

UNIFORM CONVERGENCE OF CESARO AVERAGES FOR UNIQUELY ERGODIC C^* -DYNAMICAL SYSTEMS

FRANCESCO FIDALEO

ABSTRACT. Consider a uniquely ergodic C^* -dynamical system based on a unital $*$ -endomorphism Φ of a C^* -algebra. We prove the uniform convergence of Cesaro averages $\frac{1}{n} \sum_{k=0}^{n-1} \lambda^{-k} \Phi^k(a)$ for all values λ in the unit circle which are not eigenvalues corresponding to "measurable non continuous" eigenfunctions. This result generalises the analogous one in commutative ergodic theory presented in [19], which turns out to be a combination of the Wiener-Wintner Theorem (*cf.* [22]) and the uniformly convergent ergodic theorem of Krylov and Bogolioubov (*cf.* [15]).

Mathematics Subject Classification: 37A30, 46L55.

Key words: Ergodic theorems, C^* -dynamical systems.

1. INTRODUCTION

Motivated by the question to justify the thermodynamical laws with the microscopic principles of statistical mechanics (*i.e.* the so-called the ergodic hypothesis), the investigation of the ergodic properties of classical (*i.e.* commutative) dynamical systems has a long history.

Indeed, given a classical dynamical system (X, T, μ) , where X is a compact space, $T : X \rightarrow X$ a continuous map, and finally μ an invariant probability measure under the natural action of T , the classical ergodic theory primarily deals with the long time behaviour of the Cesaro means (ergodic averages)

$$M_n(f) := \frac{1}{n} \sum_{k=0}^{n-1} f \circ T^k, \quad f \in C(X), \quad n \in \mathbb{N},$$

of continuous functions, or more generally of any measurable function f w.r.t. the σ -algebra generated by the μ -measurable sets.

Among the most famous classical ergodic theorems, we mention the Birkhoff Individual Ergodic Theorem concerning the study of the

Date: today.

point-wise limit $\lim_{n \rightarrow +\infty} M_n(f)(x)$, and the von Neumann Mean Ergodic Theorem concerning the limit L^2 - $\lim_{n \rightarrow +\infty} M_n(f)$, whenever f is square-integrable.

The quantity of results obtained in the commutative setting is too huge to summarise an exhaustive description. However, a standard reference, dealing mainly with the classical case, is [14]. We also mention several nonconventional ergodic theorems (*e.g.* [12]) which play also a fundamental role in number theory.

Most of the known ergodic results concern the so-called W^* -setting, which roughly speaking involve the functions in the commutative W^* -algebra $L^\infty(X, d\mu) = \pi_\mu(C(X))''$, see *e.g.* Theorem III.1.2 in [21].

At the same way, also the investigation of uniform convergence of ergodic averages (*i.e.* involving directly continuous functions in the commutative C^* -algebra $C(X)$) is of great interest.

Among such kind of results, we mention the following one relative to the so-called uniquely ergodic dynamical systems. The classical dynamical system (X, T) is said to be uniquely ergodic if there exists a unique probability Radon measure μ which is invariant under the action of the transformation T . It was proven in [15] that (X, T) is uniquely ergodic if and only if, for the Cesaro average of any $f \in C(X)$,

$$\lim_n \frac{1}{n} \sum_{k=0}^{n-1} f \circ T^k = \int_X f \, d\mu,$$

uniformly. In [19], the last result was generalised to averages of the form

$$\lim_n \frac{1}{n} \sum_{k=0}^{n-1} \lambda^{-k} f \circ T^k,$$

for certain λ in the unit circle \mathbb{T} .

With the impetuous growth of the quantum physics, it was natural to address the systematic investigation of ergodic properties of quantum (*i.e.* noncommutative) dynamical systems. On the other hand, the situation in quantum setting appears rather more complicated than the classical situation. Typically, one must provide all statements in terms of the dual concept of "functions" instead of "points". Therefore, algebras of functions are replaced by general C^* or W^* -algebras \mathfrak{A} , and the action on functions $\Phi_T(f) := f \circ T$ of the transformation T is replaced by that of a positive map $\Phi : \mathfrak{A} \rightarrow \mathfrak{A}$ acting directly on elements of \mathfrak{A} . Concerning some general ergodic properties of noncommutative dynamical systems, the reader is referred to [2] and the literature cited therein.

The systematic study of some natural generalisations of ergodic properties to the quantum case has been carried out in the seminal paper [17]. The reader is also referred to [4, 5, 7, 9] for some quantum versions of nonconventional (called also "entangled") ergodic theorems, and to [6, 10, 11] for the investigation of strong ergodic properties of dynamical systems arising from free probability, and generalising the unique ergodicity. Some natural applications of ergodic results to quantum probability are also carried out, see [3] and the references cited therein.

The goal of the present note is to provide the quantum generalisation of the interesting result proved in [19] involving the uniform convergence of Cesaro averages relative to uniquely ergodic quantum dynamical systems. More precisely, let $(\mathfrak{A}, \Phi, \varphi)$ be a uniquely ergodic C^* -dynamical system based on a unital C^* -algebra, a unital $*$ -homomorphism $\Phi : \mathfrak{A} \rightarrow \mathfrak{A}$ with $\varphi \in \mathcal{S}(\mathfrak{A})$ as the unique invariant state. Consider the covariant Gelfand-Naimark-Segal representation $(\mathcal{H}_\varphi, \pi_\varphi, V_{\varphi, \Phi}, \xi_\varphi)$ associated to the state φ , together with the peripheral pure-point spectra (see below for the definition) $\sigma_{\text{pp}}^{\text{ph}}(\Phi)$ and $\sigma_{\text{pp}}^{\text{ph}}(V_{\varphi, \Phi})$ of Φ and the isometry $V_{\varphi, \Phi} \in \mathcal{B}(\mathcal{H}_\varphi)$, respectively. We easily see that $\sigma_{\text{pp}}^{\text{ph}}(\Phi) \subset \sigma_{\text{pp}}^{\text{ph}}(V_{\varphi, \Phi})$, but in general they are different. Put for $a \in \mathfrak{A}$ and $\lambda \in \mathbb{T}$,

$$M_{a, \lambda}(n) := \lim_n \frac{1}{n} \sum_{k=0}^{n-1} \lambda^{-k} \Phi^k(a), \quad n \in \mathbb{N}.$$

We show that, in the norm topology of \mathfrak{A} (compare with Proposition 3.2 in [17]),

- (i) if $\lambda \in \sigma_{\text{pp}}^{\text{ph}}(\Phi)$ then $M_{a, \lambda}(n) \rightarrow \varphi(u_\lambda^* a) u_\lambda$, where $u_\lambda \in \mathfrak{A}$ is a unitary eigenvector (*i.e.* a "continuous eigenfunction" in the language of [19]) corresponding to λ , which is uniquely determined up to a phase-factor;
- (ii) if $\lambda \in \sigma_{\text{pp}}^{\text{ph}}(V_{\varphi, \Phi})^c$ (*i.e.* λ does not admit any nontrivial "measurable eigenfunction" in the language of [19]) then $M_{a, \lambda}(n) \rightarrow 0$.

We end the paper with some example based on the tensor product, which is however non trivial, of an Anzai skew product and a uniquely mixing noncommutative dynamical system for which the sequence $\{M_{a, \lambda}(n)\}_{n \in \mathbb{N}}$ does not converge for some $a \in \mathfrak{A}$ and $\lambda \in \sigma_{\text{pp}}^{\text{ph}}(V_{\varphi, \Phi}) \setminus \sigma_{\text{pp}}^{\text{ph}}(F)$. Some more complicated examples are presented elsewhere [8].

2. PRELIMINARIES

With $\mathbb{T} := \{\lambda \in \mathbb{C} \mid |\lambda| = 1\}$ we denote the unit circle of the complex plane. It is homeomorphic to the interval $[0, 2\pi)$ by $\theta \in [0, 2\pi) \mapsto e^{-i\theta}$, after identifying the endpoints 0 and 2π .

A (discrete) C^* -dynamical system is a triplet $(\mathfrak{A}, \Phi, \varphi)$ consisting of a C^* -algebra, a positive map $\Phi : \mathfrak{A} \rightarrow \mathfrak{A}$ and a state $\varphi \in \mathcal{S}(\mathfrak{A})$ such that $\varphi \circ \Phi = \varphi$. Consider the Gelfand-Naimark-Segal (GNS for short) representation (e.g. [21]) $(\mathcal{H}_\varphi, \pi_\varphi, \xi_\varphi)$. If in addition

$$\varphi(\Phi(a)^* \Phi(a)) \leq \varphi(a^* a), \quad a \in \mathfrak{A},$$

then there exists a unique contraction $V_{\varphi, \Phi} \in \mathcal{B}(\mathcal{H}_\varphi)$ such that $V_{\varphi, \Phi} \xi_\varphi = \xi_\varphi$ and

$$V_{\varphi, \Phi} \pi_\varphi(a) \xi_\varphi = \pi_\varphi(\Phi(a)) \xi_\varphi, \quad a \in \mathfrak{A}.$$

The quadruple $(\mathcal{H}_\varphi, \pi_\varphi, V_{\varphi, \Phi}, \xi_\varphi)$ is called the *covariant GNS representation* associated to the triplet $(\mathfrak{A}, \Phi, \varphi)$.

If Φ is multiplicative, hence a $*$ -homomorphism, then $V_{\varphi, \Phi}$ is an isometry with final range $V_{\varphi, \Phi} V_{\varphi, \Phi}^*$, the orthogonal projection onto the subspace $\overline{\pi_\varphi(\mathfrak{A}) \xi_\varphi}$, see e.g. Lemma 2.1 of [17].

Now we specialise the matter to C^* -dynamical systems $(\mathfrak{A}, \Phi, \varphi)$ such that \mathfrak{A} is a unital C^* -algebra with unity $\mathbf{1} \equiv \mathbf{1}_\mathfrak{A}$, and Φ is multiplicative and unital preserving.

Denote by $\mathfrak{A}^\Phi := \{a \in \mathfrak{A} \mid \Phi(a) = a\}$ the fixed-point subalgebra, and

$$\sigma_{\text{pp}}^{\text{ph}}(\Phi) := \{\lambda \in \mathbb{T} \mid \lambda \text{ is an eigenvalue of } \Phi\}$$

the set of the peripheral eigenvalues of Φ (i.e. the peripheral pure-point spectrum), with \mathfrak{A}_λ the relative eigenspaces. Obviously, $\mathbf{1} \in \mathfrak{A}^\Phi = \mathfrak{A}_1$.

For $\xi \in \mathcal{H}_\varphi$ and $n \in \mathbb{Z}$, consider the sequence

$$\widehat{\mu}_\xi(n) := \begin{cases} \langle V_{\varphi, \Phi}^n \xi, \xi \rangle & \text{if } n \geq 0, \\ \frac{\langle V_{\varphi, \Phi}^n \xi, \xi \rangle}{\langle V_{\varphi, \Phi}^n \xi, \xi \rangle} & \text{if } n < 0. \end{cases}$$

Proposition 2.1. *For each $\xi \in \mathcal{H}_\varphi$, the sequence $\{\widehat{\mu}_\xi(n)\}_{n \in \mathbb{Z}}$ is positive definite, and therefore it is the Fourier transform of the a positive bounded Radon measure μ_ξ on the unit circle \mathbb{T} .*

Proof. Since Φ is multiplicative, $V_{\varphi, \Phi}$ is an isometry. Consider its Sz-Nagy dilation (cf. [20])

$$U = \begin{pmatrix} V_{\varphi, \Phi} & I_{\mathcal{H}_\varphi} - V_{\varphi, \Phi} V_{\varphi, \Phi}^* \\ 0 & -V_{\varphi, \Phi}^* \end{pmatrix}$$

acting on the direct sum $\mathcal{H}_\varphi \oplus \mathcal{H}_\varphi$, together with its spectral resolution (e.g. [18])

$$U = \int_0^{2\pi} e^{-m\theta} dE(\theta),$$

and finally the vector

$$\eta_\xi = \begin{pmatrix} \xi \\ 0 \end{pmatrix} \in \mathcal{H}_\varphi \oplus \mathcal{H}_\varphi.$$

Notice that

$$\widehat{\mu}_\xi(n) = \int_0^{2\pi} e^{-nm\theta} d\langle E(\theta)\eta_\xi, \eta_\xi \rangle,$$

and therefore $\widehat{\mu}_\xi(n)$ is the Fourier transform of the positive bounded Radon measure $\mu_\xi = d\langle E(\theta)\eta_\xi, \eta_\xi \rangle$. \square

Consider the pure-point peripheral spectrum

$$\sigma_{\text{pp}}^{\text{ph}}(V_{\varphi, \Phi}) := \{ \lambda \in \mathbb{T} \mid \lambda \text{ is an eigenvalue of } V_{\varphi, \Phi} \}$$

of $V_{\varphi, \Phi}$. Denote with $P_\lambda \in \mathcal{B}(\mathcal{H}_\varphi)$ the orthogonal projection onto the eigenspace generated by the eigenvectors associated to $\lambda \in \mathbb{T}$, with the convention $P_\lambda = 0$ if $\lambda \notin \sigma_{\text{pp}}^{\text{ph}}(V_{\varphi, \Phi})$.

With an abuse of language, μ_ξ is the spectral measure of $V_{\varphi, \Phi}$ relative to $\xi \in \mathcal{H}_\varphi$. Therefore, if $\lambda = e^{-i\theta} \in \mathbb{T}$ then $\mu_\xi(\{\theta\}) = \|P_\lambda \xi\|^2$.

The C^* -dynamical system (\mathfrak{A}, Φ) made of a unital C^* -algebra \mathfrak{A} and a unital $*$ -homomorphism $\Phi : \mathfrak{A} \rightarrow \mathfrak{A}$ is said to be *uniquely ergodic* if there exists only one invariant state φ for the dynamics induced by Φ . For a uniquely ergodic C^* -dynamical system, we simply write $(\mathfrak{A}, \Phi, \varphi)$ by pointing out that $\varphi \in \mathcal{S}(\mathfrak{A})$ is the unique invariant state.

For the sake of completeness, we collect some standard results which are probably known to the experts.

Proposition 2.2. *Let the C^* -dynamical system $(\mathfrak{A}, \Phi, \varphi)$ be uniquely ergodic. Then $\sigma_{\text{pp}}^{\text{ph}}(\Phi)$ is a subgroup of \mathbb{T} , and the corresponding eigenspaces \mathfrak{A}_λ , $\lambda \in \sigma_{\text{pp}}^{\text{ph}}(\Phi)$ are generated by a single unitary u_λ .*

Proof. Since $(\mathfrak{A}, \Phi, \varphi)$ is uniquely ergodic, we have for the ergodic average,

$$\frac{1}{n} \sum_{k=0}^{n-1} \Phi^k(a) \longrightarrow \varphi(a) \mathbf{1}, \quad a \in \mathfrak{A},$$

uniformly, where φ is the unique invariant state. Suppose $a \in \mathfrak{A}^\Phi$. We get $a = \frac{1}{n} \sum_{k=0}^{n-1} \Phi^k(a) \longrightarrow \varphi(a) \mathbf{1}$, and thus a is a multiple of the identity.

Fix now $\lambda \in \sigma_{\text{pp}}^{\text{ph}}(\Phi)$ and $a, b \in \mathfrak{A}_\lambda \setminus \{0\}$. Then $a^*b = \alpha \mathbf{I}$ and $ba^* = \beta \mathbf{I}$ for some numbers α, β . Suppose $\alpha = 0$. Since aa^* is a nonnull multiple, say c , of the identity, we have $aa^*b = 0$, which means $b = 0$, a contradiction. At the same way, we verify $ba^* \neq 0$. Now, $\alpha^{-1}a^*$ and $\beta^{-1}a^*$ are left and right inverses of b . This means that b is invertible and $b^{-1} = \alpha^{-1}a^*$. At the same way, a is invertible too. Moreover, $ab^{-1} = \alpha^{-1}aa^* = \alpha^{-1}cI$. This means $a = \alpha^{-1}cb$, that is a is a multiple of b . Since $aa^* = cI$, we argue that $\mathfrak{A}_\lambda = \mathbb{C}u_\lambda$ for the unitary $u_\lambda = c^{-1/2}a$.

Let now $\lambda_j \in \sigma_{\text{pp}}^{\text{ph}}(\Phi)$ with u_{λ_j} unitaries in \mathfrak{A}_{λ_j} , $j = 1, 2$. First $u_{\lambda_j}^*$ is a unitary eigenvector corresponding to λ_j^{-1} because Φ is a real map. Second $u_{\lambda_1}u_{\lambda_2}$ is a unitary eigenvector corresponding to $\lambda_1\lambda_2$ because Φ is multiplicative. \square

Corollary 2.3. *Let the C^* -dynamical system $(\mathfrak{A}, \Phi, \varphi)$ be uniquely ergodic. Then $\sigma_{\text{pp}}^{\text{ph}}(\Phi) \subset \sigma_{\text{pp}}^{\text{ph}}(V_{\varphi, \Phi})$.*

Proof. Fix $\lambda \in \sigma_{\text{pp}}^{\text{ph}}(\Phi)$, together with a unitary eigenvector $u_\lambda \in \mathfrak{A}_\lambda$ which exists by the previous proposition. For $\eta_\lambda := \pi_\varphi(u_\lambda)\xi_\varphi \in \mathcal{H}_\varphi$, first we get $V_{\varphi, \Phi}\eta_\lambda = \lambda\eta_\lambda$, and second $\|\eta_\lambda\|^2 = \varphi(u_\lambda^*u_\lambda) = 1$. \square

The key-point of our analysis is the following result, which is nothing but the noncommutative version of Lemma 2.1 in [19].

Lemma 2.4. *Consider the uniquely ergodic C^* -dynamical system $(\mathfrak{A}, \Phi, \varphi)$, together with a sequence of states $\{\omega_n\}_{n \in \mathbb{N}} \subset \mathcal{S}(\mathfrak{A})$. Then for each $a \in \mathfrak{A}$ and $\lambda = e^{-i\theta}$,*

$$\mu_{\pi_\varphi(a)\xi_\varphi}(\{\theta\})^{1/2} \geq \limsup_n \frac{1}{n} \left| \sum_{k=0}^{n-1} \omega_n(\Phi^k(a)) \lambda^{-k} \right|.$$

Proof. With $\lambda = e^{-i\theta}$, consider the C^* -tensor product $C(T) \otimes \mathfrak{A} \equiv C(T; \mathfrak{A})$ together with the $*$ -homomorphism $\tilde{\Phi} : C(\mathbb{T}; \mathfrak{A}) \rightarrow C(T; \mathfrak{A})$ given by

$$\tilde{\Phi}(f)(s) := \Phi(f(s + \theta)). \quad f \in C(\mathbb{T}; \mathfrak{A}).$$

UNIFORM CONVERGENCE

7

For $\{\omega_n\}_{n \in \mathbb{N}} \subset \mathcal{S}(\mathfrak{A})$, let $\{\widetilde{\omega}_n\}_{n \in \mathbb{N}} \subset \mathcal{S}(C(\mathbb{T}; \mathfrak{A}))$ be the sequence of states given by

$$\begin{aligned}\widetilde{\omega}_n(f) &:= \left(\frac{1}{n} \sum_{k=0}^{n-1} (\delta_0 \otimes \omega_n) \circ \widetilde{\Phi}^k \right) (f) \\ &= \left(\frac{1}{n} \sum_{k=0}^{n-1} \delta_{k\theta} \otimes (\omega_n \circ \Phi^k) \right) (f) \\ &= \frac{1}{n} \sum_{k=0}^{n-1} \omega_n(\Phi^k(f(k\theta))).\end{aligned}$$

Notice that for the function $f(s) := ae^{is} \in C(\mathbb{T}; \mathfrak{A})$,

$$\widetilde{\omega}_n(f) = \frac{1}{n} \sum_{k=0}^{n-1} \omega_n(\Phi^k(a)) \lambda^{-k}.$$

Let $\{n_j\}_{j \in \mathbb{N}} \subset \mathbb{N}$ be a subsequence such that

$$\limsup_n \frac{1}{n} \left| \sum_{k=0}^{n-1} \omega_n(\Phi^k(a)) \lambda^{-k} \right| = \lim_j \frac{1}{n_j} \left| \sum_{k=0}^{n_j-1} \omega_{n_j}(\Phi^k(a)) \lambda^{-k} \right|,$$

and consider any *-weak limit point $\widetilde{\omega}$ of the sequence $\{\widetilde{\omega}_{n_j}\}_{j \in \mathbb{N}}$ which exists by the Banach Alaoglu Theorem. By passing to a subsequence if necessary, we get

$$|\widetilde{\omega}(f)| = \lim_j \frac{1}{n_j} \left| \sum_{k=0}^{n_j-1} \omega_{n_j}(\Phi^k(a)) \lambda^{-k} \right|.$$

Let $\omega \in \mathcal{S}(\mathfrak{A})$ be the marginal of $\widetilde{\omega}$ defined on constant functions $f_a(s) := a$ by

$$\omega(a) := \widetilde{\omega}(f_a), \quad a \in \mathfrak{A}.$$

By construction, $\widetilde{\omega}$ is invariant under $\widetilde{\Phi}$. Therefore, ω is invariant under Φ as well, which means $\omega = \varphi$ because $(\mathfrak{A}, \Phi, \varphi)$ is uniquely ergodic.

Let $(\mathcal{H}_{\widetilde{\omega}}, V_{\widetilde{\omega}, \widetilde{\Phi}}, \pi_{\widetilde{\omega}}, \xi_{\widetilde{\omega}})$ be the covariant GNS representation associated to $\widetilde{\omega}$. By computing as in Lemma 2.1 of [19], we then conclude for the spectral measures associated to $V_{\widetilde{\omega}, \widetilde{\Phi}}$ and $V_{\varphi, \Phi}$,

$$\mu_{\pi_{\widetilde{\omega}}(f)\xi_{\widetilde{\omega}}}(\{0\}) = \mu_{\pi_{\varphi}(a)\xi_{\varphi}}(\{\theta\}).$$

Therefore, with $P_{\text{const}} \in \mathcal{B}(\mathcal{H}_{\tilde{\omega}})$ the orthogonal projections onto the one dimensional subspace $\mathbb{C}\mathbf{I}_{C(T;\mathfrak{A})} = \mathbb{C} \otimes \mathbf{I}_{\mathfrak{A}}$,

$$\begin{aligned} \mu_{\pi_{\varphi}(a)\xi_{\varphi}}(\{\theta\})^{1/2} &= \mu_{\pi_{\tilde{\omega}}(f)\xi_{\tilde{\omega}}}(\{0\})^{1/2} = \|P_1(f)\| \geq \|P_{\text{const}}(f)\| \\ &= |\tilde{\omega}(f)| = \limsup_n \frac{1}{n} \left| \sum_{k=0}^{n-1} \omega_n(\Phi^k(a))\lambda^{-k} \right|. \end{aligned}$$

□

3. THE MAIN RESULT

The present section is devoted to the following ergodic result we want to prove.

Theorem 3.1. *Let $(\mathfrak{A}, \Phi, \varphi)$ be a uniquely ergodic C^* -dynamical system. Fix $\lambda \in \sigma_{\text{pp}}^{\text{ph}}(\Phi) \cup \sigma_{\text{pp}}^{\text{ph}}(V_{\varphi, \Phi})^c$. Then for each $a \in \mathfrak{A}$,*

$$\lim_n \frac{1}{n} \sum_{k=0}^{n-1} \Phi^k(a)\lambda^{-k} = \chi_{\sigma_{\text{pp}}^{\text{ph}}(\Phi)}(\lambda)\varphi(u_{\lambda}^*a)u_{\lambda},$$

uniformly for $n \rightarrow +\infty$, where $u_{\lambda} \in \mathfrak{A}_{\lambda}$ is any unitary eigenvalue corresponding to $\lambda \in \sigma_{\text{pp}}^{\text{ph}}(\Phi)$.

Proof. First consider the case $\lambda \in \sigma_{\text{pp}}^{\text{ph}}(\Phi)$, and take a unitary eigenvector $u_{\lambda} \in \mathfrak{A}_{\lambda}$. Since Φ is multiplicative, we have

$$\varphi(u_{\lambda}^*a)u_{\lambda} = u_{\lambda} \lim_n \left(\frac{1}{n} \sum_{k=0}^{n-1} \Phi^k(u_{\lambda}^*a) \right) = \lim_n \left(\frac{1}{n} \sum_{k=0}^{n-1} \Phi^k(a)\lambda^{-k} \right).$$

Let now $\lambda \notin \sigma_{\text{pp}}^{\text{ph}}(V_{\varphi, \Phi})$, and suppose $\frac{1}{n} \sum_{k=0}^{n-1} \Phi^k(a)\lambda^{-k} \not\rightarrow 0$ uniformly. Then it would exist a sequence of states $\{\omega_n\}_{n \in \mathbb{N}} \subset \mathcal{S}(\mathfrak{A})$ such that for $\lambda = e^{-i\theta}$, $\limsup_n \frac{1}{n} \left| \sum_{k=0}^{n-1} \omega_n(\Phi^k(a))\lambda^{-k} \right| > 0$. By Lemma 2.4,

$$\mu_{\pi_{\varphi}(a)\xi_{\varphi}}(\{\theta\})^{1/2} \geq \limsup_n \frac{1}{n} \left| \sum_{k=0}^{n-1} \omega_n(\Phi^k(a))\lambda^{-k} \right| > 0$$

which contradicts $\lambda \notin \sigma_{\text{pp}}^{\text{ph}}(V_{\varphi, \Phi})$. □

We end by constructing simple noncommutative examples for which $\sigma_{\text{pp}}^{\text{ph}}(\Phi) \subsetneq \sigma_{\text{pp}}^{\text{ph}}(V_{\varphi, \Phi})$ by tensoring a *uniquely mixing* (see [6]) noncommutative C^* -dynamical system $(\mathfrak{B}, \beta, \omega)$ based on the $*$ -automorphism $\beta : \mathfrak{B} \rightarrow \mathfrak{B}$, with some *Anzai skew product* (cf. [1]) as those described in Section 3 of [19].

Consider the free group $\mathbb{F}_{\mathbb{Z}}$ on infinitely many generators $\{g_j\}_{j \in \mathbb{Z}}$, together with the one-step shift $g_j \mapsto g_{j+1}$ acting on the generators. Such

a shift induces an action of the group of the integers on the reduced group C^* -algebra $C_{\text{red}}^*(\mathbb{F}_{\mathbb{Z}})$ generated by all powers of the corresponding $*$ -automorphism $\sigma(\lambda(g_j)) = \lambda(g_{j+1})$, $\{\lambda(g_j)\}_{j \in \mathbb{Z}} \subset C_{\text{red}}^*(\mathbb{F}_{\mathbb{Z}})$ being the unitary generators of the reduced group C^* -algebra.

It was shown in Corollary 3.3 of [6] that the C^* -dynamical system $(C_{\text{red}}^*(\mathbb{F}_{\mathbb{Z}}), \sigma)$ is uniquely mixing, and thus uniquely ergodic, with the canonical trace τ as the unique invariant state.

Denote by $(\mathcal{H}_{\tau}, \pi_{\tau}, V_{\tau, \sigma}, \xi_{\tau})$ the GNS covariant representation associated to $(C_{\text{red}}^*(\mathbb{F}_{\mathbb{Z}}), \sigma, \tau)$. In particular, we have $\sigma_{\text{pp}}^{\text{ph}}(V_{\tau, \sigma}) = \{1\}$.

Let $(\mathfrak{A}, \alpha, \varphi)$ be the tensor product C^* -dynamical system, where $\mathfrak{A} := C(\mathbb{T}^2; C_{\text{red}}^*(\mathbb{F}_{\mathbb{Z}}))$,

$$\alpha(f)(\mathbf{s}) := \sigma(f(T\mathbf{s})), \quad \mathbf{s} = (s_1, s_2) \in \mathbb{T}^2, \quad f \in C(\mathbb{T}^2; C_{\text{red}}^*(\mathbb{F}_{\mathbb{Z}})),$$

and finally

$$\varphi(f) := \left(\int \otimes \tau \right)(f) = \int_{\mathbb{T}^2} \tau(f(\mathbf{s})) \, d^2\mathbf{s}/4\pi, \quad f \in C(\mathbb{T}^2; C_{\text{red}}^*(\mathbb{F}_{\mathbb{Z}})).$$

Here, $\mathbf{s} = (s_1, s_2) \in [0, 2\pi)^2 \sim \mathbb{T}^2$, and $T(s_1, s_2) = (R_{\theta}s_1, h(s_1) + s_2)$ is the Anzai skew product corresponding to the rotation R_{θ} of the angle $\theta \in [0, 2\pi)$ such that $\theta/2\pi$ is irrational, and to the continuous function $h: \mathbb{T} \rightarrow \mathbb{T}$.

Proposition 3.2. *If the Anzai skew product T is uniquely ergodic, then the above C^* -dynamical system $(\mathfrak{A}, \alpha, \varphi)$ is uniquely ergodic as well.*

In addition, there exist Anzai skew products T such that $\sigma_{\text{pp}}(\alpha) \subsetneq \sigma_{\text{pp}}(V_{\varphi, \alpha})$ and the limit

$$\lim_n \frac{1}{n} \sum_{k=0}^{n-1} \alpha^k(a) \lambda^{-k}$$

fails to exist in the weak topology, for some $a \in \mathfrak{A}$ and $\lambda \in \mathbb{T}$.

Proof. Since $(C_{\text{red}}^*(\mathbb{F}_{\mathbb{Z}}), \sigma, \tau)$ is uniquely mixing and the Anzai skew product $(\mathbb{T}^2, T, d^2\mathbf{s}/4\pi)$ is supposed to be uniquely ergodic, by Theorem 3.7 of [16] we argue that $(\mathfrak{A}, \alpha, \varphi)$ is uniquely ergodic.

Notice that $\sigma_{\text{pp}}(\sigma) = \{1\} = \sigma_{\text{pp}}(V_{\tau, \sigma})$. Therefore, by Lemma 4.17 of [13], each eigenvector $\eta_{\lambda} \in \mathcal{H}_{\varphi} = L^2(\mathbb{T}^2, d^2\mathbf{s}/4\pi; \mathcal{H}_{\tau})$ corresponding to the eigenvalue $\lambda \in \sigma_{\text{pp}}(V_{\varphi, \alpha})$ is of the form $u_{\lambda}(\mathbf{s})\xi_{\tau}$ for some unitary function $u_{\lambda} \in L^2(\mathbb{T}^2, d^2\mathbf{s}/4\pi)$ (*i.e.* a measurable eigenvector in the language of [19]) which is an eigenvector of the Anzai skew-product (*i.e.* $u_{\lambda}(T\mathbf{s}) = \lambda u_{\lambda}(\mathbf{s})$) corresponding to the same value of λ . If moreover $u_{\lambda} \in C(\mathbb{T}^2)$ (*i.e.* a continuous eigenvector in the language of [19]), then

$\lambda \in \sigma_{\text{pp}}(\beta_T)$, β_T being the dual action of T on functions: $\beta_T(f)(\mathbf{s}) = f(T\mathbf{s})$. Summarising, we have

$$\sigma_{\text{pp}}(\beta_T) = \sigma_{\text{pp}}(\alpha) \subset \sigma_{\text{pp}}(V_{\varphi, \alpha}) = \sigma_{\text{pp}}(V_{f, \beta_T}).$$

In order to check the latter assertion, it is enough to consider an Anzai skew product and a continuous function $f \in C(\mathbb{T}^2)$ as in Proposition 3.1, such that

$$\lim_n \frac{1}{n} \sum_{k=0}^{n-1} f(T^k \mathbf{t}) \lambda^{-k}$$

fails to exist for the point $\mathbf{t} \in \mathbb{T}^2$ and $\lambda \in \mathbb{T}$. Therefore, for the element $F(\mathbf{s}) := f(\mathbf{s}) \mathbf{1}_{C_{\text{red}}^*(\mathbb{F}_Z)} \in \mathfrak{A}$ and state $\omega := \delta_{\mathbf{t}} \otimes \tau \in \mathcal{S}(\mathfrak{A})$, we get

$$\lim_n \frac{1}{n} \sum_{k=0}^{n-1} \omega(\alpha(F)) \lambda^{-k} = \lim_n \frac{1}{n} \sum_{k=0}^{n-1} f(T^k \mathbf{t}) \lambda^{-k}$$

which fails to exist. \square

ACKNOWLEDGEMENTS

The author acknowledges the financial support relative to the project "sustainability: OAAMP - Algebre di operatori e applicazioni a strutture non commutative in matematica e fisica" CUP E81I18000070005, and of Italian INDAM-GNAMPA.

The present project is also part of "MIUR Excellence Department Project awarded to the Department of Mathematics, University of Rome Tor Vergata, CUP E83C18000100006".

CONFLICTS OF INTEREST

The authors declare no conflict of interest.

REFERENCES

- [1] Anzai H. *Ergodic skew product transformations on the torus*, Osaka Math. J. **3** (1951), 83-99
- [2] Bratteli O., Robinson D. W. *Operator algebras and quantum statistical mechanics I, II*, Springer, Berlin-Heidelberg-New York, 1981.
- [3] Crismale V., Fidaleo F., Lu Y. G. *Ergodic theorems in quantum probability: an application to the monotone stochastic processes*, Ann. Sc. Norm. Sup. Pisa Cl. Sci. **17** (2017), 113-141
- [4] Fidaleo F. *On the entangled ergodic theorem*, Infin. Dimens. Anal. Quantum Probab. Relat. Top. **10** (2007), 67-77.
- [5] Fidaleo F. *An ergodic theorem for quantum diagonal measures*, Infin. Dimens. Anal. Quantum Probab. Relat. Top. **12** (2009), 307-320.
- [6] Fidaleo F. *On strong ergodic properties of quantum dynamical systems*, Infin. Dimens. Anal. Quantum Probab. Relat. Top. **12** (2009), 551-564.

- [7] Fidaleo F. *The entangled ergodic theorem in the almost periodic case*, Linear Algebra Appl., **432** (2010), 526-535.
- [8] Fidaleo F. work in progress.
- [9] Fidaleo F. *Nonconventional ergodic theorems for quantum dynamical systems*, Infin. Dimens. Anal. Quantum Probab. Relat. Top., **17** (2014), 1450009 (21 pages).
- [10] Fidaleo F., Mukhamedov F. *Strict weak mixing of some C^* -dynamical systems based on free shifts*, J. Math. Anal. Appl. **336** (2007), 180-187.
- [11] Fidaleo F., Mukhamedov F. *Ergodic properties of Bogoliubov automorphisms in free probability*, Infin. Dimens. Anal. Quantum Probab. Relat. Top. **13** (2010), 393-411.
- [12] Furstenberg H. *Ergodic behavior of diagonal measures and a theorem of Szemerédi on arithmetic progressions*, J. Anal. Math. **31** (1977), 204-256.
- [13] Furstenberg H. *Recurrence in ergodic theory and combinatorial number theory*, Princeton University Press, New Jersey 1981.
- [14] Krengel U. *Ergodic Theorems*, De Gruyter Studies in Mathematics, Vol. 6 (De Gruyter, 1985).
- [15] Krylov N., Bogoliubov N. *La théorie générale de la mesure dans son application à l'étude des systèmes dynamiques de la mécanique non-linéaire* Ann. Math. **38** (1937), 65-113.
- [16] Mukhamedov F. *On tensor products of weak mixing vector sequences and their applications to uniquely E -weak mixing C^* -dynamical systems*, Bull Australian Math. Soc. **85** (2012), 46-59.
- [17] Niculescu C. P., Ströh A., Zsidó L. *Noncommutative extension of classical and multiple recurrence theorems*, J. Operator Theory **50** (2003), 3-52.
- [18] Reed M., Simon B. *Functional analysis*, Academic Press, New York-London 1980.
- [19] Robinson, E. A. Jr. *On uniform convergence in the Wiener-Wintner theorem*, J. London Math. Soc. (2) **49** (1994), 493-501.
- [20] Sz.-Nagy N. *Sur les contractions de l'espace de Hilbert*, Acta Szeged **15** (1953), 87-92.
- [21] Takesaki M. *Theory of operator algebras I*, Springer, Berlin-Heidelberg-New York 1979.
- [22] Wiener N., Wintner A. *On the ergodic dynamics of almost periodic systems* Amer. J. Math. **63** (1941) 794-824.

DIPARTIMENTO DI MATEMATICA, II UNIVERSITÀ DI ROMA "TOR VERGATA",
VIA DELLA RICERCA SCIENTIFICA, 00133 ROMA, ITALY. E-MAIL: fidaleo@mat.uniroma2.it