

1 Article

2 Carbohydrate Intake Does Not Counter the Post-Exercise Decrease in 3 Natural Killer Cell Cytotoxicity

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17 Using a randomized, crossover approach, cyclists (N=20, overnight fasted) engaged in three 75-km
18 time trials while ingesting water (WAT) or carbohydrate (0.2 g/kg every 15 minutes) from bananas
19 (BAN) or a 6% sugar beverage (SUG). Blood samples were collected pre-exercise and 0 h-, 1.5 h-,
20 and 21 h-post-exercise, and analyzed for NK cytotoxicity activity (NKCA) using pure NK cell
21 populations. The two carbohydrate trials (BAN, SUG) compared to WAT were associated with
22 higher post-exercise glucose, and lower cortisol, total blood leukocyte, neutrophil, and NK cell
23 counts (interaction effects, $P < 0.001$). The immediate post-exercise increase in NK cell counts was
24 higher in WAT (78%) compared to BAN (32%) and SUG (15%) trials ($P \leq 0.017$). The 1.5 h post-
25 exercise decrease in NK cell counts did not differ after WAT (-46%), BAN (-46%), and SUG (-51%)
26 trials. The pattern of change in post-exercise NKCA differed between trials ($P < 0.001$). The 1.5 h post-
27 exercise decreases in NKCA were 23%, 29%, and 33% in the WAT, BAN, and SUG trials,
28 respectively, but trial contrasts did not differ significantly. Carbohydrate ingestion from BAN or
29 SUG attenuated immediate-post-exercise increases in leukocyte, neutrophil, and NK cell counts, but
30 did not counter the 1.5-h decreases in NK cell counts and NKCA.

31 **Keywords:** immunity; leukocyte; lymphocyte; flow cytometry; glucose; exercise

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34 1. Introduction

35 Natural killer (NK) cells are an essential element of the innate immune system and have the
36 capacity to quickly recognize and eliminate abnormal cells through cell-to-cell contact and without
37 prior activation. Prior studies indicate that intense and prolonged exercise transiently decreases NK
38 cell function, creating a post-exercise window of immune suppression that may increase the risk of
39 infection [1]. Intense exercise lasting longer than 90 minutes leads to a 35-60% reduction in NK cell
40 cytotoxic activity (NKCA) for up to 6 hours after exercise [1,2]. Carbohydrates consumed during
41 exercise attenuate the post-exercise immune response by increasing plasma glucose and insulin while
42 reducing stress hormones (i.e., epinephrine, cortisol) [3]. Compared to water, carbohydrates have
43 been shown to suppress the post-exercise release of total leukocytes, neutrophils, monocytes, and
44 lymphocytes including NK cells [1,4]. Notably, bananas have been shown to match a commercial
45 carbohydrate solution's ability to attenuate the post-exercise inflammatory response, with the added
46 benefit of increasing dopamine while decreasing COX-2 mRNA expression [5,6]. Many other banana

47 metabolites increase in the circulation following acute ingestion, and these may have influences on
48 post-exercise immune responses [6]. While carbohydrates have been shown to reduce NK cells counts
49 following exercise, they have not been found to counter the exercise-induced decrease in NKCA, nor
50 have polyphenol-rich fruits (i.e., bananas) been tested to date.

51 This interpretation of existing data has been questioned, however, due to methodological issues.
52 We have recently developed a highly reliable assay for NKCA in fresh human blood samples to
53 mitigate analysis issues related to the use of Ficoll gradients, viability and stability, and high
54 throughput [7]. This NK cell method utilizes a magnetic-based cell sorter to generate a pure NK cell
55 population, and an imaging flow cytometer with an optimized target-to-effector (T:E) ratio that
56 improves the detection of exercise-induced effects on NKCA. Previous research to detect NKCA has
57 relied on mathematical equations to calculate cytotoxicity on a per NK cell basis.

58 The purpose of this study was to compare NKCA responses to 75-km cycling in athletes
59 ingesting carbohydrate from Cavendish bananas or a 6% sugar beverage with water using the
60 optimized, targeted NKCA assay. This study advances the current state of literature by using a pure
61 NK cell population and shows that a decline in NKCA occurs following intensive and prolonged
62 exercise, but not to the extent previously reported. Carbohydrate supplementation by either banana
63 fruit or the 6% sugar beverage did not counter post-exercise reductions in NKCA, and this finding is
64 consistent with prior publications despite the use of pure NK cell populations.
65

66 2. Materials and Methods

67 2.1 Participants

68 The metabolomics data from this study have been published previously [6], and the data in this
69 paper summarize the NK responses to both exercise stress and carbohydrate intake. Participants
70 included 20 male and female cyclists (ages 22-50 years) who regularly competed in road races
71 (category 1 to 5) and were capable of cycling 75-km at race pace in a laboratory setting. Participants
72 maintained their typical training regimen, and avoided the use of vitamin and mineral supplements,
73 herbs, and medications during data collection. Participants voluntarily signed the informed consent,
74 with study procedures approved by the university Institutional Review Board.
75

76 2.2 Research Design

77 This study utilized a randomized, crossover approach, and participants engaged in three 75-km
78 cycling time trials while ingesting water only (WAT), Cavendish bananas (BAN), and a 6%
79 carbohydrate beverage (SUG), separated by two weeks each (no blinding). Prior to the cycling time
80 trials, maximal power, oxygen consumption, ventilation, and heart rate were measured during a
81 graded exercise test (25 watts increase every two minutes, starting at 150 Watts) with the Cosmed
82 Quark CPET metabolic cart (Rome, Italy) and the Lode cycle ergometer (Lode Excaliber Sport, Lode
83 B.V., Groningen, Netherlands). Body composition was measured with the Bod Pod body composition
84 analyzer (Life Measurement, Concord, CA). Demographic and training histories were acquired with
85 questionnaires.

86 Participants were asked to reduce the volume of their exercise training as if preparing for a race
87 prior to each 75-km cycling time trial. Participants agreed to ingest a moderate-carbohydrate diet
88 during the 3-day period prior to each exercise session using a food list restricting high fat foods, and
89 to record intake in food logs. Nutrient intake was assessed using the Food Processor v. 11.1 software
90 system (ESHA Research, Salem, OR).

91 For each trial, participants reported to the Human Performance Laboratory at 6:45 am in an
92 overnight fasted state (no food or beverages other than water for at least 9 hours) and provided a pre-
93 exercise blood sample. Participants then ingested 5 ml/kg water only, or water with 0.4 g/kg
94 carbohydrate from Cavendish bananas (ripeness stage 5 or 6), or the 6% sugar beverage in accordance
95 with the randomized schedule. At approximately 7:15 am, the cyclists warmed up and cycled for 75
96 km at race pace intensity using their own bicycles on CompuTrainer Pro Model 8001 trainers
97 (RacerMate, Seattle, WA). The CompuTrainer MultiRider software system (version 3.0, RacerMate,

98 Seattle, WA) was used to simulate a moderately difficult, mountainous 75-km course. Power output
99 in watts was continuously monitored, with heart rate recorded every 30 minutes. Oxygen
100 consumption and ventilation were measured during two level portions of the race course (16 and 56
101 km) using the Cosmed Quark CPET metabolic cart. Every 15 minutes, participants consumed 3 ml/kg
102 water, or water with 0.2 g/kg carbohydrate from bananas, or the 6% sugar beverage. No other
103 beverages or food were allowed during the cycling time trials and 1.5-h recovery. Blood samples were
104 taken via venipuncture immediately after and 1.5 h-, and 21 h-post-exercise after completing each of
105 the 75-km time trials. The 21 h-post-exercise samples were obtained from participants at ~7:00 am in
106 an overnight fasted state. All blood samples were centrifuged, aliquoted, and stored at -80°C until
107 analysis. The three trials were separated by two weeks, after which participants crossed over to the
108 next randomized condition, and repeated all procedures.

110 2.3 Analytical Methods

112 2.3.1 Complete Blood Count, Glucose, Cortisol

113 Complete blood counts (CBC) with a white blood cell (WBC) differential were performed using
114 a Coulter Ac.T™ 5Diff Hematology Analyzer (Beckman Coulter, Inc., Miami, FL). Exercise-induced
115 shifts in plasma volume were calculated using the equation of Dill and Costill [11]. Plasma glucose
116 was measured using the YSI 2300 STAT Plus Glucose and Lactate analyzer (YSI Life Sciences, Yellow
117 Springs, OH). Plasma cortisol was measured using an ultra-performance liquid chromatography-
118 tandem mass spectrometry (UPLC-MS/MS) platform, a Waters Acquity UPLC, and a Thermo
119 Scientific Q-Exactive mass spectrometer (Waltham, MA).

121 2.3.2 Natural Killer Cell Assay

122 Flow cytometric analysis of natural killer cell cytotoxicity activity (NKCA) was measured in
123 whole blood samples using the procedures of McBride et al. [7]. In brief, immediately following
124 each blood draw, a pure population of NK cells was isolated from 1 ml whole blood labeled with
125 CD56+ MicroBeads and processed through the autoMACS Pro Separator (Miltenyi Biotec, Bergisch
126 Gladbach, Germany). NK cell counts were obtained using a hemocytometer. Target K562 cells
127 (American Type Culture Collection, Rockville, MD) were stained with 3,3'-
128 diotadecyloxycarbocyanine perchlorate (DiO) and/or propidium iodide (PI) dyes, as follows:
129 resuspended DiO- and PI-labeled K562 cells (double positive), DiO-labeled K562 cells (DiO only),
130 and PI-labeled K562 cells (PI only). The optimized ratio of NK effector cells (E) and K562-DiO labeled
131 target cells (T) was tested and set at 1:5 E:T. Target and effectors cells were then combined in 500 µL
132 of NK cell media without interleukin-2 (IL-2) and 2-mercaptoethanol (2-ME) (incomplete NK cell
133 culture media), and incubated for 2 hours at 5%CO₂/37°C. Spontaneous samples were prepared with
134 DiO-labeled K562 cells only in the incomplete NK cell culture media.

135 After incubation, NK cytotoxicity was measured using the Amnis ImageStream®^x Mark II
136 Imaging Flow Cytometer (EMD Millipore, Burlington, MA) (Figure 1). Controls were analyzed prior
137 to experimental samples in the following order: double positive, DiO only, and PI only. Experimental
138 data were processed using the IDEAS software (Application version 6.2.64.0). The percentage of dead
139 targets in the spontaneous sample and experimental samples was determined using the following
140 formula: % dead targets in sample = (#dead targets x 100)/(#live targets + #dead targets).

141 NK cytotoxicity was determined using the following formula:

142 % cytotoxicity = [(Experimental dead - Spontaneous dead)/(100 - Spontaneous dead)] x 100.

144 2.4 Statistical Procedures

145 Data are presented as mean ± standard error (SE). Immune data were analyzed using a 3 (trial)
146 x 4 (time) repeated-measures ANOVA, within-participants design, with IBM SPSS Statistics for
147 Windows, Version 24.0 (IBM Corp, Armonk, NY). Changes over time within trials were contrasted
148 between trials using Bonferroni-corrected paired t-tests. Statistical differences were accepted when

149 the P-value was ≤ 0.017 . The study participant number (N=20) provided 84% power to detect a
150 difference with an effect size 0.7 at alpha 0.05 using two-sided paired t-tests.
151

152 3. Results

153 The analysis included 20 cyclists (14 males, 6 females) who successfully adhered to all aspects of
154 the study design. The male and female cyclists did not differ in age (37.1 ± 2.5 , 43.7 ± 2.2 years,
155 respectively, $P = 0.126$) training volumes (118 ± 13.6 , 136 ± 24.1 km/wk, $P = 0.520$), body composition
156 (19.5 ± 1.3 , 18.8 ± 1.9 %fat, $P = 0.763$), and $\text{VO}_{2\text{max}}$ (47.0 ± 1.5 , 46.5 ± 2.8 ml·kg⁻¹·min⁻¹, $P = 0.861$). Data for
157 the male and female cyclists were combined for all analyses in this paper. Three-day food records
158 collected before each of the three 75-km cycling time trials revealed no significant trial differences in
159 energy, carbohydrate, and micronutrient intake (data not shown).

160 Performance times (180 ± 4.8 , 176 ± 4.5 , 178 ± 3.7 minutes, respectively), absolute oxygen
161 consumption (L/min) (2.53 ± 0.89 , 2.62 ± 1.06 , 2.45 ± 0.93 L/min), heart rates (142 ± 2.6 , 140 ± 3.4 , $143 \pm$
162 2.8 beats/min), and plasma volume decreases (-12.2 ± 1.2 , -8.1 ± 1.0 , $-11.1 \pm 1.5\%$) did not differ
163 significantly (all $P > 0.05$) during the BAN and SUG trials compared to the WAT condition. Plasma
164 glucose was significantly elevated during the first 1.5 h post-exercise in the BAN and SUG trials
165 compared to the water trial, with a significant rebound in plasma glucose in the WAT condition
166 following lunch (consumed after the 1.5 h post-exercise blood collection) (interaction effect, $P < 0.001$)
167 (data not shown). Change in plasma cortisol levels was significantly lower during the first 1.5 h of
168 recovery from exercise with BAN (42%) and SUG ingestion (32%) compared to WAT (interaction
169 effect, $P < 0.001$) (data not shown).

170 The pattern of change in post-exercise total blood leukocyte, neutrophil, lymphocyte, and
171 monocyte counts was significantly different between trials (all, interaction effects, $P < 0.01$), with
172 lower total leukocyte and neutrophil counts during the first 1.5 h recovery for the BAN and SUG
173 trials compared to WAT (Table 1). The pattern of change in post-exercise NK cell counts was
174 significantly different between trials (interaction effect, $P < 0.001$), with the immediate post-exercise
175 increase after the WAT trial (78%) significantly higher than after the BAN (32%) and SUG (15%) trials
176 (both contrasts, $P \leq 0.017$) (Figure 2). The 1.5 h post-exercise decrease in NK cell counts did not differ
177 significantly after the WAT (-46%), BAN (-46%), and SUG (-51%) trials. The pattern of change in post-
178 exercise NKCA differed between trials (interaction effect, $P < 0.001$) (Figure 3). The 1.5 h post-exercise
179 decreases in NKCA were 23%, 29%, and 33% in the WAT, BAN, and SUG trials, respectively, but trial
180 contrasts did not differ significantly.

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183 **Table 1.** Change in leukocyte subset counts following 75-km cycling in N=20 cyclists (immediately
184 post-exercise, and 1.5 h- and 21 h-post-exercise).

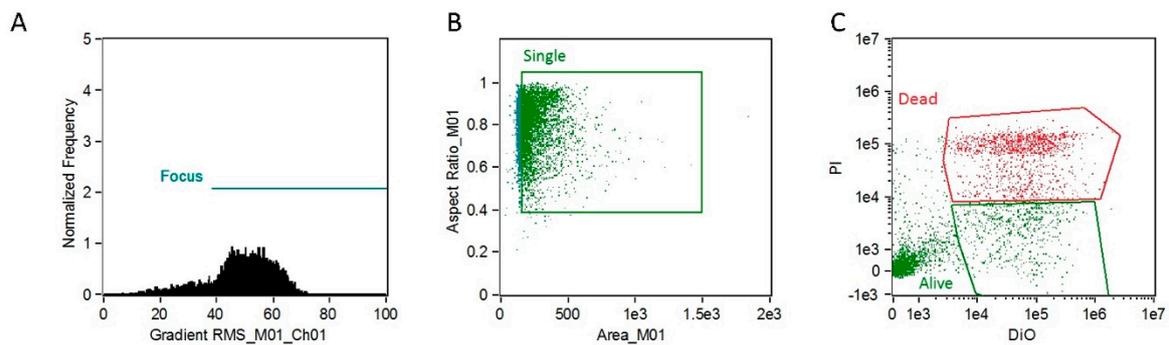
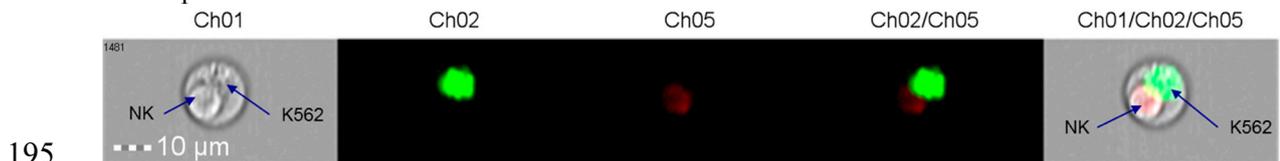
Variable	Water	Cavendish banana	Sugar Beverage	P-values: Time effect; Interaction effect
Total blood leukocytes (10⁹/L)				
Pre-exercise	5.15±0.3	5.16±0.4	5.24±0.4	<0.001; <0.001
Immediate post-exercise	15.6±1.3	10.5±0.7*	9.70±0.6*	
1.5 h post-exercise	12.2±1.0	9.11±0.5*	9.07±0.6*	
21 h post-exercise	5.72±0.4	5.09±0.3	5.13±0.3	
Neutrophil count (10⁹/L)				
Pre-exercise	2.50±0.2	2.48±0.2	2.71±0.3	<0.001; <0.001
Immediate post-exercise	11.7±1.1	7.34±0.6*	6.71±0.5*	
1.5 h post-exercise	10.0±0.9	6.82±0.5*	6.86±0.5*	
21 h post-exercise	3.05±0.3	2.49±0.2*	2.66±0.2*	
Lymphocyte count (10⁹/L)				
Pre-exercise	1.98±0.1	2.01±0.1	1.87±0.1	<0.001; 0.009
Immediate post-exercise	2.53±0.1	2.28±0.2	2.11±0.1	
1.5 h post-exercise	1.26±0.1	1.55±0.1	1.46±0.1	
21 h post-exercise	1.98±0.1	1.94±0.1	1.78±0.1	
Monocyte count (10⁹/L)				
Pre-exercise	0.43±0.1	0.43±0.1	0.42±0.1	<0.001; 0.006
Immediate post-exercise	1.01±0.1	1.02±0.1	1.12±0.1*	
1.5 h post-exercise	0.71±0.1	0.80±0.1*	0.80±0.1*	
21 h post-exercise	0.46±0.1	0.50±0.1	0.50±0.1*	

185 * P < 0.017 compared to the change from pre-exercise in the water condition.
186
187
188

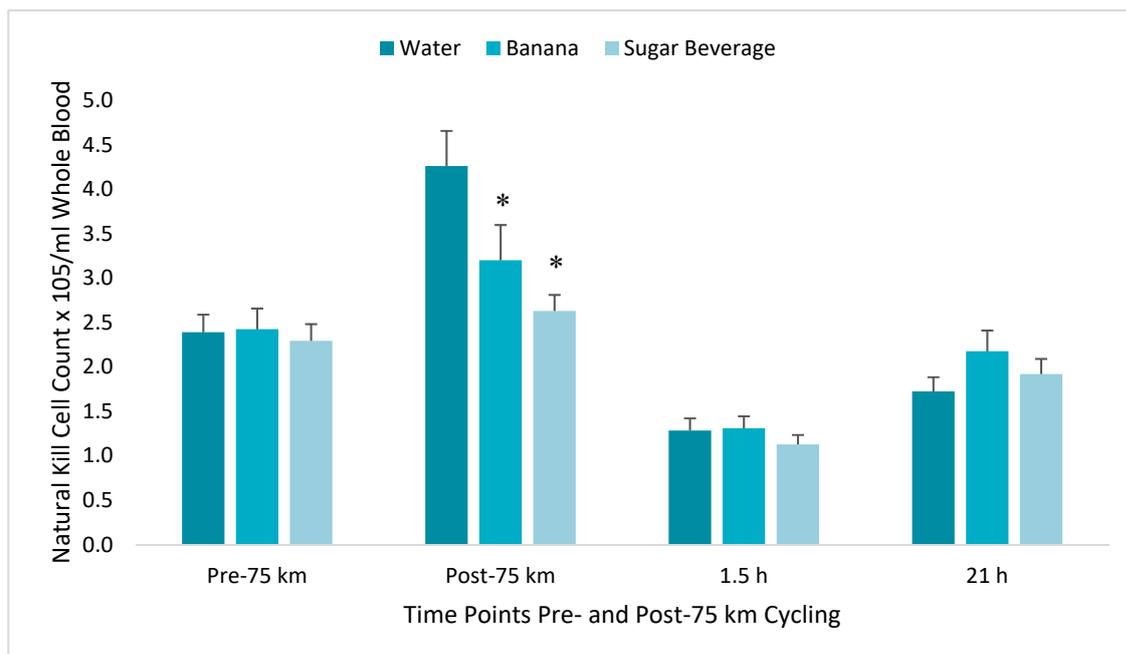
189 **Figure 1**

190 Image data collected from the ImageStream®X Mark II Imaging Flow Cytometer. In the mid-section,
 191 target cells (K562) are labeled in green (DiO) and dying cells are labeled in red (PI). The images on
 192 the ends represent a doublet event showing an apoptotic NK cell and a live K562 target.

193 (A) Focus cell analysis histogram; (B) Single cell analysis scatter plot; (C) Target cell staining analysis
 194 scatterplot.

199 **Figure 2**

200 Change in natural killer cell counts per milliliter of whole blood following 75-km cycling in N=20
 201 cyclists (immediately post-exercise, and 1.5 h- and 21 h-post-exercise). * $P \leq 0.017$ compared to the
 202 change from pre-exercise in the water condition. Time effect, $P < 0.001$; time x trial effect, $P < 0.001$.

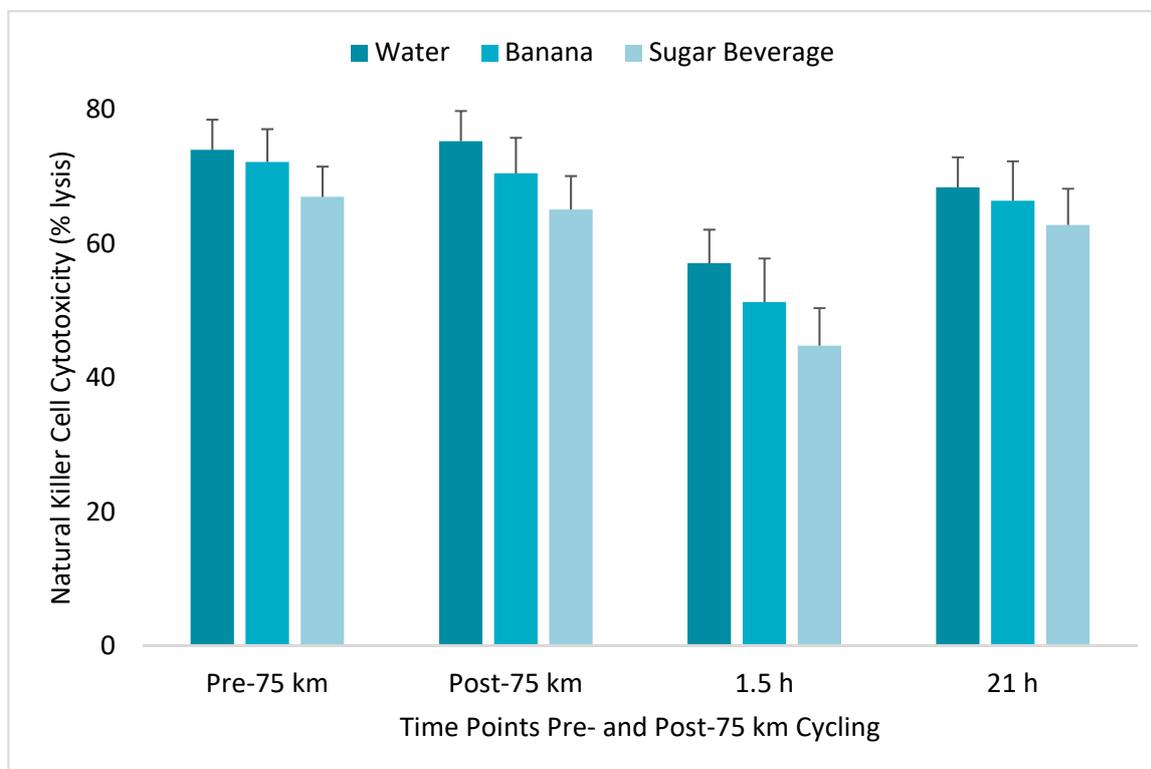


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205 **Figure 3**

206 Change in natural killer cell cytotoxicity following 75-km cycling in N=20 cyclists (immediately post-
 207 exercise, and 1.5 h- and 21 h-post-exercise). The changes from pre-exercise in the banana and sugar
 208 beverage trials did not differ from the water trial. Time effect, $P = 0.014$; time x trial effect, $P < 0.001$.



209

210

211 **4. Discussion**

212 This randomized, cross-over study utilized a pure NK cell population to analyze changes in
 213 NKCA following 75-km cycling trials with carbohydrate supplementation from a 6% sugar beverage
 214 or banana fruit compared to water. Our data show that carbohydrate supplementation attenuated
 215 the immediate post-exercise elevations in NK cell counts but this did not translate into improvements
 216 to cytotoxicity across recovery. NKCA decreased post-exercise in all treatments, and neither the
 217 banana phenolics nor the sugar from carbohydrates attenuated the post-exercise NKCA response.
 218 There was a small yet statistically significant difference in pattern of change in NKCA across
 219 treatments, although it was not significant at any specific time point, and differences were too small
 220 to be of clinical significance. Using advanced methodologies, this study shows that carbohydrates
 221 did not counter NKCA but did attenuate immediate-post-exercise increases in total leukocyte,
 222 neutrophil, and NK cell counts, supporting an effect of carbohydrates on immune cells counts but
 223 not cytotoxicity during endurance exercise.

224 Previous studies have reported significant shifts in NK cell counts and cytotoxicity following
 225 endurance exercise, and the data in this study confirm these findings albeit at a lower magnitude.
 226 NKCA assay methodological differences, especially the use of pure NK cell populations in the current
 227 study, may explain the contrasts between studies. Early research showed that NKCA is reduced by
 228 60% at 1 h [2] and 58% at 1.5 h [1] post-exercise, whereas our data supported a less pronounced
 229 decline of 23%, 29%, and 33% in the WAT, BAN, and SUG trials, respectively, at 1.5 h post-exercise,
 230 with no significant differences between trials. These data are consistent with previous findings that
 231 carbohydrate ingestion does not effectively counter the post-exercise decrease in NKCA [1,2,8].
 232 Henson et al. [9], for example, found that NKCA was not lower with carbohydrate supplementation

233 compared to water placebo after 3 h recovery from 2.5 h of endurance exercise at 75% $\text{VO}_{2\text{max}}$. Our
234 data show that NKCA at 1.5 h post-exercise was slightly lower with ingestion of SUG, but this was
235 not significantly different from the BAN or WAT trials. Some but not all researchers have found that
236 NKCA responses to exercise parallel changes in NK cell counts [1,2,8,9]. In this study, the pattern of
237 change in NK cell counts differed from NKCA, showing an immediate post-exercise spike that was
238 greater with WAT (78%) vs. carbohydrate (32% in BAN and 15% in SUG trials), although all
239 treatments dropped 46-51% below baseline by 1.5 h post-exercise with no significant differences
240 between trials. Most research has supported the effect of carbohydrate on attenuating the post-
241 exercise spike in NK cells. Following 2.5 h of endurance exercise, carbohydrate supplementation
242 reduced the post-exercise NK cell response to 23-32% above baseline vs. 81-91% increase with water
243 across running and cycling trials [9,10]. By 1.5 h post-exercise, research has consistently shown that
244 NK cell counts decline to 52-65% below baseline independent of carbohydrate supplementation,
245 which supports the findings in this study [1,9,10]. However, not all research supports a significant
246 effect of carbohydrates on NK cell concentrations [2,8]. Nieman et al. [2] showed that carbohydrates
247 affected neither NK cell counts nor NKCA following 2 h of cycling compared to water. Likewise,
248 McFarlin et al. [8] found that carbohydrates did not alter NK cell patterns or NKCA following 1 h of
249 cycling; however, carbohydrates did enhance the *in vitro* NK cell responsiveness to IL-2.

250 Carbohydrate ingestion alters multiple immune responses to exercise, as evidenced by
251 attenuation of the immediate post-exercise increases in total leukocyte, neutrophil, and NK cell
252 counts (Table 1). Consuming carbohydrates from 6-8% sugar beverages or sugar-dense fruits such as
253 bananas (with water) during prolonged vigorous exercise reduces circulating concentrations of
254 epinephrine and cortisol, resulting in reduced inflammation and modulation of immune cell
255 responses [3]. Bananas are rich in phenolics that increase amino acid and xenobiotics metabolites and
256 have been shown to increase antioxidant markers [5,6]. In this study, bananas matched but did not
257 exceed a sugar beverage in modulating immune cells, and neither had a significant effect on NKCA.
258 NK cell concentration is more responsive to exercise than other lymphocytes, as evidenced by an
259 exercise-induced rapid release of cells into the bloodstream followed by a rapid efflux once activity
260 has ceased [11]. Exercise causes a surge in circulating epinephrine, which in turn mobilizes NK cells
261 into the bloodstream via activation of β -adrenergic receptors [12]. The cytokine IL-6 is also released
262 during exercise and may play a role in redistribution of NK cell from the bloodstream to tissue [13].
263 NK cells egress quickly from the bloodstream [14], and by 1.5 h post-exercise have dropped below
264 pre-exercise concentrations (Figure 2). The exercise-induced surge in epinephrine is short-lived as
265 well, as previous work has shown that despite carbohydrate attenuation immediately post-exercise,
266 epinephrine concentrations are similar with and without carbohydrate supplementation and have
267 returned to near baseline by 1-1.5 h post-exercise, showing a transient post-exercise effect [2,9,10].
268 The rapid release of epinephrine and NK cells immediately following exercise followed by the rapid
269 redistribution from the bloodstream may explain why carbohydrates did not counter reductions in
270 NKCA. By 1.5 h post-exercise when NKCA reached its nadir, epinephrine and NK cell concentrations
271 had returned to or decreased from baseline. Thus, the increase in epinephrine and NK cell
272 concentrations do not translate to increases in cytotoxicity, as evidenced by no effect on NKCA.

273

274 5. Conclusions

275 Our data show that carbohydrate supplementation from a 6% sugar beverage or banana fruit
276 during 75-km cycling time trials attenuated the immediate post-exercise increases in total leukocyte,
277 neutrophil, and NK cell counts, but did not counter the 1.5-h decreases in both NK cell counts and
278 NK cell cytotoxicity activity against target cells. These findings support previous literature showing
279 that carbohydrates do not modulate exercise-induced reductions in NKCA using a pure NK cell
280 population and targeted assay.

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282

283 **Author Contributions:** D.C.N., N.D.G., and L.L.W. conceived experiments; D.C.N., J.E.M., N.D.G., L.L.W., and
284 R.F.W. were responsible for methodology; L.M.W., D.C.N., J.E.M., N.D.G., and R.F.W. completed formal
285 analysis; D.C.N. and N.D.G. completed investigation; L.L.W. and D.C.N. provided resources; D.C.N., N.D.G.,
286 J.E.M., and R.F.W. were involved in data curation; L.M.W., D.C.N., and R.F.W. wrote and prepared original
287 draft; L.M.W., D.C.N., J.E.M., N.D.G., L.L.W., and R.F.W. reviewed and edited manuscript; D.C.N., L.L.W., and
288 R.F.W. supervised project; D.C.N. administered project and acquired funding.

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