for
402 manufacturing and assembly is presented in the succeeding section.
403

404 3.5. Summary and conceptual designs

405 Developing ideal prefabricated footing systems shall consider structural design, manufacturing,
406 handling, transportation and assembly. Ideal prefabricated solutions would be a deep isolated
407 footing system with sufficient anchorage into a soil profile or a partially suspended footing system
408 on-ground. These ideal prefabricated solutions shall have competitive cost and can be rapidly
409 constructed on-site without any special requirements for installation (e.g. equipment or curing). To
410 balance the structural integrity and cost, without over-engineering, the philosophy of optimised
411 manufacturing and modular coordination shall be applied. Furthermore, this ideal prefabricated
412 footing system shall be safe and easy to handle and transport, which can be assembled rapidly on-
413 site with minimum labour requirements and proper tolerance.

414 The prefabricated footing system will seek to minimise site disturbance through off-site
415 manufacturing of elements. This solution will also minimise on-site assembly requirements, which
416 will expedite construction, through an easy-to-install micropiles, soil screws or ground anchors.
417 Furthermore, an adjustable connection between micropiles, soil screws or ground anchors and I-
418 beams, prefabricated reinforced beams or timber beams disregard the necessity for earthwork (i.e.
419 ground levelling, cut and fill). A prefabricated reinforced slab or a timber deck will be suspended to
420 isolate the residential structure, reducing the soil-structure interaction and probable structural
421 damage (i.e. slab, wall and ceiling cracks). This system is suggested to be structurally robust made
422 using light-weight materials aptly fitting each other with strict tolerance. However, cost can be an
423 issue if structural elements are suspended on piers due to higher stiffness element requirements.

424 Partially-suspended prefabricated structural elements on levelled ground may be more
425 economical than prefabricated isolated footing systems, specifically for stable to moderately reactive
426 sites. However, concrete piles or screw piles may be required for highly reactive sites. Thus, the
427 system requirements practicality varies depending on the soil condition of a site.
428

429 4. Advantages of prefabricated footing solutions

430 The benefits of using innovative and prefabricated footings shall be recognised to know their
431 feasibility to be prevalent in the future construction practices. The advantages of prefabricated
432 systems depend on the building type and quantity for installation affecting the design viability,
433 construction speed and footing cost. Furthermore, additional benefits are better material quality
434 control, fewer risks and a more sustainable construction method. This section provides a critical
435 review of the benefits of innovative and prefabricated footings based on studies related to this topic
436 and further discuss the potential footing systems which prefabrication can be incorporated.

437 If the building type of the structure to be built is a low-rise lightweight and a large concrete
438 volume is to be installed, prefabricated footings will be an advantageous choice. Prefabricated
439 footings are more practical if implemented with low-rise lightweight structures such as prefabricated
440 houses, this application is more technically feasible compared to tall and heavy buildings due to
441 lower loads involved [63]. The quantity being installed also plays an important role to achieve
442 significant savings [64]. Prefabricated footings in residential schemes is a suitable alternative for

443 large-scale construction since handling and transportation costs are important considerations, which
444 are reduced when installed in large volumes [65]. When dealing with a large-scale project, the lead
445 time of footing installation may be reduced since the components are efficiently procured and readily
446 assembled on-site [64]. This in turn allows onsite work to commence on the superstructure sooner.

447 With the use of innovative and prefabricated footings, the construction period may be
448 considerably reduced. The lead time for formwork installation, concrete curing and formwork
449 decommissioning in constructing traditional cast-in-place residential footings are eliminated [5,18].
450 The delays due to inclement weather will also be prevented through effective planning and efficient
451 procurement [63]. In addition, the delays due to different material delivery schedules are not
452 experienced since the components of prefabricated footings are delivered altogether and the only on-
453 site process needing to take place is assembly. Furthermore, reduction of the construction period also
454 reduces the disturbance of the construction work on the surrounding environment and decreases
455 unexpected expenses [66]. Conventional construction period of houses is around seven to twelve
456 months where footing system construction may not have much significance. However, for
457 prefabricated residential structures, the construction period is significantly shorter than the
458 conventional way of constructing houses, hence, prefabricated footing system is more suitable for a
459 faster construction lead time.

460 The price of prefabrication is easier to control since it is fixed and has lesser unexpected costs
461 [66]. Furthermore, if prefabricated footings are industrialised, substantial savings can further
462 decrease the direct cost due to large-scale production without compromising their quality [63].

463 Prefabrication usually lead to a quality-controlled construction. The materials being used are
464 commonly of better quality, the staff are well-trained and specialised, and the quality of prefabricated
465 products and processes are consistently supervised and checked [67]. The manufacturing process has
466 lesser possibilities for human error compared to in-situ construction. Thus, the quality of
467 prefabricated products offers lesser uncertainty in assembly and footing price due to fewer incidents
468 and more durable prefabricated components [66].

469 Prefabricated footing construction will provide better working conditions reducing accident
470 risks and more stable environment [11]. There are also fewer subcontractors involved that simplifies
471 management, conflicts and delays [66]. The scope of work is more consistent in prefabrication and
472 assembly unlike in conventional construction where there are seasonal fluctuations in labour
473 depending on the stage of the construction [63].

474 Innovative and prefabricated footing systems may avoid over-dimensioning and promote
475 reusing and recycling, leading to a more sustainable option. Most prefabricated components applied
476 value engineering to reduce material wastage preventing over-dimensioning, which reduces the
477 amount of resources and energy used [11]. Furthermore, most prefabricated systems are
478 manufactured based on optimised design and production, which reduces carbon emissions to the
479 atmosphere [64]. Most prefabricated systems might also be dismantled instead of demolishing the
480 whole footing due to its modular design, encouraging the reuse and recycle of the modules [11]. Some
481 prefabricated footings may also be constructed using recycled materials and some parts such as void
482 formers can be reused, which reduces the carbon footprint, cost and resource requirements of the
483 systems [18,68].

484 The aforementioned advantages of innovative and prefabricated footing systems will help solve
485 the issues of housing affordability and shortage. A shorter construction lead time will increase the
486 number of house completion having better quality and lower unexpected costs compared to some
487 traditional cast-in-place footing systems, which also does not rely on skilled labour shortage.
488 Furthermore, prefabricated footing systems saves a significant amount of time since these can be
489 installed immediately after being delivered on site, removing the need for curing period. In summary,
490 the advantages of constructing innovative and prefabricated footings are reduced construction
491 period, controlled material and labour costs, improved quality and increased sustainability. The
492 feasibility of industrialised prefabricated footings will further be discussed in the next section by
493 tackling the challenges that may be encountered in designing and constructing novel and
494 prefabricated components.

495

496 **5. Challenges in industrialising prefabricated footings**

497 Prefabrication of footing systems have positive impacts on the Australian residential
498 construction industry. However, product design studies and industry applications of novel footing
499 systems are lacking not only in Australia but also globally. Footings are still constructed using the
500 conventional cast-in-place method due to challenges being encountered in industrialising
501 prefabricated footing systems. The major challenges include industry scepticism, capital or initial
502 investments, technological limitations, procurement limitations, reactive soil conditions and
503 optimized panel design and connection.

504 The knowledge and training of the construction industry is still bound by tradition and its
505 scepticism has been affecting the gradual progress of prefabricated footings [18], which is evident in
506 the present Australian context. The majority of prefabricated houses are presently being built on
507 conventional footings, this reflects the wide development gap between the superstructure and the
508 substructure of the prefabricated construction industry. Practitioners do not trust the
509 industrialisation of prefabricated houses to the extent of constructing prefabricated footings that act
510 as the main support of a house [69]. This scepticism of companies is due to greater risks and
511 considerable liabilities that may arise if novel methods fail. Therefore, companies tend to use
512 conventional methods with tested solutions preventing more investments due to research and
513 development, equipment cost and organisational expenditure [63]. A design practice standard for
514 designing and constructing prefabricated footing systems for houses is still not available due to
515 challenging performance monitoring of modular houses and their complex connections.

516 The government regulations and client initiatives also play their roles in the gradual progress of
517 prefabricated footings. The government only has regulations for familiar solutions [11]. Furthermore,
518 clients see their houses as long-term investments and they are risk-averse to trying novel and
519 innovative solutions. Clients, builders and investors prefer materials and construction solutions that
520 have a proven track record. Furthermore, the direct cost of prefabricated footings has been reported
521 to be higher than that of the conventional cast-in-place footings by 5% to 30% due to material,
522 manufacturing, and transport costs [5,11]. Footing systems are possibly the most important structural
523 part of most buildings but a substructure with more affordable direct cost is more preferable by
524 clients than a costly, convenient and sustainable one [63]. Hence, the long period needed in
525 monitoring durability and the relative high cost of a prefabricated footing is hindering innovation
526 and industrialisation.

527 Design challenges such as specificity and coordination are some issues considered in developing
528 modular prefabricated footings. Footings are usually designed depending on the geometry and
529 structural configuration of the superstructure of the house and the site classification. Footing designs
530 are often made specifically for unique combinations of loads, soil classification and climate zone,
531 which may be challenging to create a repetitive modular design that will be applicable to most
532 situations [21]. Furthermore, dimensional variety is inevitable due to the differences of the magnitude
533 of loads along the structural spans [69].

534 The design of modular footing systems shall consider transport and procurement. Prefabricated
535 footings shall be handled carefully and delivered in a pristine condition. Logistically, prefabricated
536 footings are potentially more challenging to transport. Hence, it is advisable that it has a stackable
537 design to optimise the space in a factory and a delivery truck. In addition, the transportation is costly
538 and the economical delivery radius from the factory may vary depending on the location and region
539 [5]

540 Prefabricated footings are constructed on-site by assembling the delivered parts from a factory.
541 To obtain an effective assembly, the connection between the substructure and superstructure should
542 have a panelised joint connection that reduces wall and slab cracks due to ground movements. Joint
543 connections are the most critical part specifically when the structure is subjected to dynamic loads,
544 which may limit the use of prefabricated footings in areas prone to ground movements and cyclic
545 soil swelling and shrinking [21].

546

547 **6. Conclusions and recommendations**

548 The consistently strong Australian economy and stable population growth have led to a higher
549 demand for residential structures. The full potential of prefabricated construction cannot be achieved
550 without addressing opportunities to prefabricate the substructure. Prefabrication of footing systems
551 has the potential to significantly improve construction quality, construction time and sustainability.
552 This may also reduce construction delays, labour shortages and unexpected expenses. Prefabrication
553 also provide opportunities to employees from automotive industry to transfer their manufacturing
554 and assembly knowledge to the prefabricated housing industry. Thus, this paper presented the
555 existing innovative footings available for prefabricated houses and reviewed the advantages and
556 challenges of constructing and industrialising innovative prefabricated footing solutions.

557 The type of footing to be used in a site depends on different factors. Important factors to be
558 considered are the susceptibility of a site to ground movements due to shrinking and swelling of
559 soils, the budget allocated for the footing system and the time necessary to complete the structure.
560 The effectiveness of shallow footings and deep footings depends on these three main considerations.
561 Clients usually settle for a footing system with a lower direct cost rather than choosing a costly, faster
562 and more sustainable option since both can adequately support a house.

563 Innovative and prefabricated footing systems offer a faster construction, which will increase the
564 number of house completion having better quality and lower unexpected costs compared to a
565 traditional cast-in-place footing system. However, there are still challenges needed to be solved. The
566 primary challenges in industrialising prefabricated footing systems include the scepticism of the
567 construction industry, government and end clients due to higher financial and safety risks associated
568 with novel design and construction. Furthermore, a more reliable and durable design of a footing
569 system that responds to the aforementioned design challenges and procurement limitations may not
570 have been invented yet. These reasons hinder the progress of innovative footing system industry for
571 prefabricated houses. A general conceptual design that can be assembled within a day is suggested
572 in this review, which considers the structural design, manufacturing, handling, transporting and
573 assembly minimising site disturbance and on-site assembly requirements, whilst remaining cost-
574 competitive with existing footings available in the current market.

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585

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