

1 *Review*

2 **High-Risk Multiple Myeloma: Integrated Clinical** 3 **and Omics Approach Dissects the Neoplastic Clone** 4 **and the Tumor Microenvironment**

5 **Antonio Giovanni Solimando^{1,2,*}, Matteo Claudio Da Vià¹, Sebastiano Cicco², Patrizia Leone²,**
6 **Giuseppe Di Lernia², Donato Giannico², Vanessa Desantis², Maria Antonia Frassanito², Arcangelo**
7 **Morizio³, Julia Delgado Tascon¹, Giuseppe Ranieri², Roberto Ria², Leo Rasche¹, K. Martin Kortüm¹,**
8 **Andreas Beilhack¹, Vito Racanelli², Angelo Vacca^{2#} and Hermann Einsele^{1#}**

9 ¹ Department of Internal Medicine II, University Hospital, Würzburg, Germany; antonio.solimando@uniba.it

10 ² Department of Biomedical Sciences and Human Oncology, Section of Internal Medicine "G. Baccelli",
11 University of Bari Medical School, Bari, Italy; antonio.solimando@uniba.it

12 ¹ Department of Internal Medicine II, University Hospital, Würzburg, Germany; davia_m@ukw.de

13 ² Department of Biomedical Sciences and Human Oncology, Section of Internal Medicine "G. Baccelli",
14 University of Bari Medical School, Bari, Italy; sebacicco@gmail.com

15 ² Department of Biomedical Sciences and Human Oncology, Section of Internal Medicine "G. Baccelli",
16 University of Bari Medical School, Bari, Italy; patrizia.leone@uniba.it

17 ² Department of Biomedical Sciences and Human Oncology, Section of Internal Medicine "G. Baccelli",
18 University of Bari Medical School, Bari, Italy; giuseppe.dilernia@uniba.it

19 ² Department of Biomedical Sciences and Human Oncology, Section of Internal Medicine "G. Baccelli",
20 University of Bari Medical School, Bari, Italy; dani.ezio@hotmail.it

21 ² Department of Biomedical Sciences and Human Oncology, Section of Internal Medicine "G. Baccelli",
22 University of Bari Medical School, Bari, Italy; desvanessa@virgilio.it

23 ² Department of Biomedical Sciences and Human Oncology, Section of Internal Medicine "G. Baccelli",
24 University of Bari Medical School, Bari, Italy; antofrassanito@gmail.com

25 ³ Orthopedics and Traumatology Unit ASL BA-Ospedale della Murgia "Fabio Perinei," Altamura, Italy;
26 arcangelo.morizio@tin.it

27 ¹ Department of Internal Medicine II, University Hospital, Würzburg, Germany; Delgado_J@ukw.de

28 ² Department of Biomedical Sciences and Human Oncology, Section of Internal Medicine "G. Baccelli",
29 University of Bari Medical School, Bari, Italy; giuseppe.ranieri@uniba.it

30 ² Department of Biomedical Sciences and Human Oncology, Section of Internal Medicine "G. Baccelli",
31 University of Bari Medical School, Bari, Italy; roberto.ria@uniba.it

32 ¹ Department of Internal Medicine II, University Hospital, Würzburg, Germany; Rasche_L@ukw.de

33 ¹ Department of Internal Medicine II, University Hospital, Würzburg, Germany; Kortuem_M@ukw.de

34 ¹ Department of Internal Medicine II, University Hospital, Würzburg, Germany; Beilhack_A@ukw.de

35 ² Department of Biomedical Sciences and Human Oncology, Section of Internal Medicine "G. Baccelli",
36 University of Bari Medical School, Bari, Italy; vito.racanelli1@uniba.it

37 ² Department of Biomedical Sciences and Human Oncology, Section of Internal Medicine "G. Baccelli",
38 University of Bari Medical School, Bari, Italy; angelo.vacca@uniba.it

39 ¹ Department of Internal Medicine II, University Hospital, Würzburg, Germany; Einsele_H@ukw.de

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41 [#] These authors contributed equally to this work.

42 ^{*} Correspondence: antonio.solimando@uniba.it; Tel.: +39-3395626475 (A.G.S.)

43

44 **Abstract:** Multiple myeloma (MM) is a genetically heterogenous disease that includes a subgroup
45 of 10-15% of patients facing dismal survival despite most intensive treatment. Although
46 improvements in the biology knowledge, MM is still an incurable neoplasia and therapeutic options
47 able to overcome the relapsing/refractory behavior represent an unmet clinical need. The aim of this
48 review is to provide an integrated clinical and biological overview on the high-risk MM, discussing
49 novel therapeutic perspectives, targeting the neoplastic clone and its microenvironment.

50 The dissection of the molecular determinants of the aggressive phenotypes and drug-resistance can
51 foster a better tailored clinical management of high-risk profile and therapy-refractoriness. Among
52 the current clinical difficulties in MM, patient's management manipulating the tumor niche
53 represents a major challenge. The angiogenesis and the stromal infiltrate constitute pivotal
54 mechanisms of a mutual collaboration between MM and the non-tumoral counterpart. Immuno-
55 modulatory and anti-angiogenic therapy hold great efficacy but variable and unpredictable
56 responses in high-risk MM. The comprehensive understanding of the genetic heterogeneity and
57 MM high-risk ecosystem enforce a systematic bench-to-bedside approach. Here we provide a broad
58 outlook of novel druggable targets. We also summarize the existing multi-omics-based risk profiling
59 tools, in order to better select candidates for a dual immune/vasculogenesis targeting.

60 **Keywords:** multiple myeloma; angiogenesis; extramedullary disease; drug resistance; bone marrow
61 microenvironment.
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63

64 1. Introduction

65 One of the first attempts to stratify multiple myeloma (MM) patients is based on the commonly
66 available parameters that may predict the risk factor profile, identify different treatment response [1]
67 and quantify tumor cell burden. This classification was known as the Durie-Salmon (D&S) clinical
68 staging. However, it does not account for biologic disease variability and it is affected by the observer-
69 related bias in the quantification of lytic lesions on the skeletal survey [2]. Moreover, the clinical
70 practice indicates that progression-free survival (PFS) is strongly correlated to the success of
71 autologous stem cell transplantation (ASCT) [3]. Since 2005, the D&S has been replaced by the
72 International Staging System (ISS) that is a prognostic model based on β 2-microglobulin and albumin
73 [4]. The identification of these two parameters allows stratification into three classes of risk, impacting
74 overall survival (OS). Although this system is simple and reproducible, it does not take into account
75 the cytogenetic alterations that entail another fundamental prognostic factor and neglect the MM
76 milieu role in tumor aggressiveness [3,5]. The genetic event's role in MM pathogenesis has been
77 described as a multistep process, affecting the neoplastic clone through the primary and secondary
78 aberrations acquisition, which unmistakably contribute to the progressive acquisition of an
79 aggressive phenotype. The MM microenvironment actively support the MM disease evolution, also
80 impacting on a drug-resistant disease behavior.

81 Here we reviewed the available evidences in order to formulate a comprehensive risk-driven patient
82 approach.

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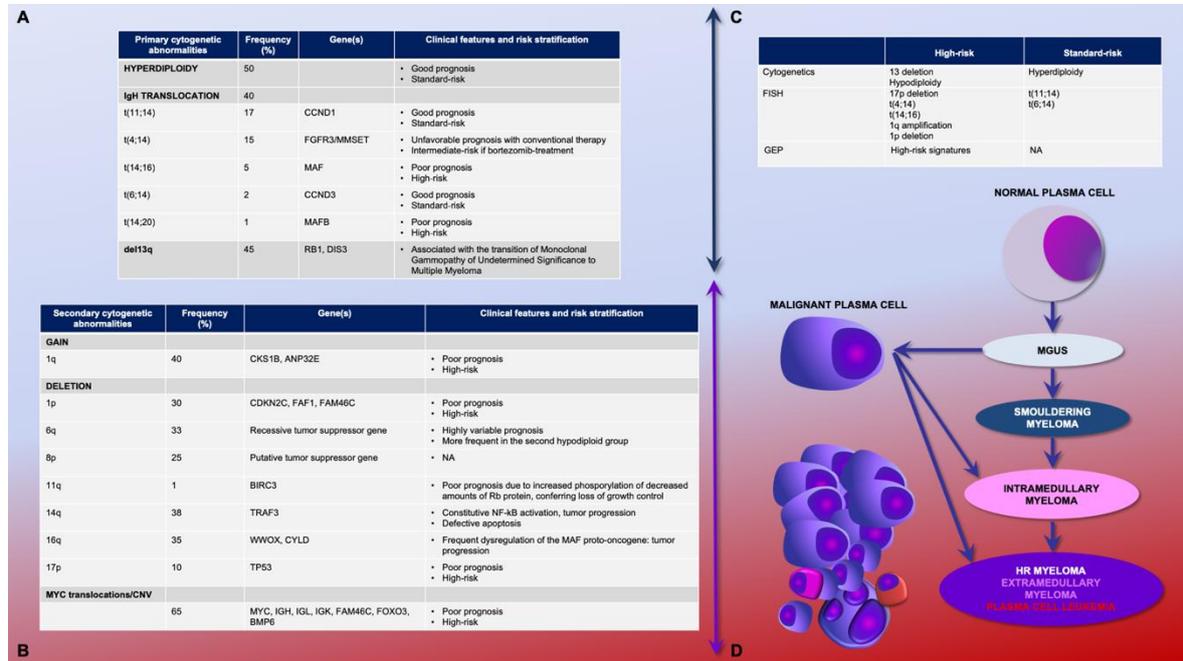
84 2. Genetic determinants of multiple myeloma and clinical prognostic scores

85 2.1. Primary and secondary genetic events

86 Primary genetic events leading to clonal proliferation are represented by hyperdiploidy,
87 chromosomal structural abnormalities and recurrent translocations. In 20% of MM patients the
88 juxtaposition of immunoglobulins enhancer with the coding gene regions nearby, oncogenes, results
89 in their constitutional over-expression [3,5,6] (Figure 1a). The secondary genetic events are mainly
90 numerical alterations, such as deletion, gain of chromosomes, specific genes expression alterations
91 and mutations, for instance c-myc and RAS respectively [3,5-8] (Figure 1b). In MM, the most common
92 cytogenetic finding is hyperdiploidy, found in 50% of patients; usually it implies a good prognosis
93 with an OS of 7-10 years, namely considered as a standard risk. Nevertheless, the underlying
94 biological mechanisms are still unknown as well as the prognostic role of distinctive karyotype gains
95 [9,10].

96 Importantly, the group of translocations that involves chromosome 14, globally accounts for 40% of
97 patients and includes good-prognosis forms such as t(11;14). However, in the HOVON trial using
98 bortezomib in induction prior high-dose melphalan therapy and bortezomib maintenance, overcome
99 the increased risk of t(4;14) considering it as standard risk if bortezomib containing therapies are
100 applied [11-14]. Rare translocations may also occur; t(14;16) indicates an aggressive phenotype and it
101 is associated with high free light chains level and acute renal failure (25% of patients); t(14;20)
102 characterizes an aggressive disease per se [6-8]. MM high-risk features include alterations of
103 chromosome 17 and chromosome 1. The 17p deletion at diagnosis occurs in about 10% of patients
104 and it is frequently acquired after therapy; 40% of patients harbors 1q amplification, often associated
105 with IgH translocations or with 1p deletion [15] (Figure 1c). The disease evolution follows the above
106 mentioned pathogenetic events (Figure 1d).

107 Combined lesions, besides the type of cytogenetic anomalies, define the MM prognosis. In 1069 newly
 108 diagnosed MM (NDMM) enrolled in MRC IX trial a strong positive association with IGH and 1q gain
 109 was found: 72% of IGH translocations were harboring 1q gain, 12% del(17p) and 4% showed all three
 110 unfavorable markers. Indeed, genetic abnormalities are not isolated events since they can occur
 111 together, conferring an additive effect on OS [15].



112 **Figure 1.** Relationship between peculiar cytogenetic abnormalities and multiple myeloma evolution:
 113 (a) Primary genetic events occur in the early premalignant phase during the transition from normal
 114 plasma cell to a clonal plasma cell; (b) Secondary genetic events occurring during the disease
 115 progression [6]; (c) Genetic risk stratification, modified from [5]; (d) Multiple Myeloma disease
 116 evolution. GEP: gene expression profile. MGUS: Monoclonal Gammopathy of Undetermined
 117 Significance.
 118

119

120 2.2. Genetic prognostic relevance: Gene Expression Profiling (GEP) and cytogenetics

121 GEP represents an additional tool to assess the MM genetic heterogeneity [16,17]. A 70-gene
 122 microarray panel may characterize molecular MM subgroups and signatures associated with high-
 123 risk diseases and short survival. This approach identified prognostic relevant molecular determinants
 124 on chromosome 1: the up-regulated genes were mapped on 1q and the down-regulated ones on 1p.
 125 The high-risk score obtained from the expression levels predicted a shorter durations of disease
 126 remission, event-free survival and OS [18]. Moreover, del17, 1q gain and t(4; 14) detected by
 127 fluorescence in situ hybridization [17] are strongly associated with a 16-24% high-risk, regardless of
 128 treatment, age and disease status [19]. Recently, an International Myeloma Working Group (IMWG)
 129 consensus defined NDMM patients harboring unfavorable cytogenetics and GEP adverse molecular
 130 signatures as high-risk (Figure 1c) [5].

131

132 2.3. Combined scores and clinical predictors of prognosis

133 Combined scores have been extensively validated [20,21]. Accordingly, a Revised International
 134 Staging System (R-ISS) has been developed. A total of 3,060 NDMM patients were enrolled into 11
 135 international, multicenter clinical trials. All patients received either immuno-modulatory agents

136 (IMiDs) or proteasome inhibitors (PIs) [22]. The R-ISS was able to identify three populations with
137 different outcomes in terms of relapse incidence and OS [22]. By using the univariate analysis, the R-
138 ISS III turned out to be the stage with the highest prognostic impact compared to the remaining
139 individual parameters in terms of both PFS and OS. Bolli et al. reported a large number of sequencing
140 data from a 418 NDMM cohort. Gene mutational status joint with copy number aberrations and
141 translocations led to the identification of patients' subgroups with different outcomes. Notably,
142 chromosome 6 deletion, involving PRDM1 combined with t(4;14) or BIRC2/3 deletion, identified
143 individuals with worse OS. Moreover, 4 different clusters were identified based on genetic
144 compositions with different PFS and OS. The worse prognostic features were associated to cluster 2,
145 including 1q amplification, a higher IGH translocation and TP53 mutations rate, deletions of 17p, 13q,
146 BIRC 2/3 and XBP1. [23]. Walker et al., in a comprehensive genomic analysis performed on more than
147 800 patients described and validated a peculiar MM population characterized by poor prognosis; the
148 double-hit MM are defined as diseases harboring a bi-allelic inactivation of TP53 or an amplification
149 of chromosome 1 involving the CSK1B gene together with ISS3. The poor prognosis associated to the
150 population characterized by these alterations makes advisable the recognition of this genetic subset
151 [24].

152 The clear distinction between low-, intermediate- and high-risk groups was also confirmed by
153 diversifying the analysis for different types of therapy, i.e., whether or not high-dose regimen
154 supported by ASCT was employed or whether IMiDs-based versus PIs-based treatments were given
155 [22]. Another fundamental prognostic indicator is the depth of response [25-27]. Indeed, the complete
156 remission (CR) achievement was associated with a significant increase in OS in NDMM regardless
157 transplant eligibility; this was also confirmed in the relapsed/refractory group (RRMM) [25-28].

158 The depth of response takes on a particularly critical value when the patients are stratified according
159 to the cytogenetic risk [29]. Undeniably, failing in obtaining a CR in high-risk patients, as defined by
160 the GEP signature, correlates with a significant reduction in OS [30]. In addition, the association
161 between the persistence of post-transplant residual disease identified by flow cytometric immune-
162 phenotyping (fluorescence activated cell-sorting -FACS) and the presence of a high-risk basal
163 cytogenetic profile is characterized by unfavorable outcomes [31].

164

165 2.4. Minimal Residual Disease (MRD)

166 Disease remission is commonly defined by serological and immunological parameters [32]; these are
167 not sensitive enough to detect the smallest residual tumor burden [33,34].

168 Therefore, over the past years, the response assessment paradigm has been integrated with more
169 precise tools able to detect minimal populations of clonotypic plasma cells (PCs) in the bone marrow
170 (BM) [35].

171 As in other hematological malignancies [36] the MRD status in MM, defined as the clonotypic PCs
172 persistence in the BM after therapy, is emerging as an ultra-sensitive tool, showing a deep impact on
173 survival. In particular, two main methods have been validated for the detection of MRD based on
174 Next Generation FACS (NGF) and Next Generation Sequencing (NGS) [37].

175 Martinez Lopez et al. designed an NGS-based method where the PCs are bar-coded by their
176 clonotypic immunoglobulins rearrangements accurately identifying the neoplastic clone. This
177 method is superior to the one based on standard 8-color FACS. MRD-negative patients showed
178 significantly higher survival [33,38,39]. Furthermore, the NGF is turning out to be an ultra-sensitive
179 tool for the MRD detection. Flores-Montero et al. analyzed a 63-patient cohort with a new MRD panel
180 for FACS, showing that NGF-MRD is superior to the standard 8-color FACS. The NGF was able to

181 identify residual sub-clones that had barely been detected by other methods [40]. The MRD negativity
182 confirmed its impact on the clinical outcome [41]. Nonetheless, the MRD standardization and the real
183 impact on the patient's management remains an unmet clinical need. Both techniques bring
184 advantages and disadvantages that arise a non-negligible challenge in select the best option. Both of
185 them are characterized by a broad applicability along different laboratories and both have increased
186 significantly their sensitivity able to detect at least 1 cell every 100000. In order to reach a huge
187 sensitivity NGS needs to acquire and analyze 5 millions of events in comparison to the NGS method
188 that would reach the same level of deepness with less than 1 million cells [35]. Conversely, the NGS
189 appears faster and more reproducible, relying on fresh samples processing and automated flow-chart
190 analysis. NGS invariably depends on baseline sample availability, is time consuming and imply
191 bioinformatic-based analysis. The two described methods would depict the residual disease taken
192 only from single biopsy in a single specific body region, potentially missing the typical MM spatial
193 distribution and heterogeneity [35,42]. Moreover, the assessment can be biased at several layers,
194 such as aspiration volume, peripheral blood dilution.

195 Thus, the imaging techniques are acquiring a central role in the initial work-up and in the response
196 assessment [37,43-45].

197 The magnetic resonance and low dose radiation computer tomography scans are now considered the
198 gold standard for the initial NDMM assessment. The IMWG defined the guidelines for the positron
199 emission tomography (PET)-guided scan in MM. The 18F-FDG PET scan represents the most
200 common tool for detection of active metabolic MM lesions, although the technique may be hindered
201 by lack of sensitivity and specificity [43]. Metallic implants might lead to false positive results as well
202 as inflammatory states; alternatively, the patients' hyperglycemia and steroid therapy that transiently
203 suppress the metabolic state can enhance a false-negative rate [45]. Rasche et al. found that
204 Hexokinase-2-low expression can also reduce the diagnostic sensitivity, due to the FDG
205 phosphorylation decrease and subsequent lower uptake by tumor cells [46,47].

206 In order to increase the accuracy of PET diagnosis, alternative metabolic pathways have been
207 proposed as new target [45]. Lapa et al. have evaluated the usefulness of the radiotracers 11C-
208 methionine (MET) and reported a potential diagnostic superiority of MET-PET/CT in comparison to
209 FDG for staging and re-staging of both intra- and extramedullary MM lesions. MET uptake correlated
210 with BM involvement and seemed to be a more accurate marker of tumor burden and disease activity
211 compared with the standard 18F-FDG PET [48]. On top, the possible use of the chemokine (C-X-C
212 motif) receptor (CXCR4) holds the promise to be a target-tracer for MM imaging and endo-
213 radiotherapy. CXCR4 represents an attracting molecule that could at the same time be able to inform
214 about the tumor infiltration and its immune-environmental counterpart [49] and could select patients
215 suitable to CXCR4-directed therapies. Lapa et al. have reported successful but transient remissions
216 in heavily pretreated patients with relapse/refractory MM and extramedullary disease who
217 underwent to CXCR4-directed endo-radiotherapy demonstrating that this treatment deemed to be
218 feasible and successful even at advanced MM stage [50].

219 In the near future, radioligand therapy along with imaging technology can significantly improve the
220 diagnostic and the MRD assessment.

221

222 **3. Aggressive and refractory multiple myeloma phenotypes: the neoplastic clone and the** 223 **interaction with the tumor microenvironment**

224

225 *3.1. The angiogenic trigger in Multiple Myeloma: novel perspectives from the immune microenvironment*

226 MM is considered, from a geno/phenotype point of view, halfway between a solid and a
227 hematological neoplasia. A potential explanation is provided by the huge impact of the tumor
228 associated immune-microenvironment and its angiogenic potential that plays a major role in the
229 disease pathogenesis and progression [51]. Of note, the GEP70, includes in its 70 high prognostics
230 genes, markers related to the angiogenesis and to the control of tumor-immune response. This panel
231 comprises genes such as FABP5 [52], BIRC5 [53], AURKA [54], ALDOA [55], YWHAZ [56], ENO-1
232 [55] strong mediators of neo-vasculogenesis. Recently, Saltarella et al. published the results of the
233 GIMEMA-MM0305 clinical trial where patients were randomized between two different therapy
234 schedules (bortezomib-melphalan-prednisone-thalidomide followed by bortezomib-thalidomide
235 maintenance vs bortezomib-melphalan-prednisone); the enrolled subjects have also been studied for
236 several serum angiogenic factors in different time points. The authors concluded that high levels of
237 VEGF and FGF-2 were associated with a bad prognosis [57].

238 Thus, enhanced angiogenesis strongly impacts MM prognosis due direct and indirect trigger on MM-
239 cell survival [58]. Cytokine- and cell-adhesion dependent BM milieu education support new vessels
240 formation and MM proliferation, irrespective from the immune-surveillance. Leone et al. provided
241 evidence that the intimate interaction between ECs, MM and CD8+ T cells creates a permissive
242 immune-microenvironment within BM that allows undisturbed MM proliferation. They demonstrate
243 that ECs act as antigen presenting cells stimulating a central memory CD8+ T cell population that
244 negatively regulates the effector memory CD8+ T cells with anti-tumor activity. Remarkably, a
245 defective immunosurveillance allows for persistence and proliferation of MM cells: an immune-
246 microenvironment disease evolution characterized by exhausted CD8+ cells, over-expressing check
247 points molecules such as LAG3 and PD1 in preclinical models offer suitable targets for increased
248 survival in in vivo models [59]. In a clinical setting patient with larger CD8 cytokine profile, along
249 with competent CD8 T cells and dendritic cells, had an increased OS and time to progression [60].
250 Therefore, it is likely that new blood vessel formation (i.e., angiogenesis) within BM, a recognized
251 hallmark of MM progression, parallels MM evasion from T cell immune surveillance [61-63].
252 Moschetta et al., highlighted how endothelial-progenitor-cells trafficking is implicated in MM
253 progression especially in the early disease phases [64]. Several clinical trials in MM tested the effects
254 of bevacizumab used in combination with other agents including lenalidomide, dexamethasone, or
255 bortezomib with discouraging results [65]. In addition to bevacizumab, other VEGFRs targeting
256 compounds (including aflibercept-VEGF-trap), activated pathway inhibitors (tyrosine kinase,
257 PI3K/Akt-MEK/ERK, FAK), anti-cytokine drugs and monoclonal antibodies have shown anti-
258 angiogenic effect but not sufficiently to enter in clinical MM setting [65-73]. Therefore, these evidences
259 provide the translational rationale to overcome the scanty effect of anti-angiogenic approach in MM
260 obtained so far [74]. Assumed the different angiogenic impact on a given disease stage it would be
261 worth to better tailor the vasculogenic manipulation in the early MM with smoldering high-risk
262 phenotype [64,75]. In frame of this thinking, one critical effect of corrupted angiogenesis is disease
263 dissemination, within and outside the bone marrow, driving intra- and extramedullary MM
264 manifestation [76].

265

266 *3.2. Extramedullary disease characterization as a paradigm for corrupted interaction between MM cell and its*
267 *ecologic niche*

268 Based on the molecular acquired advantages and the prone immune-microenvironment, MM cells
269 are able to follow chemotactic signals and to colonize different BM compartments [76] especially in
270 the latest phases of the disease [45].

271 Extramedullary disease (EMD) has been considered as the organs' colonization other than bone by
272 infiltrating PCs [77]. Among these conditions the plasma cell leukemia represent a rare but aggressive

273 phenotype of extramedullary dissemination where PCs lose completely their “homing” capacity to
274 the BM compartment [78,79].

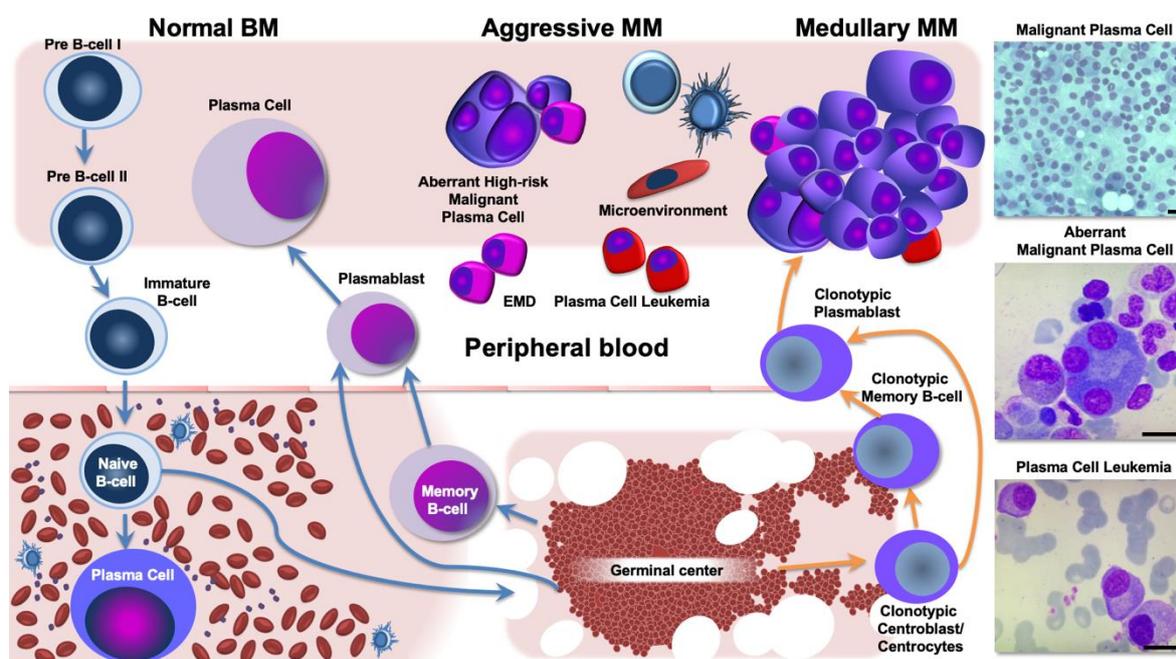
275 The incidence is 6-8% in NDMM and arise to 10-30% in RRMM [79-81]. The sites mostly involved are
276 liver, skin/soft tissue, pleural effusion, kidneys, lymph nodes, pancreas [82] and the central nervous
277 system (CNS), hence representing a challenge for clinical practice [83-85]. The common biologic
278 characteristics are: higher LDH level, anemia, thrombocytopenia, non-secretory MM, high-risk GEP
279 and cytogenetics and immature/plasmablastic morphology [80,86]. The clinical approach comprises
280 physical examination with CNS assessment and functional whole-body imaging [83]. The EMD
281 presence at disease onset is associated with poor PFS [80,81] and it results in an even more aggressive
282 behavior when it affects directly soft tissues not anatomically related to the BM [87,88].

283 Regarding PCL, it is diagnosed when more than 20% of PCs are detected in the peripheral blood
284 (absolute PCs count above $2 \times 10^9/L$). It is frequently associated to leukopenia due to dysplastic BM or
285 heavily previous significant treatment exposure [82]. It occurs in 2-4% of MM patients and it is
286 classified as primary or secondary. The It is primary form (60-70% of cases) arise in absence of a pre-
287 existing MM; the secondary one (30-40% of cases) represents an end-stage MM leukemic
288 transformation [41]. The prognosis is very poor with an OS rate remains below 10% during 5 years
289 in the primary PCL [41] and only 1 month in secondary PCL [82]. Indeed, PCLs are characterized by
290 abnormal immunophenotype and high-risk cytogenetics (most frequent: hypodiploidy, t(11;14), 1q
291 gain, and del17p) [78,82].

292 From a biological point of view, MM dissemination out of the BM is related to the expression of
293 adhesion molecules and chemokine receptors [76,80,81]. EMD is characterized by BM
294 microenvironment-independent tumor growth, inhibition of apoptosis, escape from immune
295 surveillance and drug resistance (DR) that pinpoint this condition as a high-risk feature [79].

296 Extrinsic and intrinsic factors are involved in the MM extramedullary localization. Tumor
297 heterogeneity, concerning the acquisition of genetic lesions able to modify the malignant plasma cells
298 interaction with its microenvironment, is the main responsible for MM spreading. In more detail,
299 acquisition of BRAF or other activating RAS pathway mutations, reduced expression of adhesion
300 molecules or chemokines, altered SDF1/CXCR4 axis interaction and enhanced angiogenesis resulted
301 as drivers of MM disseminations (Figure 2) [80,87,89-92]; the MM niche, represents an environment
302 where the tumor is able to proliferate taking advantage from a protective milieu composed by
303 activated stromal and endothelial cells, capable to promote invasion and angiogenesis. An exhausted
304 immune compartment facilitates MM progression and sustains a permissive soil [75].

305 Moreover, about 30% of patients with EMD at diagnosis are considered high-risk due to poor first
306 line therapy response and genetic characteristics [81]; these patients could suffer of a primary
307 refractoriness status or early (within one year) relapse occurring after the therapeutic intervention or
308 during the maintenance protocol [81,93,94]. Therefore, a deeper understanding of the molecular basis
309 that enables the rise of this unfavorable phenotype is mandatory in order to provide a more efficient
310 treatment for these selected patients [95].



311
 312 **Figure 2.** B-cell differentiation, multiple myeloma (MM) development and aggressive disease
 313 phenotypes. Left panel: pre-B cells migrate from the bone marrow (BM) into the peripheral blood and
 314 the germinal center. Memory B-cell differentiation drives the production and localization of plasma
 315 cells (PCs) into the BM. Right panel: The earliest clonotypic cell, putatively the MM precursor, can
 316 turn into mature premalignant PCs namely MGUS. Subsequent genetic events lead to overt disease
 317 in multiple BM sites. Ultimately, clonal evolution driven by disease biology and BM
 318 microenvironment interaction continues to select MM PCs that finally give rise to extramedullary and
 319 aberrant growing sub-clones. EMD: extramedullary disease.

320

321 3.3. Biological background and genomic landscape of high-risk multiple myeloma

322 The spatial genetic heterogeneity determines differential proliferation potential within the BM or in
 323 extra-medullary sites, depending on different clones and sub-clones with a variety of genome
 324 alterations [96].

325 Given spatial differences, commonly used prognostic markers are del(17p) in 33% of patients and
 326 translocations involving MYC in 25%. The 1p deletion and 1q21 gain/amplification are frequently
 327 shared between different spatial sites, with a 19% of patients presenting a regionally restricted event
 328 [96]. Loss of heterozygosity, involving 1q, present in 21% of patients, as well as changes in
 329 chromosomes 1, 4, 5 and 8, are the most frequent contributors to spatial heterogeneity. Moreover, the
 330 most recurrent mutated genes are NRAS, KRAS, TTN, ROBO2, TP53, and BRAF. On the contrary,
 331 gene alterations involved in the mitogen-activated protein kinase (MAPK) pathway are the most
 332 important mutations concurring to site differences [96-99]. To summarize, the spatial heterogeneity
 333 harbors a molecular signature that often characterize advanced disease stages. Of note, the serine-
 334 threonine kinase BRAF has been found to be mutated in 5 to 10% [100,101] of all MM patients, and
 335 the BRAFV600E mutation is one of the most common variants [77,96,102]. Targeting BRAFV600E
 336 has been employed in several neoplastic disorders with clinical benefit [103,104]. Gaining this mutation
 337 in MM was linked to increased EMD incidence, shortened PFS and reduced OS [90]. Therefore Raab
 338 et al., used vemurafenib, a BRAFV600E specific inhibitor, to treat resistant EMD harboring this
 339 mutation, obtaining a variable grade of disease control [90,105]. Nonetheless, when NRAS mutations
 340 were acquired determining vemurafenib resistance, bortezomib showed clinical efficacy on resistant
 341 clones conferring a good disease control [105].

342 Besides driver cell genome alterations, different mutations have been described in different sites as a
343 non-sequential model in MM. This evolutionary selective pressure could explain the selection of
344 decreased BM dependent clones, able to grow within the EMD sites [96,102,106] (Figure 2).

345

346 **4. Mechanisms of drug resistance in aggressive multiple myeloma**

347 Despite the direct targeting of oncogenomic drivers and the availability of new compounds that
348 improved MM treatment, the therapeutic pressure can also select resistant mutated neoplastic clones
349 [107,108]. In MM have been identified many ways, by which the disease develops drug resistance
350 (DR); genomic instability and tumor microenvironment are two of the main triggers of DR and clonal
351 evolution [78].

352

353 *4.1. New Insights from the bone marrow microenvironment adhesion mediated drug resistance*

354 The BM niche pivotal role in DR acquisition derives from several factors [109]; one of the main
355 refractoriness drivers is the adhesive interaction between PCs and BM stromal cells and extracellular
356 matrix components [89]. Moreover, cell adhesion triggers the epithelial to mesenchymal transition
357 (EMT) and metastatic process in solid tumors [110]. Roccaro et al. investigated the function of CXCR4
358 and found this molecule as an EMT regulator in MM. PCs over-expressing CXCR4 are more prone to
359 bone dissemination when transplanted to an in vivo model (Figure 3a). On the contrary, CXCR4-
360 silenced PCs resulted in both reduced bone homing and cell growth. Furthermore, ulocuplumab, an
361 anti-CXCR4 monoclonal antibody (mAb), modifies the RNA expression of signals that mediate EMT,
362 reducing tumor size and tumor BM homing [91].

363 Another cell adhesion molecule (CAM) that plays a major role in MM survival is the Junctional
364 Adhesion molecule-A (JAM-A) [111,112]. It resulted in lower expression on PCs derived from MGUS
365 than in MM patients; remarkably, among MM patients, different JAM-A surface level (JAM-A^{high}
366 versus JAM-A^{low}) implied worse PFS in the JAM-A^{high} group [111,112]. Moreover, soluble JAM-A
367 levels displayed a direct correlation to bone lesion in newly-diagnosed patients, as well as to PCs
368 infiltration at disease relapse [112]. The JAM-A silencing resulted in reduced MM cell migration and
369 colony formation [112]. Similar results were found in MM in vivo models treated with an anti-JAM-
370 A mAb [112]. Overlapping findings derived from studies on CD44, known as β -catenin
371 transcriptional target, which is a functional component of the CAM and it is another potential
372 mediator of DR [113]. CD44 is over-expressed on PCs derived from IMiDs-resistant patients and
373 mediates lenalidomide resistance. As in other hematologic neoplasia, blockade of adhesion molecules
374 and their downstream pathways [114,115], such as CD44 either with mAb, gene-silencing or all-trans-
375 retinoic acid reduced adhesion and restored drug sensitivity [113,116] (Figure 3a).

A		B	
Gene encoding proteins	References	Category	Criteria
CXCR4	<ul style="list-style-type: none"> Roccaro A, <i>et al.</i> Cell Rep, 2015 [91] Katz BZ. Seminar Cancer Biol, 2010 [168] Waldschmidt JM, Br J Haematol, 2017 [169] 	Progressive disease (PD)	At least one of the following criteria is required: <ul style="list-style-type: none"> Increase $\geq 25\%$ CM (at least ≥ 0.5 g/dL) Increase $\geq 25\%$ urinary CM (at least ≥ 200 mg/24 hours) Increase $> 10\%$ of medullary plasma cell infiltrate Appearance of new osteolytic lesions or plasmacytomas Hypercalcemia
JAM-A	<ul style="list-style-type: none"> Kelly KR, <i>et al.</i> Oncotarget, 2015 [111] Solimando AG, <i>et al.</i> Leukemia, 2018 [112] 	Clinical relapse	At least one of the following criteria is required: <ul style="list-style-type: none"> Plasmacytoma appearance or bone lesions Increase in size of pre-existing plasmacytoma or bone lesions Hypercalcemia (> 11.5 mg/dL, 2.63 mmol/L) Hemoglobin reduction > 2 g/dL Increased creatinine value > 2 mg/dL
CD44	<ul style="list-style-type: none"> Katz BZ. Seminar Cancer Biol, 2010 [168] Vacca A, <i>et al.</i> Am J Hematol, 1995 [170] Okada T, <i>et al.</i> Clin Exp Metastasis, 1999 [171] 	Relapse after previous complete remission (CR)	At least one of the following criteria is required: <ul style="list-style-type: none"> Detection of serum CM or urinary electrophoresis or immunofixation Increased bone marrow plasma cells $> 5\%$ Evidence of signs related to disease progression (lytic lesions, plasmacytoma, hypercalcemia, etc.)
SDC1	<ul style="list-style-type: none"> Katz BZ. Seminar Cancer Biol, 2010 [168] Di Marzo L, <i>et al.</i> Oncotarget, 2015 [89] 		
CXCL12	<ul style="list-style-type: none"> Katz BZ. Seminar Cancer Biol, 2010 [168] Waldschmidt JM, Br J Haematol, 2017 [169] 		
ITGB1	<ul style="list-style-type: none"> Hazlehurst LA, <i>et al.</i> Oncogene, 2000 [172] 		
ITGB2	<ul style="list-style-type: none"> Di Marzo L, <i>et al.</i> Oncotarget, 2015 [89] Baker HF, <i>et al.</i> Leuk Lymphoma, 1992 [173] Schmidmaier R, <i>et al.</i> Int J Oncol 2007 [174] 		
ITGB7	<ul style="list-style-type: none"> Neri P, <i>et al.</i> Blood, 2011 [175] 		
ITGA4	<ul style="list-style-type: none"> Noborio-Atano K, <i>et al.</i> Oncogene, 2009 [176] Vacca A, <i>et al.</i> Am J Hematol, 1995 [170] Waldschmidt JM, Br J Haematol, 2017 [169] 		
ITGA5	<ul style="list-style-type: none"> Andrade VC, <i>et al.</i> Leuk Lymphoma, 2010 [177] 		
VCAM1	<ul style="list-style-type: none"> Okada T, <i>et al.</i> Clin Exp Metastasis, 1999 [171] 		
NCAM1	<ul style="list-style-type: none"> Yoshida T, <i>et al.</i> PLoS One, 2018 [178] 		
MUC1	<ul style="list-style-type: none"> Yin L, <i>et al.</i> Br J Haematol, 2017 [179] 		
SELP	<ul style="list-style-type: none"> Muz B, <i>et al.</i> Biomed Res Int, 2015 [180] 		
SELPLG	<ul style="list-style-type: none"> Muz B, <i>et al.</i> Biomed Res Int, 2015 [180] 		
SELE	<ul style="list-style-type: none"> Natori A, <i>et al.</i> Leukemia, 2017 [181] 		
CDH1	<ul style="list-style-type: none"> Yao Q, <i>et al.</i> Clin Epigenetics, 2018 [182] 		
ITGB3	<ul style="list-style-type: none"> Ria R, <i>et al.</i> Haematologica, 2002 [183] 		
CD38	<ul style="list-style-type: none"> Van den Donk N, <i>et al.</i> Front Immunology, 2018 [148] 		

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Figure 3. (a) Gene encoding protein list: adhesion molecule implicated in drug resistance described in MM. (see text, ref. [168-183], for details); **(b)** Definition of the disease relapse according to the International Myeloma Working Group (see text for details).

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4.2. Immuno-modulatory agents (IMiDs)

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One of the paramount drugs employed in MM is lenalidomide. Though very effective, MM patients can develop primary or secondary resistance to it. It has been found that lenalidomide binds CRBN which participates to the constitution of E3 ubiquitin ligase (CRL4) complex [117]. Lenalidomide also reduces two transcription factors, Ikaros (IKZF1) and Aiolos (IKZF3). Mutations involving CRBN, IKZF1/3 binding sites confer resistance to IMiDs and are clinically significant [108,117,118]. This mutational status assessment could provide useful tools to drive clinical decisions.

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The Multiple Myeloma German Study Group (DSMM) has discovered that in standard-risk patients, adverse PFS and OS have been associated with high expression levels of IKZF1 and IKZF3 [119]. Nonetheless, Zhu *et al.* in heavily pre-treated patients showed that low levels of Ikaros and high levels of KPNA2 were associated with poor prognosis in univariate analysis [120]. Basserman *et al.*, have recently described an alternative IMiDs mechanism of action involving the CD147-MCT1 complex. This machinery is involved in cellular proliferation and survival and is able to induce invasion and angiogenesis by a direct regulation of metalloproteinase expression or the vascular endothelial growth factor. Moreover, an overexpression of the CD147-MCT1 complex is correlated to Lenalidomide resistance both in vitro as in vivo models [121]. Moreover, also the epigenetic regulators such as EZH2 could mediate IMiDs drug resistance and patients with this poor prognosis signature could benefit from epigenetic modifiers targeted therapies [122].

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4.3. Proteasome Inhibitors (PIs)

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Other milestones in MM treatments are PIs [123]. In vitro, continuous exposure to bortezomib and analogues generates resistant cell lines. Single point mutations in PSMB5 have been described as the underlying cause of this resistance because of a conformational or steric change to the proteasome

404 drug-binding site, reducing PIs pharmacological interaction [124]. This mutation is usually absent at
405 diagnosis. PSMB5 acquires new mutations in less than 5% of patients after multiple PIs treatments,
406 thus conferring DR [125].

407 Mitra et al. [126] analyzed the drug response of individual cells based on target transcriptome in
408 pretreatment cell analysis, thus predicting PIs-resistance, i.e., the residual resistance affected the PI-
409 treatment response [126]. Another PIs resistance mechanism is determined by the down-regulation
410 of the proteasome 19s subunit due to an impairment of the ATPase activity [127]. Taken together, the
411 down-regulation of proteasome subunits and the acquisition of mutation affecting the drugs
412 mechanism of action could explain at least 10% of the acquired resistance in MM patients.

413 In addition, the down-regulation in tight junction and the proangiogenic genes resulted in PIs
414 resistance. TJP1 [128] and HGF/c-MET [129,130] have been identified as determinants of PIs
415 susceptibility. Indeed, TJP1 knockdown preserved cell viability after the exposure to PIs, also
416 decreasing apoptosis, and conferring resistance in the presence of wild type or mutant RAS. On the
417 contrary, TJP1 over-expression sensitized MM cells to PIs [128]. Zhang et al. demonstrated that TJP1
418 suppressed EGFR/JAK1/STAT3 signaling, thus having great clinical relevance in terms of PFS and
419 response to therapy [128]. Remarkably, HGF/cMET loop sustains DR [129] and angiogenesis [130]
420 and represents an attractive tool that targets the neoplastic clone and the microenvironment,
421 potentially overcoming therapy resistance [131].

422 Interestingly, in several in vitro and in vivo systems both MM and stromal cells, such as fibroblasts
423 [132,133], osteoclasts [134] and endothelial cells [135], recruited in the tumor milieu seem to stimulate
424 the proliferation and to drive immune permissive microenvironment [62], thus representing a new
425 attractive therapeutic target.

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427 **5. Approach to the patient with high risk related to relapsed/refractory multiple myeloma**

428 A refractory MM is defined by an unsensitiveness to 3 or more courses of anti-myeloma therapy or that
429 has progressed within 60 days of the last treatment. Primary refractory MM patients are the ones that
430 never experienced at a partial response to all previous lines of therapy; the relapsed patients are the
431 ones who required a new rescue therapy after a partial or complete remission interval of at least 60
432 days. The definition of the disease relapse follows the criteria of the International Myeloma Working
433 Group (IMWG) (Figure 3b) [136]

434 *5.1. Validated therapy for relapsed/refractory multiple myeloma*

435 The duration, the quality and the depth of response to previous therapy represent fundamental
436 principles to take into account for the choice of the relapse/refractory treatment program. Moreover,
437 a complete RRMM framework need to consider high relapse risk clinical features (systemic
438 symptoms, organ damage, EMD, circulating plasma cells increase LDH), acquired high-risk FISH
439 cytogenetics lesions (17p deletion, chromosome 14 translocations, alterations involving chromosome
440 1) and residual therapy-related toxicity derived from previous treatments [137].

441 Anti-angiogenic drugs such as lenalidomide and pomalidomide represent the back-bone of the
442 treatment schedules; in particular lenalidomide has been firstly approved in combination with
443 bortezomib and dexamethasone [138] in 2015 and one year later with the second generation
444 proteasome inhibitor carfilzomib [139] (Figure 4a).

445 Bortezomib in combination with dexamethasone (VD) [140] or the triple-therapy with also liposomal
446 doxorubicin (PAD) [140] and lenalidomide-dexamethasone (RD) schedule [67,141,142] have

447 showed significant prolongation of PFS in phase 3 clinical trials becoming standard salvage therapy
448 schemes.

449 More recently, randomized clinical trials demonstrated a greater efficacy of triplets retaining a
450 tolerability profile similar to that of the 2-drug regimens.

451 The Aspire study has compared, in MM pre-treated setting, patients who underwent a combination
452 triple therapy with carfilzomib lenalidomide and dexamethasone (KRD) to an RD schedule group.
453 The authors reported that in the KRD cohort there is a significant increase in responses (87% vs 67%,
454 $P < .001$) and in survival rates at 2 years (median PFS 26.3 months vs 17.6 months, 95% CI: 0.57 to 0.83,
455 $P = .0001$; OS 73 % vs 65%, 95% CI: 0.63 to 0.99, $P = .04$). KRD is associated with a slight increase in the
456 incidence of infections and cardiac events, characterized by hypertension and seldom by heart failure
457 and ischemic heart disease compared to KRD [139].

458 The Eloquent study showed that the combination of the anti-SLAMF7 monoclonal antibody
459 Elotuzumab with lenalidomide and dexamethasone induces a significant increase in median PFS
460 (19.4 months vs 14.9 months, 95% CI: 0.57 to 0.85; $P < .001$) and treatment time (TNT) (33 vs 21 months)
461 compared to RD in pre-treated patients. Elo-RD was very well tolerated, infusion reactions after
462 monoclonal antibody occur in 20% especially after the first infusion and are predominantly grade I-
463 II [143]. Clinical studies and the toxicity profile identify KRD as possible choice in patients in first or
464 second recurrence with well-controlled hypertension, without severe cardiologic comorbidities and
465 with adequate compliance to an intravenous treatment twice a week. Elo-RD is indicated in patients
466 in first or second recurrence without high-risk clinical and biological features.

467 KRD is also indicated as pre-transplant re-induction treatment in fit patients younger than 70 years
468 who achieved a lasting response after autologous transplantation and who still have viable
469 cryopreserved hematopoietic stem cells ($CD34+$ cells $> 2 \times 10^6/\text{kg}$).

470 Salvage autologous transplantation seems well tolerated, not very toxic and more effective if the
471 response of the first autologous transplant lasts longer than 18-24 months [144].

472 In poor-responder/refractory patient setting allogeneic hematopoietic stem cell transplantation (allo-
473 HSCT) needs to be taken into account after a 4-6 KRD induction therapy. Scientific evidences indicate
474 that heavily pretreated patients who have failed several lines of treatment should no longer undergo
475 allo-HSCT, as it is burdened by high transplant-related and high relapse rates. In contrast, an allo-
476 HSCT in first recurrence for patients considered to be at high risk could maximize the advantages of
477 the procedure, reducing toxicity and increasing the efficacy of graft-versus-myeloma effect, although
478 prospective studies in this patient setting are still ongoing [145]. Moreover, in RRMM, bendamustine
479 can be used alone or in association with bortezomib in patients with preserved bone marrow reserve
480 [146]. In more advanced stages of disease (i.e. after second relapse) pomalidomide in combination
481 with dexamethasone represents a good treatment option [27]. Pomalidomide in combination with
482 dexamethasone has been shown to increase PFS and OS compared to dexamethasone alone (4.1 vs
483 1.9 months, 12.7 vs 8.1 months, respectively) in RRMM patients. In terms of adverse events, were
484 reported a modest neutropenia and an increase rate of infections compared to the conventional arm.
485 Immunotherapy represents the novel chance for MM treatment since daratumumab [147], a specific
486 CD38 monoclonal antibody, was added to the therapeutic armamentarium in MM. The CD38
487 represents a suitable antigen to target in the same time the plasma cell compartment but also the
488 immune-microenvironment with depletion of T and B regulatory cells and myeloid-derived
489 suppressor cells enhancing T cell mediated cytotoxicity [148]. The anti-CD38 monoclonal antibody
490 daratumumab [147] has been shown to be efficient and well tolerated. In RRMM, daratumumab in
491 monotherapy achieved 36% at least partial responses, with a PFS and OS at 1 year of 65 and 77%,
492 respectively. The most important toxicity concerns infusion reactions, which are limited to the first
493 administrations and adequately prevented by premedication with steroids and anti-H1

494 antihistamines. Patients with in third or subsequent relapse, already exposed to proteasome
 495 inhibitors and lenalidomide, are suitable to be treated with pomalidomide and dexamethasone or to
 496 underwent salvage treatment with daratumumab.

497 Also mentioned above, MM is running in the field of the new T cell immunotherapies as wells with
 498 chimeric antigen receptor T cells program, already targeting BCMA [149], and with new bi-specific
 499 antibodies still in clinical trials (Figure 4a).

A		B			
	Therapeutic regimen	Alterations	%	Therapy	References
Salvage	<ul style="list-style-type: none"> • Lenalidomide + dexamethasone • Bortezomib + dexamethasone • Bortezomib + dexamethasone + liposomal doxorubicine • Bortezomib + dexamethasone + bendamustine • Carfilzomib + dexamethasone +/- lenalidomide • Ixazomib + dexamethasone + lenalidomide • Pomalidomide + dexamethasone • Elotuzumab + dexamethasone + lenalidomide • Daratumumab + dexamethasone + lenalidomide • Panobinostat + bortezomib + dexamethasone 	Loss of CDKN2C	20	• Palbociclib	• Niesvicky R, et al. Leuk. Lymphoma, 2015 [158] • Menu E, et al. Cancer Res, 2008 [184]
		KRAS Mutations	21	• Selumetinib	• Holkova B, et al. Clin Cancer Res., 2016 [185]
		NRAS Mutations	20	• Cobimetinib	• Abdel-Wahab O, et al. Cancer Discov., 2014 [186] • Phase Ib/II Clinical Trial: NCT03312530
		CCND1 overexpression	19	• Palbociclib	• Niesvicky R, et al. Leuk. Lymphoma, 2015 [158] • Menu E, et al. Cancer Res, 2008 [184]
		MYC translocations	18	• Pim/Sphingosine Kinase Inhibitors • BET inhibitors	• Venkata JK, et al. Blood, 2014 [187] • Diaz T, et al. Haematologica, 2017 [188]
		FGFR3 mutations; t(4;14)	13	• Dovitinib	• Scheid C, et al. Eur J Haematol, 2015 [157]
		BRAF Mutations	8	• Dabrafenib • Vemurafenib	• Rustad EH, et al. Blood Cancer J, 2015 [189]
		BCL2 overexpression; t(11;14)	20	• Venetoclax	• Kortuem KM and Einsele H. Blood, 2017 [152]
Alternative regimen	<ul style="list-style-type: none"> • Bendamustine ± doxorubicine • High dose cyclophosphamide • Melphalan, high dose + ASCT • Clinical trials 	CCND3 overexpression	1	• Palbociclib	• Niesvicky R, et al. Leuk. Lymphoma, 2015 [158] • Menu E, et al. Cancer Res, 2008 [184]
		Bruton Tyrosine Kinase	NA	• Ibrutinib	• Richardson P, et al. Br J Haematol, 2018 [190]

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Figure 4. (a) Therapeutic regimens for relapse/refractory MM; (b) Common druggable molecular alterations in MM (see text, ref. [184-190], for details).

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504 5.2. Novel target in relapsed/refractory multiple myeloma

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The biology of RRMM patients is characterized by an acquisition of genetic lesions such as 1q amplification, deletion 17p, 1p or 13q usually associated with poor prognosis [95,108,150] (Figure 4b). Moreover, oncogenes mutations such as BRAF, NRAS and KRAS as well as tumor suppressor genes as TP53 are enriched in the RRMM setting [108,150]. Moreover, changes in the tumoral microenvironment and the angiogenesis enhancement represent key regulators in tumor progression and refractoriness development [95]. Given the biological background, in the last years major improvements have been made for the treatment of this peculiar patients group. New targeted therapies are emerging in MM, such as combinations of BRAF and MEK inhibitors [151] in RAS pathway mutated patients and BCL2 inhibitors [152-154]. Additionally, based on genomic peculiar features, clinical trials targeting FGFR3, CDK and PI3K pathways are ongoing [155] (Figure 4b). Despite encouraging pre-clinical results [156] FGFR3 inhibitors in MM setting failed to show an effectiveness as monotherapy [157]. CDK inhibitors are the more advanced drugs in clinical trials for MM: results from a phase 1/2 study reports objective responses in 20% of patients and a stable disease maintenance in 44% [158]. These approaches are able to block the proliferative and survival advantages acquired by resistant cells during the progression of the disease and to induce deep responses also in heavily pre-treated patients [95]. Nevertheless, these new targeted approaches seem to be effective but only in selected cases and for a limited timeframe that fit with the selection over the subclonal “underwood” that usually molecularly characterizes MM. Indeed, association strategy will be mandatory in order to limit the overgrown of resistant cell populations.

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525 6. Future perspectives

526 An attempt to describe MM and tumor niche genomic landscape in a patient was performed by
527 Walker et al. with a pragmatic approach, they tried identifying the potential targetable mutations.
528 More than 40 genetic lesions were druggable but only 3 of them are already targeted in the clinical
529 practice [159]. Although there are new therapeutic approaches for patients with high-risk MM [23,24]
530 and the introduction of active treatments with different mechanisms of action compared to
531 chemotherapy, therapy-sensitive patients have a very variable duration of response [93]. The MM
532 natural history is characterized by further recurrences of diseases whose response to treatments is
533 not durable. More effective multidrug induction regimens (e.g., PIs + IMiDs) and early high dose
534 therapy supported by transplant [160,161] in eligible patients did not succeed in achieving sustained
535 response. Based on the European therapeutic approach with short-term induction the potential
536 benefit of tandem ASCT compared with single ASCT is being investigated in clinical trials
537 (NCT01208766) and could offer a better PFS and OS; in high-risk patients can be worth an
538 intensification through a second ASCT and a consolidation therapy with prolonged treatments [162].
539 Moreover, maintenance therapy, immunoglobulin replacement, as infectious prophylaxis [163] can
540 improve the clinical outcome.

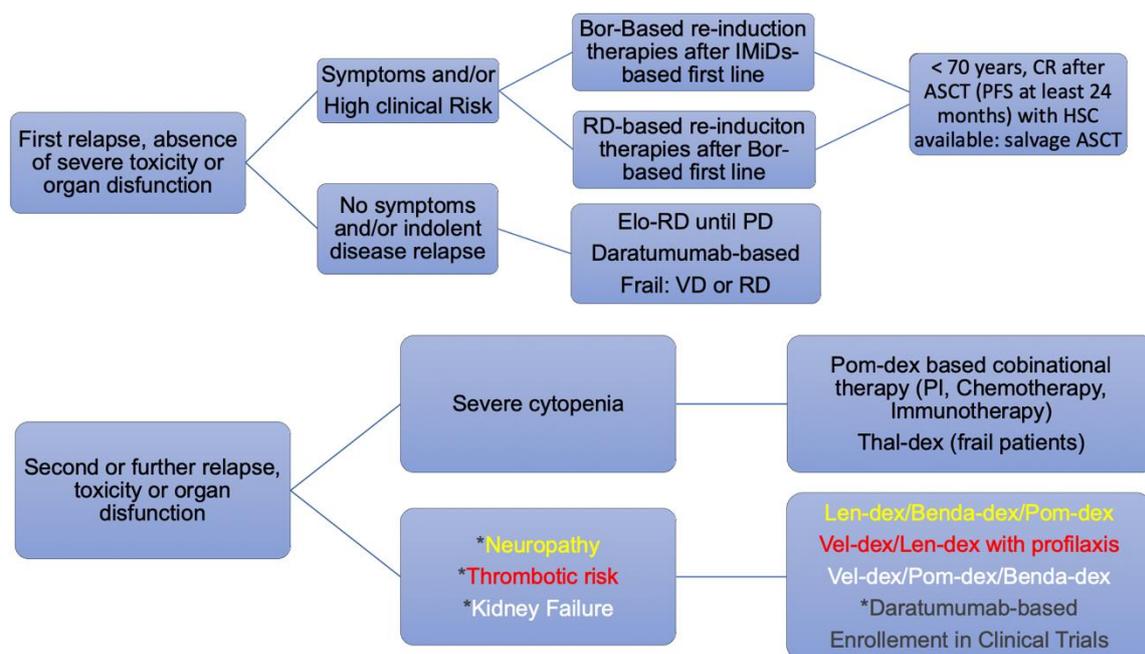
541 Next-generation PIs and IMiDs, as well as immunotherapy, hold promise to improve or overcome
542 the adverse prognosis of high-risk MM and might implement the treatment choices in the near future
543 [139,164-167]. Patients' enrolment into statistically powered prospective trials and real-life studies
544 are of relevant importance, in order to achieve an improvement in the survival rate. The
545 comprehensive genomic and transcriptomic characterization could lead to the identification of
546 therapeutic targets in high-risk MM.

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548 7. Conclusion

549 Our understanding of factors influencing prognosis in MM has advanced considerably. We now
550 recognize the contribution of a range of features including patient's baseline risk stratification,
551 disease biology, genetic lesions, imaging findings and depth of response.

552 From a clinical point of view, prognostic factors can be combined to acquire a wider range of
553 information. Early identification and a deep molecular characterization of high-risk patients at
554 diagnosis and during the disease course can help to define an appropriate treatment strategy. Given
555 the huge availability of newer and more effective treatments in the near future, waiting for the results
556 of the ongoing clinical trials, we will be able to better draw a tailored therapeutic approach for the
557 high-risk setting. Triplets including IMiDs combined with either a PI and a mAb holds promise to be
558 effective options for high risk MM. Cellular immunotherapies and antibody-drug conjugates or bi-
559 specific T-cell engagers antibodies are extensively investigated in phase I-II clinical studies (Figure
560 5).



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Figure 5. Pragmatic Integrated approach to MM patient according to the clinical risk profile. Bor: bortezomib; RD: revlimid® (lenalidomide)-dexamethasone; Elo-RD: elotuzumab®-revlimid® (lenalidomide)-dexamethasone; VD: velcade® (bortezomib)-dexamethasone; PI: proteasome inhibitor; Thal-dex: thalidomide-dexamethasone; Len-dex: lenalidomide-dexamethasone; Benda-dex: bendamustine-dexamethasone; Pom-dex: pomalidomide-dexamethasone; Vel-dex: velcade® (bortezomib)-dexamethasone. PI: proteasome inhibitor. PD: progressive disease; CR: complete response. PFS: progression free survival. HSC: haematopoietic stem cells ASCT: autologous stem cells transplantation.

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588 **References**

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