

1 **Review:**

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3 **Fungal Resistance to Echinocandins and the MDR Phenomenon in**  
4 ***Candida glabrata***

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14 **Abstract:** *Candida glabrata* has thoroughly adapted to successfully colonize human mucosal  
15 membranes and survive *in vivo* pressures prior to and during antifungal treatment. Out of all the  
16 medically relevant *Candida* species, *C. glabrata* has emerged as a leading cause of azole,  
17 echinocandin, and multidrug (MDR: azole + echinocandin) adaptive resistance. Neither  
18 mechanism of resistance is intrinsic to *C. glabrata*, since stable genetic resistance depends on  
19 mutation of drug target genes, *FKS1* and *FKS2* (echinocandin resistance), and a transcription  
20 factor, *PDR1*, which controls expression of major drug transporters, such as *CDR1* (azole  
21 resistance). However, another hallmark of *C. glabrata* is the ability to withstand drug pressure  
22 both *in vitro* and *in vivo* prior to stable 'genetic escape'. Additionally, these resistance events can  
23 arise within individual patients, which underscores the importance of understanding how this  
24 fungus is adapting to its environment and to drug exposure *in vivo*. Here, we explore the evolution  
25 of echinocandin resistance as a multistep model that includes general cell stress, drug adaptation  
26 (tolerance), and genetic escape. The extensive genetic diversity reported in *C. glabrata* will be  
27 highlighted.

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30 **Epidemiology and mechanisms of resistance**

31  
32 Invasive fungal infections are a major cause of global morbidity and mortality, accounting  
33 for nearly 1.4 million deaths a year ([www.gaffi.org](http://www.gaffi.org)) [1]. Fungal populations colonize the human  
34 host at multiple body sites and represent the majority of eukaryotes in the human gut microbiome,  
35 with most organisms having a potential to act as opportunistic pathogens during  
36 immunosuppression or when natural barriers are disrupted [2]. Bloodstream fungal infections,  
37 largely caused by yeasts of the *Candida* genus, are associated with high mortality rates (45-75%)  
38 and pose a serious threat to immunocompromised individuals, including cancer and AIDS  
39 patients, organ transplant recipients, and premature infants. The increasing burden of fungal  
40 infections has led to a rise in the use of antifungal agents for their treatment and prevention.  
41 Unfortunately, treatment options for invasive fungal infections are extremely limited, as there are  
42 few antifungal drug classes. For decades, the azole antifungals (e.g. fluconazole), which are  
43 fungistatic drugs targeting membrane sterol biosynthesis, were used as primary  
44 prophylaxis/therapy to prevent/treat *Candida* infections, with *C. albicans* as the predominant  
45 infecting species. But epidemiological shifts in infecting organisms toward non-*C. albicans*  
46 *Candida* species, which are inherently azole resistant (e.g. *C. krusei*) or rapidly acquire resistance  
47 (e.g. *C. glabrata*), has led to the widespread use of echinocandin antifungal drugs.

48 In most clinical settings, *C. albicans* is the predominant bloodstream pathogen. Yet, the  
49 prevalence of *C. glabrata* infections has been rising for several decades and, at 18-25% of

50 *Candida* isolates, it is the second most common *Candida* bloodstream infection in North America.  
51 In some settings, such as patients with hematological malignancies, it is the principal bloodstream  
52 fungal pathogen [3]. Due to the widespread use of azole antifungals for prophylaxis/therapy,  
53 global azole resistance among *C. glabrata* isolates is around 8% [4], while some centers have  
54 rates exceeding 20% [5]. Echinocandin therapy is highly efficacious, but emerging echinocandin  
55 drug resistance is a growing threat to successful clinical management. Among *C. albicans* and  
56 other *Candida* species, the frequency of echinocandin resistance remains relatively low (1-3%)  
57 [6, 7], but this is not true for *C. glabrata*, where resistance is more severe and often presents as  
58 multidrug (MDR) resistance [8, 9]. While echinocandin resistance among *C. glabrata* isolates  
59 ranges from 3-5% in population-based studies [10], some centers report rates of 10-15% [3, 11].  
60 Strains with MDR phenotypes (azole and echinocandin, and sometime polyene resistance) are  
61 increasingly encountered with some centers. Nearly one-third of echinocandin resistant isolates  
62 are also resistant to azoles [12].

63 While multiple mechanisms of azole resistance have been reported for *Candida* species  
64 [13], the overwhelming singular mechanism of resistance identified in clinical isolates of *C.*  
65 *glabrata* is mutation of the transcription factor *PDR1*, which leads to increased expression of  
66 multidrug transporters that act as efflux pumps [14, 15]. Unlike azoles, multidrug transporters do  
67 not play a role in echinocandin resistance, as echinocandins are not substrates for transport [16].  
68 As such, echinocandins are fully active against azole resistant *Candida* [17].

69 The echinocandin drugs (caspofungin, micafungin and anidulafungin), which were first  
70 approved for clinical use in 2001, target and inhibit the membrane-associated (and fungal specific)  
71  $\beta$ -1-3-d-glucan synthase and block the biosynthesis of  $\beta$ -1,3 glucan, a major structural component  
72 of the fungal cell wall. They are broadly active against *Candida* species, in which they are  
73 considered fungicidal (more on this later). The enzyme complex consists of a structural/catalytic  
74 subunit encoded by *FKS* genes; and its activity is regulated by Rho, a GTP-binding protein [18].  
75 Clinical resistance involves modification of the Fks subunits [19]. In *C. glabrata*, two functionally  
76 redundant genes, *FKS1* and *FKS2*, encode glucan synthase catalytic subunits [20]. In most  
77 *Candida* spp. mutations occur in two highly conserved "hot-spot" regions of *FKS1* and, in *C.*  
78 *glabrata*, *FKS2*. Resistance-conferring amino acid substitutions induce elevated MIC values [21]  
79 and the most prominent mutations can reduce the sensitivity of glucan synthase ( $IC_{50}$ ) to drug by  
80 >3000 fold [22]. In the 16 years following FDA approval of caspofungin, *fks* mutations are still the  
81 only mechanism associated with clinical failures [10, 23]. Given a long clinical history of safe and  
82 efficacious therapy, echinocandins are now the IDSA recommended preferred antifungal agent  
83 for treatment of candidiasis among high-risk patient populations [24].

84 Echinocandin resistance always arises during therapy and is associated with repeated or  
85 chronic drug exposure, although resistance can also follow brief drug exposure [25]. Thus, *C.*  
86 *glabrata* has an elevated potential relative to other *Candida* spp. to develop echinocandin  
87 resistance, for reasons that are currently not understood. The global resistance problem is  
88 expected to grow more severe as expanding numbers of patients are exposed to antifungal  
89 prophylaxis and echinocandin drugs like caspofungin are now generic. Given the importance of  
90 this drug class as a first-line agent, there is an urgent need to better understand factors that  
91 contribute to and limit emergence of echinocandin resistance among patients with *C. glabrata*  
92 infections.

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## 95 **Evolution of echinocandin resistance**

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97 Clinical antifungal treatment failure is most often a combination of microbial factors, host  
98 factors, drug pharmacokinetics/pharmacodynamics, and drug distribution at the site of infections.  
99 All of these factors contribute to therapeutic efficacy and resistance development, although this  
100 review will primarily focus on microbial genetic factors contributing to echinocandin resistance.

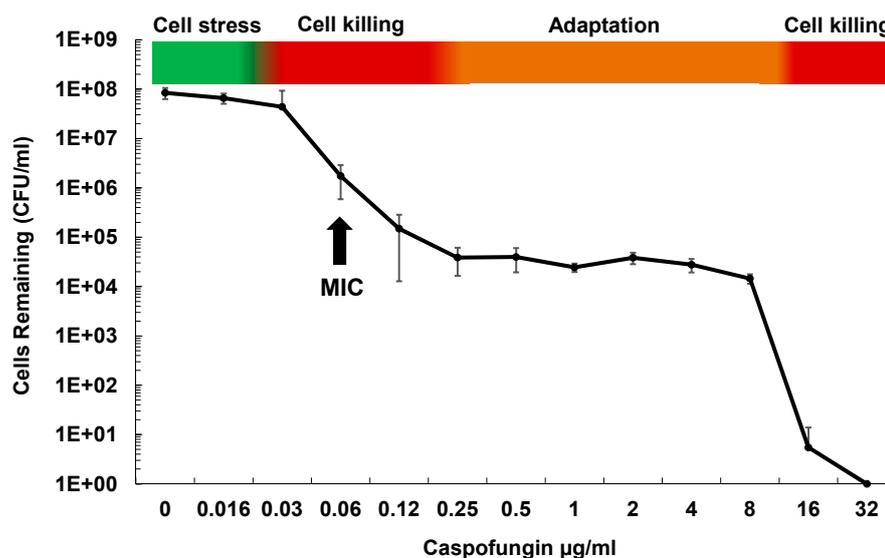
101 While the terminal step of echinocandin resistance (*FKS* mutation) has been well defined,  
 102 mechanisms used by *Candida* to survive as both a commensal and an opportunistic pathogen  
 103 within a harsh environment consisting of bacterial microbiota and host immune factors are less  
 104 well characterized. All colonizing strains of *Candida* employ mechanisms of adaptation, but *C.*  
 105 *glabrata* has a prominent ability to adapt and survive antifungal pressure *in vivo* resulting in drug  
 106 resistance. The emerging pathogen *C. auris* is a considerable public health concern following  
 107 reports of elevated rates of antifungal resistance and horizontal transmission within healthcare  
 108 centers [26]. Conversely, like other *Candida* species, transmission of *C. glabrata* between patients  
 109 has rarely been reported, suggesting independent development of antifungal resistance within  
 110 most patients. Unlike *C. albicans*, *C. glabrata* does not normally form hyphae or secrete hydrolytic  
 111 enzymes, and therefore, elicits a lesser immune response [27]. Despite this apparent lack of  
 112 virulence factors, *C. glabrata* can robustly replicate and disseminate upon host  
 113 immunosuppression. The following sections will explore factors (e.g. genome instability) that allow  
 114 *C. glabrata* to adapt to its environment and develop antifungal resistance at higher rates than  
 115 other species.

116

117 **Drug adaptation is a key intermediate leading to echinocandin resistance.** Although  
 118 echinocandins are considered fungicidal drugs in *Candida* species, careful examination of their  
 119 effect on *C. glabrata* both *in vitro* and *in vivo* shows that while the vast majority of cells die upon  
 120 echinocandin exposure, roughly one in  $10^{4-5}$  of cells survive and demonstrate “drug adaptation”  
 121 over a wide range of drug exposures (**Figure 1**). Similarly in an *in vivo* infection, echinocandin  
 122 tolerance is manifested as a decline in target organ fungal burdens (e.g. from  $10^9$  to  $10^4$  cells),  
 123 but not true sterilization, as fungal stasis is achieved (i.e. no net change cell counts) [28]. Cells  
 124 that survive echinocandin action (without forming *FKS* mutations) are defined as drug tolerant (or  
 125 adapted), as they are fully sensitive to drug when re-cultured. They may display higher MIC values  
 126 but respond to drug in pharmacodynamic models [29]. Ultimately, such adapted cells can persist  
 127 long enough to give rise to *FKS* mutants, which escape drug action and result in clinical failure  
 128 (**Figure 2**). Despite this key role of drug adaptation in development of drug resistance, the factors  
 129 underlying echinocandin adaptation in *C. glabrata* have not been well defined, particularly *in vivo*.

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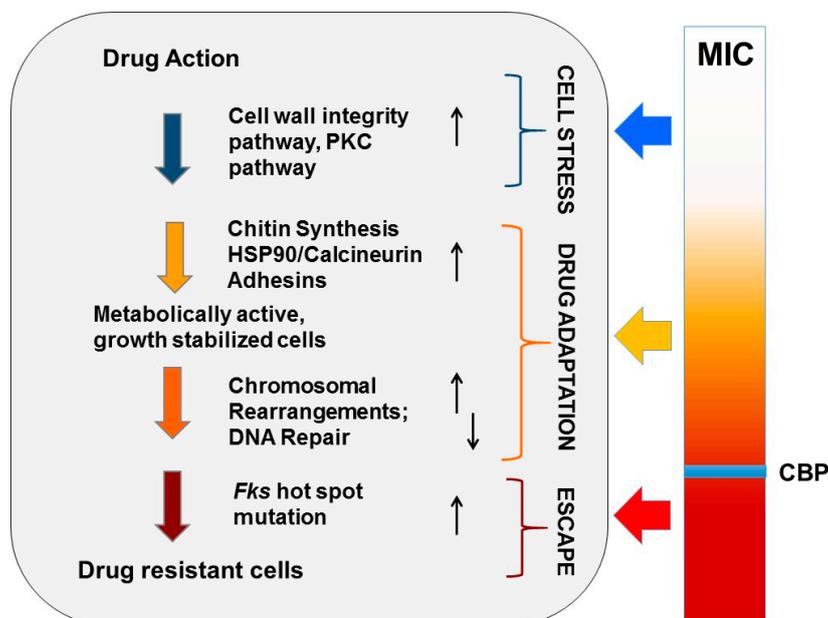
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134 **Figure 1. Phases of *in vitro* cell killing and adaptation with echinocandins and *Candida glabrata*.**  
 135 Cells ( $1 \times 10^7$ ) of *C. glabrata* ATCC 2001 were grown in RPMI medium containing caspofungin at the

136 indicated concentrations for 20 hours. Dilutions were then plated onto drug free agar-containing plates to  
 137 determine surviving cell counts. Shown is the average of 4 independent experiments  $\pm$  standard deviations.  
 138 The minimum inhibitory concentration (MIC) is indicated for reference.

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**Figure 2. Evolution of echinocandin resistance.** Cellular factors that influence the ability of yeast to adapt to echinocandin drug pressure are represented in a multistep model of resistance. Steps include initial cellular stress, drug adaptation, and genetic escape (*FKS* mutation). The clinical breakpoint (CBP) of a species is the MIC measured prior to the formation of *FKS* escape mutants.

151 One factor that may aid *C. glabrata* in echinocandin adaptation is poor drug penetration  
 152 into sites of colonization or infection. The echinocandins are intravenously administered drugs  
 153 that appear to distribute weakly in the GI tract [30]. Some echinocandins, like micafungin,  
 154 penetrate intraabdominal abscesses of murine models at considerably lower concentrations than  
 155 what is measured in the blood [31]. Following echinocandin treatment, fungal clearance may be  
 156 observed in the bloodstream, although cells located at sites of colonization or deep tissue infection  
 157 have been exposed to lower levels of drug, resulting in a potential reservoir of *FKS* mediated  
 158 resistance. Subsequent or repeated treatment with an echinocandin can lead to rapid  
 159 breakthrough [32]. This clinical scenario has been modeled in mice as increasing the  
 160 concentration of caspofungin to 4x the humanized dose increased the frequency of *FKS* mutants  
 161 formed within the GI tract in a model of colonization [30]. Increasing drug levels may be part of  
 162 the solution, as the new echinocandin rezafungin (formerly CD101; Cidara, San Diego, CA) can  
 163 be administered safely at a considerably higher level, which does result in increased efficacy and  
 164 reduced burden/sterilization at the site of intraabdominal abscesses [31]. Ultimately, a balance  
 165 between drug concentration and mutant prevention would be best, and targeting drug adaptation  
 166 mechanisms (see below) in combination with an echinocandin may prove beneficial. These are  
 167 questions researchers should consider when studying echinocandin adaptation and resistance.

168

169 **Cellular drivers of echinocandin adaptation.** Stress tolerance, including antifungal drug  
170 tolerance, has been attributed to the activation of multiple stress response pathways within the  
171 yeast cell, including the cell wall integrity pathway/Protein Kinase C (PKC)/mitogen activated  
172 protein kinase (MAPK) cascade signaling, Hsp90-dependent calcium/calcineurin signaling, high  
173 osmolarity glycerol (HOG) signaling, and the cyclic AMP/Protein Kinase A (PKA) signaling  
174 pathway [13]. While these responses have been extensively studied in the model fungus *S.*  
175 *cerevisiae* [33], to which *C. glabrata* is closely related, *C. glabrata*, unlike *S. cerevisiae*, has  
176 evolved to survive within the human host. Thus, stress tolerance pathways in *C. glabrata* likely  
177 have key differences from those in *S. cerevisiae* to reflect the very different challenges of their  
178 environments, and should be validated in animal models of colonization and infection. In general,  
179 these stress response pathways seem to be involved in the response to multiple antifungal  
180 classes and are sometimes, but not always, conserved across fungi. While stress-triggered  
181 changes in transcriptional profiles have been reported in *S. cerevisiae* [34], *C. albicans* [35] and  
182 *C. glabrata* [36], the roles of these signaling pathways in *C. glabrata* antifungal drug tolerance  
183 have not been systematically investigated.

184 As detailed above, echinocandin adaptation in *C. glabrata* is a key step towards  
185 development of *FKS* escape mutations (**Figure 2**). Echinocandins target the fungal cell wall. It  
186 has been well established that in response to cell wall damage fungi upregulate a number of  
187 stress responses and cell wall maintenance pathways that help the cells tolerate and survive the  
188 stress [37]. Of particular importance upon echinocandin exposure is the cell wall integrity pathway  
189 which regulates glucan synthesis through Rho1 and cell wall repair. Rho1 activation leads to  
190 upregulation of the *FKS* genes and activation of PKC. Cells lacking *PKC1* or activated MAP  
191 kinases (e.g. *ScBCK1*, *ScSLT2*, *CaMKC1*) are hypersensitive to the echinocandins [38-40].  
192

193 ***C. glabrata* specific echinocandin adaptation.** Some of the stress induced mechanisms  
194 mentioned above, such as the cell wall integrity pathway (e.g. *WSC1*, *MKK1*, *BCK1*, *SLT2*) [41-  
195 43], Hsp90 and calcineurin signaling [44, 45], and chromatin remodeling [46, 47], have been  
196 shown to abrogate echinocandin tolerance or adaptation when disrupted or targeted in *C.*  
197 *glabrata*. In *S. cerevisiae* and *C. albicans*, echinocandin-induced *PKC1* expression has been  
198 linked to increased production of cell wall components chitin and mannan, potentially  
199 compensating for the loss of  $\beta$ -glucans [38, 48, 49]. In *C. glabrata*, the significance of chitin during  
200 echinocandin exposure seems to be more complicated. While one study reported that an increase  
201 in chitin led to incomplete killing *C. glabrata* by caspofungin [43], another reported that there were  
202 no significant increases in chitin production upon caspofungin exposure *in vitro* [50]. A more  
203 recent study noted an increase in *C. glabrata* chitin levels upon murine GI tract colonization [51].  
204 We have shown that treatment of colonized mice with a combination of caspofungin and the chitin  
205 synthase inhibitor Nikkomycin Z, caused an increase in killing of *C. glabrata* within the murine GI  
206 tract and a decrease of dissemination upon immunosuppression [30]. In a comprehensive study  
207 by Schwarzmuller and colleagues [42], a *C. glabrata* partial gene knockout library was constructed  
208 and screened for increased susceptibilities to antifungals, including caspofungin. Multiple gene  
209 knockouts, including those involved in cell wall organization, chromatin assembly, transcriptional  
210 regulation, and signal transduction, were associated with caspofungin hypersensitivity [42]. Many  
211 of these genes have not been linked to echinocandin hypersensitivity in *S. cerevisiae* or *C.*  
212 *albicans*, although for most, it remains to be shown if targeting these cellular  
213 pathways/components would negate echinocandin adaptation *in vivo*. Another important study  
214 analyzed genome mutations throughout the echinocandin treatment course of a patient with  
215 recurrent *C. glabrata* candidemia [44]. Tracking the progression of *Candida* prior to the acquisition  
216 of an *FKS* mutation will begin to shed light on factors essential for echinocandin adaptation.  
217

218 **Echinocandin- and *FKS* gene- specific effects.** Different echinocandins may elicit varying or  
219 different fungal adaptive responses. For example, targeting specific sphingolipid biosynthesis

220 genes or chemically altering the sphingolipid cellular makeup, led to a differential echinocandin  
221 susceptibility pattern in *Candida* species, including *C. glabrata* [52, 53]. Although, this differential  
222 activity may be due to the physical interaction between the echinocandins and the target Fks  
223 proteins within the membrane, potential echinocandin-specific effects should be considered when  
224 attempting to 'target' an adaptive response mechanism. New glucan synthase targeting  
225 echinocandins that are in development may also produce differing cellular responses. As stated  
226 above, rezufungin can reportedly penetrate into deep tissue lesions better than micafungin [31]  
227 and exhibits a long half-life in pharmacokinetic studies [54, 55]. An orally-active glucan synthase  
228 inhibitor, SCY-078 (Scynexis, Jersey City, NJ), exhibits activity against some otherwise-resistant  
229 *FKS* mutants [56], likely a result of a slightly different binding spot on the Fks protein [57].

230 As detailed above, genetic resistance to echinocandins requires the formation of  
231 mutations within "hotspot" regions of glucan synthase subunits, encoded by *FKS* genes. Most  
232 *Candida* species rely on one essential *FKS* gene (*FKS1*), while *FKS2* and *FKS3* are expressed  
233 at lower levels and have yet to be fully characterized in *C. albicans*. In *S. cerevisiae*, *FKS2* and  
234 *FKS3* are important during sporulation and mating [58, 59]. Interestingly, a recent study  
235 demonstrated that expression of *FKS2* and *FKS3* in *C. albicans* can influence overall drug  
236 sensitivity [60]. *C. glabrata* is the only *Candida* species that has two seemingly redundant, yet  
237 differentially regulated, *FKS* subunits: *FKS1* and *FKS2*. Unlike *S. cerevisiae*, sporulation and  
238 mating have not been observed in *C. glabrata* yeast. *FKS2* expression is dependent upon the  
239 calcium/calciurein/Hsp90 signaling pathway, and targeting of this pathway either genetically or  
240 chemically results in a reversal of Fks2-mediated resistance in *C. glabrata* [20, 44]. While *FKS2*  
241 expression was increased following caspofungin or calcium exposure, the authors concluded that  
242 transcriptional control was not the only mechanism of Fks2 modulation in *C. glabrata* [20]. Gaining  
243 a better understanding of how each *FKS* gene is controlled, transcriptionally and otherwise, will  
244 help tease out one more unique property of *C. glabrata* and the response to echinocandins.

245  
246 **MDR, Pdr1 and adhesins.** *Candida glabrata* readily forms MDR phenotypes, which involves  
247 separate resistance mechanisms for each drug class (modification of drug target site for  
248 echinocandins versus expression of drug efflux transporters for azoles). Despite the apparent lack  
249 of mechanistic overlap, a nexus may exist. The presence of a *PDR1* mutation appears to increase  
250 the ability of *C. glabrata* to adapt to other stressors, including echinocandin exposure. Specific  
251 *PDR1* mutations in *C. glabrata* not only confer azole resistance, but can also enhance adhesion  
252 to epithelial cells through increased expression of the epithelial adhesin gene *EPA1* [61-64]. The  
253 genome of *C. glabrata* carries a large number of *EPA* (epithelial adhesin) genes that encode for  
254 adhesin proteins [65-67]. Interestingly, a recent study found that separate clinical isolates  
255 expressed a unique variety of adhesins and other cell wall proteins [68], most likely due to the  
256 subtelomeric positions of adhesin genes and the unusually high genomic plasticity of *C. glabrata*  
257 [66, 69] (see more below). *PDR1*-mediated increased expression of *EPA1* increased organ  
258 colonization in a mouse UTI model [62] and virulence in a model of hematogenous disseminated  
259 candidiasis [70, 71]. An increase in adhesion that aids in colonization of mucosal membranes may  
260 also increase echinocandin tolerance through common cellular pathways (**Figure 2**). Again, the  
261 expansion and dissemination of *C. glabrata* is dependent upon on the host's immune response,  
262 and this is highlighted by the ability of natural killer (NK) cells to recognize *C. glabrata* through  
263 binding of *Epa* proteins [72].

264  
265 **Exploiting genetic diversity.** According to classical evolution, random mutations arise in  
266 microbial populations, whereupon a change in conditions (e.g. exposure to antifungal drug) favors  
267 pre-existing mutants that are more fit under the new conditions (i.e. resistant to the drug).  
268 However, an extensive body of work in bacteria [73-76] and *S. cerevisiae* [77], as well as  
269 computational models of mutation rates [78], indicates that in stressed cells genome maintenance  
270 and repair mechanisms are altered, promoting mutability and increasing the pool of genetic

271 diversity from which drug-resistant mutations can emerge. Furthermore, heteroresistance may  
272 play a vital role in cellular adaptation during stress, and epigenetic and post-translational  
273 modification mechanisms are emerging [79, 80]. Such mechanisms may be particularly important  
274 for haploid organisms like *C. glabrata* that have extremely limited ability to generate genetic  
275 diversity via meiosis and recombination [81]. Thus, the probability that a tolerant *C. glabrata* cell  
276 will genetically escape drug action is a function of its mutagenic potential (**Figure 2**). However,  
277 the mechanisms of mutagenesis operating in drug-tolerant *C. glabrata* cells are not fully known.

278 The ability to increase genetic diversity within a *C. glabrata* population would help the yeast  
279 survive as a commensal and transition into a pathogen. Several studies, including ours, have  
280 shown that clinical isolates of *C. glabrata* show astounding genetic diversity both in terms of  
281 nucleotide sequence and chromosome structure [82-85]. *C. glabrata* can seemingly duplicate and  
282 reorganize chromosomes at high frequencies generating changes in size and variation of  
283 chromosomes [84, 86]. As a result, studies have identified gene duplications in *C. glabrata* to  
284 include that of cell wall proteins, such as mannosyltransferases, aspartyl proteases,  
285 phospholipases, ABC transporter *PDH1*, and the sterol transporter *AUS1* [84, 86]. Additionally,  
286 as mentioned earlier, *EPA* adhesin genes important for mucosal colonization have also been  
287 heavily duplicated within *C. glabrata* genomes [68, 86]. It is not clear whether these  
288 rearrangements occur acutely in response to treatment and/or represent divergent sub-species  
289 best adapted for colonization. Variations in karyotypes were identified in clinical isolates taken  
290 from the same patients over the course of antifungal treatment [82, 87, 88]; however, we have  
291 also found that that different sequence types (STs), or clades, are characterized not only by  
292 different single nucleotide polymorphisms (SNPs) but also by varying chromosomal  
293 configurations [82]. While there is a high correlation between chromosomal configurations and  
294 STs, it is not absolute.

295 Are chromosomal integrity components in *C. glabrata* missing or downregulated? According  
296 to Polakova and colleagues [84], homologs of two proteins (Ten1 and Rif2) that function in *S.*  
297 *cerevisiae* telomere length end protection and length regulation are absent from the *C. glabrata*  
298 genome, although additional homologs with similar functions, such as Rap1, Sir3, and Rif1, have  
299 been characterized in *C. glabrata* [69, 89]. Expression of the adhesin genes is regulated by  
300 several subtelomeric silencing complexes (see [68] for review). The extensive chromosomal  
301 rearrangements between strains have been a partial barrier to rapid Illumina whole genome  
302 sequencing of *C. glabrata* clinical isolates because the reference strain ATCC 2001, which  
303 belongs to ST15, cannot serve as an appropriate template for assembly of genomes of many  
304 other STs. Overcoming these technical difficulties will aid in the understanding of *C. glabrata* drug  
305 adaptation through chromosomal rearrangement.

306 Fungi contain multiple mechanisms that regulate mutagenesis, including several highly-  
307 conserved DNA repair systems, such as double-strand break repair (DSBR), base-excision repair  
308 (BER), nucleotide-excision repair (NER), post-replication repair (PRR), and mismatch repair  
309 (MMR). DNA polymerases, including several error-prone polymerases, also impinge on mutation  
310 rates [90-92]. Defects or programmed changes (e.g. as during stress-induced mutagenesis [73])  
311 in these mechanisms are often associated with increased mutation rates [93]. These pathways  
312 have been well studied *in vitro* in the model fungus *S. cerevisiae*. We have previously evaluated  
313 the role of MMR in *C. glabrata* and shown that active MMR suppresses emergence of drug-  
314 resistant mutants and that naturally occurring variants in *C. glabrata* MMR gene *MSH2* may  
315 promote development of resistance in some clades [83, 94].

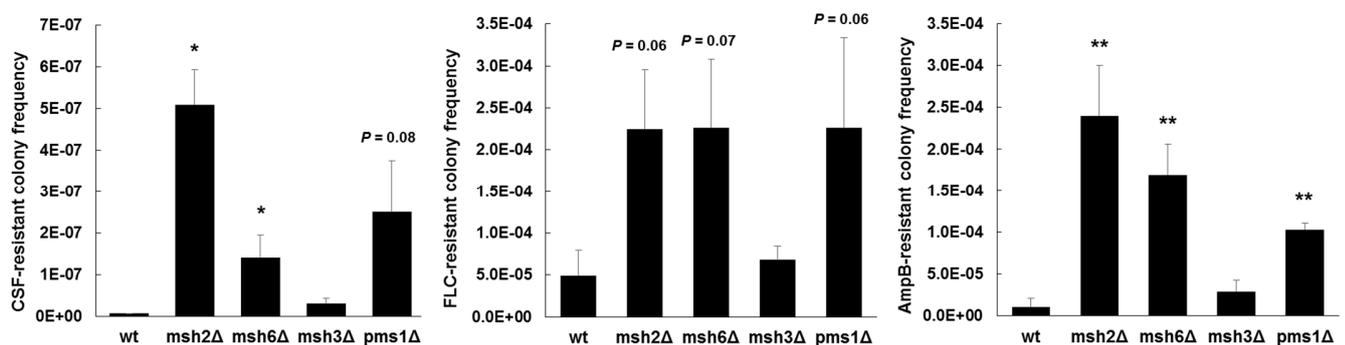
316 Importantly, we and others have found that different *MSH2* genotypes are characteristic  
317 of distinct STs/clades, suggesting that different STs may have different propensity towards  
318 mutability and acquiring drug resistant gene variants [82, 94-96]. This is significant because the  
319 distribution of *C. glabrata* STs varies both by geography and over time. For instance, *C. glabrata*  
320 ST distribution in Atlanta area hospitals changed significantly between 1992 and 2008, the time  
321 period that includes the introduction of echinocandins [97]. One significant change is the

322 increased prevalence of ST16, which carries a *msh2* variant associated with increased  
 323 echinocandin resistance frequencies *in vitro* [83] and was shown to be more prevalent among  
 324 drug-resistant clinical isolates [59], suggesting that this ST may have an increased capacity for  
 325 drug escape. However, there are also expanding STs (e.g. ST3) that do not carry specific *msh2*  
 326 alterations, emphasizing that there are additional factors at play.

327 Specific *MSH2* alleles most likely diversify populations of *C. glabrata* to better survive *in*  
 328 *vivo*, and upon prolonged antifungal exposure, may aid in drug target mutation. Multiple clinical  
 329 studies performed at non-U.S. clinics have reported no correlation between *MSH2* genotype and  
 330 clinical resistance frequencies in populations with limited drug exposure and/or very low levels of  
 331 drug resistance [94-96, 98]. DNA repair alterations may be more relevant in certain populations  
 332 where antifungals are routinely used for prophylaxis and treatment, and where a higher  
 333 prevalence of MDR phenotypes are observed [83]. It should be noted that not all *MSH2* mutations  
 334 lead to significant increases in mutants *in vitro*; for example, the P208S/N890I and E231G/L269F  
 335 alleles produced greater frequencies of resistant mutants *in vitro*, while others produced smaller  
 336 or no increases in frequencies [94, 98].

337 Additional mechanisms at play within individual isolates exhibiting the same *MSH2*  
 338 genotype also likely affect the mutagenic properties. For example, when we expressed a wild type  
 339 copy of *MSH2* in several strains that contained deficient *MSH2* alleles, an increase in *FKS*  
 340 mutagenesis was complemented in some strains, but not in others [99], indicative of additional  
 341 mechanisms of mutagenesis at play. Importantly, *MSH2* likely represents one piece in a  
 342 multifaceted and complex puzzle that makes up drug escape. Our preliminary studies also show  
 343 that disruption of other genes involved in MMR, such as *PMS1* and *MSH6*, produce greater  
 344 frequencies of antifungal-resistance and *FKS* mutagenesis *in vitro* (Figure 3). How sequence  
 345 polymorphisms or transcriptional control of these genes affects *C. glabrata* is unknown. As listed  
 346 above, additional cellular mechanisms may also influence mutagenesis in *C. glabrata* and  
 347 ultimately affect its ability to colonize, disseminate, and develop resistance. In a broader context,  
 348 defects or changes in DNA repair may be an evolutionarily adaptive mechanism(s) of *C. glabrata*  
 349 to generate greater genetic diversity among colonizing strains in order to better adapt to its  
 350 environment, and introduction of antifungal drug into that environment is just one more factor in a  
 351 slew of others. Notably, the consequences of this genetic diversity on colonization, infection, and  
 352 drug resistance are not fully understood. A more dynamic view of cellular mutagenic potential may  
 353 be more relevant than individual components. This will require new tools and approaches.

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**Figure 3.** Echinocandin, azole, and polyene resistant colony frequencies of *C. glabrata* mismatch repair deletion strains. Strains were selected agar plates containing 1  $\mu$ g/ml of caspofungin (CSF), 256  $\mu$ g/ml of fluconazole (FLC), or 2  $\mu$ g/ml of amphotericin B (AmpB) (panels left to right). Dilutions were plated onto drug-free media to determine exact CFU counts. Frequencies were calculated as number of colonies on

363 the drug plate divided by the total CFU plated. Frequency averages were calculated from at least three  
364 independent selections. \* $P < 0.05$  and \*\* $P < 0.01$  (student's t-test; two-tailed).

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### 367 **Conclusion**

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369 Rates of acquired resistance to azoles and echinocandins are substantially higher among strains  
370 of *Candida glabrata* compared to other *Candida* species. The ability of *C. glabrata* to survive  
371 antifungal pressure at high rates within individual patients highlights its astounding adaptive  
372 flexibility. This flexibility is likely due to a myriad of factors, including strong general cell stress  
373 responses (e.g. cell wall integrity pathway and regulation of associated genes) and multiple  
374 mechanisms of drug adaptation (e.g. HSP90/calcineurin, chitin synthesis, adhesion, genetic  
375 diversity). The combination of these cellular mechanisms (and other factors such as host immune  
376 status and drug penetration and pharmacokinetics) ultimately permit genetic escape (*PDR1* and  
377 *FKS* mutations) and stable resistance, which can result in clinical failure. Importantly, how many  
378 of these factors influence colonization, infection, and drug resistance *in vivo* have not been fully  
379 determined.

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### 388 **Conflict of Interest**

389 D.S.P. receives funding from US National Institutes of Health and contracts from the CDC,  
390 Astellas, Scynexis, Cidara and Amplyx. He serves on advisory boards for Astellas, Cidara,  
391 Amplyx, Scynexis, and Matinas. In addition, D.S.P. has an issued US patent concerning  
392 echinocandin resistance. The authors alone are responsible for the content and writing of the  
393 paper.

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