

1 Article

2 Statistical Behaviours of Semiflexible Polymer 3 Chains Stretched in Rectangular Tubes

4 Jizeng Wang^{1,*} and Kai Li¹5 ¹ Key Laboratory of Mechanics on Disaster and Environment in Western China, Ministry of Education,
6 College of Civil Engineering and Mechanics, Lanzhou University, Lanzhou, Gansu 730000, China

7 * Correspondence: jzwang@lzu.edu.cn; Tel.: +86-931-891-5691

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10 **Abstract:** We quantitatively investigated the statistical behaviors of semiflexible polymer chains,
11 which are simultaneously subjected to force stretching and rectangular tube confinement. Based
12 on the wormlike chain model and Odijk deflection theory, we derived a new deflection length, by
13 which new compact formulas are obtained for the confinement free energy and
14 force-confinement-extension relation. These newly derived formulas have been justified by
15 numerical solutions of an eigenvalue problem associated with the Fokker-Planck governing
16 equation and extensive Brownian dynamics simulations based on the so-called Generalized
17 Bead-Rod (GBR) model. We found that, comparing to the classical deflection theory, these new
18 formulas are valid for a much extended range of the confinement-size /persistence-length ratio,
19 and have no adjustable fitting parameters for sufficient long semiflexible chains in the whole
20 deflection regime.

21 **Keywords:** wormlike chain model; rectangular tube confinement; slit confinement; Odijk length;
22 stretch; GBR model; Brownian dynamics simulation

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25 1. Introduction

26 Statistical physics properties of single polymer chains can be significantly influenced or even
27 determined by geometrical confinements and applied external forces [1–4]. A detailed
28 understanding of the behaviors of polymers under such circumstances is still considered as an
29 unsolved problem in polymer physics after more than half a century. However, even so, advances
30 in the study of geometrically and potentially constraint polymers do have continuously promoted
31 the development of many existing nanotechnologies of genomics and materials science etc. [5–7].

32 For polymers under confinements, the effects of constraints have usually been classified into
33 three regimes, the weak, moderate, and strong confinements, which are distinguished in terms of
34 the comparison between polymer's unconfined radius of gyration, R_g , and Kuhn length, a , to the
35 typical confinement length scale. In the regime of weak confinement, Casassa [8] has discussed the
36 free energy of ideal chains trapped in pores with different shapes based on the theory of diffusion.
37 Then de Gennes and his coworkers [9, 10] developed the so-called blob model to describe the
38 statistical behaviors of polymers in moderate confinements and predicted the free energy
39 expression as

$$\frac{F}{k_B T} = N \left(\frac{a}{H} \right)^2, \quad H \gg a \quad (1)$$

40 where N represents the polymerization index and H the typical confinement length scale. A widely
 41 used physical model of single polymer chains is the wormlike chain (WLC) model characterized by
 42 the inextensible contour length, L , and persistence length, $p=a/2$, which was first proposed by
 43 Kratky and Porod in 1949 [11]. In the strong confinement regime, Odijk [12, 13] revealed that the
 44 free energy of confinement can be related to a deflection length scale, λ , so that statistical behaviors
 45 of the polymer at each deflection length can be in analogy with the movement of a particle in a
 46 potential field satisfied the classical limit [15, 16]. Based on this understanding, Odijk [12–14]
 47 obtained expressions of the confinement free energy, F , and average extension of the chain, R_{\parallel} , in
 48 terms of λ as

$$F \approx k_B T \frac{L}{\lambda}, \quad (2)$$

$$1 - \frac{R_{\parallel}}{L} \approx \frac{\lambda}{2p} \quad (3)$$

49 where $\lambda \propto p^{1/3} D^{2/3}$ [12] was suggested for the confinement of cylindrical tube with diameter D .
 50 For the confinement of a rectangular tube with height and width, H_h and H_w , the deflection length
 51 associated with the free energy calculation has been suggested as [17, 21]

$$\lambda \triangleq \frac{1}{A_{\square}} (p^{1/3} H_h^{2/3} + p^{1/3} H_w^{2/3}). \quad (4)$$

52 In contrast, this deflection length associated with the average extension was given as

$$\lambda \triangleq \alpha_{\square} (p^{1/3} H_h^{2/3} + p^{1/3} H_w^{2/3}). \quad (5)$$

53 Prefactors in Equations (4) and (5) have been determined by using various numerical techniques
 54 and theoretical derivations, such as the Monte Carlo simulations [18, 19] and eigenvalue technique
 55 associated with the Fokker-Planck equations [17, 20]. Examples of the determined prefactors are
 56 illustrated in Table 1. We can see that the prefactors, $1/A_{\square}$ and α_{\square} , respectively determined from
 57 the free energy and extension are in almost 10 times difference in quantity.

59 **Table 1.** Prefactors of the Odijk deflection length scale

A_{\square}	α_{\square}
1.1036 [17]	--
1.108 ± 0.013 [18]	--
1.1038 ± 0.0006 [19]	0.09137 ± 0.00007 [19]
1.1032 ± 0.0001 [20]	0.09143 ± 0.0001 [20]

60
 61 In addition, a slit of separation H can be regarded as a rectangular tube with height, $H_h=H$, and
 62 infinite width. Statistical properties of polymer chains confined in the slit have been extensively
 63 studied [22–27] based on Monte Carlo simulations and eigenvalue analysis. The deflection length in
 64 strong confinement regime has been confirmed to follow the Odijk scaling law

$$\lambda \sim p^{1/3} H^{2/3}. \quad (6)$$

65 It can be observed from Equations (4) - (6) that the deflection length for the rectangular tube
 66 mentioned above can be viewed as the combination of that for two slits with heights, H_h and H_w ,
 67 respectively [17].

68 Beyond the Odijk regime, Chen [26] numerically calculated the confinement free energy by
 69 treating the problem of confined polymer as an eigenvalue problem. He also suggested an

70 interpolating formula which can have very good agreement to that of the numerical calculations for
 71 the polymers under confinements of both strong and weak. In addition, an extended de Gennes
 72 regime [22, 28, 29] has also been identified based on the Monte Carlo simulations.

73 Interestingly, external forces can pose similar effects to the statistical behaviors of single
 74 polymer chains as the geometrical confinements. For a polymer chain to be stretched by a
 75 sufficiently large force, f_s , a deflection length also exists and can be expressed as [3, 30], $\lambda_f = p/\sqrt{\hat{f}}$,
 76 where $\hat{f} = f_s p/k_B T$, so that the force-extension relation can be expressed as

$$1 - \frac{R_{\parallel}}{L} \approx \frac{1}{2\sqrt{\hat{f}}} \quad (7)$$

77 Polymers in real microenvironments usually subject to both of the geometrical constraints and
 78 external forces. Wang and Gao [31] have revealed that the average extension of a strongly tube
 79 confined and force stretched polymer chain can be equivalent to that of an unconfined chain
 80 subjecting to an effective stretching force. Li and Wang [32] later confirmed that this property of
 81 equivalence is still valid for the tube confined polymers in a much extended Odijk regime.
 82 Therefore, for a semiflexible polymer chain in the deflection confinement regime, one can generally
 83 have

$$1 - \frac{R_{\parallel}}{L} \approx \frac{1}{2\sqrt{\hat{f} + \hat{f}_c}} \quad (8)$$

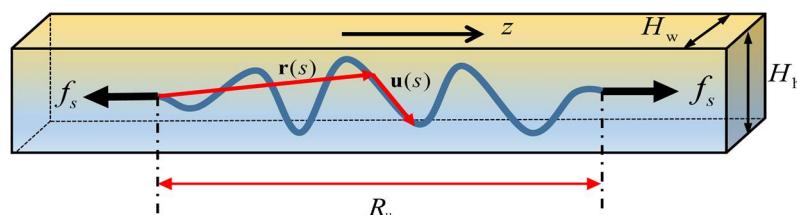
84 where $\hat{f}_c = p^2/\lambda^2$ is the normalized effective force due to the existence of strong confinement, and
 85 λ is the deflection length scale without stretching force.

86 However, as we have pointed out above, the Odijk deflection lengths are very different in
 87 quantities for that determined based on the free energy and the extension calculations, respectively.
 88 Then a critical question arises. Which deflection length should be used if the polymer chain is
 89 simultaneously under both geometrical confinement and force stretching? Obviously, it is still an
 90 open question on how the Odijk length can be uniquely and precisely defined for the polymer
 91 chains confined in rectangular tubes.

92 In this study, for the semiflexible polymer chains confined in rectangular tubes and slits, we
 93 will derive a modified deflection length, which is expected to be valid for a more extended range
 94 than the classical Odijk deflection length. And this extended deflection length will be directly used
 95 to quantitatively formulate both of the confinement free energy and force-extension relation. Then
 96 we will perform numerical calculations based on the eigenvalue technique developed by Chen and
 97 co-works [20, 36, 37], and the Brownian dynamics simulations in terms of the Generalized Bead Rod
 98 (GBR) model [33, 34] to justify our theoretical predictions.

100 **2. Materials and Methods**

101 *2.1. Model*



102 103 **Figure 1.** Schematic of a WLC confined in a tube and stretched by a force.

104

105 We first consider a WLC confined in a rectangular tube with width, H_w , and height, H_h , as
 106 shown in Fig. 1. We assume that the tube is small so that chain's configurations with the so-called
 107 "hairpin" structures rarely exist. That means statistical behaviors of the chain fall in the deflection
 108 regime. In order to obtain a universal deflection length scale, λ , to simultaneously characterize both
 109 of the free energy and extension of the chain. In terms of the idea of de Gennes [9, 10] and Odijk
 110 [12], the chain is assumed to behave like L/λ independent free segments, aligning one by one along
 111 the tube axis, so that the conformational free energy can still be scaled as Equation (1), and the
 112 average extension can be simply the sum of that for each free segment. On the other hand, when
 113 considering the average extension of the chain, quantitative behavior of each segment should be in
 114 analogy with a free chain of effective contour length, $c_1\lambda_m$, in which the parameter, c_1 , actually
 115 reflects the influence of two artificial ends of each such segment. For a free WLC segment of contour
 116 length $c_1\lambda_m$, projection of the position vector of one end, $\mathbf{r}(s_2)$, to the tangential vector of the other
 117 end, $\mathbf{u}(s_1)$, can be given by [35]

$$118 \quad \langle \mathbf{r}(s_1) \cdot \mathbf{u}(s_2) \rangle = p(1 - e^{-c_1\lambda_m/p}). \quad (9)$$

119 Then average extension of the whole chain, can be estimated as

$$120 \quad R_{\parallel} = c_2 \frac{L}{\lambda_m} \langle \mathbf{r}(s_1) \cdot \mathbf{u}(s_2) \rangle = c_2 \frac{pL}{\lambda_m} (1 - e^{-c_1\lambda_m/p}) \quad (10)$$

121 in which c_2 is introduced as an unknown dimensionless factor. Equation (10) should reproduce
 122 Equation (3) in the deflection regime, which can determine $c_1 = \vartheta = 8 A_{\square} \alpha_{\square}$ and $c_2 = 1/\vartheta$, so that
 123 eventually we have

$$124 \quad R_{\parallel} = \frac{Lp}{9\lambda_m} (1 - e^{-\vartheta\lambda_m/p}). \quad (11)$$

125 For a tightly confined polymer in a channel with a rectangular cross section, Burkhardt and
 126 Yang et al. [18, 20] have derived that the confinement free energy of the polymer chain can be
 127 scaled by the average length of tube occupied by the polymer, which is the average extension of the
 128 polymer chain, as follows

$$129 \quad \frac{F}{R_{\parallel}} = A_{\square} \frac{k_B T}{p^{1/3}} (H_h^{-2/3} + H_w^{-2/3}). \quad (12)$$

130 Assuming that λ_m should satisfy both of the Equations (2), (11) and (12), one has

$$131 \quad \frac{L}{\lambda_m} k_B T = A_{\square} \frac{k_B T}{p^{1/3}} (H_h^{-2/3} + H_w^{-2/3}) \frac{Lp}{9\lambda_m} (1 - e^{-\vartheta\lambda_m/p}) \quad (13)$$

132 or

$$133 \quad \frac{\lambda_m}{p} = -\frac{1}{\vartheta} \ln [1 - \vartheta A_{\square}^{-1} (\hat{H}_h^{-2/3} + \hat{H}_w^{-2/3})^{-1}] \quad (14)$$

134 where $\hat{H}_h \triangleq H_h/p$ and $\hat{H}_w \triangleq H_w/p$. Equation (14) can be regarded as a new deflection length
 135 that fulfills both requirements for the free energy and statistics of geometrical quantities. We can see
 136 from Equation (14), as long as $\text{Min}(H_w, H_h)/p \ll 1$, low order Taylor expansion of this equation
 137 reproduce the result in Equation (4). Insertion of Equation (14) into Equation (2), we can obtain the
 138 confinement free energy as follows

$$139 \quad \frac{F}{k_B T} = -\frac{9L}{p \ln [1 - \vartheta A_{\square}^{-1} (\hat{H}_h^{-2/3} + \hat{H}_w^{-2/3})^{-1}]} \quad (15)$$

140 For the extension of the chain under both confinement and stretching force as shown in Figure 1,
 141 Wang and Li [38] have suggested the force-extension relation as shown in Equation (8), which now
 142 can be rewritten as

143
$$1 - \frac{R_{\parallel}}{L} \approx \frac{1}{2} \frac{1}{\sqrt{\hat{f} + g^2 \left\{ \ln[1 - g A_{\square}^{-1} (\hat{H}_h^{-2/3} + \hat{H}_w^{-2/3})^{-1}] \right\}^{-2}}} . \quad (16)$$

144

145 *2.3. Numerical Verifications*

146 *2.3.1 Solutions to the Fokker-Planck Equation*

147 In order to verify the derived free energy expression, we consider the solutions to the
 148 Fokker-Planck equation, which can be used to describe the statistical behaviors of confined polymer
 149 chains. We first introduce $q(\mathbf{r}, \mathbf{u}, s)$ to represent the probability that a polymer chain at arc length s
 150 has the end position vector \mathbf{r} and end unit tangential vector \mathbf{u} . Then we can have the partition
 151 function of the chain with contour length, L , as, $Z = \int d\mathbf{r} d\mathbf{u} q(\mathbf{r}, \mathbf{u}, L)$, and the Fokker-Planck
 152 equation [36]

$$\frac{\partial}{\partial s} q(\mathbf{r}, \mathbf{u}, s) = \left\{ -\mathbf{u} \cdot \nabla_{\mathbf{r}} + \frac{1}{2p} \nabla_{\mathbf{u}}^2 + [(\mathbf{u} \cdot \nabla_{\mathbf{r}}) \mathbf{u}] \cdot \nabla_{\mathbf{u}} - \frac{1}{k_B T} V(\mathbf{r}) \right\} q(\mathbf{r}, \mathbf{u}, s) \quad (17)$$

153 where

$$154 V(\mathbf{r}) = \begin{cases} 0, & |x| < H_w / 2 \text{ and } |y| < H_h / 2 \\ \infty, & |x| > H_w / 2 \text{ and } |y| > H_h / 2 \end{cases} \quad (18)$$

155 is the potential energy per unit length due to the confinement of rectangular tube. As suggested by
 156 Chen [20, 36], solution of Equation (17) can be expanded into a series of eigenfunctions associated
 157 with negative exponential terms of eigenvalues. By noting that the chain is sufficient long and high
 158 order eigenvalues are large enough, the solution can be approximated by the ground state
 159 eigenfunction, $\Psi_0(\mathbf{r}, \mathbf{u})$, and eigenvalue, μ_0 , as follows.

$$160 q(\mathbf{r}, \mathbf{u}, L) \approx \exp(-\frac{\mu_0 L}{2p}) \Psi_0(\mathbf{r}, \mathbf{u}) . \quad (19)$$

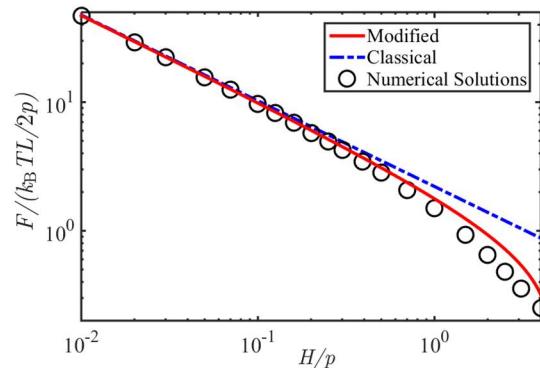
161 Then the free energy can be written as

$$F = -k_B T \ln Z \approx k_B T L \frac{\mu_0}{2p} . \quad (20)$$

162 Comparing Equation (2) and Equation (20) gives

$$\lambda = \frac{2p}{\mu_0} . \quad (21)$$

163 Chen and his co-works [20, 36, 37] have proposed an iteration method to numerically
 164 determine the ground state eigenvalue and eigenfunction. In this study, we have adopted this
 165 method to calculate the confinement free energy. As examples, we consider polymer chains
 166 confined in slits with different heights, H . When using Chen's method to calculate μ_0 , we have set
 167 the tolerance error as 10^{-4} . Figure 2 shows the comparison of the confinement free energy as a
 168 function of H/p obtained by numerical solutions of the ground state eigenvalue, Equation (15), and
 169 Equation (2) in terms of the classical Odijk length, respectively. It can be seen from Figure 2 that free
 170 energy based on the modified deflection length has a better agreement with the numerical results
 171 than that based on the classical one.



172

173 **Figure 2.** Normalized confinement free energy as a function of the normalized slit height, predicted based on the classical
 174 and modified deflection lengths, and the solutions of the eigenvalue problem.

175

176 2.3.2 Brownian Dynamics Simulations

177 We use the technique of statistical dynamics simulations to verify the derived force-extension
 178 relation on polymer chains subjected to both confinement of rectangular tubes and stretching of
 179 external forces. We perform the simulations by using our GBR model for Brownian dynamics of
 180 semiflexible polymer chains in confinements [33, 34]. This model has been successfully applied to
 181 the quantitative analysis of statistical behaviors of polymers confined on spherical surfaces [34] and
 182 in cylindrical tubes [31], and subjected to stretching forces [33]. In this GBR model, we consider the
 183 polymer chain as a discrete WLC with N identical virtual beads of radius, a , at different positions,
 184 $\mathbf{r}_k(t) = \{x_k(t), y_k(t), z_k(t)\}'$, where $k=1, 2, \dots, N$, linked by $N-1$ rods with inextensible length, b . The
 185 virtual beads are used to feel the hydrodynamic interactions. And angle changes of the adjacent
 186 rods are used to account the bending deformation. As long as the position vectors of all N beads at
 187 the n th time step, denoted as $\mathbf{r}_{(n)} = \{\mathbf{r}_{1,(n)}, \mathbf{r}_{2,(n)}, \dots, \mathbf{r}_{N,(n)}\}'$, is obtained, the new position vector at
 188 the $(n+1)$ th time step, $\mathbf{r}_{(n+1)}$ can be calculated from [33, 34]

$$189 \mathbf{r}_{(n+1)} = (\mathbf{I} - \mathbf{T}_{(n)} \mathbf{B}_{(n)}) (\mathbf{r}_{(n)} + \chi_{(n)}^{\text{wall}} + \frac{\Delta t}{k_B T} \mathbf{D}_{(n)} \mathbf{F}_{(n)} + \xi_{(n)} \mathbf{d}) + \mathbf{T}_{(n)} \mathbf{d} \quad (22)$$

190 where k_B is the Boltzmann constant, T the absolute temperature, Δt the time step, $\delta_{nn'}$ the
 191 Kronecker delta symbol, $\mathbf{F}_{(n)}$ the collective vector of internal and external forces, $\mathbf{I} - \mathbf{T}_{(n)} \mathbf{B}_{(n)}$ a
 192 projection matrix which together with $\mathbf{T}_{(n)} \mathbf{d}$ sets the inextensible constraints, $\chi_{(n)}^{\text{wall}}$ the penalty
 193 displacement vector for the tube/slitr walls, $\mathbf{D}_{(n)}$ the translational diffusion matrix determined
 194 through hydrodynamic interactions between beads, $\xi_{(n)}$ the vector of random force generated at
 195 each time step from a Gaussian distribution with zero mean and variance equal to

$$196 \langle \xi_{(n)} \xi_{(n')} \rangle = 2 \mathbf{D}_{(n)} \Delta t \delta_{nn'} \quad (23)$$

197 We have performed Brownian dynamics simulations for WLCs confined in square tubes,
 198 rectangular tubes and narrow slits of different sizes and subjected to various stretching forces. In all
 199 simulations, the chains are initially set in a straight configuration. Confinements and constant tensile
 200 forces are then applied during chains' relaxation. At the n th time step, we record the end-to-end
 201 distance along z-axis (tube axis), $z_{N,(n)} - z_{0,(n)}$. For each simulation, we run total 6 million time steps, so
 202 that the steady extension states can last sufficient long time (see Figure 3). For each case, average
 203 extension of the WLC is obtained by first averaging over time, and then averaging over 120
 204 independent trajectories with different random seeds, which is then denoted as $R_{||}$. For the

205 simulation parameters, we should note that the contour length should be larger than, at least, two
 206 times of the persistence length, and much larger than the deflection length scale, λ_m . As we are
 207 only interested in the equilibrium properties of the polymer chains, therefore specific values of bead
 208 radius and time steps are not the key factors as long as sufficient large numbers of different
 209 configurations of the polymer chain can be generated. And the bond length should be selected
 210 much smaller than the deflection length scale, λ_m , and the persistence length.

211 For all these Brownian dynamics simulations, we choose persistence length of the chain, $p=50$
 212 nm, viscosity of water, $\eta_0=1.005725\times10^{-4}$ Pa.s, and absolute temperature, $T=293$ K. Figure 3 shows
 213 convergence of the simulations for the evolution of the ensemble average of the extension over 120
 214 different trajectories, $\bar{R}_{||}$, for slit and square tube confined WLCs under stretching. It can be seen
 215 from Figure 3 that the equilibrium state can last sufficient long time to guarantee the effectiveness of
 216 time averaging.

217 Figures 4 - 6 show the comparison of Brownian dynamics simulation results and corresponding
 218 theoretical predictions based on the classical Odijk length in Equation (8) and the present new
 219 deflection length in Equation (16), for the normalized average extension of the WLCs stretched by
 220 different forces and confined in square tubes, rectangular tubes and slits of different sizes,
 221 respectively. Simulation parameters on bead radius, a , bond length, b , time step, Δt , and contour
 222 length, L , are listed in Tables 2 - 4 for different chains in different confinements.

223
 224 **Table 2** Simulation parameters for the confinement of square tubes

Confinement size	Bead radius	Bond length	Time step	Contour length
H/p	a	b	Δt	L
0.2	1.85 nm	4 nm	10 ps	120 nm
0.3	1.85 nm	4 nm	10 ps	120 nm
0.4	1.85 nm	4 nm	20 ps	600 nm
0.6	1.85 nm	4 nm	20 ps	600 nm

225
 226 **Table 3** Simulation parameters for the confinement of rectangular tubes

Confinement size	Bead radius	Bond length	Time step	Contour length
$H_x/p, H_y/p$	a	b	Δt	L
0.2, 0.3	2 nm	5 nm	20 ps	300 nm
0.3, 0.4	1.85 nm	4 nm	20 ps	200 nm
0.3, 0.6	1.85 nm	4 nm	20 ps	200 nm
0.4, 0.6	2 nm	5 nm	20 ps	300 nm

227
 228 **Table 4** Simulation parameters for the confinement of slits

Confinement size	Bead radius	Bond length	Time step	Contour length
H/p	a	b	Δt	L/p
0.2	2 nm	5 nm	15 ps	200 nm
0.3	2 nm	5 nm	20 ps	150 nm
0.4	1.85 nm	4 nm	25 ps	400 nm
0.6	1.85 nm	4 nm	20 ps	400 nm

229
 230 It can be seen from Figures 4 - 6 that results based on the newly derived formula on the average
 231 extension of the confined WLC agree with the simulation results very well, and that based on the
 232 classical Odijk length shows apparent discrepancy with the simulation results when the tube
 233 diameter becomes large and stretching force is small.

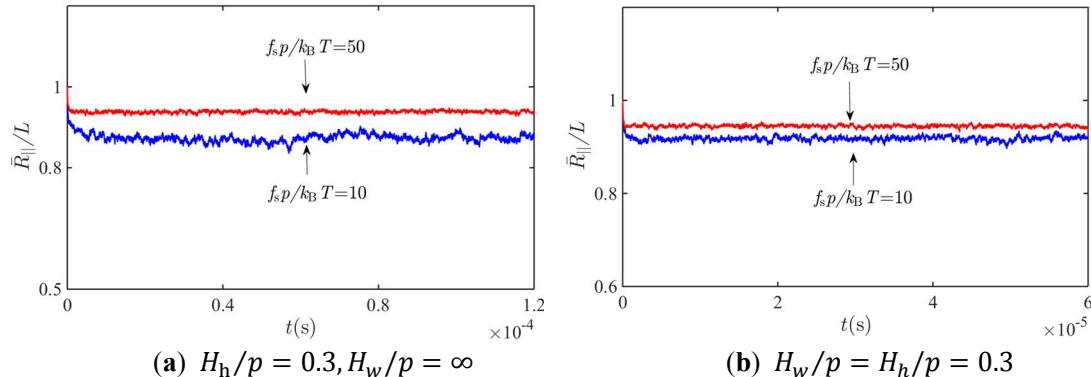
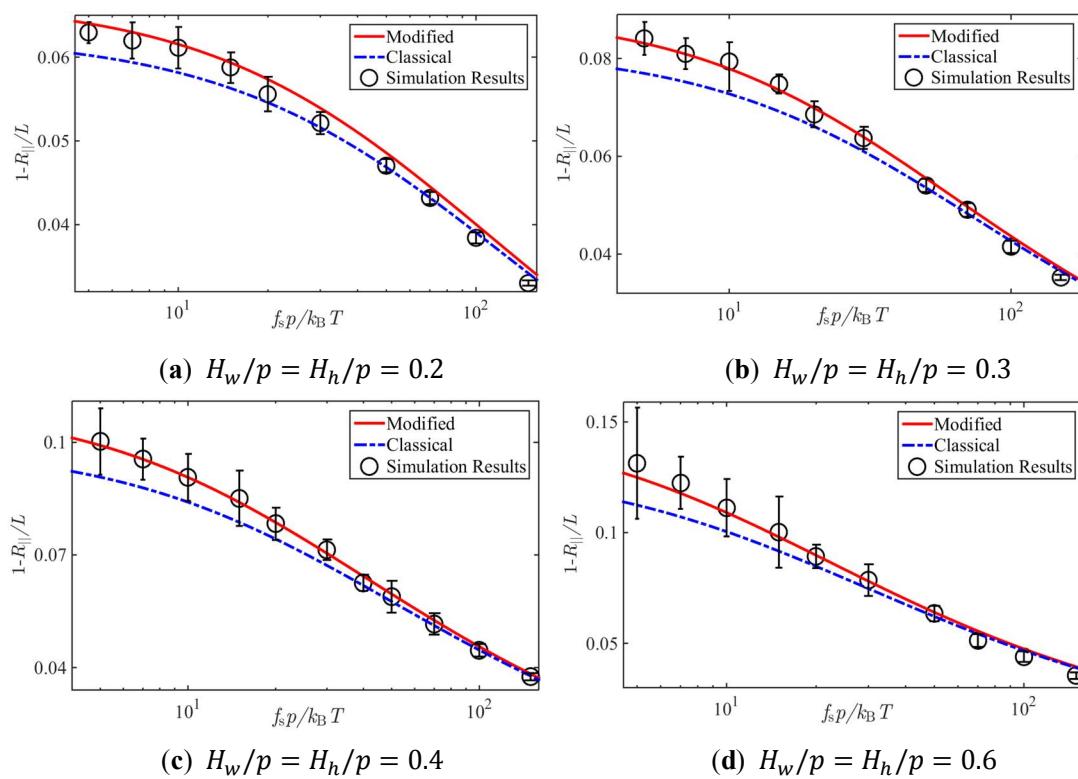
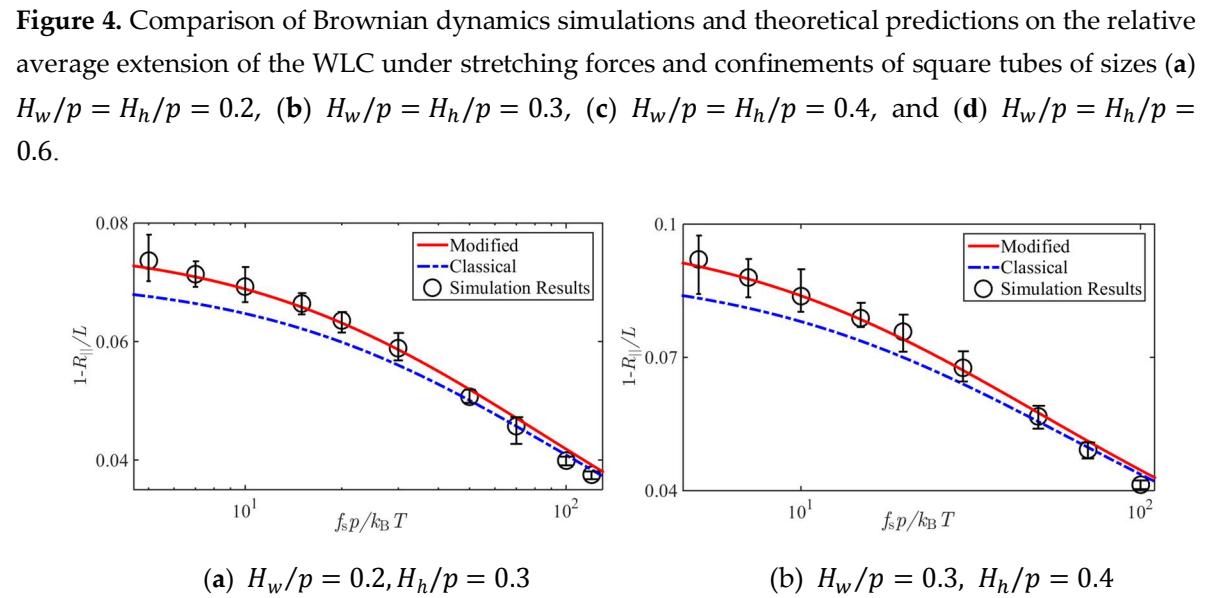
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Figure 3. Evolution of the extension for slit and tube confined WLCs under stretching. (a) Slit, $H_h/p = 0.3, H_w/p = \infty$. (b) Square tube, $H_w/p = H_h/p = 0.3$.

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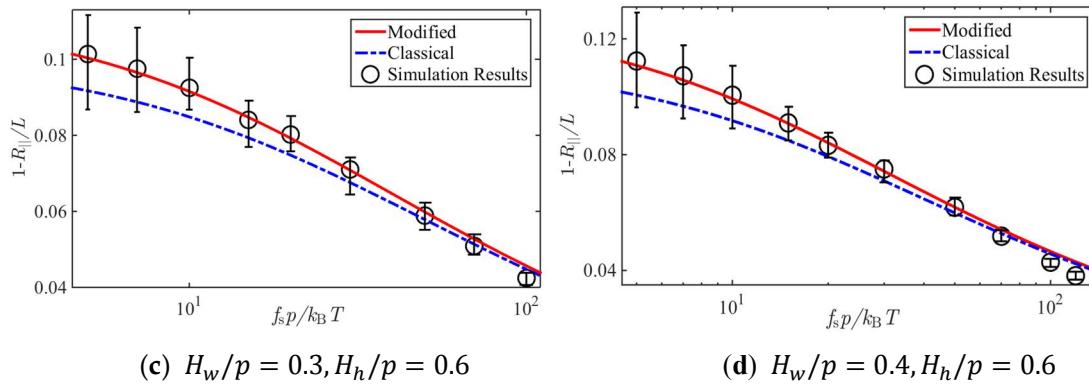


Figure 5. Comparison of Brownian dynamics simulations and theoretical predictions on the relative average extension of the WLC under stretching forces and confinements of rectangular tubes with sizes (a) $H_w/p = 0.2, H_h/p = 0.3$, (b) $H_w/p = 0.3, H_h/p = 0.4$, (c) $H_w/p = 0.3, H_h/p = 0.6$, and (d) $H_w/p = 0.4, H_h/p = 0.6$.

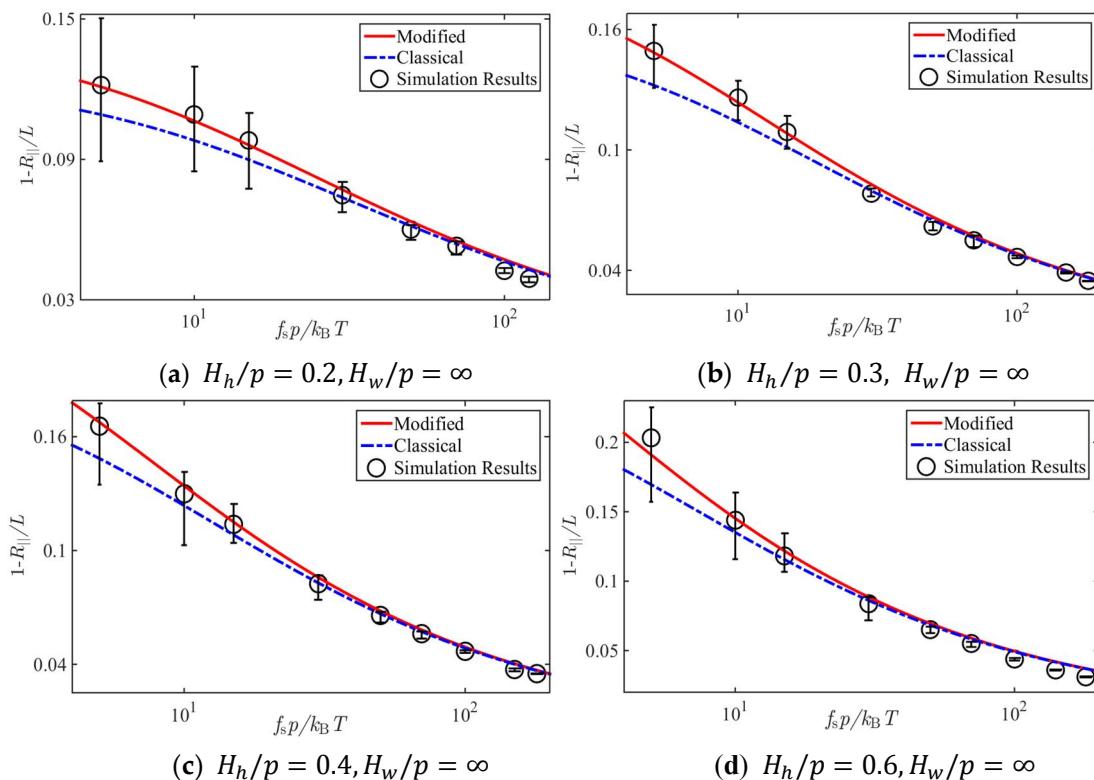


Figure 6. Comparison of Brownian dynamics simulations and theoretical predictions on the relative average extension of the WLC under stretching forces and confinements of slits with sizes (a) $H_h/p = 0.2, H_w/p = \infty$, (b) $H_h/p = 0.3, H_w/p = \infty$, (c) $H_h/p = 0.4, H_w/p = \infty$, and (d) $H_h/p = 0.6, H_w/p = \infty$.

4. Discussions

Based on the WLC theory and existing results on statistical properties of strongly confined semiflexible polymers, we have theoretically and numerically studied the confinement free energy and force-confinement-extension relations of semiflexible polymer chains under stretching and

276 confinements of rectangular tubes in the deflection regime. We derived a modified deflection length
277 without any adjustable parameters, which is valid for quantitative formulations of both of the free
278 energy and geometrical extension. By using this deflection length scale, we have obtained compact
279 formulas on the confinement free energy and force-extension relation without any fitting
280 parameters. Numerical analysis based on the eigenvalue problem of the governing Fokker-Planck
281 equations and the GBR Brownian dynamics simulations have justified these theoretical predictions
282 to be valid for a much more extended range of the confinement/persistence length ratio than that
283 based on the classical deflection length.

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289 and K.L. analyzed the data; J.W. contributed reagents/materials/analysis tools; J.W. and K.L. wrote the paper.

290 **Conflicts of Interest:** The authors declare no conflict of interest.

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