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2 **Potential for Developing the SARS-CoV Receptor Binding Domain Recombinant Protein (RBD)**  
3 **as a Heterologous Human Vaccine for SARS-CoV-2**

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12

13 **Abstract**

14 A SARS-CoV receptor-binding domain (RBD) recombinant protein was developed and  
15 manufactured under current good manufacturing practices in 2016. The protein known as  
16 RBD219-N1 when formulated on Alhydrogel®, induced high-level neutralizing antibodies and  
17 protective immunity with low immunopathology in mice after a homologous virus challenge with  
18 SARS-CoV (MA15 strain). In this report, we examined published evidence in support of whether  
19 the SARS-CoV RBD219-N1 could be repurposed as a heterologous vaccine for SARS-CoV-2. Our  
20 findings include evidence that convalescent serum from SARS-CoV patients can neutralize SARS-  
21 CoV-2. Additionally, a review of published studies using monoclonal antibodies (mabs) raised  
22 against SARS-CoV RBD and that neutralize the SARS-CoV virus *in vitro*, finds that some of these  
23 mabs bind to the receptor-binding motif (RBM) within the RBD, while others bind to domains  
24 outside this region within RBD. This information is relevant and supports the possibility of  
25 developing a heterologous SARS-CoV RBD vaccine, especially due to the finding that the overall  
26 high amino acid similarity (82%) between SARS-CoV and SARS-CoV-2 spike and RBD domains is  
27 not reflected in RBM amino acid similarity (59%). However, the high sequence similarity (94%) in  
28 the region outside of RBM offers the potential of conserved neutralizing epitopes between both  
29 viruses.

30

31 **Keywords:** Heterologous vaccine, receptor-binding domain, subunit vaccine, coronavirus, COVID-  
32 19, SARS, SARS-CoV-2

33 **Introduction**

34 Coronavirus disease 2019, or COVID-19<sup>1</sup>, is an emerging disease caused by severe acute  
35 respiratory syndrome coronavirus 2 (SARS-CoV-2). Similar to SARS coronavirus (SARS-CoV), SARS-  
36 CoV-2 can cause severe respiratory illness and significant mortality among those over 60  
37 years or with chronic conditions<sup>2</sup>. With an estimated reproductive number ( $R_0$ ) of 2.24- 3.58<sup>3</sup>,  
38 the outbreak originated from Wuhan, China quickly spread across China and at least 25 other  
39 nations<sup>2</sup>. In addition, SARS-CoV-2 may be transmitted from infected individuals without  
40 symptoms<sup>3</sup>, which could increase the challenges for controlling the outbreak without the prospect  
41 of a vaccine.

42 A SARS-CoV receptor-binding domain (RBD) recombinant protein was developed and  
43 manufactured under current good manufacturing practices (cGMP) in 2016<sup>4-6</sup>. The bulk drug  
44 substance has been stored frozen (-70°C to -80°C) and is under stability testing since its  
45 manufacturing, so far remaining stable. The protein known as RBD219-N1 (Figure 1a) was  
46 expressed in yeast (*Pichia pastoris* X33) and purified to optimize expression yield, antigenicity,  
47 and functionality, as well as immunogenicity in mice when formulated on alum<sup>4,6</sup>. Moreover,  
48 alum-adjuvanted RBD219-N1 induced protective immunity against homologous virus challenge  
49 with SARS-CoV (MA15 lethal strain), with low immunopathology, minimizing potential safety  
50 concerns<sup>5</sup>. The high levels of protein expression in yeast, the relative ease of purification and its  
51 stability profile, raises the possibility that this vaccine could be produced at a low cost for  
52 stockpiling or distribution among at-risk populations. Accordingly, we are therefore investigating  
53 whether the SARS-CoV RBD recombinant protein candidate could potentially be repurposed as a  
54 heterologous vaccine for SARS-CoV-2.

56 **SARS-CoV and SARS-CoV-2**

57 Like the SARS coronavirus, SARS-CoV-2 is closely related to bat SARS-like coronavirus<sup>7</sup>. The RBD of  
58 the SARS-CoV-2 and SARS-CoV RBD219-N1 share significant amino acid sequence similarity (> 75%  
59 identity, > 80% similarity) (Figure 1b) and recent evidence indicates that both viruses use the  
60 human angiotensin converting enzyme 2 (ACE2) receptor for cell entry<sup>8,9</sup>. Antibodies induced by  
61 anti-SARS vaccines can cross-neutralize bat SARS-like coronaviruses (SL-CoVs)<sup>10</sup>; most importantly,  
62 serum from a convalescent SARS-CoV patient neutralized SARS-CoV-2-driven entry<sup>9</sup>. These  
63 findings suggest the possible cross-protection of using SARS-CoV RBD as the antigen against SARS-  
64 CoV-2.

65

66 **Anti SARS-CoV RBD Neutralizing Monoclonal Antibodies**

67 It is known that the blockage of the receptor-binding motif (RBM) within the RBD and the ACE2  
68 association site, is a major mechanism of SARS-CoV neutralization. However, despite the overall  
69 high level of homology between the two SARS-CoV RBDs, it's been noted that the similarity of 70  
70 amino acids in the RBM (S424 -494) between SARS-CoV and SARS-CoV-2 is only 59%, and thus,  
71 the neutralizing antibodies (mabs) raised from the RBM of SARS-CoV may have limited cross-  
72 reactivity to SARS-CoV-2. Nevertheless, several groups have shown (typically via a neutralization  
73 assay in Vero E6 cells) that neutralizing antibodies do not only recognize epitopes in the RBM  
74 region. Using RBD proteins expressed in mammalian cells (293T cells), He et al., reported on 27  
75 anti-SARS-CoV RBD mabs with 23 of them showing neutralizing activity (Table 1), and of these,  
76 some interfered with virus binding to the ACE2 receptor by affecting binding of the RBM, but  
77 many achieved virus neutralization by recognizing epitopes outside of the RBM<sup>12</sup>. In some cases,  
78 it is likely that these non-RBM directed mabs caused conformational changes that indirectly

79 affected RBM binding or through mechanisms as yet undetermined<sup>11</sup>. Among these 23  
80 neutralizing mabs, 5 mabs, including 24H8, 19B2, 35B5,33G4 and 31H12, were used for a binding  
81 study on RBD219-N1, with all 5 neutralizing mabs against both the RBM and non-RBM regions  
82 also recognized the RBD219-N1 recombinant protein<sup>6</sup>. He et al., also found neutralizing mabs  
83 against non-RBM domains using a baculovirus expressed RBD<sup>12</sup>, while Bian et al., further identified  
84 one conformational neutralizing epitope consisting S343–367, 373– 390 and 411– 428<sup>13</sup>, which  
85 was on the RBD but outside of the RBM. Additionally, CR3022, a potent human neutralizing mab,  
86 which was derived from a single-chain variable antibody fragment (scFv) phage display library was  
87 shown to bind to the RBD domain, but outside the RBM region<sup>14</sup>.

88 An important conclusion of these published studies was that virus neutralization does not depend  
89 on interference with the RBM. While the mechanism of action of these mabs requires additional  
90 studies, some appear to bind to sites outside the RBM possibly by causing conformational changes  
91 to the RBD, while others still neutralize without directly inhibiting ACE2 binding *in vitro*.

92 In more recent studies, Tian et al<sup>15</sup> and Wrapp et al<sup>16</sup> have used 5 anti-SARS-CoV RBD neutralizing  
93 mabs to evaluate their cross-reactivity to SARS-CoV-2 RBD (Table 2). Among these 5 neutralizing  
94 mabs, the four mabs that bound the epitopes in or close to RBM expectedly only had weak or no  
95 binding, while CR3022, which recognized the epitope outside of RBM, showed potent binding.  
96 Considering the highly conserved – a similarity of 94% - amino acid sequence of RBD region after  
97 excluding the RBM (Figure 1b), the possibility remains that antibodies raised from the epitopes  
98 outside of the RBM region may both show cross-reactivity and induce neutralizing antibodies.

99

100 **Concluding Comments**

101 The yeast-expressed SARS-CoV RBD219-N1 recombinant protein has been manufactured under  
102 cGMP and could soon enter clinical testing. Even though blockage of the RBM is a major  
103 mechanism of SARS-CoV neutralization, it was proven that neutralizing antibodies can also be  
104 raised from epitopes outside of RBM. Indeed, at least one neutralizing mab that recognizes both  
105 SARS-CoV and SARS-CoV-2 binds to a domain outside the RBM. Despite the low amino acid  
106 similarity of RBM region between SARS-CoV and SARS-CoV-2, it's high amino acid similarity with  
107 the homologous RBD from SARS-CoV-2, and its potential for raising neutralizing antibodies (when  
108 formulated on alum), especially against epitopes outside the RBM, offers the possibility that it  
109 might partially protect against COVID-19.

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195 **Table**

196 **Table 1.** Neutralizing monoclonal antibodies reported in He et al, 2005<sup>11</sup> were categorized based  
 197 on its ability to inhibit RBM binding to the ACE2.

Ability to block RBM binding to ACE2	Anti SARS-CoV RBD neutralizing mAb ID#	Number of antibodies
No	9F7, 10E7, 12B11, 18C2, 24H8, 26E1, 29G2, 32H5, 20E7, 26A4, 27C1, 31H12, 30E10, 13B6	13
Partially	11E12, 18D9, 19B2	3
Yes	28D6, 30F9, 35B5, 24F4, 33G4, 38D4,	6
Not defined	26E1	1
<b>Total</b>		<b>23</b>

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199

200 **Table 2.** Binding study of anti-SARS-CoV RBD neutralizing mAb against the SARS-CoV-2 RBD

Anti SARS-CoV RBD neutralizing mAb ID#	Binding to RBM	Cross-reactivity of mAb to SARS-CoV-2 RBD
CR3022 <sup>14</sup>	No	Bound potently (Tian et al., 2020) <sup>15</sup>
CR3014 <sup>14,17,18</sup>	Yes	No binding (Tian et al., 2020) <sup>15</sup>
m396 <sup>19,20</sup>	Yes	Weakly or no binding (Tian et al., 2020; Wrapp et al., 2020) <sup>15,16</sup>
80R <sup>21</sup>	Yes	No binding (Wrapp et al., 2020) <sup>16</sup>
S230 <sup>20,22</sup>	Yes	No binding (Wrapp et al., 2020) <sup>16</sup>

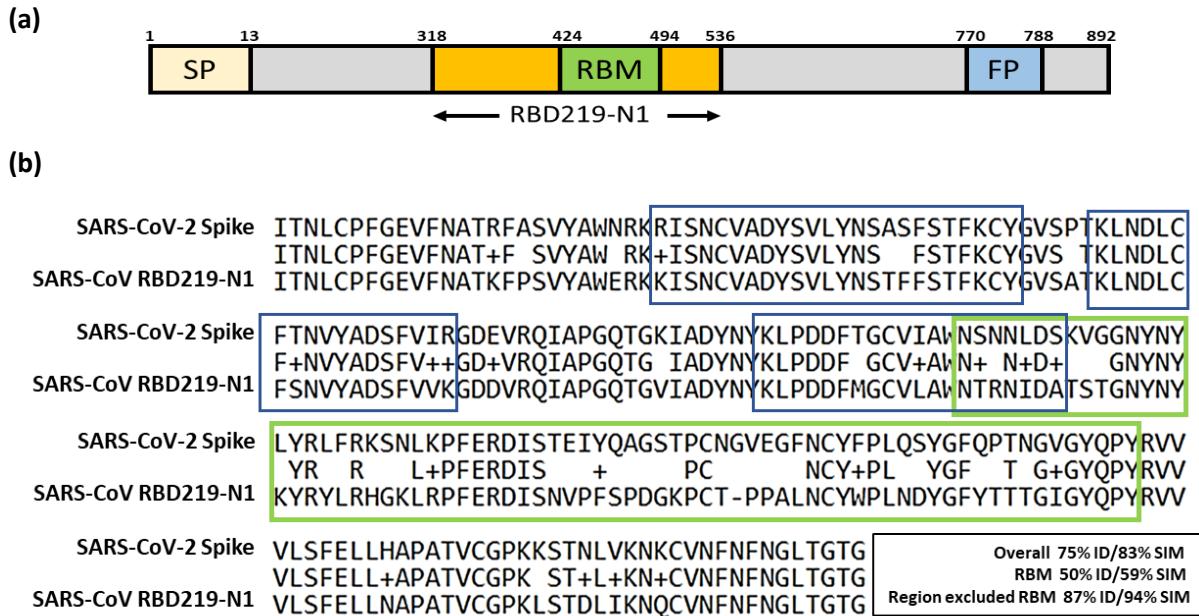
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207 **Figure 1.** (a) Illustration of SARS-CoV RBD subunit S1. (b) Sequence alignment between SARS-CoV  
 208 RBD219-N1 and SARS-CoV-2 spike protein. The RBM region is circled in green. An example of a  
 209 neutralizing conformational epitope consisting of S343–367, 373–390 and 411–428 (reported by  
 210 Bian et al.) is circled in blue, indicating neutralizing epitopes do not have to be within RBM<sup>13</sup>