

## Article

# Assessing Mothers' Post-Partum Depression from Their Infants' Cry Vocalizations

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**Abstract:** Postpartum depression (PPD), a condition that affects up to the 15% of mothers in high-income countries, reduces attention toward the needs of the child and it is among the first causes of infanticide. PPD is usually identified using self-report measures and therefore the diagnosis may not always be valid. Previous studies highlighted the presence of significant differences in the acoustical properties of the vocalizations of children of depressed and healthy mothers. In this study, cry episodes of infants of depressed and non-depressed mothers are analyzed to investigate the possibility that a machine learning model can identify PPD in mothers from the acoustical properties of infants' vocalizations. Acoustic features ( $F_0$ ,  $F_{1-4}$ , Intensity) are first extracted from recordings of crying infants, then novel cloud-based artificial intelligence models are employed to identify maternal depression versus non depression from estimated features. Trained model shows that commonly adopted acoustical features can be successfully used to individuate Post-Partum Depressed mothers with very high accuracy (89.5%).

**Dataset License:** CC-BY-NC

**Keywords:** Infant Cry; Post-Partum Depression; Acoustic Analysis

## 1. Introduction

Cry is an innate behavior and constitutes the first form of communication newborns use to interact with their caregivers [1]. Similar to speech in adults, cry vocalizations are produced by the vibration of the vocal folds, which are controlled by the Central Nervous System (CNS). Therefore, acoustical analysis of cry can identify pathological conditions associated with the vocal tract, the brain, and the spinal cord, as demonstrated in previous research[2,3]. The functional utility of infant cry is to elicit a response in an infant's caregiver, but, as proved by previous works, some situations and conditions diminish adults' sensitivity and responsiveness to cry [4–8]. Mothers who suffer from Postpartum Depression (PPD), a condition that is reported by 10–15% of mothers in high-income countries [9,10], and up to 50% in low- and middle-income countries reduces the level of stimulation produced by infant cry and decreases mothers' level of responsiveness toward the needs of their children [11–14]. Infants of depressed mothers are therefore exposed to an increased developmental risk [15].

27 *1.1. Post-Partum Depression Identification*

28 Post-Partum Depression, a very common childbearing complication, is defined as a major  
29 depression condition that involves decreased interest or pleasure in activities, or sadness over an  
30 extended period of time [16]. Development of Post-Partum Depression is not only connected to  
31 previous episodes of depression, but it seems also to be more common when paired with other stressful  
32 events, or in women with a family history of mood disorder[9,17]. Rapid hormonal changes after  
33 delivery seem to play a primary role in the development of this disorder[18].  
34 Currently, the presence of Post-Partum Depression in new mothers is assessed through questionnaires,  
35 for example, the Edinburgh Postnatal Depression Scale, a 10-item questionnaire that uses 4-point Likert  
36 scale responses[19,20] and the Beck Depression Inventory (BDI-II), a 21-item self-report questionnaire  
37 of the presence and related degree of depressive symptoms, consistent with the DSM-IV. An alternative  
38 approach is the Structured Clinical Interview per DMS-IV Axis I disorders (SCID-I).  
39 Because identification is often based on self-reported measures or reports to interview questions, an  
40 estimated 60% of mothers with depressive symptoms receive no treatment or a clinical diagnosis [21].  
41 The development of a tool to identify PPD in mothers in an objective way may improve diagnosis and  
42 thereby enhance the quality of life of children of depressed mothers.

43 *1.2. Infant Cry*

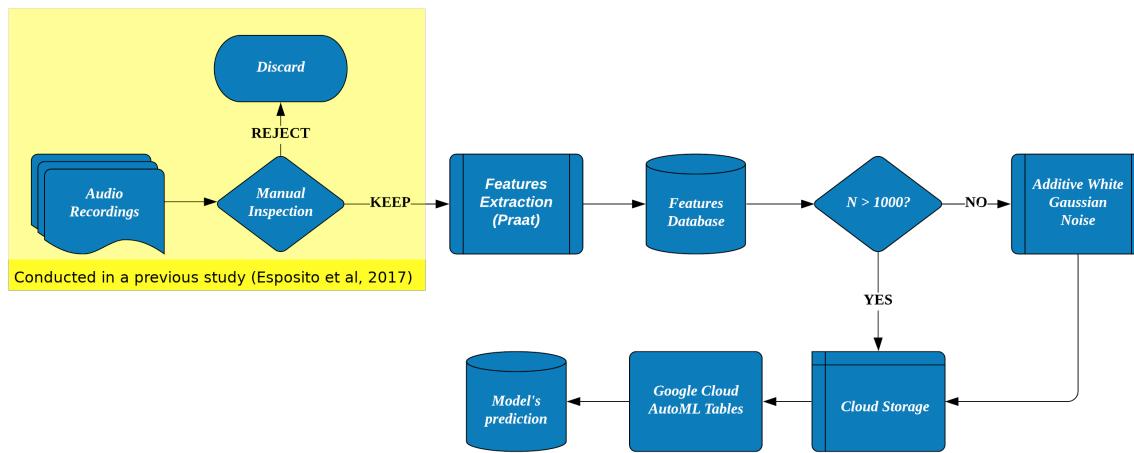
44 Infants' actively regulate acoustic information in their vocalizations to express specific needs. For  
45 example, acoustical analysis of cries has been used to identify the reason that induced a baby to cry,  
46 whether hunger, pain, or discomfort [22]. Similarly, babies vocalize differently according to their health  
47 status. Analysis of infants' cries has shown that specific patterns of cry vocalizations reflect infants'  
48 health status [23]. For example, Sheinkopf et al. [24], found different patterns of acoustical properties  
49 of cry vocalizations in children at risk for ASD compared to vocalizations from a healthy control group.  
50 Likewise, Garcia & Garcia [25] distinguished cry samples collected from deaf and hearing infants.  
51 In a typical study, cry vocalizations are elicited in babies using a trigger (e.g., heel prick) and recorded  
52 on digital or analog sources [26]. Cry signals are then filtered to remove higher frequencies components.  
53 Finally, acoustic features are estimated from the signals. Commonly used acoustic features are the  
54 Fundamental Frequency (F0), which is the lowest pitch of the periodic signals, and its formants (F<sub>1</sub>-F<sub>4</sub>),  
55 which are frequency peaks which wavelength is a multiple of the fundamental frequency.  
56 Different techniques are used to estimate acoustic features from cry samples, automatically (by means  
57 of a peak detection algorithm) or manually (by visual inspection of the spectrogram). Estimated  
58 features are then compared using statistical methods (to investigate the existence of specific patterns  
59 associated with a pathology) or fed to a classifier (to investigate whether those differences are strong  
60 enough to be used to identify a clinical situation reliably).

61 *1.3. Aim and Hypothesis*

62 Because of depressed mothers' reduced sensitivity and reactions to infants' cries, children may  
63 regulate the frequencies of their vocalization to maximize the responses of their caregivers. Previous  
64 studies have reported significant differences between the vocalizations of infants of depressed and  
65 non-depressed mothers [12]. Therefore, an analysis of the acoustical properties of cry vocalizations  
66 could identify in an objective way mothers who suffer from PPD. In this study, we investigated the  
67 possibility of using cry samples to identify PPD in mothers. More specifically, we hypothesized that a  
68 Cloud Computing based model will be able to identify children of mothers suffering from PPD, by  
69 using recordings of their cry vocalizations.

## 70 2. Methods

71 In this work, acoustical features ( $F_0$ ,  $F_{1-4}$ , Intensity) have been estimated from cry vocalizations  
 72 collected in a previous. Then, a cloud-based AI model has been trained and tested. A visual  
 73 representation of the procedure is reported in Figure 1



**Figure 1.** Summary of the steps employed in the development of the model for the diagnosis of PPD from infants' cry vocalizations.

### 74 2.1. Data

75 To test our hypothesis, we adopted a subset of a dataset used in a previous publication on  
 76 the acoustical differences in cry vocalizations of children of depressed and healthy mothers [12].  
 77 Vocalizations from children of depressed ( $N = 29$ , 8 infant girls) and non-depressed mothers ( $N =$   
 78 26, 7 infant girls) were collected at home when the infants were about 5 months of age (mean age =  
 79 157.4 days  $\pm$  8.5). 57 mothers (mean age = 31.1 years  $\pm$  3.9) were recruited from the Washington DC  
 80 metropolitan area by mailing lists and newspaper advertisements; they included European Americans  
 81 ( $n = 36$ ), African-American ( $n = 10$ ), Asian Americans ( $n = 7$ ), American Indians ( $n = 1$ ), and Latin  
 82 Americans ( $n = 3$ ). The study was approved by the IRB of the Eunice Kennedy Shriver National  
 83 Institute of Child Health and Human Development (protocol code: 02-CH-0278) and was conducted  
 84 according to the principles expressed in the Declaration of Helsinki. Written informed consent was  
 85 obtained from all the participants prior to each recording session. Additional information on the  
 86 demographic information of the participants are reported in the original work [12].

87 To increase the ecological validity of collected data, data were collected in the mothers' homes and  
 88 mothers were asked to behave as they normally would, ignoring the presence of the experimenters.  
 89 Infants and mothers were audio and video-recorded for at least 50 min, an amount of time that  
 90 according to Holden and Millers [27] falls in the optimal time-frame for mother-infant observation.  
 91 PPD was assessed using the Structured Clinical Interview for DSM-IV Axis I Disorders (SCID-I) and  
 92 the Beck Depression Inventory (BDI-II)[28], a 21-item survey which allows for a self-report of the  
 93 presence and related degree of depressive symptoms, consistent with the DSM-IV. Mothers categorized  
 94 as depressed had a high score on the BDI scale ( $>12$ ) and had been diagnosed as having minor or major  
 95 depression (SCID) by the time their infants were 5 months old. Collected cry samples ( $N = 715$ ) were  
 96 then digitalized in WAVE (wav file format, two channels) at 44.1kHz (16 bit). This format has been  
 97 selected to preserve frequency information conveyed by the cry signals, as it is a lossless compression  
 98 format [26]. Additional information on the data collection procedure, as well as the results of the  
 99 statistical analysis, can be found in the original publication. [12].

100 2.2. *Features extraction*

101 Collected cry samples ( $N = 715$ ) were then digitalized in *WAVE* (*wav* file format, two channels) at  
102 44.1kHz (16 bit). This format has been selected to preserve frequency information conveyed by the  
103 cry signals, as it is a lossless compression format. Moreover, the sampling rate allows for analysis of  
104 frequencies up to 22kHz, which makes it suitable for a reliable analysis of up to the fourth formant. No  
105 further preprocessing was conducted on recorded signals to avoid alterations of frequency information  
106 conveyed within the signal.

107 Features ( $F_{0-4}$ ) were extracted using Praat (v 6.0.50, Windows 64 bit), an open-source software design  
108 for voice analysis [29]. This software is based on the spectrographic analysis of a signal by means of  
109 a Long-Term Average Spectrum (LTAS). Specifically, the signal is first segmented into windows of a  
110 pre-specified length, then each segment is analyzed by means of an auto-correlation algorithm that  
111 works in the lag-domain (or  $\tau - \text{domain}$ ).

112 Software's settings were adapted to correctly identify  $F_0$  (Lower cutoff = 250Hz, upper cutoff = 800Hz)  
113 and the first four harmonics (Number of harmonics = 5, upper cutoff = 6000Hz) in a range that covers  
114 the spectrum in which cry vocalizations properties usually lie [30]. A copy of the script used for feature  
115 estimation is available online [31].

116 To investigate the possibility of using advanced Cloud Computing techniques to verify whether novel  
117 machine learning and neural networking techniques could be used to verify the presence of PPD in  
118 mothers, we relied on the Google Cloud Platform: *Google AutoML Tables*<sup>1</sup> [32]. A binary classification  
119 model was employed to discriminate between the cries of infants of mothers suffering from PPD from  
120 those of healthy infants. AutoML Tables were configured so that 80% of imported data was used for  
121 training, 10% for validation, and 10% for testing. The model was executed for up to two *node hours*  
122 (total running time of the training phase spread across the different machines that compose a node).  
123 Accuracy of the model was evaluated in terms of Precision (expressed in percentage), Area under the  
124 precision-recall curve (AUC PR, a value between 0 and 1, such that the higher the value, the higher the  
125 quality of the model), area under the curve of the receiver operative characteristics (AUC ROC, a value  
126 between 0 and 1, such that the higher the value, the higher the quality of the model), and logarithmic  
127 loss (a value between 0 and 1, such that the lower the value, the higher the quality of the model)

128 Data Augmentation

129 AutoML Tables requires at least 1000 samples to executed (Beta version), therefore a data  
130 augmentation technique was applied to increase the number of samples of the dataset. Additive  
131 White Gaussian Noise ( $\pm 1STD$ ) [33,34] was applied to a copy of the dataset and then merged with  
132 the original samples to obtain a dataset about twice the size of the original set of data ( $N = 1413$ ).  
133 Augmented data, containing both acoustic ( $F_0$ ,  $F_{1-4}$ , and Intensity) and demographic information  
134 (infants' gender, mothers' age) were employed for classification purposes. A copy of the final dataset  
135 is available online on the data repository of the Nanyang Technological University[31].

136 3. Results

137 Model's training stopped after 0.916 node hours, reporting an average accuracy on the test set of  
138 89.5%, as well as robust values for AUC PR (0.954), AUC ROC (0.969), and Logarithmic Loss (0.250).  
139 Overall, the model achieved more than the 90% of precision (90.4%), with a true positive recall of  
140 88.8% and an almost null false positive rate (0.09). Metrics of the score of the different evaluations are  
141 reported in Table 1.

142 For what concerns the model's error distribution, the confusion matrix of the model is reported in  
143 Table 2.

1 <https://cloud.google.com/automl-tables/>

Metric	Score
AUC PR	0,954
AUC ROC	0,969
Logarithmic Loss	0,250
Accuracy	89,5%
Precision	90,4%
True positive rate (Recall)	88,8%
False positive rate	0,090

**Table 1.** Google's AutoML Model Evaluation Metrics.

		Predicted Label	
		False	True
True Label	False	88%	12%
	True	9%	91%

**Table 2.** Google's AutoML Model Confusion Matrix.

#### **144 4. Discussion and Conclusions**

**145** In this work, we tested the possibility of using machine learning models to identify Post-Partum  
**146** Depression in mothers from their infants' vocalizations.

**147** Results of the model trained on Google's cloud computing service demonstrate the robustness of the  
**148** method based on infants' cry analysis. The model, based on estimated acoustical properties of cry,  
**149** identified at a high level of accuracy (89.5%) the children of depressed mothers. Our results suggest  
**150** that machine learning models, trained in cloud environments, can support clinicians in the diagnosis  
**151** of PPD.

**152** Despite these promising results, some limitations need to be addressed. First, our model has been  
**153** tested on a single dataset. Future studies should address the performance of models on data collected  
**154** from different participants to verify the broader utility of the methods. Moreover, we trained the  
**155** models using only acoustical features and demographic information about mothers (age) and infants  
**156** (gender). Future studies might also address how including additional data, such as the questionnaires  
**157** score (BDI) or the gestational age of the baby at birth, might improve predictive models by reducing  
**158** the ratio of false positives and false negatives.

**159** In conclusion, we show the possibility of using an objective measure of infants' cries subjected to  
**160** machine learning models to advance beyond commonly used subjective reports to identify infants of  
**161** Post-Partum depressed mothers.

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**163** Marc H. Bornstein, Nanmathi Manian and Gianluca Esposito; Formal analysis, Giulio Gabrieli; Funding  
**164** acquisition, Marc H. Bornstein and Gianluca Esposito; Methodology, Giulio Gabrieli and Gianluca Esposito;  
**165** Software, Giulio Gabrieli; Supervision, Gianluca Esposito; Visualization, Giulio Gabrieli and Gianluca Esposito;  
**166** Writing – original draft, Giulio Gabrieli; Writing – review & editing, Giulio Gabrieli, Marc H. Bornstein, Nanmathi  
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**176** study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to  
**177** publish the results.

**178 Abbreviations**

179 The following abbreviations are used in this manuscript:

180	PPD	Post-Partum Depression
	CNS	Central Nervous System
	SVC	Support Vector Machine
181	LTAS	Long-Term Average Spectrum
	AUC PR	Area Under the Curve: Precision-Recall
	AUC ROC	Area Under the Curve: Receiver Operative Characteristics

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254 **Sample Availability:** The dataset generated for this publication is available on the Data Repository of the Nanyang  
255 Technological University <https://doi.org/10.21979/N9/IU0UOB> [31].