

1 Article

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Reduction of haematite using hydrogen thermal 3 plasma

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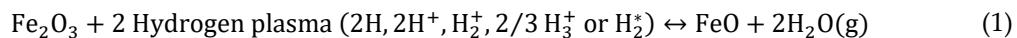
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10 **Abstract:** The development of hydrogen plasma smelting reduction as a CO₂ emission-free steel-
11 making process is a promising approach. This study presents a concept of the reduction of hematite
12 using hydrogen thermal plasma. A laboratory scale and pilot scale hydrogen plasma smelting
13 reduction (HPSR) process are introduced. To assess the reduction behavior of hematite, a series of
14 experiments has been conducted and the main parameters of the reduction behavior, namely the
15 degree of hydrogen utilization, degree of reduction and the reduction rate are discussed. The
16 thermodynamic aspect of the hematite reduction is considered and the pertinent calculations have
17 been carried out using FactSageTM 7.2. The degree of hydrogen utilization and the degree of
18 reduction were calculated using the off-gas chemical composition. The contribution of carbon,
19 introduced from the graphite electrode, ignition pin and steel crucible, to the reduction reactions
20 was studied. The degree of reduction of hematite, regarding H₂O, CO and CO₂ as the gaseous
21 reduction products, is determined. It is shown that the degree of hydrogen utilization and the
22 reduction rate were high at the beginning of the experiments, then decreased during the reduction
23 process owing to the diminishing of iron oxide. Conducting experiments with the high basicity of
24 slag B₂=2 led to a decrease of the phosphorus concentration in the produced iron.25 **Keywords:** hydrogen plasma; smelting reduction; iron oxide; plasma arc; degree of hydrogen
26 utilization; degree of reduction; hematite; basicity

27

28

1. Introduction

29 The main reason for the development of the HPSR process is to minimize the CO₂ emissions in
30 the iron- and steel-making processes. For instance, to produce 1 ton of liquid steel by the integrated
31 blast furnace-basic oxygen furnace routes, 1876 kg of CO₂ is emitted [1]. The amount of CO₂ emissions
32 caused by the iron and steel industry was approximately 2.6 GtCO₂ in 2006 [2], meaning that the iron
33 and steel industry is responsible for 4–5% of the total greenhouse gases emissions.34 A detailed description of the thermodynamic concepts of iron oxides reduction using hydrogen
35 thermal plasma is given in the other work [3] by some of the present authors. In HPSR, the reduction
36 reaction of hematite by hydrogen in the plasma state is given by:37 The Ellingham diagram for metal-oxide and H₂O–H₂, H₂O–H and H₂O–H⁺ lines over
38 temperature indicate that the H₂O–H⁺ line lies below the other lines; consequently, hydrogen in the
39 plasma state can reduce all metal oxides [4–6].40 HPSR has been introduced as a CO₂-free iron- and steel-making route at Montanuniversitaet
41 Leoben. Several researchers [7–14] at the Chair of Ferrous Metallurgy have studied the reduction

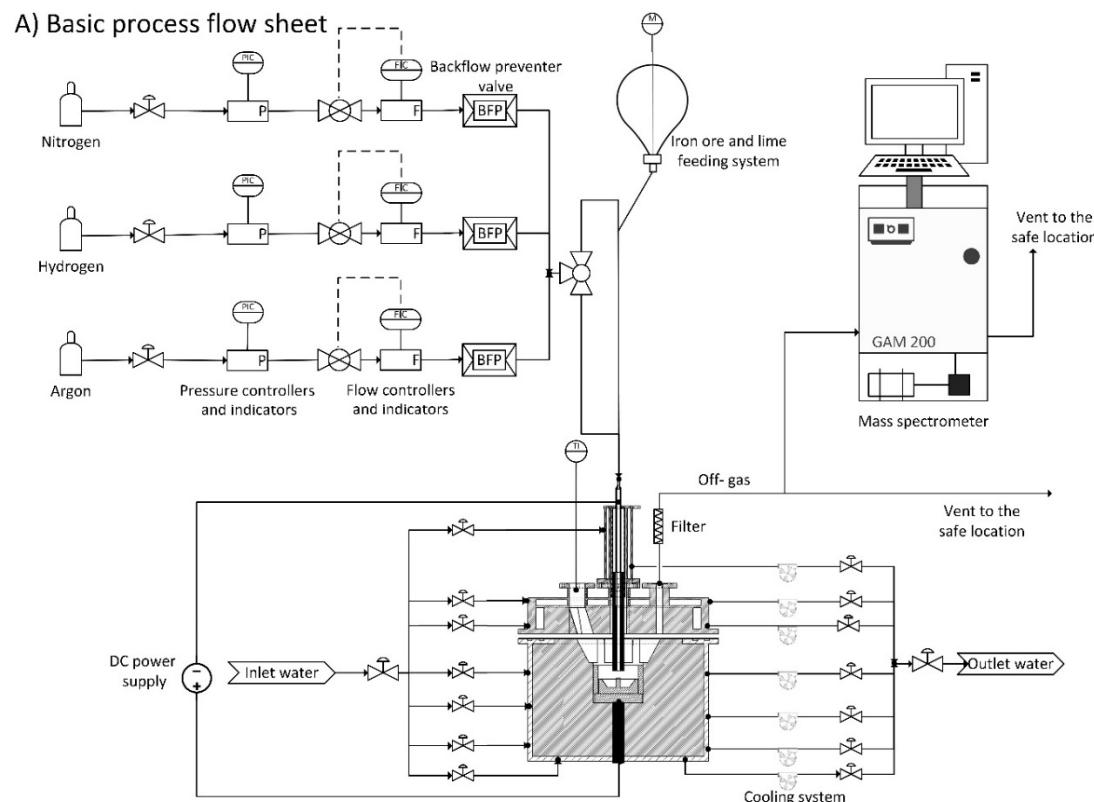
42 behavior of different iron oxides using hydrogen, carbon, carbon monoxide, and natural gas in the
43 plasma state.

44 Weigel et al. [15,16] carried out some experiments to reduce iron ores using an argon hydrogen
45 plasma in a DC-plasma smelting furnace. For their study, 680 g of a high-quality Samarco iron ore
46 with total Fe of 66.8 % was used. The flow rates of the argon and hydrogen were 9 and 10 l/min,
47 respectively. They reported that the degree of hydrogen utilization (η_{H_2}) was between 43 and 50%
48 with a total degree of reduction (η_{tot}) of approximately 75 % in 35 min.

49 The previous work of the authors of the present paper [17] covers the kinetics of the reduction
50 of iron oxide using hydrogen. They reported that the reduction rate of iron oxide using hydrogen in
51 the plasma state is greater than that of the molecular state. To compare the kinetics behavior of
52 hydrogen with solid carbon and CO, Nagasaka et al. [18] prepared a plot from the results of pertinent
53 studies. In terms of the iron oxide reduction using solid carbon, the results of researchers [19–22] and
54 using CO, the studies by researchers [21,23,24] have been assessed. As a result, the reduction rate of
55 liquid wüstite using solid carbon depends on the temperature. At temperatures above the FeO
56 melting point, the reduction rate significantly increased. The results of the reduction rates with CO
57 reported by different researchers were in good agreement and they showed that the reduction rate of
58 wüstite in the liquid state is one order of magnitude greater than that of the solid state.

59 2. Facilities

60 A laboratory-scale hydrogen plasma facility has been installed at the laboratory of the Chair of
61 Ferrous Metallurgy in Montanuniversitaet Leoben as an early-stage means to assess the
62 characteristics of the new technology. In order to obtain highly reliable experimental results and
63 move closer to the actual industrial scale, the plasma experimental facility has recently been
64 redesigned, and new instruments and equipment have been installed. Figure 1 shows the schematic
65 of the renewed plasma experimental equipment.



- 1- Hollow graphite electrode
- 2- Steel ring
- 3- Ignition pin
- 4- Steel crucible
- 5- Bottom electrode
- 6- Refractories
- 7- Electrode holder with cooling system
- 8- Four orifices to (a) install off-gas duct, (b) monitor the arc, (c) install a pressure gauge and (d) install a lateral hydrogen lance
- 9- Reactor roof with refractories and cooling copper pipes
- 10- Steel pipe to inject gases and continuous feeding of fines ore

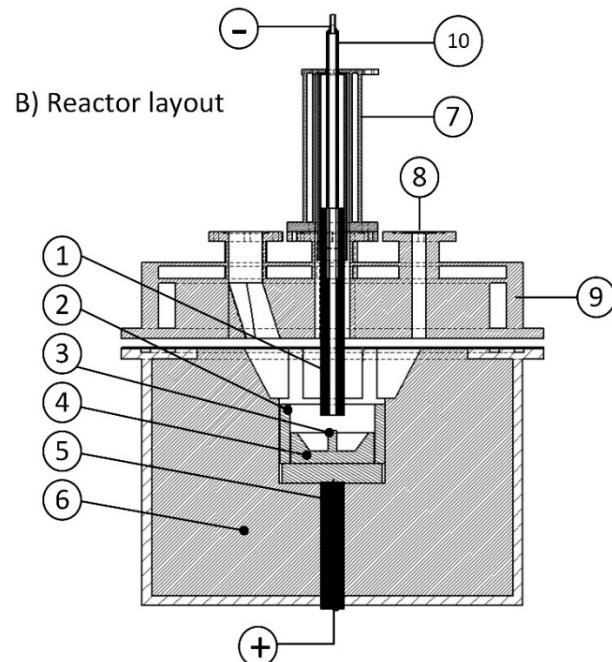


Figure 1. Schematic of laboratory-scale plasma facility at Montanuniversitaet Leoben: (A) process flow diagram and (B) reactor layout [3].

In this process, the plasma arc was generated between the tip of the hollow graphite electrode as the cathode, and the ignition pin, located in the steel crucible, as the anode. The outer and inner diameter of the electrode was 28 and 8 mm respectively. The mode of the arc attachment was DC transfer. The power supply provided a maximum electric power of 8 kW, with a voltage of 110 V and a current of 70 A. However, a power of approximately 6 kW with a current of 100 A and voltage 60 V was used for the normal operation, considering an arc length of 35 mm. Therefore, the arc volts/length for the normal operation was 1.7 V/mm. A steel crucible of maximum 200 g capacity was used which was electrically connected to the bottom electrode. To ignite the arc, an ignition pin with a diameter of 10 mm was welded on the middle of the crucible to start arcing. Figure 2 shows the drawing of the steel crucible and Figure 3 is a photo of the steel crucible filled with the powdered iron ore.

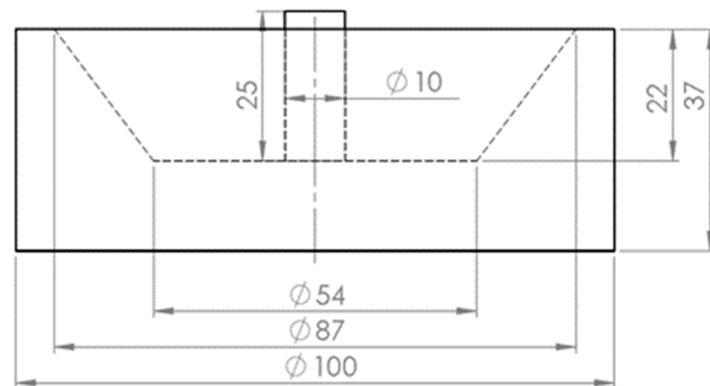


Figure 2. Drawing of the steel crucible, dimensions in mm.

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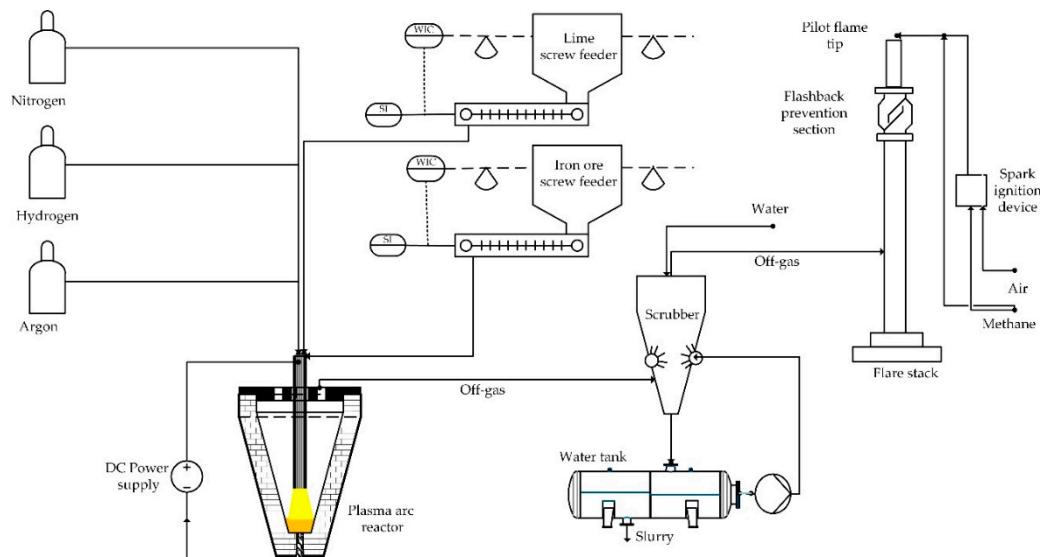


Figure 3. Steel crucible with iron ore.

83 Argon or nitrogen can be used as a plasma gas and hydrogen as a reducing agent. However, in
 84 this study, only argon has been used to be mixed with hydrogen. A mixture of gas containing argon
 85 and hydrogen was injected into the plasma arc zone through the hollow graphite electrode.
 86 Considering the high temperature of the plasma arc, this design provided the best conditions for
 87 atomization and ionization of the hydrogen particles. There were four different orifices on the roof
 88 of the vessel. One orifice was employed to install an optical spectrometer to monitor the light from
 89 the arc. The second orifice was used for the off-gas analysis. The third orifice was used to install a
 90 manometer to measure the pressure, and through the fourth one a lance could be applied for the
 91 injection of lateral hydrogen. The plasma vessel and the electrode holder were equipped with a water-
 92 cooling system to avoid heat penetration from the heating sections. A steel grid, glass wool, water
 93 bottle and molecular sieve were used in the off-gas cleaning system to collect the dust and remove
 94 the water vapour. A mass spectrometer, GAM 200 produced by InProcess Instruments Gesellschaft
 95 für Prozessanalytik mbH, was used to analyze the chemical composition of the off-gas.

96 The plasma laboratory was also equipped with a continuous feeder to feed the mixture of iron
 97 ore and additives to study the reduction behavior of the iron ore. However, in the present work, it
 98 was not used.

99 To obtain enough knowledge and recommend parameters to design an HPSR industrial plant, a
 100 pre-commercial plant should be employed. Trials with a pilot plant generate not only more detailed
 101 mass and energy balance data, but also a better estimation of the degree of hydrogen utilization and
 102 the reduction rate of iron oxides. Therefore, an HPSR pilot plant is under construction in voestalpine
 103 Stahl Donawitz GmbH and Figure 4 shows the basic process flow sheet of the plant.



104

105

Figure 4. Basic process flow sheet of the HPSR pilot plant in voestalpine Stahl Donawitz GmbH.

106 The concept of the HPSR pilot plant is similar to the laboratory scale. However, in this process,
 107 in addition to the iron ore screw feeder, to charge and control the feeding rate of iron ore powders, a
 108 separate screw feeder was considered for the feed of additives, mainly lime to adjust the basicity. The
 109 off-gas temperature is high; then it is cooled by a scrubber. The outlet gas from the scrubber is
 110 analyzed by a mass spectrometer. Because of the high percentage of hydrogen in the off-gas, it is
 111 burned in a flare stack.

112 **3. Experimental procedure**

113 *3.1. Materials and sample preparation*

114 In this study, the reduction behavior of hematite using a pre-mixture of H₂-Ar plasma arc was
 115 assessed. The experiments were carried out with three different weights of iron ore powder in steel
 116 crucibles. The powders were melted and reduced by hydrogen to study the parameters that influence
 117 the iron ore reduction behavior. Table 1 shows the experimental program with the definition of the
 118 experiment parameters.

119 **Table 1.** Experimental program.

Experiment no.	Sample weight [g]	Total gas flow rate [l/min]	H ₂ /Ar ratio [molar %]	B ₂ (CaO/SiO ₂)
1	100			
2	75	5	50/50	2
3	50			

120 For the reduction of iron ore, a premixed 50% H₂-50% Ar gas with a total flowrate of 5 l/min for
 121 all runs was used. The flow controllers could supply a mixture with different hydrogen-to-argon
 122 ratios and different flow rates.

123 Carajas iron ore as a high quality raw material was selected to run the experiments. The chemical
 124 composition of Carajas iron ore is shown in Table 2.

125 **Table 2.** Chemical composition of Carajas iron ore.

No	Element	[%]
1	Fe(III) oxide	92.83
2	Fe(II) oxide	1.07
3	Total Fe	65.81
4	Silica	1.694
5	Aluminium oxide	1.01
6	Manganese (II) oxide	0.22
7	Manganese	0.17
8	Calcium oxide	0.01
9	Magnesium oxide	0.01
10	Phosphorus (V) oxide	0.131
11	Phosphorus	0.057
12	Sodium oxide	0.019
13	Carbon	0.098
14	Zinc	0.004
15	Sulphur trioxide	0.035
16	Total sulphur	0.014
17	Potassium oxide	0.017
18	LOI	2.79

126 However, there was a possibility to feed the iron ore continuously with a continuous feeding
 127 system, and in this study the total amount of iron ore was charged into the crucible before the test
 128 run. The steel crucible was located on a steel disc connected to the 4-pin electrodes. One steel ring
 129 with a layer of MgO refractory was located on the outer diameter of the crucible to protect the reactor
 130 side-wall from the plasma arc radiation. Then, the roof was assembled and the whole system was
 131 completely sealed. To adjust the slag basicity, lime with the same grain size distribution was used.
 132 Table 3 shows the grain size distribution of the Carajas iron ore and lime.

133 **Table 3.** Grain size distribution of Carajas iron ore and lime.

Mesh size [mm]	Fraction [%]	Cum [%]
0.063-0.125	34	34
0.025-0.063	60	94
0-0.025	6	100

134 To remove the gases produced due to the lime calcination in the plasma reactor, it was calcined
 135 at the temperature of 1100 °C before mixing with iron ore powder. To prepare the sample powder,
 136 3.4 g of calcined lime with 97% of CaO and 2.2% of MgO content was mixed with 100 g of iron ore to
 137 reach the basicity of two.

138 Not only the ignition pin but also the steel crucible were melted during pre-melting and were
 139 mixed with the iron oxide liquid. The crucible was partially melted, so it was essential to assess the
 140 melting of the crucible after each test run. The crucible was cut from the middle and analyzed using
 141 the spectrometer, and the micro- and macrostructure were evaluated using an optical microscope.
 142 The partially melted crucible from each test run was observed and the weight was approximately
 143 calculated. The other source of carbon introduced to the melt was from the ignition pin. The weight
 144 of the ignition pin was 15 g. The chemical composition of the ignition pin and the steel crucible is
 145 shown in Table 4.

146 **Table 4.** Chemical composition of ignition pin and steel crucible.

Element	C	Si	Mn	P	S	Cr	Mo	Ni	Al	Cu
Unit	%	%	%	%	%	%	%	%	%	%
Steel crucible	0.178	0.261	1.325	0.009	0.005	0.083	0.031	0.168	0.027	0.179
Ignition pin	0.441	0.217	0.85	0.008	0.028	0.985	0.162	0.085	0.021	0.116

147 One hollow graphite electrode as a cathode with an outer diameter of 26 mm and inner diameter
 148 of 8 mm was used to inject the premixed gas through it. By means of a glass window installed on one
 149 of the orifices on the reactor roof, the plasma arc was monitored.

150 The off-gas contained dust, mainly carbon from graphite electrode. Dust creates problems for
 151 the mass spectrometer (MS) so it should be appropriately cleaned before entering the MS. Therefore,
 152 a steel grid, glass wool, coolant/water trap, molecular sieve 3A° type 562 C and silica gel were used
 153 for the off-gas cleaning. This off-gas cleaning system setup can not only capture the accompanying
 154 dust but also remove water vapour from the off-gas. The off-gas was analyzed using a mass
 155 spectrometer GAM 200 during the operations. The MS was calibrated by a calibration gas with the
 156 following chemical composition:

157 **Table 5.** Chemical composition of the calibration gas.

H ₂	Ar	CO	CO ₂
29 %	63 %	6 %	2 %

158 *3.2. Description of the operation*

159 Prior to the ignition of the plasma arc, the whole system was purged by argon with a flow rate
 160 of 5 l/min for 10 minutes to withdraw oxygen from the system. While purging, the chemical

161 composition of the outlet gas was monitored in the MS to be certain of decreasing the oxygen
162 percentage to less than 1%. Then, the arc was ignited and pure argon with a flow rate of 5 l/min was
163 used for pre-melting to make a liquid pool of iron ore inside the steel crucible. This step of the
164 operation was run for 3 min, and then the injection gas switched from pure argon to premixed
165 hydrogen/argon with a flow rate of 2.5/2.5 l/min to begin the reduction process.

166 During the reduction step, the gas flowrate and the composition were kept constant. The voltage
167 was not constant during the reduction step due to the changes of hydrogen concentration. At the
168 beginning of the reduction process, the degree of hydrogen utilization was high, which caused a
169 decrease in the hydrogen concentration. Then the degree of hydrogen utilization decreased
170 continuously during the reduction process, and it led to a higher energy consumption and an increase
171 of voltage. Because hydrogen is a diatomic molecule and needs more energy than argon for the
172 ionization [25–27], when the voltage exceeded 100 V, it caused cut off the plasma arc. To prevent the
173 operation shutting down, the arc length, the distance from the tip of the electrode and the surface of
174 the molten metal were all readjusted. Hence, corresponding to the voltage, the HGE was manually
175 driven downwards to decrease the arc length.

176 The reduction step lasted until η_{H_2} reached less than 15% which is a low degree of hydrogen
177 utilization. At the lower degrees, the arc mainly runs between the graphite electrode and the edge of
178 the steel crucible or on the already reduced sections, therefore, the operation was stopped. After
179 completing the reduction process, hydrogen injection was stopped and the reactor was purged by
180 nitrogen with a flow rate of 5 l/min to remove hydrogen from the system. To conduct the mass
181 balance, the crucible, refractory ring, electrode and filters were weighed before and after each
182 experiment. To assess the reduction process and the chemical composition of the steel products, slag
183 was separated from the crucible, and then the crucible was cut from the middle and analyzed using
184 a spectrometer.

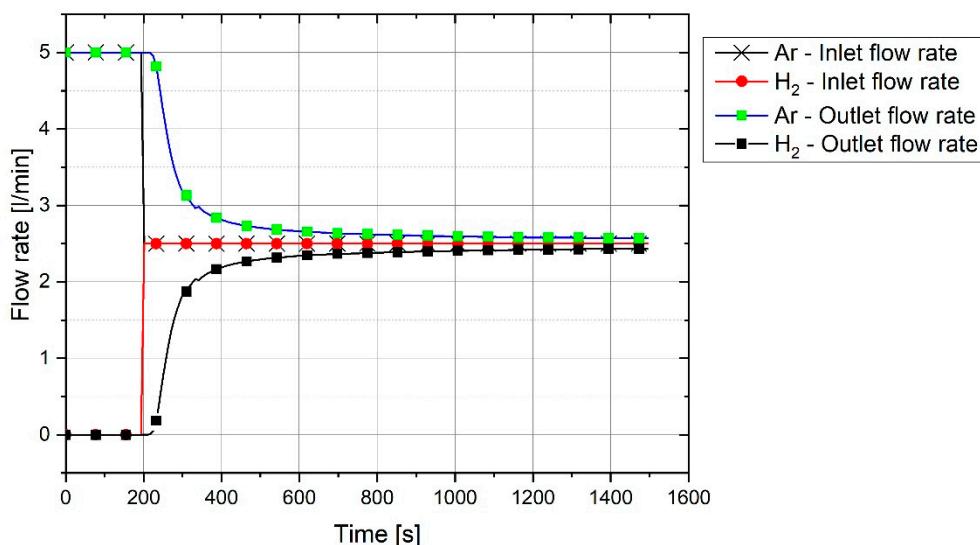
185 3.3. Method of calculation of hydrogen utilization degree and degree of reduction

186 To assess the data and to obtain results with the minimum amount of deviation, the raw chemical
187 composition shown by MS should be corrected in two steps. The first step is to remove the unwanted
188 elements from the chemical composition, namely nitrogen, oxygen and water. After removing water
189 from the off-gas, less than 0.2 % water is still shown by MS as remaining in the off-gas. Small amounts
190 of nitrogen and oxygen were also shown in MS. The reason was the sucking of air by the MS from
191 the outlet pipe because the off-gas flow rate and pressure were too low. In order to reduce the errors,
192 the off-gas composition was corrected by removing H_2O , N_2 and O_2 . The second step is to remove the
193 deviation of the MS. For this reason, after calibration of the MS, the calibration gas was again analyzed
194 by MS. Even after this calibration, the composition of the off-gas shown by MS was not exactly the
195 same as its actual composition. This means that there was a deviation in the chemical composition
196 shown by MS. Therefore, to eliminate the MS deviation, the results of the MS were corrected by the
197 deviation factors of each element, which were obtained from the difference between the real
198 calibration gas composition and the measured values. The measurement cycle by MS was set to 8.4 s,
199 which was enough time to deliver reliable results for the gas composition changes during operations.

200 Regarding the premixed argon/hydrogen inlet gas and the reduction reactions, the off-gas
201 released from the reactor comprises:

- 202 1. Ar: argon was the unreacted gas and can therefore leave the reactor without any reaction.
- 203 2. H₂: a significant amount of hydrogen left the reactor without any reaction due to the
204 thermodynamic equilibrium and kinetics limitations.
- 205 3. H₂O: water vapour was the product of the reduction reactions of metal oxides with hydrogen.
- 206 4. CO and CO₂: these gases were the products of the reduction of metal oxides by carbon. The
207 erosion of the graphite electrode and carbon from melting of the ignition pin and steel crucible
208 caused carbon to enter the iron oxide melting pool. The carbon then reduced the liquid iron oxide
209 and CO and CO₂ were released.

210 The off-gas flow rate was not equal to the inlet gas flow rate due to the formation of CO and CO₂
 211 in the reactor and the condensation of H₂O in the off-gas cleaning system. To define the total flow
 212 rate and accordingly the flow rate of each gas, the flow rate of argon was used as an index. Argon
 213 was an unreacted element and left the plasma reactor without any reaction. However, the outlet
 214 flowrate of argon was not the same as the inlet flowrate. To obtain the index graph, argon and
 215 hydrogen were injected into the reactor without arcing to simulate the flow of off-gas. Figure 5 shows
 216 the graph of outlet gas composition after switching the gas from pure argon to a mixture of 50% argon
 217 and 50% hydrogen with a total flow rate of 5 l/min. This gas composition and the flowrate were used
 218 for all three experiments.



219
 220

Figure 5. Index graph of a premixed 50% Ar/ 50% H₂ gas with a total flow rate of 5 l/min.

221 The plot shows that it takes 100 s for hydrogen to reach the MS. With the passage of time, argon
 222 was replaced by hydrogen. It is seen that, even after 1200 s, the composition of the outlet gas was not
 223 the same as that of the inlet gas. Therefore, it took time for the chemical composition of the inlet gas
 224 and the outlet gas to become closer. Hence, this graph was used as an index to compare the results of
 225 the experiments and to find the real amount of hydrogen and water vapour produced.

226 For the experiments, before the start of arcing, the plasma reactor was purged with argon so that
 227 only argon was inside the reactor. Pre-melting was done by flowing pure argon, and for the reduction
 228 process, the gas was switched to a hydrogen/argon mixture. To calculate the degree of hydrogen
 229 utilization, the difference of hydrogen concentration between the index and the MS result was
 230 considered.

231 Corresponding to the amount of argon from the index graph, the total flow rate was defined by:

$$\text{Total flow rate [l/min]} = \text{Ar outlet flow rate [l/min]} / (\text{Ar in the off gas [%]}) \times 100 \quad (2)$$

232 From the total flow rate, the flow rate of each gas was calculated. Water vapour was condensed
 233 in both the off-gas duct and the cleaning system. Hence, it was not possible to calculate the flow rate
 234 of the water vapour directly from the off-gas composition. Therefore, from the difference between
 235 the hydrogen flow rate in the off-gas and in the index diagram at the same time, the water vapour
 236 was calculated.

$$\begin{aligned} \text{H}_2\text{O flow rate [l/min]} \\ = (\text{H}_2 \text{ flow rate in the off - gas [l/min]}) \\ - (\text{H}_2 \text{ flow rate from index diagram [l/min]}) \end{aligned} \quad (3)$$

237 Finally, the total flow rate regarding water formation was calculated and the chemical
 238 composition of the off-gas was defined. η_{H_2} is the hydrogen utilization and was accrued from the H₂
 239 and H₂O amount by

$$\eta_{H_2} [\%] = \frac{\%H_2O}{(\%H_2 + \%H_2O)} \times 100 \quad (4)$$

240 The reduced amount of oxygen was calculated by summing up the amount of oxygen in H_2O ,
 241 CO and CO_2 by

$$m_{O,H_2O} = \sum_{C=1}^n \left[\frac{H_2O \text{ flow rate [l/min]}}{22.4} \times 16 \times (C_n - C_{n-1})(s)/60 \right] [g] \quad (5)$$

$$m_{O,CO} = \sum_{C=1}^n \left[\frac{CO \text{ flow rate [l/min]}}{22.4} \times 16 \times (C_n - C_{n-1})(s)/60 \right] [g] \quad (6)$$

$$m_{O,CO_2} = \sum_{C=1}^n \left[\frac{CO_2 \text{ flow rate [l/min]}}{22.4} \times 32 \times (C_n - C_{n-1})(s)/60 \right] [g] \quad (7)$$

$$m_{O,tot} = (m_{O,H_2O} + m_{O,CO} + m_{O,CO_2})[g] \quad (8)$$

242 where $m_{O,tot}$ is the total mass of oxygen, m_{O,H_2O} , $m_{O,CO}$ and m_{O,CO_2} are the mass of oxygen in
 243 H_2O , CO and CO_2 respectively, and $C_n, C_{n-1} (s)$ are cycles n and n – 1 from MS, respectively. The
 244 degree of reduction (R_{Degree}), which is the oxygen reduced by carbon and hydrogen during the
 245 experiment, was calculated by

$$R_{Degree,by\ H_2} = m_{O,H_2O}/m_{O,in\ iron\ ore} \times 100 \quad (9)$$

$$R_{Degree,by\ C} = (m_{O,CO} + m_{O,CO_2})/m_{O,in\ iron\ ore} \times 100 \quad (10)$$

246 Therefore, the total degree of reduction was

$$R_{Degree,total} = R_{Degree,by\ H_2} + R_{Degree,by\ C} \quad (11)$$

247 Carbon was introduced into the melt from three different sources, which were the graphite
 248 electrode, ignition pin and steel crucible. Therefore, the contribution of the carbon from those sources
 249 to the reduction reactions should be taken into account. The ignition pin was completely melted and
 250 mixed with the melt. However, the steel crucible was not completely melted. The steel crucible of
 251 each experiment was cut in half and different points were analyzed by a spectrometer, while the
 252 micro- and macrostructure were assessed using optical microscopy to estimate the amount of melted
 253 section. No sign of melting was observed in the crucible of experiment No. 1. Figure 6 shows the
 254 cross-section of the crucible. However, the crucibles of experiments 2 and 3 were partially melted.



255
 256 **Figure 6.** Cross-section of the crucible for experiment No. 1.

257 The total amount of carbon that contributed to the reduction of oxides was calculated in two
 258 ways. The first was to calculate from the chemical composition of the off-gas. The second was
 259 calculated by the following three steps:

260 1. The loss of graphite electrode weight by weighing before and after the experiment
 261 2. Due to the complete melting of the ignition pin, the carbon from the ignition pin was the total
 262 carbon in the ignition pin minus the carbon remaining in the produced iron
 263 3. Regarding the partial melting of the crucible, the carbon introduced from the crucible was the
 264 difference of the carbon content in the crucible and the produced iron multiplied by the estimated
 265 weight of the melted crucible.

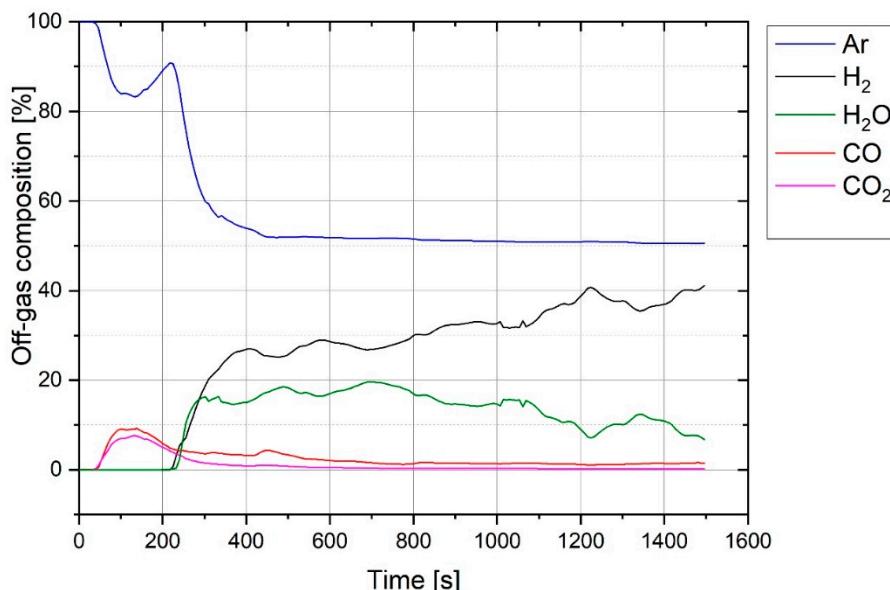
266 The total mass of carbon obtained from weighing the parts before and after each experiment
 267 should have been equal to the total carbon calculated by the results of MS.

268 **4. Results and discussion of the experiments**

269 The influence of the sample weight on the degree of hydrogen utilization, degree of reduction,
 270 and reduction rate was assessed in these test runs. Three samples with weights of 50, 75 and 100 g
 271 were selected to be evaluated. First, the results of experiment No. 1 are explained in detail with the
 272 relevant diagrams, and then, in the following, the results of the three experiments are presented and
 273 discussed in summary.

274 *4.1. Chemical composition of off-gas*

275 During the operation, the chemical composition of the off-gas was analyzed. For instance, the
 276 off-gas composition of experiment No. 1 is shown in Figure 7.



277
 278 **Figure 7.** Chemical composition of off-gas from experiment 1.

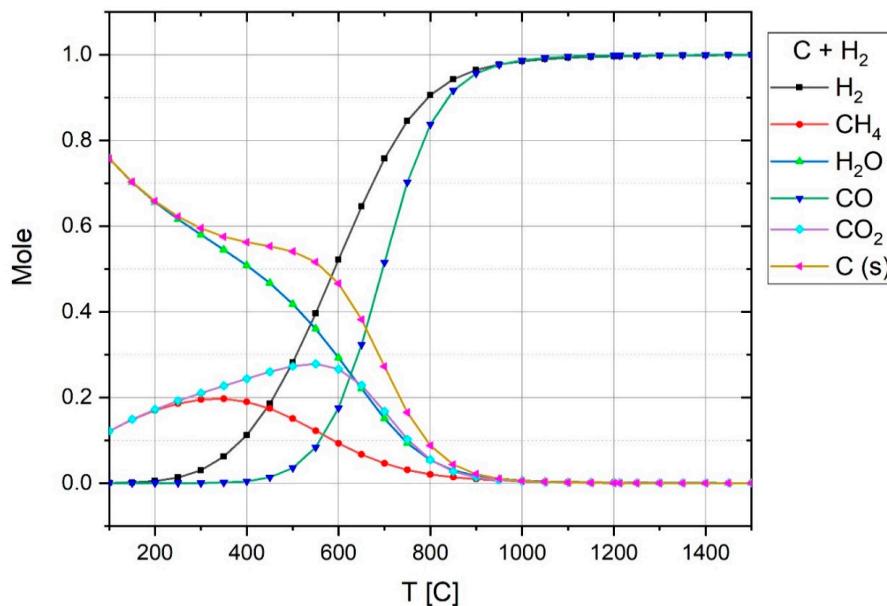
279 Pre-melting was done by flowing pure argon for 3 min. In this period, the off-gas comprised Ar,
 280 CO and CO₂. CO and CO₂ were formed due to the contribution of carbon in the reduction process.
 281 The reasons for the production of high amounts of CO and CO₂ at the pre-melting step are the creation
 282 of thermal shock and spatters. At the beginning of the experiment, the graphite electrode is cold and,
 283 with the generation of the arc, it is locally heated up. This phenomenon applied a thermal shock to
 284 the electrode and caused an increase of the erosion rate of the graphite electrode. The graphite-eroded
 285 particles entered the crucible and contributed to the reduction of the iron ore. The other reason is the
 286 spatter balls sticking to the electrode surface or mainly to the tip of the electrode. At the beginning of
 287 arcing, the electrode is cold and spatters can stick easily to the graphite. With the increase of the

288 electrode temperature, the spatter balls melted and left the electrode. The other reason is the
 289 dissociation of hematite at high temperatures, which is shown in **Figure 10** and is discussed there.
 290 Therefore, this led to the creation of a sharp peak of CO and CO₂ at the beginning of the operation,
 291 and then the amount of CO and CO₂ continuously decreased. However, the sticking of spatter to a
 292 cold area of the electrode caused the CO and CO₂ lines to fluctuate.

293 Moreover, CO can be formed during the reduction process by the absorption of the water vapour
 294 at the graphite electrode [28]. In this case, the following reaction can occur:



295 To assess the formation of CO and H₂ by the above reaction, the equilibrium of 1 mol carbon
 296 and 1 mol water vapour was calculated by FactSageTM 7.2 (Toronto, ON, Canada, Database: FactPS
 297 and FToxide (2018)) and the results are shown in Figure 8. In terms of the thermodynamic aspect, this
 298 process caused an increase of the H₂ and CO in the off-gas, if the electrode temperature was high. At
 299 temperatures below 550 °C, CH₄ and CO₂ are the dominant products. However, the rate of CH₄
 300 formation at the experiment conditions is too low, so it cannot be formed [29,30]. The formation of
 301 CH₄ can be checked by MS in future works.

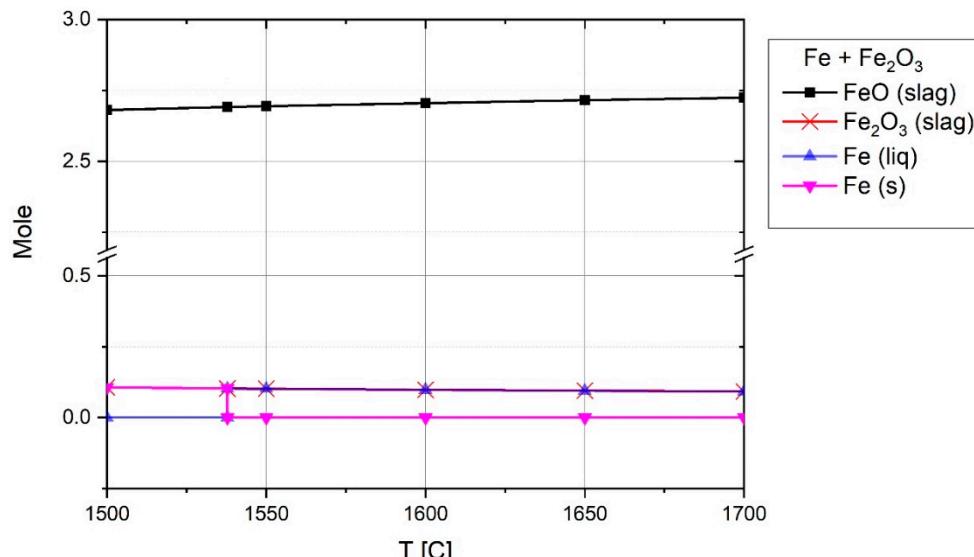


302

303 **Figure 8.** Composition of 1 mol C and 1 mol H₂O at equilibrium at 1 atm pressure over temperature.

304 In Figure 7, owing to the formation of high amounts of CO and CO₂ at the pre-melting step, the
 305 total flow rate was increased, which caused the argon concentration to decrease in the off-gas because
 306 the argon flow rate was constant. During the reduction operation, while the reduction rate and degree
 307 of hydrogen utilization decreased, the amount of hydrogen increased, and in contrast, the amount of
 308 water vapour decreased.

309 At the beginning of the experiment, the ignition pin firstly was melted due to the ignition of the
 310 arc between the ignition pin and tip of the graphite electrode. Therefore, the melted iron could react
 311 with hematite to produce wüstite. To assess the process thermodynamically, the equilibrium of iron
 312 and hematite was studied by FactSageTM. Figure 9 shows the chemical composition of 1 mol Fe with
 313 1 mol Fe₂O₃ at equilibrium at high temperatures.

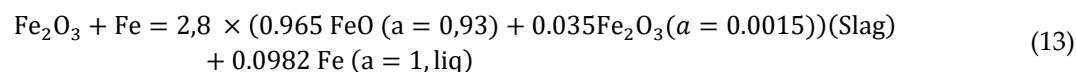


314

315 **Figure 9.** Chemical composition of 1 mol Fe and 1 mol of Fe_2O_3 at equilibrium at 1 atm pressure above
316 melting temperature, FactSageTM 7.2 (Database: FactPS and FToxide (2018)).

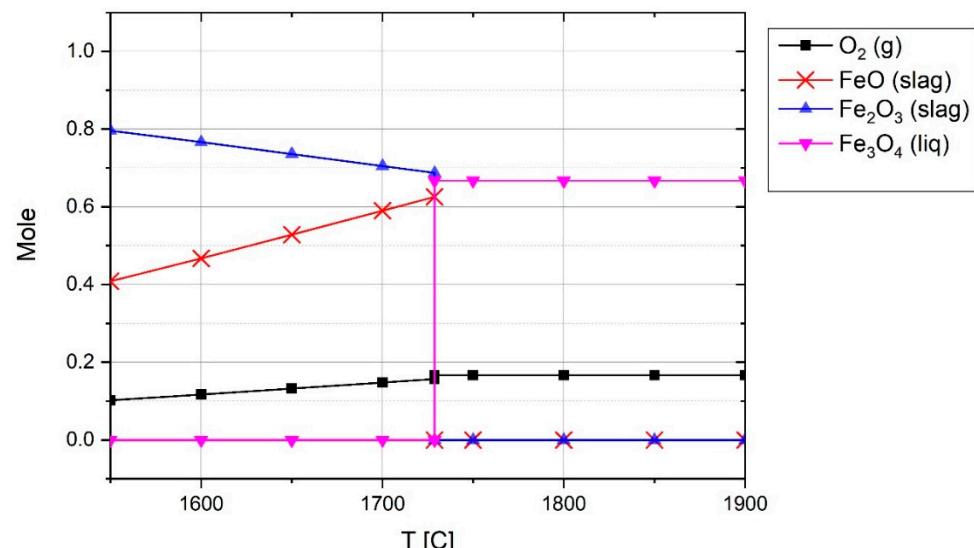
317

The reaction below shows the formation of FeO at 1600 °C.



318

319 The equation at equilibrium shows that 90.18% of Fe_2O_3 reacted with Fe to form FeO . Therefore,
320 the creation of a melting pool did not cause the reduction of iron oxide to occur. However, when the
321 arc was run between the iron ore and graphite electrode, at high temperatures, it was possible to
322 produce magnetite. This is because, corresponding to the $\text{Fe}_3\text{O}_4 - \text{Fe}_2\text{O}_3$ line in the Ellingham
323 diagram and extending the line to high temperatures, the Gibbs energy will be positive [31].
324 Furthermore, the composition of 1 mol hematite at equilibrium at temperatures between 1500 and
325 2000 °C was calculated using FactSageTM 7.2 (Database: FactPS and FToxide (2018)) and the results
are shown in Figure 10.



326

327 **Figure 10.** Chemical composition of 1 mol hematite over temperature at equilibrium at 1 atm pressure.

328 Due to the high temperature of the plasma arc, hematite particles can reach this range of
329 temperature. The reduction of hematite led to the formation of FeO and the release of oxygen. With

330 the formation of magnetite, the FeO and Fe₂O₃ vanished and magnetite and oxygen were produced
 331 as the products. The pertinent reaction at the temperature of 1800 °C is written as

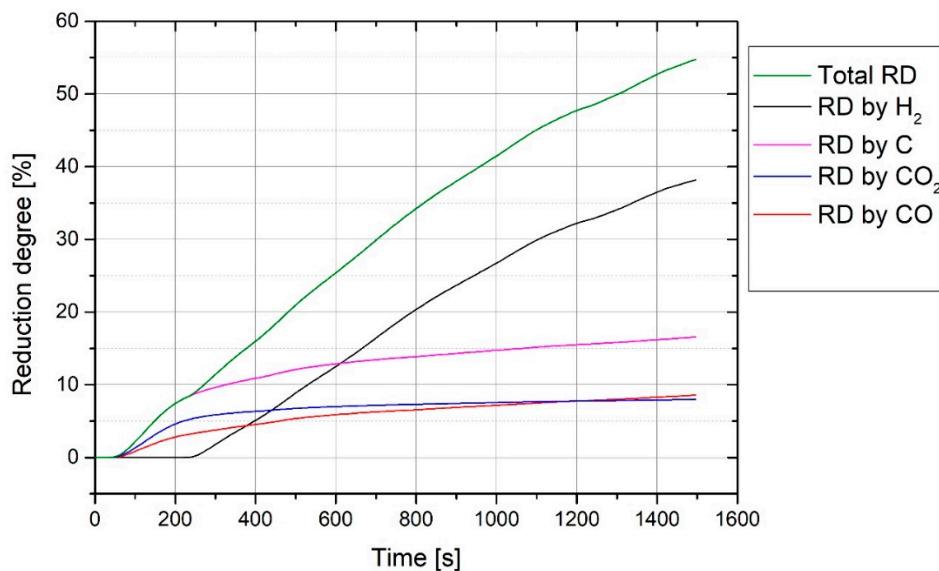


332 Smelting of hematite and the production of wüstite and magnetite can occur even at the
 333 reduction step because hydrogen cannot diffuse into the whole section of the melt and reduce the
 334 hematite. Therefore, if there is no hydrogen inside in a section of the melt, oxygen can be released
 335 from it. However, the released oxygen then reacts with hydrogen in the reactor volume to form water
 336 vapour, which is why an increase of oxygen was not observed during the reduction process in MS.

337 *4.2. Degree of reduction*

338 There are two possibilities for the iron oxide reduction in the HPSR process. The first is the
 339 reduction of iron ore in liquid state. The second possibility is to reduce the powder particles of iron
 340 ore in solid state. This means that the reduction reactions can take place in the distance of the arc or
 341 melting pool. The electrical power supply was not strong enough to melt all the iron oxide particles
 342 inside the crucible or to keep the melted particles in liquid state. Therefore, the solid particles could
 343 be reduced in the presence of hydrogen. Consequently, the grain size and the size distribution of the
 344 particles were the influencing parameters on the reduction behavior.

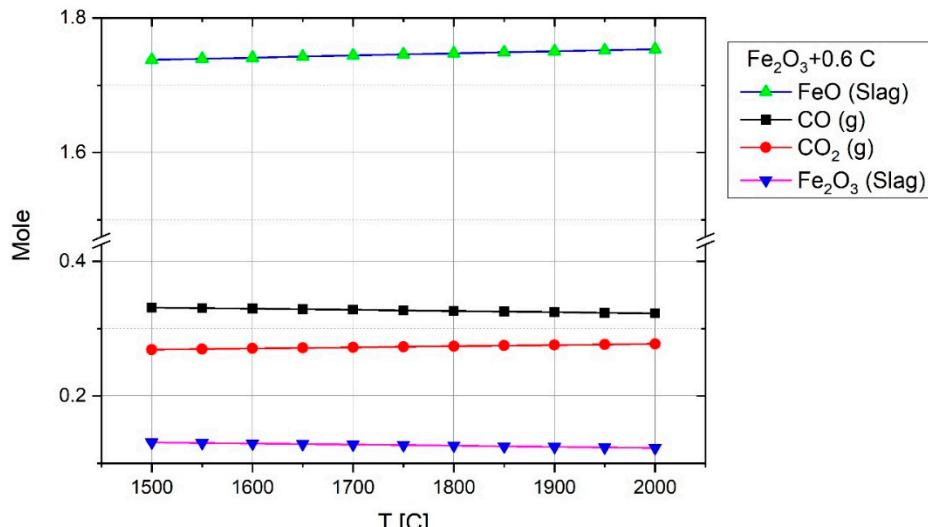
345 The reduction behavior was assessed by evaluating the degree of reduction, degree of hydrogen
 346 utilization and the reduction rate. Regarding the two reducing agents in the plasma reactor, hydrogen
 347 and carbon, the gas products of the reduction reductions were H₂O, CO and CO₂. The degree of
 348 reduction for every reductant and the total degree of reduction for experiment No. 1 were calculated
 349 and the results are presented in Figure 11.



350

351 **Figure 11.** Degree of reduction by the production of CO, CO₂ and H₂O and total degree of reduction
 352 of experiment No. 1.

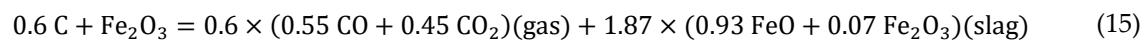
353 The graph shows that during the pre-melting step, carbon reduced hematite to form CO and
 354 CO₂ gases. Nevertheless, the amount of CO shown in MS was greater than CO₂, and the oxygen
 355 removed by CO₂ was more than that by CO. This was because every CO₂ molecule comprises two
 356 atoms of oxygen, in contrast to one atom of oxygen in CO. For instance, at 132 s of the experiment,
 357 the amount of CO was 9.1 % and CO₂ was 7.6 %, hence the ratio of CO/CO₂ is about 1.2. To assess the
 358 results of the experiments in terms of the amounts of CO and CO₂ in the off-gas, the stability of CO
 359 and CO₂ was studied thermodynamically at equilibrium at temperatures between 1500 and 2000 °C
 360 using FactSage™ 7.2 (Database: FactPS and FToxide (2018)). For this reason, the composition of one
 361 mol of Fe₂O₃ and 0.6 mol of carbon at equilibrium was calculated and the plot is shown in Figure 12.



362

363 **Figure 12** The composition of 0.6 mol of carbon and one mol of hematite at equilibrium over
 364 temperature.

365 This plot shows that at the temperature of 1600 °C, the mole fractions of CO and CO₂ are 0.55
 366 and 0.45, respectively. The pertinent reaction at the temperature of 1600°C at equilibrium is given by

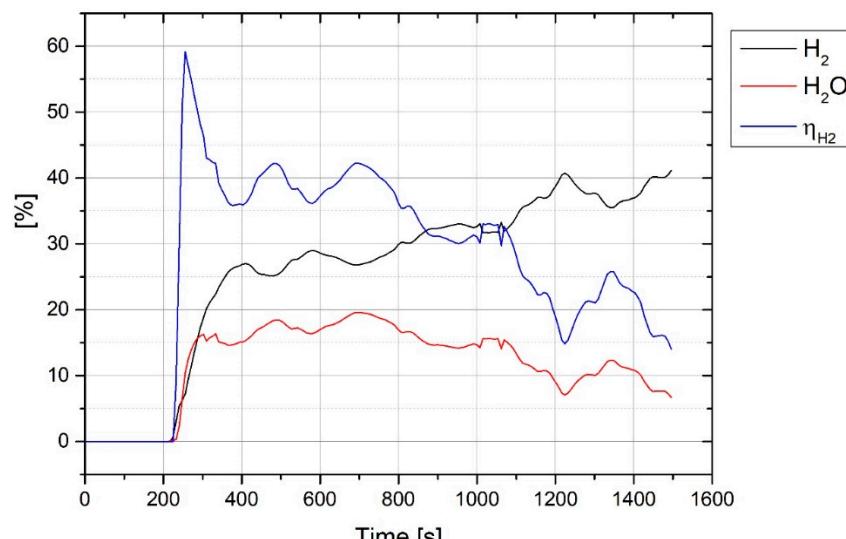


367 Therefore, the ratio of CO/CO₂ is about 1.2, which is in the same range shown by the MS.
 368 However, the stability of CO and CO₂ is changed by changing the amount of carbon and hematite,
 369 and accordingly the ratio of CO/CO₂ will be changed. For instance, the reaction between one mole of
 370 Fe₂O₃ and two mole of carbon at equilibrium at 1600 °C produces 0.85 mol CO and 0.15 mol CO₂.

371 However, the erosion rate of the graphite electrode during operation depends on several
 372 parameters such as voltage, amperage, arc length and amount of spatters, and the average erosion
 373 rate of the electrode under the experimental conditions was about 0.09 g/min.

374 *4.3. Degree of hydrogen utilization*

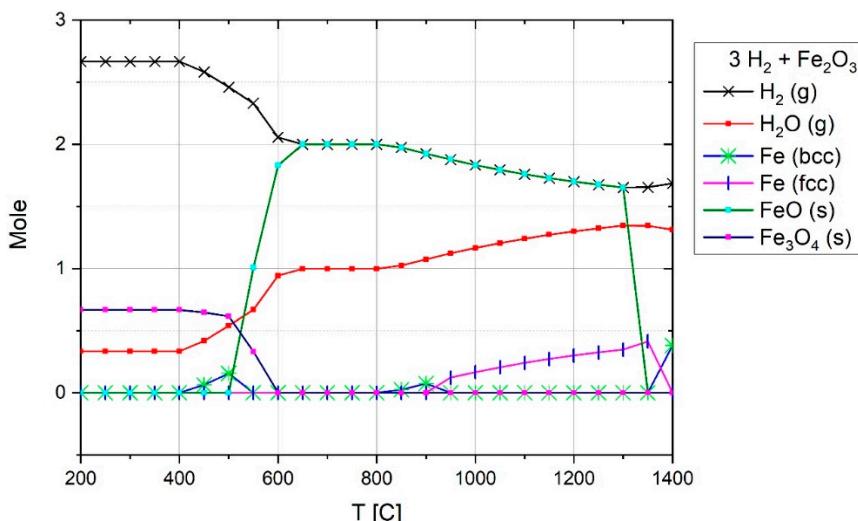
375 The degree of hydrogen utilization is the main parameter influencing the efficiency of the
 376 process which has been assessed in this study. Figure 13 illustrates the trends of the H₂ and H₂O
 377 concentrations during the operation and the degree of hydrogen utilization.



378

379 **Figure 13.** H₂ and H₂O concentration in off-gas and η_{H_2} of the experiment No. 1

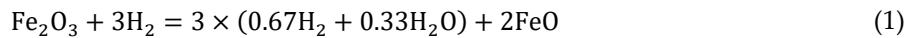
380 η_{H_2} at the start of the reduction process rose to 60 %, and then fell steadily at 1450 s to less than
 381 20 %. To describe the reason, the operation and the melting of iron ore should be overviewed. The
 382 plasma reactor and the related components were cooled by the water-cooling system during
 383 operation. A maximum power of 8 kW supplied by the electric power supply was not enough to melt
 384 all the fines mixture in the crucible due to the cooling of the system by water. Therefore, the powder
 385 mixture was melted only at the arcing area and the rest of the material was in solid state. This led to
 386 applying a temperature gradient to the hematite particles inside the crucible. Hematite is capable of
 387 being reduced to wüstite even at low temperatures in the presence of hydrogen. Figure 14 shows the
 388 reduction of Fe^{3+} to form Fe^{2+} with the calculation of 3 mol H_2 with 1 mol of Fe_2O_3 at equilibrium,
 389 calculated by FactsageTM 7.2 (Database: FactPS and FToxide (2018)).



390
 391

Figure 14. Chemical composition of 3 mol H_2 and 1 mol Fe_2O_3 at equilibrium and 1 atm pressure.

392 The thermodynamic calculation results shown in the plot illustrate that hydrogen reduces
 393 trivalent iron even at low temperatures. For instance, at 700 °C,



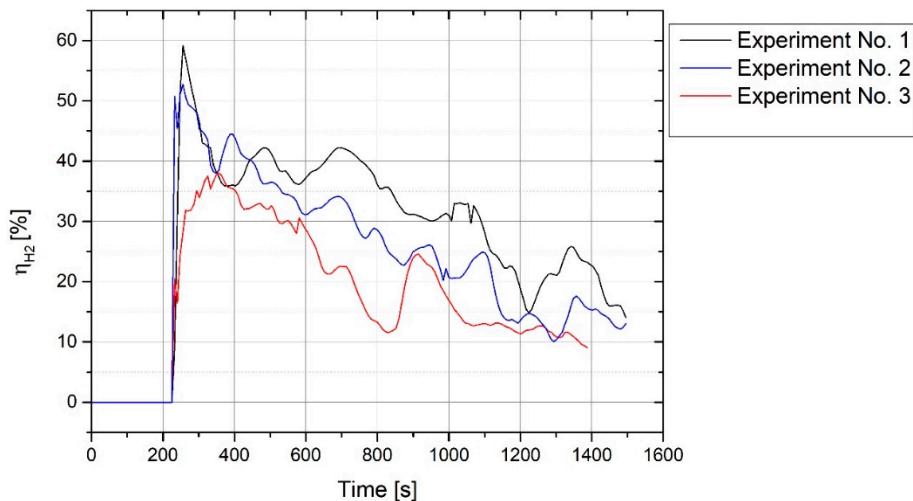
394 Furthermore, to validate the results calculated by FactSageTM 7.2 and shown in Figure 14, the
 395 stable phases of iron oxides in the presence of H_2 and H_2O at different ratios and temperatures were
 396 compared using a Baur–Glaessner diagram and were found to be in good agreement [32].

397 Figure 13 shows fluctuations in the degree of hydrogen utilization during operation. The main
 398 reason for the fluctuation was the position of the arc. The plasma arc could be run between the iron
 399 oxide and the tip of the graphite electrode or between the graphite electrode and the side-wall of the
 400 steel crucible. When the arc was melting the edge of the crucible, the molten bath was mainly from
 401 steel and not from iron oxide. Therefore, it caused a decrease in the degree of reduction and
 402 accordingly the degree of hydrogen utilization. Another reason for the fluctuations in the degree of
 403 hydrogen utilization was the arc created between the graphite electrode and a previously reduced
 404 section of iron oxide. This means that when one section of iron oxide in the steel crucible had already
 405 melted and reduced, then the arc was running again in this location and the reduction rate and
 406 accordingly the degree of hydrogen utilization would decrease due to the diminishing of iron oxide
 407 in the melt.

408 4.4. Influence of sample weight on the reduction behaviour

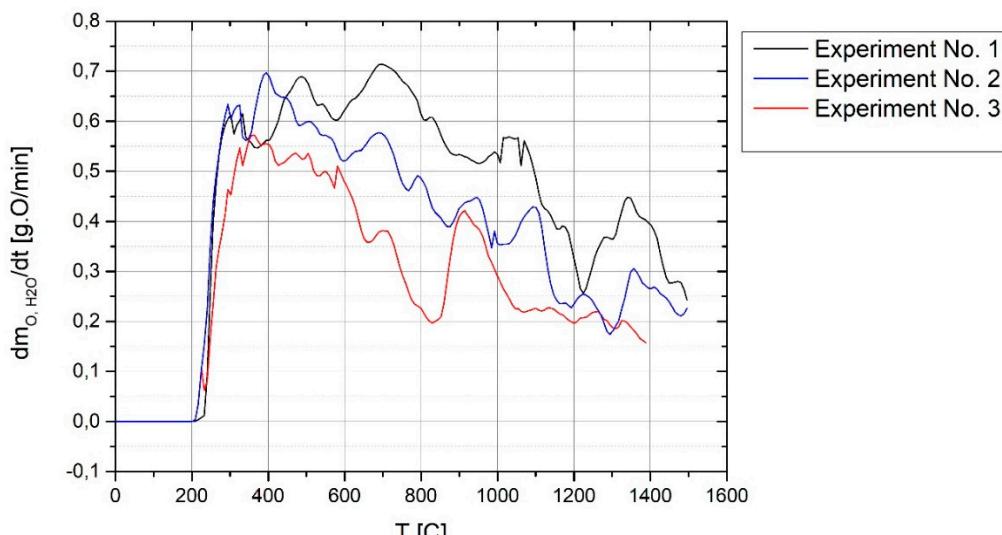
409 Figure 15 shows the degree of hydrogen utilization in experiments 1, 2 and 3. Apart from the
 410 sample weight, all other parameters of the trials were kept constant for these three experiments. In
 411 addition, η_{H_2} of experiment No. 1 is higher than that of the two others due to the existence of more
 412 iron ore in the crucible. At the start of the process, η_{H_2} can reach approximately by 60 %, but then

413 decreases during the reduction process. η_{H_2} after 1450 s is approximately less than 20 %. Experiment
 414 No. 3 was done with 50 g of material, which resulted in the minimum degree of η_{H_2} . The fluctuation
 415 of η_{H_2} during experiment No. 3 was greater than in the others due to the generation of the plasma
 416 arc between the electrode and steel crucible, which caused a decrease in η_{H_2} and accordingly in the
 417 reduction rate. Because the crucible was not completely filled by iron oxide, owing to the lower
 418 resistivity of steel in contrast to iron oxide, the arc tended to run on the side of the crucible.



419
 420 **Figure 15.** η_{H_2} of experiments 1, 2 and 3

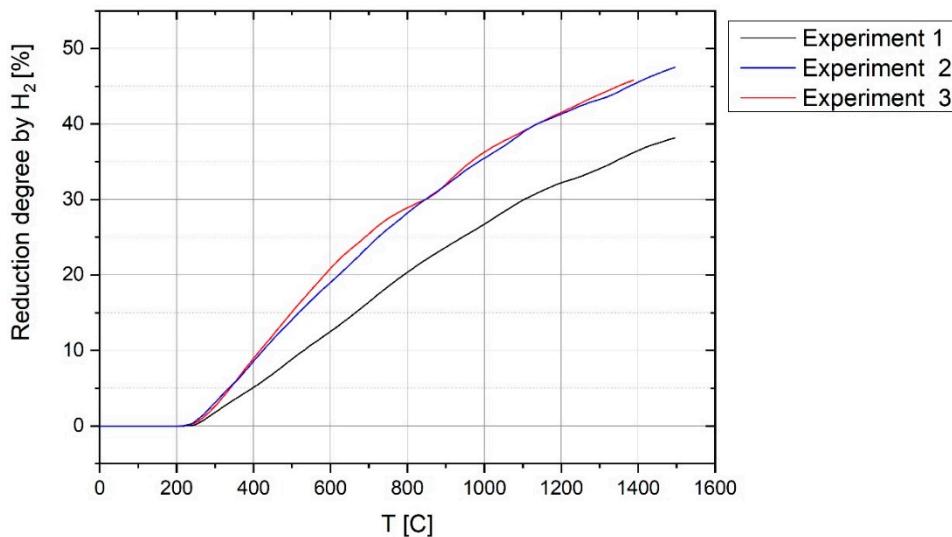
421 In Figure 16, the reduction rates by hydrogen in experiments 1, 2 and 3 are compared. The
 422 changes and the reasons for them are similar to those described for the η_{H_2} diagram (Figure 15). The
 423 maximum reduction rate was 0.7 g oxygen per minute and the experiments extended until reaching
 424 approximately 0.2 g O/min. Owing to the lack of iron oxide for experiment 3, the reduction rate was
 425 lower than in the other experiments.



426
 427 **Figure 16.** Reduction rate by hydrogen in experiments 1, 2 and 3.

428 The degrees of reduction of iron oxide considering only hydrogen are shown in Figure 17. At
 429 1400 s, the degree of reduction by hydrogen for experiments 1, 2 and 3 was 37, 46 and 47 %
 430 respectively. The main reason for the low degree of reduction is the use of the steel crucible, which
 431 not only caused the melt to freeze but also caused the arc to run in the previously reduced sections.
 432 Therefore, it is expected to find a higher degree of reduction if using refractory linings in the pilot
 433 plant. In the plasma reactor of the pilot plant or at industrial scale, all of the iron ore is in the liquid

434 state, which has the benefit of achieving a reduction rate in the liquid state which is much higher than
 435 that of the solid state [13].



436

437

Figure 17. The degree of reduction of iron oxide in experiments 1, 2 and 3.

438 The chemical composition of the reduced iron was analyzed to evaluate the reduction of other
 439 oxides and the behaviour of phosphorus. Table 6 shows the chemical composition of the iron
 440 produced using hydrogen.

441

Table 6. Chemical composition of reduced iron, experiment 1.

Element	Fe	C	Mn	P	S	Cr	Mo	Ni	Cu
%	99.7	0.004	0.05	0.01	0.03	0.03	0.04	0.05	0.05

442 From the comparison of the chemical composition of the iron ore (**Table 2**) and the reduced iron
 443 (**Table 6**), no reduction of silicon and alumina oxides could be observed. In terms of the basicity, the
 444 B₂ of the slag was 2, which caused a decrease of the phosphorus level from 0.06 to 0.01%.

445 5. Conclusion

446 This study has introduced the characteristics of the smelting reduction of hematite fine ore using
 447 hydrogen thermal plasma. The main parameters of the reduction process, namely the degree of
 448 hydrogen utilization, the reduction rate and the degree of reduction of hematite, have been assessed
 449 by conducting a series of experiments with different sample weights. Thermodynamic equilibrium
 450 calculations of the reduction of hematite in different aspects were carried out using FactSageTM 7.2.

451 The degree of hydrogen utilization rose by 60 % at the beginning of the experiments, and during
 452 the reduction process, owing to the diminishing of iron oxides, it then decreased. The running of the
 453 arc between the side of the crucible and the electrode caused the degree of hydrogen utilization to
 454 fluctuate. It is expected to reach a higher η_{H_2} at pilot plant or industrial scale than was observed in
 455 these experiments at laboratory scale. This is because, at laboratory scale, owing to the use of the steel
 456 crucible and the low power of the electric supply, only the arc area was melted and the remaining
 457 sections remained in solid state.

458 The experimental setup and the use of a graphite electrode caused carbon to contribute to the
 459 reduction reactions. The reduction of hematite with the use of a graphite electrode meant that the
 460 contribution of carbon to the reduction process was 30% of the total degree of reduction.

461 From the assessment and comparison of the chemical composition of the produced iron and
 462 Carajas iron ore, the phosphorus content in the produced iron decreased by 0.01% due to the high
 463 basicity of the slag.

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465 administration, M.N.S., J.S. and M.A.Z.; validation, M.N.S and J.S.; formal analysis, M.N.S.; investigation,
466 M.N.S.; resources, M.N.S.; writing (original draft preparation), M.N.S.; writing (review and editing) M.N.S., J.S.
467 and M.A.Z.; visualization, M.N.S, J.S. and M.A.Z.; supervision, M.N.S and J.S.;

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