

Article

Inter-Molecular Electrostatic Interactions Stabilizing the Structure of the PD-1/PD-L1 Axis: A Structural Evolutionary Perspective

Wei Li ¹ *

¹ Institute of Special Environment Medicine, Nantong University, No. 9, Seyuan Road, Nantong City, Jiangsu Province, P. R. China; wli148@aucklanduni.ac.nz

* Correspondence: wli148@aucklanduni.ac.nz

Abstract: PD-1/PD-L1 axis is one key therapeutic target against tumor cell immune escape. Structurally essential to the PD-1/PD-L1-linked immune escape is the binding interface of the PD-1/PD-L1 complex structure. Incorporating currently available PD-1/PD-L1-related experimental structures, this article unveils two sets of experimentally observed inter-molecular electrostatic interactions which stabilize the binding interface of the PD-1/PD-L1 complex structure. For the first time, this article proposes an evolutionary structural hypothesis that, as a result of natural selection, PD-1 is able to genetically mutate itself to structurally disrupt the PD-1/PD-L1 axis towards the restoration of T cell-mediated anti-tumor immunity.

Keywords: PD-1; PD-L1; Cancer-linked genetic mutation; Electrostatic repulsion; Structural evolution

1. Introduction

The PD-1/PD-L1 axis is a pivotal component of tumor cell immune escape mechanism, where the engagement of PD-L1 (on tumor cells) to PD-1 (on T cells) exhausts T cell-mediated anti-tumor immunity [1,2]. To counteract PD-1/PD-L1-linked tumor cell immune escape, one option is the dissociation of the two cell membrane-bound immune checkpoints, i.e., the disruption of the structural bond along the PD-1/PD-L1 axis, which is to be broken at the interface of tumor and T cells, such that the immune system restores its capacity to recognize and kill tumor cells [3–5]. For example, to restore T cell-mediated anti-tumor immunity, targeting the PD-1/PD-L1 axis using checkpoint inhibitors (antibodies against PD-1 or PD-L1, or soluble PD-1 molecules with high affinity to PD-L1) allows tumor cells to be detected and attacked by T cells, which has emerged as a potentially feasible strategy for the treatment of tumors [6–10]. Nevertheless, clinical responses are mixed, highlighting the need for further investigation into the structural aspects of the PD-1-PD-L1 axis in tumor cell immune escape [11–14].

Therefore, this article incorporates currently available PD-1/PD-L1-related experimental structures (as of December 24, 2019) [15] and experimentally observed cancer-linked genetic mutation of the two immune checkpoints, and puts forward a set of in silico electrostatic analysis of all PD-1-PD-L1 complex structures (as of December 24, 2019), aiming at deciphering and visualizing the inter-molecular electrostatic interactions that are instrumental in the structural stability of the PD-1/PD-L1 complex.

29 **2. Materials and Methods**

30 *2.1. Experimentally determined PD-1-PD-L1 structures*

31 As of December 24, 2019, a total of 52 PD-1- and/or PD-L1-related structures (Supplementary file
 32 **supp.pdf**) have been experimentally determined and deposited in PDB [15].

PDB ID	Chain ID	Protein Name (Synonym)
3BIK	A	PD-L1 (CD274)
3BIK	B	PD-1 (CD279)
3BIK	C	PD-1 (CD279)
3SBW	A	PD-1 (CD279)
3SBW	B	PD-1 (CD279)
3SBW	C	PD-L1 (CD274)
4ZQK	A	PD-L1 (CD274)
4ZQK	B	PD-1 (CD279)
5IUS	A	PD-1 (CD279)
5IUS	B	PD-1 (CD279)
5IUS	C	PD-L1 (CD274)
5IUS	D	PD-L1 (CD274)

Table 1. Experimental structures of the PD-1-PD-L1 complex in PDB as of December 24, 2019, along with their respective PDB IDs, chain IDs and (alternative) protein names.

33 Among the 52 PD-1- and/or PD-L1-related structures, four represent the PD-1-PD-L1 complex
 34 structure (Table 1), which are to be analysed in detail and discussed further below.

35 *2.2. Structural analysis of the PD-1-PD-L1 complex*

36 First, the InterProSurf server (<http://curie.utmb.edu/pdbcomplex.html>) [16] was used to identify
 37 interfacial amino acid residues of the PD-1-PD-L1 complex structures (Table 1). Subsequent structural
 38 analysis, including salt bridging and hydrogen bonding analysis [17], was performed as described
 39 previously for all 52 experimentally determined PD-1-PD-L1 complex structures as of December 24,
 40 2019.

41 **3. Results**

42 To investigate the structural stability of the binding interface of the PD-1/PD-L1 complex structure,
 43 it is necessary to first chart out the entire interfacial salt bridging and hydrogen bonding networks of
 44 the two immune checkpoints. Thus, an set of residue-specific structural analysis was carried out at the
 45 binding interface of the PD-1-PD-L1 complex structure. Specifically, an amino acid residue is taken
 46 into further consideration of subsequent structural analysis only when it fulfills no less than three of
 47 the four criteria below,

- 48 1. it is associated with at least one cancer-linked mutation (BioMuta).
- 49 2. it is located at the interface of the PD-1-PD-L1 complex (InterProSurf).
- 50 3. it is involved in the salt bridging network [17] at the PD-1-PD-L1 binding interface.
- 51 4. it is involved in the hydrogen bonding network [17] at the PD-1-PD-L1 binding interface.

52 *3.1. The cervical cancer-linked Glu136Gln mutation of PD-1*

53 According to the InterProSurf interfacial residue analysis [16], Glu136 of PD-1 sits at the interface
 54 of the four PD-1-PD-L1 complex structures (Table 1). Further structural analysis reveals that Glu136
 55 and Ser137 of PD-1 forms an intra-molecular hydrogen bond within PD-1 (PDB ID: 2M2D, Table 2).
 56 Moreover, Glu136 and Arg139 of PD-1 forms a stable intra-molecular salt bridge within PD-1 (PDB
 57 IDs: 2M2D and 5GGR, supplementary file **Glu136.pdf**).

PDB	Acceptor (A)	Donor (D)	Hydrogen (H)	D-A (Å)	H-A (Å)	$\angle ADH$ (°)
2M2D_25	O, A,GLU_136	OG, A, SER_137	HG, A, SER_137	2.75	1.79	9.71

Table 2. The hydrogen bond formed between Glu136 and Ser 137 of PD-1. In this table, 2M2D_25 represents the 25th structural model in the NMR ensemble (PDB ID: 2M2D), the residue naming scheme is **Chain ID_residue name_residue number**, $\angle ADH$ represents the angle formed by acceptor (A), donor (D) and hydrogen (H) ($\angle ADH$).

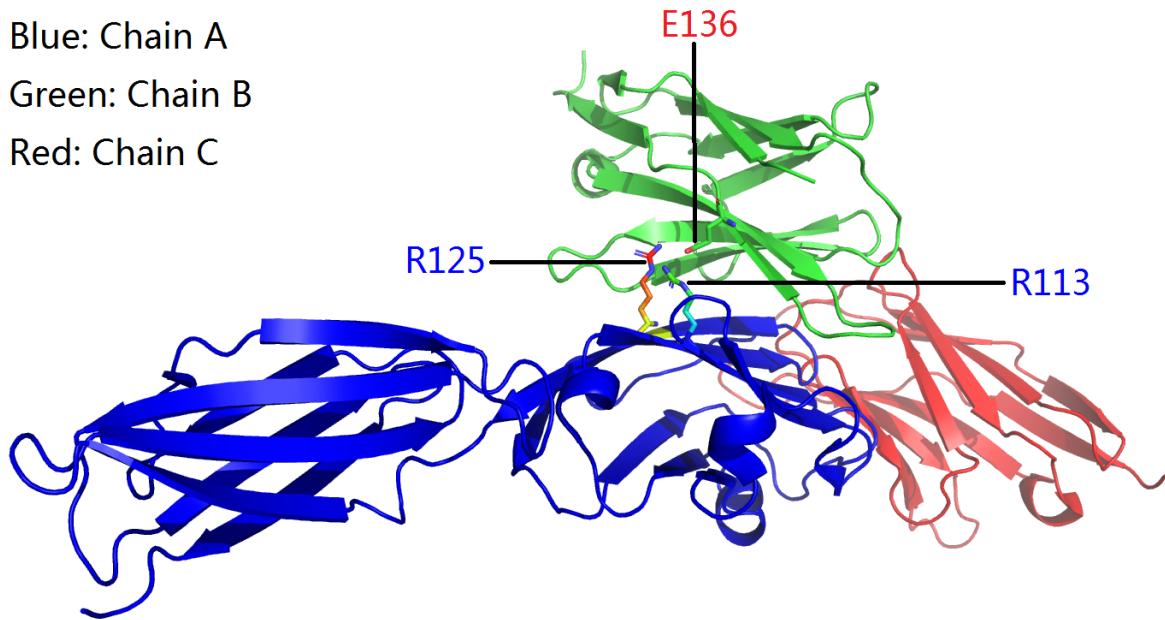


Figure 1. An overview of the structurally identified side chain salt bridges between an amino acid trio, i.e., Glu136 of PD-1 and Arg113 and Arg125 of PD-L1 for PDB ID 3BIK (Table 1).

58 Interestingly, for the two PD-1-PD-L1 complex structures (3BIK and 4ZQK, Table 1), Glu136 of
 59 PD-1 forms salt bridges (Figures 1 and 2) with Arg113 and Arg125 of PD-L1, which both sit at the
 60 structural interface of the two immune checkpoints (PDB IDs: 3BIK, 4ZQK and 5IUS, and 3SBW,
 61 Table 1), although no cancer-linked mutation was clinically identified yet for Arg113 and Arg125 of
 62 PD-L1 as of December 24, 2019.

SB1	Color = Green , Distance = 3.149 Å
Atomic coordinate	ATOM 777 NH2 ARG A 113 12.637 -2.086 -32.968 1.00 70.85 N
Atomic coordinate	ATOM 2538 OE2 GLU B 136 11.442 -1.132 -30.215 1.00 72.18 O
SB2	Color = Blue , Distance= 3.033 Å
Atomic coordinate	ATOM 870 NH2 ARG A 125 14.394 -1.252 -29.687 1.00 69.69 N
Atomic coordinate	ATOM 2537 OE1 GLU B 136 11.832 -1.667 -28.118 1.00 63.00 O
SB3	Color = Red , Distance = 3.001 Å
Atomic coordinate	ATOM 870 NH2 ARG A 125 14.394 -1.252 -29.687 1.00 69.69 N
Atomic coordinate	ATOM 2538 OE2 GLU B 136 11.442 -1.132 -30.215 1.00 72.18 O

Table 3. The structurally identified inter-molecular side chain salt bridges between Glu136 of PD-1 and Arg113 and Arg125 of PD-L1 for PDB ID 3BIK (Table 1). In this table, Color = **Green**, Color = **Blue** and Color = **Red** represent the three interfacial salt bridges as shown in Figure 2 with the corresponding colors, respectively.

63 Given that Glu136 of PD-1 is associated with the cervical cancer-linked Glu136Gln (E136Q)
 64 mutation, this E136Q substitution removes the negatively charged glutamate side chain of Glu136,

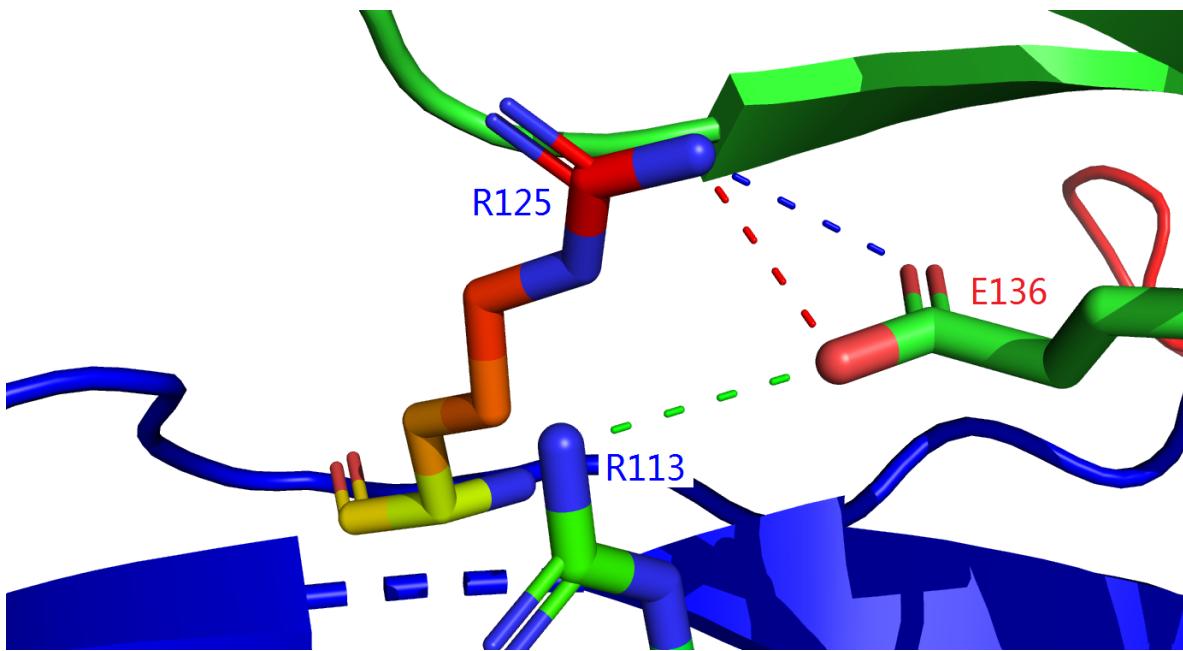


Figure 2. The structurally identified inter-molecular side chain salt bridges between Glu136 of PD-1 and Arg113 and Arg125 of PD-L1 for PDB ID 3BIK). In this figure, the side chain salt bridges are shown as dotted short cylinders with different colors, the details of the salt bridges are included in Table 3.

and installs the neutral side chain group of Gln136, along with the hydrogen with partial positive charge in the side chain NH₂ group of Gln136. Similar to the spinal muscular atrophy-linked binding interface-disrupting Gln136Glu (Q136E) mutation [17], the E136Q substitution here gives rise to a reversal in the charge carried by the side chain of Glu136, shifting the local electrostatic attraction (the side chain salt bridges and hydrogen bond of Gln136 of PD-1, Figures 1 and 2) to local electrostatic repulsion [18,19] between Gln136's side chain (with partial positive charge) and the positively charged side chains of Arg113 and Arg125.



Figure 3. To facilitate tumor cell immune escape, PD-L1 binds to PD-1 as a cat catches hold of a mouse, towards the exhaustion of T cell-mediated anti-tumor immunity [1,2,4,5]. Reproduced with permission from Everett Collection (<https://www.everettcollection.com>).

Overall, the net structural and functional consequence of this E136Q substitution is the local electrostatic equilibrium perturbation [17] at the binding interface of the PD-1-PD-L1 complex, and as shown in Figure 1, it is likely that this cervical cancer-linked E136Q substitution disrupts the

75 binding interface of PD-1 and PD-L1, thereby allowing PD-1 to distance itself away from PD-L1, which
76 suppresses the PD-1/PD-L1 axis towards the restoration of T cell-mediated anti-tumor immunity.
77 This structural evolution hypothesis is likened to a situation where PD-L1 (the cat, Figure 3) uses
78 its claws (Arg125 of PD-L1) to catch hold of PD-1 (the mouse, Figure 3) via the Glu136 residue of
79 PD-1 (Figure 2) to facilitate tumor cell immune escape, highlighting the functional importance of the
80 interacting interfacial residues of the PD-1-PD-L1 complex structure [20] On the other hand, however,
81 PD-1 is able to hide itself (via a Glu136Gln substitution) and escape from the recognition (the claw) and
82 binding of PD-L1 (the cat, Figure 3), towards the restoration of T cell-mediated anti-tumor immunity
83 [1,2,4,5].

84 *3.2. The breast cancer-linked Met70Lys mutation of PD-1*

85 As discussed above, Arg125 of PD-L1 is like one claw of the cat (PD-L1, Figure 3), allowing
86 PD-L1 to bind to Glu136 of PD-1 (the mouse, Figures 3, 1 and 2) towards the establishment of the
87 PD-1-PD-L1 axis. After a set of comprehensive structural analysis for Arg125 of PD-L1 (supplementary
88 file **Arg125.pdf**), it turned out that Arg125 allowed PD-L1 to bind to Glu70 of a PD-1 mutant (PDB ID:
89 5IUS, Table 1), too.

90 Here, PDB ID 5IUS corresponds to a crystal structure of human PD-L1 in complex with high
91 affinity PD-1 mutant (supplementary file **supp.pdf**). Quite intriguingly, the 70th residue of wild-type
92 PD-1 is methionine (Met, M), which is associated with the breast cancer-linked M70K mutation of PD-1.
93 Therefore, the M70E substitution (PDB ID: 5IUS, Table 1) contributes to the local structural stability
94 and thus high affinity of the PD-1 mutant to PD-L1 as a total of five inter-molecular interfacial salt
95 bridges formed between Glu70 (of PD-1) and Arg125 (of PD-L1) at the binding interface of the two
96 immune checkpoints, as listed in the supplementary file **Arg125.pdf**.

97 In contrast, however, the breast cancer-linked M70K mutation of PD-1 does exactly the opposite
98 to the structural and functional consequence of the human-introduced M70E mutation, in the sense
99 that the M70K mutation establishes a local electric charge reversal for the side chain of the 70th residue
100 of PD-1 and disrupts the inter-molecular salt bridges at the binding interface of the two immune
101 checkpoints, where the net structural and functional consequence is the local electrostatic energy
102 equilibrium perturbation (if not disruption) [17] at the binding interface of the PD-1-PD-L1 complex.

103 Overall, the human-introduced M70E and the breast cancer-linked M70K substitutions are like the
104 two sides of one coin, and serve as two excellent examples of how human intervention does exactly the
105 opposite to the effect of genetic mutation-driven natural selection in the structural evolution of PD-1,
106 where its M70K substitution removes the hydrophobic side chain of its Met70 and installs instead a
107 positively charged side chain of at its 70th residue site (Lys70), and thereby establishes a structurally
108 destabilizing electrostatic repulsive force [17–19] between Lys70 (of PD-1) and Arg125 (of PD-L1) at
109 their binding interface. On the other side of the coin, while the M70E substitution of PD-1 removes
110 the hydrophobic side chain of its Met70 and installs instead a negatively charged side chain at its 70th
111 residue site (Glu70), and thereby establishes a structurally stabilizing electrostatic attractive force, i.e.,
112 five inter-molecular interfacial salt bridges between Glu70 (of PD-1) and Arg125 (of PD-L1) to further
113 consolidate the PD-1-PD-L1 axis and consequently the tumor cell immune escape mechanism, too.

114 **4. Conclusion**

- 115 1. This article highlights an amino acid residue trio (Glu136 of PD1 and Arg125 and Arg113 of
116 PD-L1, Figures 1), which are inextricably linked to inter-molecular electrostatic interactions
117 towards the structural stabilization of the PD-1-PD-L1 axis in tumor cell immune escape.
- 118 2. This article highlights two cancer-linked genetic mutations of PD-1, i.e., the cervical cancer-linked
119 Glu136Gln(E136Q) mutation of PD-1 and the breast cancer-linked Met70Lys (M70K) mutation of
120 PD-1, which are at play in the inter-molecular electrostatic interactions towards the structural
121 stabilization/destabilization of the PD-1-PD-L1 axis.

122 3. With the two experimentally observed cancer-linked genetic mutations (E136Q and M70K) of
 123 PD-1, this article puts forward an evolutionary structural hypothesis that, from a structural point
 124 of view, PD-1 is able to genetically mutate itself (Figures 3) to structurally disrupt the PD-1/PD-L1
 125 axis towards the restoration of T cell-mediated anti-tumor immunity. Specifically, genetic
 126 variation-induced electrostatic repulsion [17,18] is involved in the evolutionary suppression
 127 of the tumor cell immune escape mechanism, where the electric charge reversal constitutes
 128 a functional significant unfavourable electrostatic energy contribution towards the structural
 129 perturbation (if not disruption) of the PD-1-PD-L1 complex structure [1,3,4].
 130 4. With this evolutionary structural hypothesis, this article presents further discuss Tyr68 of PD-1
 131 and Asp122 of PD-L1 at the structural interface of the two immune checkpoints, which is to be
 132 described in detail below.

133 **5. Discussion**

134 *5.1. Tyr68 of PD-1 and Asp122 of PD-L1: a structural evolutionary perspective*

135 As of December 24, 2019, no cancer-linked mutation was clinically identified yet either for Tyr68 of
 136 PD-1 or for Asp122 of PD-L1. Nonetheless, both Tyr68 of PD-1 and Asp122 of PD-L1 sit at the binding
 137 interface of PD-1 and PD-L1, according to the three experimental structures of PD-1-PD-L1 complex
 138 (PDB IDs: 3BIK, 4ZQK and 5IUS, Table 1). In PDB ID 5IUS (Table 1), a Tyr68His (Y68H) substitution
 139 was introduced to PD-1 to replace the hydrophobic side chain (of Tyr68) with one positively charged
 140 side chain of His68. After the Y68H substitution, three inter-molecular side chain salt bridges (Figures 4
 141 and 5) were formed between His68 of the mutant PD-1 and Asp122 of PD-L1.

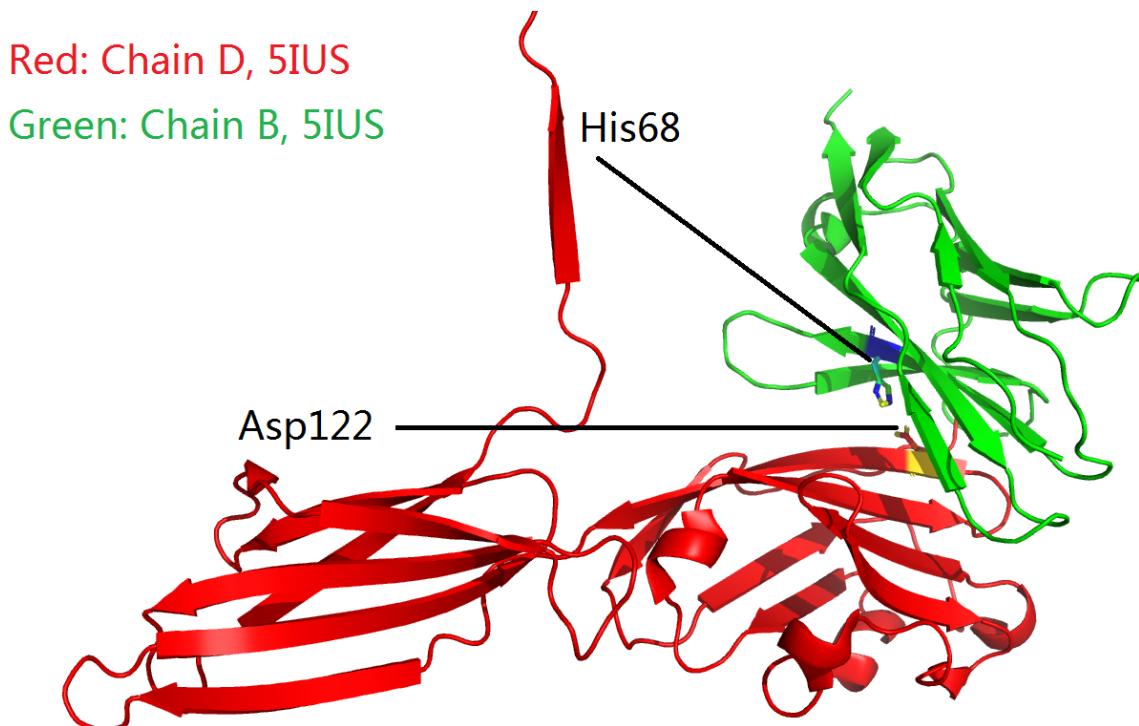


Figure 4. An overview of two salt-bridged amino acid residues at the binding interface of PD-1 (green) and PD-L1 (red) according to their complex structure (PDB ID: 5IUS, Table 1).

142 From Figure 4, it can be seen that His68 (of mutant PD-1) and Asp122 (of PD-L1) constitute two
 143 structurally stabilizing electrostatic clips [17] at the binding interface of the two immune checkpoints.
 144 Thus, the Y68H substitution contributes to the local structural stability and consequently high affinity
 145 of the PD-1 mutant to PD-L1 as a total of three inter-molecular salt bridges (supplementary file

¹⁴⁶ Asp122.pdf) were formed between the side chains (Figures 4 and 5) of His70 (of PD-1) and Asp122 (of
¹⁴⁷ PD-L1).

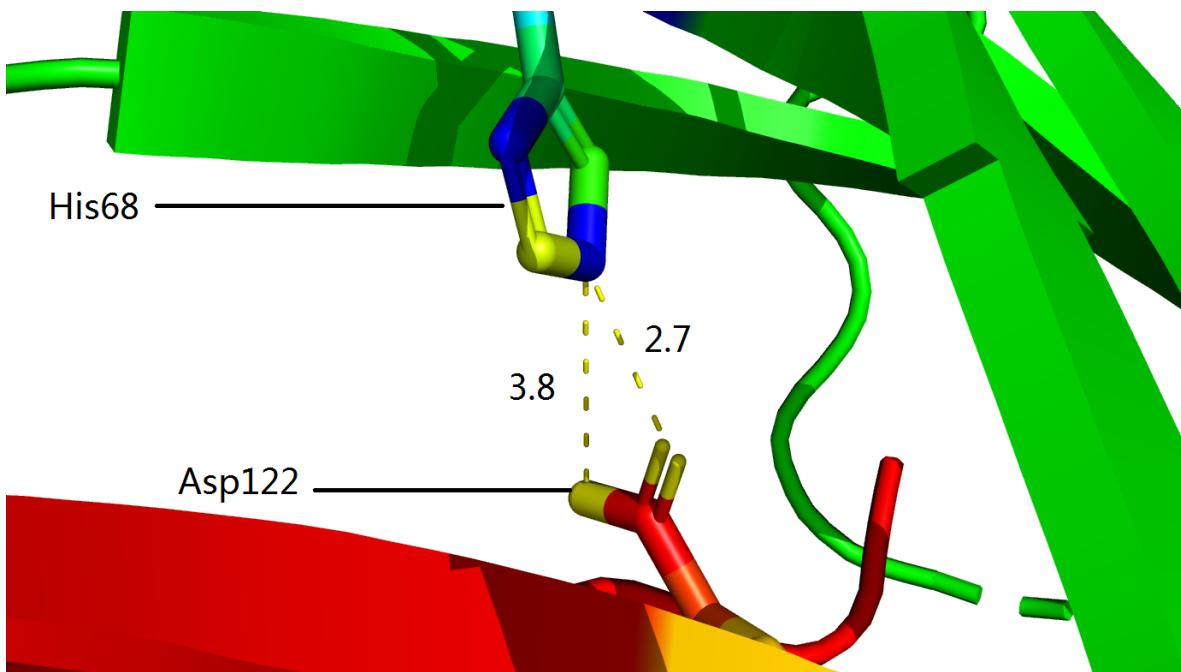


Figure 5. The structurally identified inter-molecular side chain salt bridges between His68 of PD-1 (mutant) and Asp122 of PD-L1 for PDB ID 5IUS. In this figure, the side chain salt bridges are shown as dotted yellow short cylinders, 3.8 represents the distance (3.8 Å) of the salt bridge formed between $N_{\varepsilon 2}$ (blue) of His68 of PD-1 (mutant) and $O_{\delta 2}$ (yellow) of Asp122 of PD-L1, 2.7 represents the distance (2.7 Å) of the salt bridge formed between $N_{\varepsilon 2}$ (blue) of His68 of PD-1 (mutant) and $O_{\delta 1}$ (yellow) of Asp122 of PD-L1 (supplementary file Asp122.pdf).

¹⁴⁸ Thus, it is reasonable to not rule out the possibility that a Tyr68Asp (or Tyr68Glu) substitution
¹⁴⁹ will take place in future in the scaffold of PD-1 and is clinically identified and linked to cancer
¹⁵⁰ patient(s). This prediction stems from the same structural evolutionary hypothesis, where a Tyr68Asp
¹⁵¹ (or Tyr68Glu) substitution of PD-1 is able to establish an electrostatic repulsive force between Asp122 of
¹⁵² PD-L1, in addition to removing the three structurally stabilizing inter-molecular side chain salt bridges
¹⁵³ (Figures 4 and 5) formed between His68 of the mutant PD-1 and Asp122 of PD-L1. On the contrary,
¹⁵⁴ when a Tyr68Asp (or Tyr68Glu) substitution of PD-1 does take place in future, then this structural
¹⁵⁵ evolutionary hypothesis predicts again that an Asp122Arg (or Asp122Lys) substitution of PD-L1
¹⁵⁶ will take place, too, towards the restoration of structurally stabilizing inter-molecular electrostatic
¹⁵⁷ interactions between the two immune checkpoints. Similarly, this situation is likened to that in Figure 3,
¹⁵⁸ where the cat (PD-L1 of tumor cell) uses its claw to catch hold of the mouse, i.e., PD-1 of T cell, towards
¹⁵⁹ the exhaustion of T cell-mediated anti-tumor immunity [1,2,4,5].

¹⁶⁰ 5.2. Application of gene-editing technologies to structurally disrupt the PD-1-PD-L1 axis

¹⁶¹ As discussed above, the PD-1-PD-L1 axis is but one of the many tumor immune escape
¹⁶² mechanisms, which makes tumor a difficult foe for the immune system, and explains at least partly
¹⁶³ why PD-1/PD-L1 antibody-based clinical responses are mixed [11–13], highlighting the need to tackle
¹⁶⁴ tumors from multiple angles, such that there is less chance for it to acquire resistance and avoid
¹⁶⁵ elimination by the immune system. Similarly, if a tumor is targeted and treated precisely, there is less
¹⁶⁶ chance for adverse effects to take place. Thus, against the PD-1/PD-L1-linked tumor cell immune
¹⁶⁷ escape, this structural evolutionary mechanism provides a novel perspective for the application of
¹⁶⁸ gene-editing [8,21–23] technologies in the treatment of tumors, since the engagement of PD-L1 (or even
¹⁶⁹ PD-L2, supplementary file supp.pdf) (on tumor cells) to PD-1 (on T cells) is central to the PD-1/PD-L1

170 axis, which causes T cell exhaustion, a hyporesponsive state of T cells in tumor microenvironment,
171 with increased inhibitory receptors, decreased effector cytokines and impaired cytotoxicity [24].

172 For instance, since Arg125 and Arg133 are like two claws of the cat (Figure 3), site-specific
173 genetic mutations such as Arg125Glu (R125E) and Arg113 (R113E) for PD-L1 are able to establish two
174 structurally destabilizing electrostatic repulsive forces between them and Glu136 of PD-1, because
175 not only are the two claws removed to prevent PD-L1 from binding to PD-1 (Figure 3), but also is the
176 cat (PD-L1) energetically reluctant to catch hold of the mouse (PD-1, Figure 3) due to the electrostatic
177 repulsions between its Glu136 and Glu113 and Glu125 of PD-L1, and also are the approaching PD-1
178 molecules trying to stay further away from PD-L1 due to the electrostatic repulsions between Glu136
179 of PD-1 and Glu113 and Glu125 of PD-L1, too.

180 **Author Contributions:** Cconceptualization, W.L.; methodology, W.L.; software, W.L.; validation, W.L.; formal
181 analysis, W.L.; investigation, W.L.; resources, W.L.; data curation, W.L.; writing—original draft preparation, W.L.;
182 writing—review and editing, W.L.; visualization, W.L.; supervision, W.L.; project administration, W.L.; funding
183 acquisition, not applicable.

184 **Funding:** This research received no external funding.

185 **Acknowledgments:** I thank Dr. Yongdong Niu (Department of Pharmacology, Shantou University Medical
186 College) for his insights on this topic.

187 **Conflicts of Interest:** The author declares no conflict of interest.

188

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