

1 *Review*

# 2 **Epigenetic Factors in Late-Onset Alzheimer's disease:** 3 ***MTHFR* and *CTH* Gene Polymorphisms, Metabolic** 4 **Trans-sulfuration and Methylation Pathways, and B** 5 **vitamins**

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16 **Abstract:** DNA methylation and other epigenetic factors are important in the pathogenesis of late-  
17 onset Alzheimer's disease (LOAD). Methylenetetrahydrofolate reductase (*MTHFR*) gene  
18 mutations occur in most elderly patients with memory loss. *MTHFR* is critical for production of S-  
19 adenosyl-L-methionine (SAM), the principal methyl donor. A common mutation (1364T/T) of the  
20 cystathionine- $\gamma$ -lyase (*CTH*) gene affects the enzyme that converts cystathionine to cysteine in the  
21 trans-sulfuration pathway causing plasma elevation of total homocysteine (tHcy) or  
22 hyperhomocysteinemia – a strong and independent risk factor for cognitive loss and AD. Other  
23 causes of hyperhomocysteinemia include aging, nutritional factors, and deficiencies of B vitamins.  
24 We emphasize the importance of supplementing vitamin B<sub>12</sub> (methylcobalamin), vitamin B<sub>9</sub> (folic  
25 acid), vitamin B<sub>6</sub> (pyridoxine), and SAM to patients in early stages of LOAD.

26 **Keywords:** Alzheimer's disease; *CTH* gene; DNA methylation; epigenetics; epigenome-wide  
27 association study; methylome; *MTHFR* gene; nutrition; S-adenosylmethionine; vitamin B complex  
28

## 29 **1. Introduction**

30 Most genetic research on late-onset Alzheimer's disease (LOAD) has focused on genome-wide  
31 association studies (GWAS) that in general have provided low effect size results, with the exception  
32 of apolipoprotein E (ApoE) [1,2]. Studies of monozygotic twins with Alzheimer's disease (AD)  
33 showed discordance in onset and progression indicating a role for non-genetic factors in disease  
34 pathogenesis [3]. For these reasons, in the last few years genetic research turned to epigenetic  
35 modifications using epigenome-wide association studies (EWAS) [4,5].

36 Bonasio et al [6] defined epigenetics as “the study of molecular signatures that provide a  
37 memory of previously experienced stimuli, without irreversible changes in the genetic information.”  
38 Therefore, epigenetic refers to potentially heritable and non-heritable modifications in gene  
39 expression induced by environmental factors without changes in DNA base sequences [1,2]. These  
40 epigenetic processes include DNA methylation, histone modification and expression of long non-  
41 coding RNAs and non-coding microRNAs (miRNAs) that primarily repress target messenger RNAs  
42 (mRNAs) [1]. In AD, the miRNA-125b is overexpressed enhancing neuronal apoptosis and tau  
43 phosphorylation by activation of cyclin-dependent kinase 5 (CDK5) and p35/25. Forkhead box Q1  
44 (*FOXQ1*) is the direct target gene of miR-125b [7]. The miR-125b has been found to be overexpressed

45 and circulating in patients with cardiovascular diseases and cancer [8]. Epigenetics has been  
46 extensively used in oncology, but epigenetic markers have been demonstrated to be also important  
47 regulatory factors of brain function [9], particularly in AD and other neurodegenerative diseases, as  
48 well as in aging. Experimental anti-aging epigenetic interventions attempt to reverse age-related  
49 changes in DNA methylation [10].

50 This review focuses on DNA methylation dynamics and other epigenetic changes, including the  
51 role of methylenetetrahydrofolate reductase (*MTHFR*) gene polymorphisms and its metabolic  
52 pathways particularly in aging and LOAD pathology [11], as well as polymorphisms of the  
53 cystathionine-gamma( $\gamma$ )-lyase (*CTH*) gene [12] the enzyme that converts cystathionine to cysteine in  
54 the trans-sulfuration pathway and is responsible for plasma elevation of total homocysteine (tHcy).  
55 Also, we review relevant nutritional factors including folate, vitamin B<sub>12</sub>, and vitamin B<sub>6</sub> status, as  
56 well as hyperhomocysteinemia –an independent vascular risk factor linked to coronary disease,  
57 stroke, dementia, as well as cognitive impairment in the elderly and LOAD. Hcy is important in  
58 oxidative stress contributing to the decrease of S-adenosyl-L-methionine (SAM) levels, which induce  
59 demethylation of DNA resulting in overexpression of genes involved in AD pathology such as  
60 presenilin (*PSEN1*) and beta-secretase (*BACE1*), the  $\beta$ -site APP-cleaving enzyme that increases  
61 hypomethylation and A $\beta$ <sub>1-42</sub> deposition [9].

## 62 2. DNA Methylation Studies

63 *5-cytosine methylation and DNA methyltransferases.* Methylation at the 5-position of the cytosine  
64 base (5mC) is considered a critical phase of epigenetic regulation [1] and 5mC mutations introduced  
65 into the germline produce severe developmental restriction [13] and finally a lethal phenotype [14].  
66 Cytosine base methylation occurs mainly at cytosine-phosphate-guanine (CpG) dinucleotides [1].  
67 Gene regulation is achieved by 5mC silencing gene expression via high-density CpG areas, known as  
68 CpG islands, which remain largely unmethylated [9]. In humans, genomic DNA methylation of  
69 cytosine results from the addition of a methyl group from SAM to the cytosine, catalyzed by DNA  
70 methyltransferases (*DNMT1*, *DNMT3A*, and *DNMT3B*) [9]. In addition to 5mC,  
71 hydroxymethylation at the 5-position of the cytosine base (5hmC) derived from the oxidation of  
72 methylated cytosines by ten-eleven translocation (TET) enzymes is another epigenetic regulatory  
73 mechanism, which is particularly abundant in the brain [9].

74 In humans, DNA methyltransferases are involved in tumor transformation and progression  
75 resulting in genome-wide hypomethylation of tumor cells and silencing of tumor-suppressor genes  
76 [15]; also, *DNMT3A* mutations have been associated with poor prognosis in acute myeloid leukemia  
77 [15]. *DNMT1* mutations occur in hereditary sensory and autonomic neuropathy type 1 (HSAN1) [9].  
78 In mice, *DNMT1* mutations induce global hypomethylation along with cortical and hippocampal  
79 neuronal dysfunction causing neurodegeneration with severe deficits in learning, memory, and  
80 behavior [16]. Hypomethylated excitatory neurons have postnatal maturation defects including  
81 abnormal dendritic arborization and impaired neuronal excitability [16].

82 Grossi et al [17] used artificial neural network analysis to illustrate how low cobalamin; low  
83 folate and high Hcy are linked to AD. Low *PSEN1* methylation was linked to low folate levels and  
84 low promoter methylation of *BACE1* and *DNMT* genes. High levels of folate-vitamin B<sub>12</sub> and low Hcy  
85 promoted methylation of genes required for DNA methylation reactions (*DNMT1*, *DNMT3A*,  
86 *DNMT3B*, and *MTHFR*) [18].

87 *DNA methylation in Alzheimer's disease.* Early studies of DNA methylation in LOAD from  
88 peripheral blood lymphocytes [19,20], brain biopsies and autopsy material [21–29], demonstrated  
89 variable results of cytosine methylation at CpG dinucleotides. Wang et al [30] studied postmortem  
90 pre-frontal cortex tissue and peripheral lymphocytes of AD patients and showed that specific loci  
91 in *MTHFR* gene promoter regions were hypermethylated compared to healthy controls. Ellison  
92 et al [31] using gas chromatography/mass spectrometry found abnormal levels of 5mC and 5hmC  
93 in the superior and middle temporal gyri, hippocampus and parahippocampal gyrus in early stages of  
94 AD, as well as in frontotemporal lobe degeneration and Lewy body dementia; these global values  
95 returned to control levels as the disease progressed suggesting that methylation changes occur in

96 early stages of neurodegenerative dementias. Chouliaras et al [32] confirmed the presence of  
97 significant decreases in levels of 5mC and 5hmC in the hippocampus of AD patients compared with  
98 negative controls. Levels of 5mC were inversely proportional to the deposition of neurofibrillary  
99 tangles in the same hippocampal cells. Hernández et al [33] studied DNA methylation patterns of  
100 cortical pyramidal layers in 32 brains of patients with LOAD demonstrating hypermethylation of  
101 synaptic genes and genes related to oxidative-stress including *HOXA3*, *GSTP1*, *CXXC1-3* and *BIN1*.

102 One of the major problems of initial methylation studies was the small sample size. This was  
103 solved by De Jager et al [4] utilizing one of the largest clinicopathological studies to date, the Religious  
104 Orders Study, with 708 brains to assess the methylation state of the brain's DNA correlated with AD  
105 pathology. Almost half million CpGs were interrogated including CpGs in the *ABCA7* and *BIN1*  
106 regions. The authors also identified genes whose RNA expression was altered in AD including *ANK1*,  
107 *CDH23*, *DIP2A*, *RHBDF2*, *RPL13*, *SERPINF1* and *SERPINF2*. A companion study by Lunnon et al [5]  
108 found robust association between differences in methylation, mRNA levels, and tau-based Braak  
109 staging. Dysregulation of DNA methylation occurred earlier in brain areas affected at onset by AD  
110 and appeared to have stronger effects (28.7%) than the combination of ApoE and other risk genes  
111 (13.9%) identified by GWAS [1,2], indicating the importance of epigenetic changes in AD.  
112 Additional studies by Yu et al [34] confirmed the association of DNA methylation in *SORL1*, *ABCA7*,  
113 *HLA-DRB5*, *SLC24A4*, and *BIN1* genes with pathological diagnosis of AD including both A $\beta$  load and  
114 tau tangle density. RNA expression of transcripts of *SORL1* and *ABCA7* was associated with tau  
115 tangle density, and the expression of *BIN1* was associated with A $\beta$  load [34]. Moreover, Lunnon et  
116 al [5] found hypermethylation of the ankyrin 1 (*ANK1*) gene in the entorhinal cortex, superior  
117 temporal gyrus and prefrontal cortex in LOAD. These findings confirm that AD involves significant  
118 disruption of DNA methylation. Epigenetic age-associated alterations of DNA methylation have  
119 also been reported in animal models of AD, in particular global DNA hypomethylation in the J20  
120 model and DNA hypermethylation in the triple transgenic 3xTg-AD model [35].

### 121 3. Trans-sulfuration metabolic pathways and remethylation defects

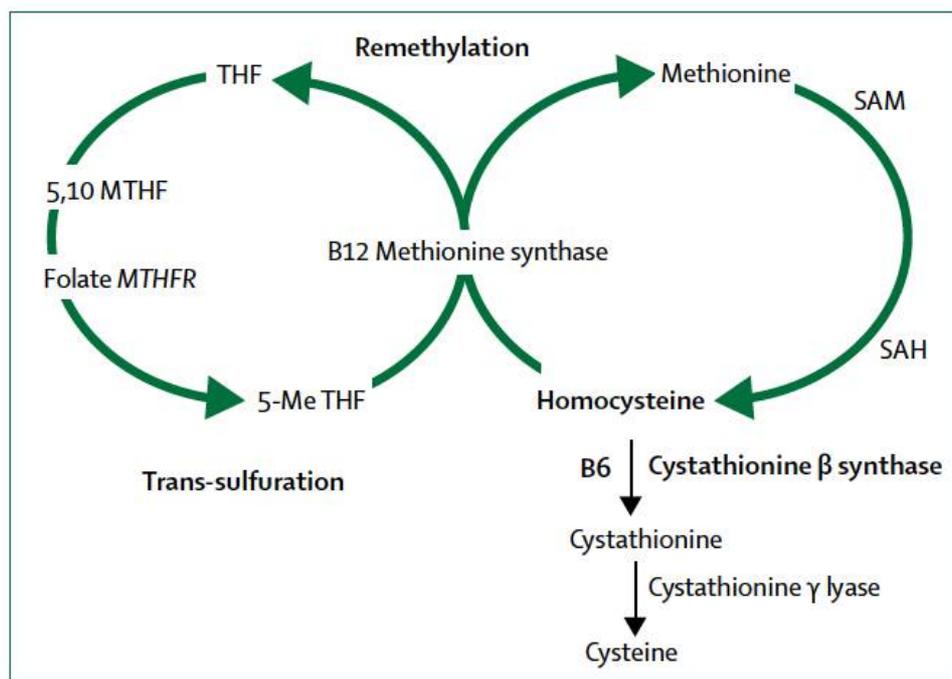
122 The metabolism of sulfur-containing amino acids in the *trans-sulfuration pathway* involves the  
123 transfer of the sulfur atom of methionine to serine to produce cysteine (Figure 1). Methionine first  
124 reacts with ATP to form S-adenosyl-L-methionine (SAM), then S-adenosyl-homocysteine (SAH) and  
125 finally, homocysteine. Plasma elevation of total homocysteine (tHcy) or hyperhomocysteinemia  
126 may result from congenital deficiency of cystathionine  $\beta$ -synthase (CBS) leading to homocystinuria,  
127 or more frequently from polymorphisms of the cystathionine gamma( $\gamma$ )-lyase (*CTH*) gene (OMIM  
128 \*607657; EC 4.4.1.1.) in chromosome 1 (1p31.1) [36]. CTH is the enzyme that converts cystathionine  
129 to cysteine, the last step in the trans-sulfuration pathway. Wang et al [12] demonstrated that a single  
130 nucleotide polymorphism (SNP), namely c.1364G>T in exon 12 of the *CTH* gene causes  
131 cystathioninuria and elevation of tHcy. In Caucasian subjects homozygous for the *CTH* 1364T/T  
132 SNP the elevation of tHcy reached effects sizes similar to those caused by the 677C>T *MTHFR*  
133 polymorphism [12].

134 Closely related to the trans-sulfuration pathway are the *remethylation defects* resulting from the  
135 failure to convert homocysteine to the amino acid methionine (Figure 1). This pathway requires the  
136 integrity of the gene encoding methylenetetrahydrofolate reductase (*MTHFR*) required for the  
137 interaction of folate and cobalamin (vitamin B<sub>12</sub>). Folate provides the methyl group required for the  
138 remethylation pathway (Figure 1) to finally produce SAM, the main methyl donor for epigenetic  
139 processes.

140 The human *MTHFR* gene (OMIM \*607093; EC 1.5.1.20) is localized in chromosome 1 (1p36.3)  
141 and it encodes for 5,10-methylenetetrahydrofolate reductase (MTHFR) [37]. This enzyme catalyzes  
142 the conversion of 5,10-methylenetetrahydrofolate to 5-methyltetrahydrofolate, a co-substrate with  
143 vitamin B<sub>12</sub> for the remethylation of homocysteine to methionine [11]. Mutations of this gene occur in  
144 10-15% of the population and the resulting MTHFR deficiency affects the production of methionine  
145 and SAM. Linnebank et al [38] demonstrated a decrease of SAM in the cerebrospinal fluid (CSF) of  
146 patients with LOAD, mainly among ApoE  $\epsilon$ 4 carriers.

147 *MTHFR* gene polymorphisms cause enzyme thermolability and involve C-to-T substitution at  
148 nucleotide 667 and A-to-C at nucleotide 1298; these *MTHFR* mutations have been associated with  
149 homocystinuria, neural tube defects, preeclampsia, cleft lip and cleft palate, cerebrovascular disease,  
150 and psychiatric disorders including susceptibility to depression and schizophrenia [39,40].  
151 Population-based international studies showed no increased risk of dementia in subjects with  
152 *MTHFR* polymorphisms [41,42]. In Japan, Nishiyama et al [43] found a slight association of the  
153 *MTHFR*-C667T polymorphism with senile cognitive decline in men but not with AD. In Australia, a  
154 causal link between high tHcy and incident dementia was demonstrated [44] but the study lacked  
155 power to determine an effect of the *MTHFR*-C667T genotype. In contrast, in the normal elderly  
156 population of the Rotterdam Study de Lau et al [45] observed that the *MTHFR*-C665T genotype was  
157 associated with elevated tHcy but not with cognitive loss or white matter lesions. In a small patient  
158 population in Tunisia [46], the *MTHFR*-A1298C mutation was associated with susceptibility to AD.  
159 As mentioned earlier, Román [11] found a very high frequency (above 90%) of *MTHFR* gene  
160 mutations in an elderly population attending a memory clinic in the USA, with diagnoses ranging  
161 from mild cognitive impairment (MCI) to LOAD; about 65% had single mutations; the *MTHFR*-  
162 C667T mutation was found in 58.5% of the patients and 41.5% had the *MTHFR*-A1298C mutation  
163 whereas 20% were compound heterozygous for both mutations [11].

164 *MTHFR and epigenetic drift.* In 2005, a multinational study of identical twins by Fraga et al [47]  
165 first demonstrated that whereas DNA methylation and histone acetylation in young identical twins  
166 are indistinguishable, older identical twins showed substantial differences; epigenetic changes were  
167 up to four times greater than those of young twin pairs. The authors concluded that this “epigenetic  
168 drift” was associated with aging [47]. Epigenetic drift of identical twins with aging also occurs  
169 among a large number of animal species [48] following a non-Mendelian pattern. In identical twins  
170 with AD, the prognosis and onset of AD can differ by more ten years [3,49-53]; young identical twin  
171 pairs are essentially indistinguishable in their epigenetic markings while older identical twin pairs  
172 show substantial variations. Breitner et al [50,53] suggested that twins with a history of systemic  
173 infection developed AD at an earlier onset than their identical twin. Epigenetic drift can be caused by  
174 lifestyle, diet, infections, folate status, homocysteine status, or toxic exposure [51]. Wang et al [52]  
175 demonstrated that the *MTHFR* gene promoter in the brain displayed high interindividual variance  
176 in DNA methylation among twins. The methylation level of *MTHFR* and *APOE* in individuals 30  
177 years of age apart decreased by 10.6%, whereas in patients with AD the methylation level increased  
178 by 6.8%. The epigenetic drift increases with age particularly in genes that play pivotal roles in  
179 removing  $\beta$ -amyloid such as *PSEN1* and *APOE* and among methylation genes such as *MTHFR* and  
180 *DNMT1* [9,54].



181

182 **Figure 1: Homocysteine metabolism:** B12=cobalamin. B6=pyridoxine.  
 183 MTH=methylenetetrahydrofolate. MTHFR=methylenetetrahydrofolate reductase. SAM=S-  
 184 adenosylmethionine. SAH=S-adenosylhomocysteine. 5-Me THF=5-methyl tetrahydrofolate. (From  
 185 Spence, J.D.; Yi, Q.; Hankey, G.J. B vitamins in stroke prevention: Time to reconsider. *Lancet Neurol.*  
 186 2017, 16, 750–760.

#### 187 4. Homocysteine: A risk factor for cognitive loss and dementia

188 Hcy is a sulfur-containing amino acid produced in the *trans-sulfuration pathway* (Figure 1) from  
 189 the reaction of methionine with ATP to form SAM, then SAH and finally homocysteine.  
 190 Homocystinuria due to congenital deficiency of the CBS gene causes hyperhomocysteinemia.  
 191 Polymorphisms of the CTH and MTHFR genes are common genetic causes of hyperhomocysteinemia  
 192 [36,37]. The *remethylation pathway* (Figure 1) involves reactions enzymatically mediated by MTHFR  
 193 requiring as co-substrates the B-group vitamins folic acid (vitamin B<sub>9</sub>) and cobalamin (vitamin B<sub>12</sub>)  
 194 for the remethylation of homocysteine to methionine. Pyridoxine (vitamin B<sub>6</sub>) is required by CBS for  
 195 the conversion of homocysteine to cysteine (Figure 1).

196 Elevation of plasma or serum tHcy (hyperhomocysteinemia) is an independent vascular risk  
 197 factor linked to coronary disease, peripheral vascular disease, stroke and small-vessel  
 198 cerebrovascular disease [55]. More importantly, elevated tHcy is considered a risk factor for dementia  
 199 and cognitive decline in the elderly, particularly in association with low levels of folate and cobalamin  
 200 [56]. A number of studies in cognitively normal elderly subjects, demonstrated that baseline tHcy is  
 201 a strong and independent predictor of cognitive decline after observation periods ranging from 3  
 202 years (USA, n=321 men [57] and Sydney, Australia, n=889 [58]); 4 years (France, n=1241) [59]; 5 years  
 203 (Wales, United Kingdom, n=32) [60]; 6 years (Norway, n=2,189) [61]; 7 years (Finland n=274) [62], up  
 204 to 10 years (United Kingdom, n=691) [63]. In the Finland cohort [62], the MRI study demonstrated  
 205 the association of higher baseline vitamin B<sub>12</sub> and holotranscobalamin levels with a decreased rate of  
 206 total brain volume loss during 8 years of the study period [64]. Increased tHcy levels were  
 207 associated with faster rates of total brain volume loss and with progression of white matter  
 208 hyperintensities among participants with hypertension (systolic blood pressure > 140 mm Hg) [64].

209 Regarding the risk of AD associated to elevated tHcy, in the Framingham Study, Seshadri and  
 210 colleagues [65] demonstrated in elderly subjects (mean age, 76 years) that raised tHcy above 14  
 211 μmol/L nearly doubled the risk of LOAD over a period of 8 years. Similar findings were corroborated

212 in two large Finnish [62,64,66] and Australian [67] cohorts. In 2008, Smith [68] performed a  
 213 comprehensive review of cross-sectional and prospective studies involving >46,000 subjects and  
 214 confirmed the association between elevated tHcy and cognitive deficit or dementia.

215 According to a recent international consensus statement [69], moderately raised homocysteine  
 216 (>11 $\mu$ mol/L) increases the relative risk of dementia in the elderly 1.15 to 2.5 fold, and the Population  
 217 Attributable risk from 4.3 to 31% [69]. From the Public Health viewpoint, homocysteine-lowering  
 218 treatment with B vitamins that markedly slows down the rate of brain atrophy and cognitive decline  
 219 in the elderly offers the possibility that, in addition to folic acid fortification, mandatory  
 220 methylcobalamin supplementation should also be considered for the prevention of LOAD  
 221 [44,62,68,69]

222 Elevation of tHcy is caused by numerous factors including advancing age, diet, supplementation  
 223 of B-vitamins, obstructive sleep apnea, smoking, *Helicobacter pylori* infection, and renal failure, among  
 224 others [55,56]. As indicated earlier, both CBS gene polymorphisms and the C667T and the A1298C  
 225 S4-NPs in the *MTHFR* gene decrease the activity of the MTHFR enzyme leading to  
 226 hyperhomocysteinemia.

227 **Table 1.** Harmful effects of homocysteine on vascular function and cognition (Modified from Smith  
 228 & Refsum [56])

<b>Proposed mechanisms</b>	
<b>Vascular Mechanisms</b>	
1	Impairs endothelial function reducing inducible NO synthase
2	NO-mediated endothelial dysfunction in brain vasculature
3	Causes a leaky blood-brain barrier
4	Induces thrombosis
5	Cerebrovascular ischemia leading to neuronal death and tau tangle deposition
6	Affects lipid metabolism increasing cholesterol synthesis
7	Reduces synthesis of apolipoprotein 1
8	Causes cerebral amyloid angiopathy
<b>Neuronal Mechanisms</b>	
1	Direct activation of NMDA receptor causes excitotoxic neuronal death
2	Homocysteic acid and cysteine sulfinic acid activate NMDA receptor causing neuronal death by excitotoxicity
3	Oxidative stress induced by generating superoxide and reactive oxygen species
4	Decreased activity of antioxidant enzymes
5	Formation and deposition of $\beta$ -amyloid
6	Potentiates neurotoxic effects of $\beta$ -amyloid by itself or via homocysteic acid
7	Activates tau kinases, such as Cdk5, causing tau tangle deposition
8	Triggers the cell cycle in neurons, leading to tangle formation and cell death
9	Causes DNA damage, limits DNA repair, leading to apoptosis
10	Increases SAH inhibiting methylation reactions, such as DNA cytosine methylation in promoters for amyloid genes, causing epigenetic effects
11	Inhibits PP2A activity leading to tau tangle deposition
12	Inhibits methylation of phosphatidyletanolamine
13	Stimulates endoplasmic reticulum stress response leading to amyloid formation
14	Activates the immune system
15	Decreases SAM-dependent synthesis of catecholamines and other neurotransmitters

229 Smith and Refsum [56] reviewed the proposed mechanisms responsible for the harmful  
230 cognitive effects of hyperhomocysteinemia (Table 1). These include impaired endothelial function  
231 with reduced inducible nitric oxide synthase; augmented oxidative stress and decreased activity of  
232 key antioxidant enzymes; raised generation of the superoxide anion; alterations of lipid metabolism  
233 with increased cholesterol synthesis and reduced synthesis of apolipoprotein 1; and, carotid stenosis  
234 and induction of thrombosis [55,56].

235 Minagawa et al [70] found that elevated Hcy inhibits the dimerization of ApoE3 and reduces  
236 ApoE3-mediated high-density lipoprotein (HDL) concentrations involved in degradation of soluble  
237 A $\beta$  within microglia. ApoE4 was not affected; in patients with hyperhomocysteinemia the CSF levels  
238 of ApoE3 dimers were significantly lower than in controls. Minagawa et al [70] suggested that the  
239 effects of elevated Hcy on ApoE3 contribute to the pathogenesis of AD.

240 Hyperhomocysteinemia induces a decrease in the SAM-dependent synthesis of catecholamines  
241 including dopamine, norepinephrine, and epinephrine, as well as non-catecholamine  
242 neurotransmitters such as melatonin and serotonin (5-HT) that contribute to development of  
243 depression [71]. Moreover, elevated tHcy produces two neurotoxic products, homocysteic acid  
244 (HCA) and cysteine sulfinic acid (CSA), which are agonists of the N-methyl-D-aspartate (NMDA)  
245 glutamate receptor, with neurotoxic effects on dopaminergic neurons derived from excessive Ca<sup>++</sup>  
246 influx and reactive oxygen generation [72]. The beneficial effects of B-group vitamins on elevated  
247 tHcy will be reviewed next.

## 248 5. Folate metabolism

249 Vitamin B<sub>9</sub> or folic acid (from the Latin *folium*, leaf) is abundantly found in green leafy  
250 vegetables. Folate is vital for cell development and growth given its role in numerous biochemical  
251 one-carbon (methyl-group, -CH<sub>3</sub>) reactions, many of them critical for cognition. The Nun Study [73]  
252 first provided epidemiological and neuropathological data demonstrating that limited lifetime  
253 consumption of salads with low blood folate levels increased the risk of cognitive decline and  
254 dementia. Also, the severity of the atrophy in the neocortex and of the Alzheimer disease lesions were  
255 strongly correlated with low serum folate levels; none of 18 other nutrients, lipoproteins, or  
256 nutritional markers measured in the study correlated with the atrophy [73]. Further studies  
257 confirmed that normal cognitive scores were highly associated with elevated blood folate despite the  
258 neuropathological evidence of LOAD brain lesions [74].

259 The primary methyl-group donor for DNA methylation reactions is 5-methyl-tetrahydrofolate  
260 (CH<sub>3</sub>-THF) required for the transformation of homocysteine into methionine mediated by methionine  
261 synthase with cobalamin (vitamin B<sub>12</sub>) as a co-substrate (Figure 1), leading to the synthesis of SAM.  
262 Also, CH<sub>3</sub>-THF is critical in the *de novo* purine synthesis to convert dUMP (deoxyuridylylate) into dTMP  
263 (thymidylylate) for DNA and RNA synthesis, DNA repair or replication. Several forms of cancer are  
264 associated with epigenetic differential methylation causing disturbances in nucleotide synthesis; for  
265 instance, hypermethylation may inhibit tumor suppressors. Folate, therefore, is an important  
266 epigenetic determinant in gene expression, DNA stability, DNA integrity and mutagenesis.  
267 Abnormal folate status is an important factor in neural tube defects, cardiovascular and  
268 cerebrovascular diseases, cleft lip and palate, neurodegenerative diseases, schizophrenia, and  
269 depression [75–77].

270 Low folate levels are associated with short telomeres due to DNA damage in the telomeric  
271 region. Telomere length is epigenetically regulated by DNA methylation and directly influenced by  
272 folate status, a process independent of DNA damage due to uracil incorporation. Shorter telomeres  
273 occur with age, infection, stress, and chronic diseases including LOAD [78]. Paul et al [79] observed  
274 that decreased plasma folate concentration to <11.6  $\mu$ mol/L was correlated with a decrease in mean  
275 telomere length. In this population, carriers of homozygous *MTHFR-C677T* gene mutation showed  
276 decreased levels of plasma folate [80]. Decreased serum folate induces anomalous integration of  
277 uracil in place of thymidine in DNA [81], a mechanism corrected by folic acid supplementation.  
278 Troesch et al [82] summarized the importance of reduced SAM-dependent methylation reactions, due  
279 to genetic factors along with reduction of folate, vitamin B<sub>6</sub> and vitamin B<sub>12</sub> levels, for the

280 development of LOAD. The resulting elevation of Hcy levels and the reduced capacity to synthesize,  
281 methylate and repair DNA, along with the impaired modulation of neurotransmission, appears to  
282 favor the development of AD particularly when combined with increased oxidative stress,  
283 particularly in ApoE  $\epsilon$ 4 carriers [83].

## 284 6. Vitamin B<sub>12</sub> Deficiency and $\beta$ -amyloid deposition

285 Smith, Warren and Refsum [84] have recently provided a comprehensive review of vitamin B<sub>12</sub>.  
286 Only bacteria can biosynthesize vitamin B<sub>12</sub>; in humans B<sub>12</sub> from the diet is a cofactor for the enzymes  
287 methionine synthase and L-methyl-malonyl-CoA mutase. B<sub>12</sub> deficiency results in build-up of  
288 homocysteine and lack of interaction with folate that is trapped as CH<sub>3</sub>-THF leading to depletion of  
289 tetrahydrofolates used in thymidylate and purine synthesis blocking DNA for the production of red  
290 cells in the bone marrow. B<sub>12</sub> deficiency impedes cellular proliferation and protein synthesis and  
291 thereby causes development of megaloblastic anemia [84]. In 1920, pernicious anemia was  
292 successfully treated by adding liver to the diet. In 1955, Dorothy Hodgkin used crystallography to  
293 first identify the molecular structure of cyanocobalamin or vitamin B<sub>12</sub> from the deep-red cyanide-  
294 containing pigment isolated from liver tissue. Pernicious anemia was the first disease identified as  
295 caused by vitamin B<sub>12</sub> deficiency [84].

296 Stabler [85] reviewed the clinical manifestations of vitamin B<sub>12</sub> deficiency. In addition to  
297 megaloblastic anemia, acidemia from elevation of serum methylmalonic acid (MMA), and  
298 methylmalonic aciduria, the neurological manifestations of pernicious anemia include memory loss  
299 and cognitive decline, visual disturbances from optic nerve neuropathy, burning and painful  
300 sensations in hands and feet from peripheral neuropathy, and spinal cord involvement with subacute  
301 combined degeneration resulting in loss of proprioception from dorsal column involvement and  
302 pyramidal tract symptoms such as paralysis and incontinence.

303 Dietary sources of B<sub>12</sub> include liver, meat, fish, shellfish, and dairy products; vegans are prone  
304 to B<sub>12</sub> deficiency [84,85]. Vitamin B<sub>12</sub> deficiency occurs from inborn metabolic errors, alterations of B<sub>12</sub>-  
305 binding proteins including *haptocorrin* (HC) found in saliva, *intrinsic factor* (IF) produced by parietal  
306 cells in the stomach (pernicious anemia is associated with anti-parietal-cell and anti-IF auto-  
307 antibodies), and *transcobalamin* (TC) which binds B<sub>12</sub> to facilitate uptake by the cells [84]. According  
308 to Stabler [85], measurement of total serum B<sub>12</sub> levels is unsatisfactory because it reflects B<sub>12</sub> that is  
309 bound to either HC or TC and up to 60% of bound materials are cobalamin analogues (corrinoids).  
310 Therefore, "normal" total serum B<sub>12</sub> levels can mask deficiency if serum contains relatively large  
311 amounts of cobalamin analogues [84]. Levels below 200 pg/mL usually indicate *biochemical B<sub>12</sub>*  
312 *insufficiency*. Serum B<sub>12</sub> <350 pg/mL along with tHcy >14  $\mu$ mol/L indicate *metabolic B<sub>12</sub> deficiency*  
313 [84,85]. For this reason, holotranscobalamin, MMA and tHcy levels should be included in the  
314 evaluation of a patient suspected of having B<sub>12</sub> deficiency [86]. Other causes of B<sub>12</sub> deficiency include  
315 atrophic body gastritis, malabsorption of vitamin B<sub>12</sub>, gastrectomy, gastric bypass or other bariatric  
316 surgery, inflammatory bowel disease, tropical sprue, use of metformin, anticonvulsants, drugs to  
317 block stomach acid, and vegetarian diets low in meat and dairy products. Hemodialysis patients,  
318 nitrous oxide inhalation, and cholinesterase inhibitors in LOAD patients [87] also increase the risk of  
319 vitamin B<sub>12</sub> deficiency. Epidemiological studies have shown that prevalence of vitamin B<sub>12</sub> deficiency  
320 increases with age [88,89], due to decreased saliva (dry eyes-dry mouth) and gastric atrophy with  
321 deficits respectively of haptocorrin and intrinsic factor. Andrès et al [90] have emphasized that as  
322 many as 20% of elderly people may have unrecognized B<sub>12</sub> deficiency due to food-cobalamin  
323 malabsorption plus insufficient dietary intake. According to Spence [91], metabolic B<sub>12</sub> deficiency  
324 occurs in 30% of vascular patients older than 71 years, increasing to as many as 40% in patients above  
325 age 80 years; these patients usually have plasma levels of tHcy >14  $\mu$ mol/L resulting from B<sub>12</sub>  
326 deficiency. Inadequate supply of B<sub>12</sub> and folic acid is not only a strong and independent vascular risk  
327 factor but it is also responsible for cognitive impairment and memory complaints in the elderly  
328 promoting the development of LOAD [92]. Animal experimental data confirms the importance of  
329 B-vitamin deprivation in the expression of AD [93].

330 Despite the negative results of meta-analyses reviewing results from inadequately controlled  
 331 clinical trials [94], solid positive results such as those of the OPTIMA trial [95–97] indicate that  
 332 supplementation of B<sub>12</sub>, pyridoxine, and folic acid in subjects with MCI and hyperhomocysteinemia  
 333 decreases tHcy resulting in improved episodic memory and global cognition and, most importantly,  
 334 halting the progression of the brain atrophy in areas affected by AD [97]. Current recommendation  
 335 is to provide oral supplementation of methylcobalamin 1000 µg/d, folic acid 800 µg/d and pyridoxine  
 336 100 mg/d.

## 337 7. Conclusions

338 It is well established that the damaging effects of deficiencies of folate and cobalamin and the  
 339 resulting elevation of tHcy contribute to the development of LOAD [69]. The numerous detrimental  
 340 effects of elevated tHcy include, among others, endothelial and cerebrovascular damage of large-  
 341 vessels as well as small-vessel disease [98]; activation of tau kinases; inhibition of methylation  
 342 reactions; epigenetic effects on the β-amyloid pathway; reduced protein phosphatase-2A; and,  
 343 impaired formation of phosphatidylcholine. Adequate supply of B-vitamins in the elderly,  
 344 particularly in subjects with *MTHFR* and *CTH* gene mutations, appears to be critical to prevent the  
 345 development of cognitive decline and to halt the progression of LOAD.

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