

## Article

# Investigation of Clustering in Turing Patterns to describe the Spatial Relations of Slums

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**Abstract:** Worldwide, about one in eight people live in a slum. Empirical studies based on satellite data have identified that the size distributions of this type of settlement are similar in different cities of the Global South. Based on this result, a model was developed that describes the formation of slums with a Turing mechanism, in which patterns are created by diffusion-driven instability and the inherent characteristic length of the system is independent of boundary conditions. It has not yet been taken into account that Turing patterns usually arrange themselves regularly, while slums are often found in clusters. Therefore, this study investigates to what extent a common reaction kinetics for Turing models can be adapted to represent a locally concentrated arrangement of objects and to adapt the size distribution of the objects to the empirical results. Based on a summary of the literature and two numerical studies, it can be shown that although it is possible to adapt the model to the empirical data, this also increases the complexity of the model.

**Keywords:** slums; informal settlements; bifurcations; Turing pattern

## 1. Introduction

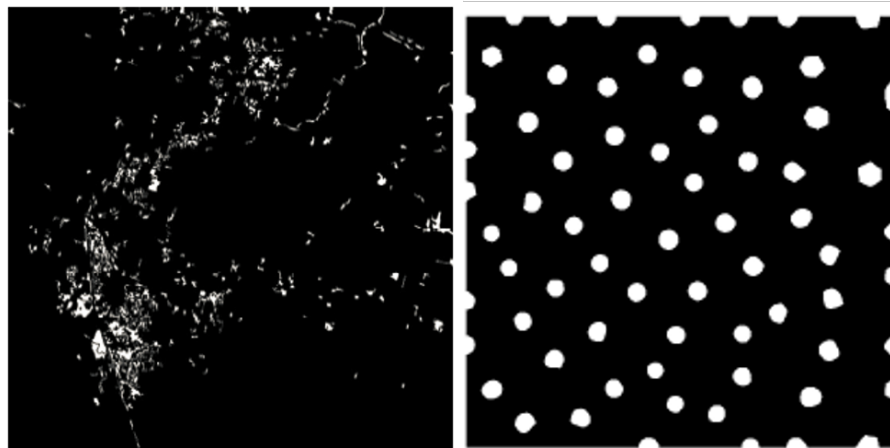
The United Nations estimate that around 1 billion people worldwide live in slums [1]. In recent years, the number of analyses of these settlement types has been steadily increasing [2–4]. Often the studies are based on satellite data, since their use allows a globally comparability. Since satellite data can be used to determine only the physical morphology of these settlements, conclusions about the social group living in them can only be drawn indirectly [5]. Therefore, these settlements are called morphological slums. Morphological slums are characterized by a high settlement density and organic, complex settlement structures. The buildings themselves are small relative to the buildings in the formal settlements in their surroundings and are characterized by low height. Friesen et al. [6,7] analyse the size distribution of morphological slums and find that in contrast to cities within a country, slums in eight different cities in the Global South show a similar size distribution with a similar geometric mean. This leads to the conclusion that regardless of culture, country and continent, a typical slum size of 0.01 km<sup>2</sup> exists. This corresponds to an edge length of 100 m for a square area. The size of most slums is between 10<sup>-3</sup> km<sup>2</sup> and 10<sup>-1</sup> km<sup>2</sup> and thus shows no dependence on the total number of morphological slums within the city. This information can be used as typical scale in other scientific domains such as infrastructural planning [8] or epidemiological analyses [9,10].

This studies on the similar size of slums were recently taken up by Pelz et al. [11] who put forward the hypothesis that the development of slums could be described by a Turing model. This is due to the fact, that a characteristic quantity can be observed in both Turing patterns and slums. Another motivation for this hypothesis is the work of Theraulaz et al. [12], who show that Turing patterns are also formed by higher organisms.

Pelz et al. [11] propose the thesis that the formation of slums results from the interaction of two social groups with different characteristics. The interaction of both groups is described by two coupled reaction diffusion (RD) differential equations, each of which represents a social group. The schematic division of the population into two groups, differing mainly in their mobility, is based on their income.

The main thesis is that these different mobilities lead to an instability of the equations, resulting in patterns with a similar size.

While the instability predicted by the model generates structures of the same size and distance, the sizes of the settlements in slums are similar, but the distances between them vary considerably (Figure 1).



**Figure 1.** Qualitative visual comparison of slum patterns (a), [13] and simulated Turing patterns (b).

Comparing the pattern formation of slums 1a with the Turing patterns in Figure 1b, clear differences are already noticeable visually. Besides shape and size, the slums show an arrangement in which certain areas of the city are not covered with slums. In previous studies it was shown that slums are arranged in clusters [14]. Hartig et al. [15] confirms that and show a tendency towards random spatially distribution of slums within the clusters. Therefore, in this thesis we investigate the question whether it is possible to map the clustering we see in the empirical data with RD equations by varying the reaction kinetics spatially. Furthermore, it can be seen that the slums have a wider dispersion in the size distribution than the patterns in the RD equations. This aspect is also quantified and discussed in this paper.

To analyse these topics, the paper is structured in the following way: First, we shortly present the model of Pelz et al. [11] (sec. 2.1). Afterwards, we present methods from the literature, dealing with pattern formation in RD equations for inhomogeneous parameters (sec. 2.2). In a next step we perform two parameter studies in order to quantify the relationship between the varying parameters (reaction (sec. 3) and diffusion parameter (sec. 4)) and the resulting patterns. While the first investigates the possibility to implement clustering in the RD model, the second investigates the width of the size distribution. We use the well-known approach of Schnakenberg, one of the simplest equations leading to Turing patterns [16]. We then discuss the results in each section and finally conclude (sec. 5) our paper.

## 2. Model and Methods

In this section, we shortly recapitulate the model of Pelz et al., present concepts from literature, where inhomogeneous parameters were investigated and show the ideas and methods, we pursue in this paper.

### 2.1. Model by Pelz et al.

In their work, Pelz et al. [11] describe the formation of slums as an interaction of two social groups by two coupled differential equations. The equations in differential form are as follows:

$$\begin{aligned}\frac{\partial \tilde{u}_1}{\partial t} &= \hat{U} R f_1(u_1, u_2) + D_1 \Delta \tilde{u}_1, \\ \frac{\partial \tilde{u}_2}{\partial t} &= \hat{U} R f_2(u_1, u_2) + D_2 \Delta \tilde{u}_2.\end{aligned}\quad (1)$$

$\tilde{u}_i$  describes the density fields of both population groups ( $i = 1$  represent “rich”,  $i = 2$  represent “poor”),  $\hat{U}$  the population density,  $D_i$  the diffusion coefficients,  $R$  has the dimension of a rate and  $f_i$  are the coupled reaction rates.

They bring the equation into a dimensionless form by using the following parameters  $t := R\tilde{t}$ ,  $x_j := \tilde{x}_j\sqrt{R/D_1}$ ,  $u_i := \tilde{u}_i/\hat{U}$  yielding to

$$\frac{\partial u_i}{\partial t} = f_i(u_j) + d_{ij} \frac{\partial^2 u_j}{\partial x_k \partial x_k}, \quad (d_{ij}) = \begin{pmatrix} 1 & 0 \\ 0 & d \end{pmatrix} \quad (2)$$

with  $d := D_2/D_1$ . As the study was presented by Pelz et al. on a conceptual level, the parameters presented so far were sufficient. In this paper an additional dimensionless parameter  $\gamma = l^2 R/D_1$  is introduced. This quantity is the shape parameter  $\gamma$  and is necessary if calculations or simulations are performed on a limited area of length  $l$ .

$$\frac{\partial u_i}{\partial t} = \gamma f_i(u_j) + d_{ij} \frac{\partial^2 u_j}{\partial x_k \partial x_k} \quad (3)$$

To identify the conditions, where Turing pattern occur, a linear stability analysis with the following approach  $\delta u_j = \mathcal{R}[\delta \hat{u}_j \exp(\sigma t + i k_k x_k)]$  is conducted, leading to the following eigenvalue problem:

$$(\sigma \delta_{ij} - b_{ij}) \delta \hat{u}_j = 0, \quad b_{ij} := \gamma a_{ij} - k^2 d_{ij} \quad (4)$$

with the Jacobian  $a_{ij} := \partial f_i / \partial u_j$ , the Kronecker delta  $\delta_{ij}$ , the eigenvalue  $\sigma$  and the Euclidian length  $k = \sqrt{k_i k_i}$  of the wave vector  $k_i$ . A solution to the eigenvalue problem exists, if

$$\det(\sigma \delta_{ij} - b_{ij}) = 0 \quad (5)$$

This results in the following dispersion relation:

$$\sigma_{1,2} = \frac{1}{2} b_{ii} \pm \sqrt{b_{ii}^2 - 4 \det(b_{ij})} \quad (6)$$

For a state stable in the absence of diffusion to become unstable, the following two conditions must be met: (i)  $\mathcal{R}(\sigma) < 0$ , if  $d_{ij} = 0$  and (ii) for at least one  $k \neq 0$  the real part of  $\sigma$  has to be positive  $\mathcal{R}(\sigma) > 0$ . This is given under the following conditions, where  $\text{tr}$  is the trace of the matrix  $b_{ij}$ :

$$-\text{tr}(b_{ij}) > 0 \quad (7)$$

$$\det b_{ij} > 0 \quad (8)$$

$$da_{11} + a_{22} > 0 \quad (9)$$

$$\frac{(da_{11} + a_{22})^2}{4d} > \det(a_{ij}) \quad (10)$$

If these conditions are fulfilled, which can be achieved as shown here by an increasing value of the diffusion coefficient. If one understands the different diffusion coefficients as different mobilities of the two social groups to move within the city, this characteristic can be understood as a cause for the formation of slums.

## 2.2. Literature review on pattern formation with inhomogeneous parameters

With homogeneous parameters in the Turing space, if the reaction area is sufficiently large for the unstable wavelengths, Turing patterns are formed in the entire reaction area with homogeneous wavelengths. This means in particular that after convergence the whole area is covered by patterns. Which of the possible unstable wavelengths emerges is determined by mode selection [17]. The assumption of locally homogeneous parameters is naturally not always correct in real systems. Especially Maini deals with the question of the effects of inhomogeneous parameters on Turing patterns. In systems with parameter fluctuations, the height, width and distance of concentration peaks can be influenced. Page, Maini and Monk [18] show both by a disturbance calculation, as well as numerically on a Gierer-Meinhardt system, that a local parameter variation leads to complex pattern formation. For very small  $d = D_2/D_1$  applies,

- expansion of the activator peaks  $\propto \sqrt{D_1}$
- distance between the activator peaks  $\propto \sqrt{D_2}$
- height of the activator peaks  $\propto \sqrt{D_2/D_1}$

Varying the parameters with a wavelength, the wavelength has an influence on the emerging wavelengths of the system. If the wavelengths of variation are much larger than the natural wavelength of the RD system, then the resulting pattern has the natural wavelength with an amplitude modulation in the amount of the parameter variation. If the wavelength of the fluctuations is smaller than the wavelength of the RD system, then only harmonics of the parameter variation appear [18].

With locally varying parameters, however, not only the patterns change. Besides dynamic patterns that appear [18], the structure of the underlying mechanisms is also affected. Benson, Maini and Sherratt [19] show that the bifurcation structure of Turing patterns is degenerated at homogeneous parameters. For homogeneous parameters there is only one bifurcation point at which the system changes from a homogeneous state to a state with pattern formation. In contrast, the bifurcation structure is more complicated for location-dependent parameters. Using a perturbation method, the authors show that a small local variation of diffusion coefficients is sufficient to excite secondary side branches of the Turing bifurcation. At first, stable stripe patterns are formed, which change into stable dot patterns. Besides the splitting of the bifurcation with inhomogeneous parameters, the Turing bifurcation also loses Space may have its meaning. Jumps in kinetic parameters lead to pattern formation outside the Turing space, as shown by Page, Maini and Monk [20]. On both sides of the discontinuity, the system shows locally restricted pattern formation, even if the parameters are outside the Turing space. The amplitudes become smaller with increasing distance from the discontinuity. This initially counterintuitive result can be better understood if one considers that the Turing space is derived under the condition of homogeneous parameters. Such locally restricted patterns are not only provoked by parameter jumps, but can also occur in systems with different boundary conditions [21]. Not always jumps lead to an extension of the Turing space. Using an analytical method, Benson, Sherratt and Maini show that with piecewise constant parameters patterns occur which are restricted to a part of the considered area. However, this behavior is due to the fact that the diffusion coefficient on one side is greater than the critical diffusion coefficient for a homogeneous area [22].

### 2.3. Framework and reaction kinetics

As discussed in the literature review, there are different ways to change the pattern formation in RD systems. With regard to our application we investigate two different questions:

1. Can the cluster formation observed in slum systems be implied by spatial variation of the reaction kinetics in RD equations?
2. Can the width of the size distribution be changed by spatial variation of the diffusion coefficients?

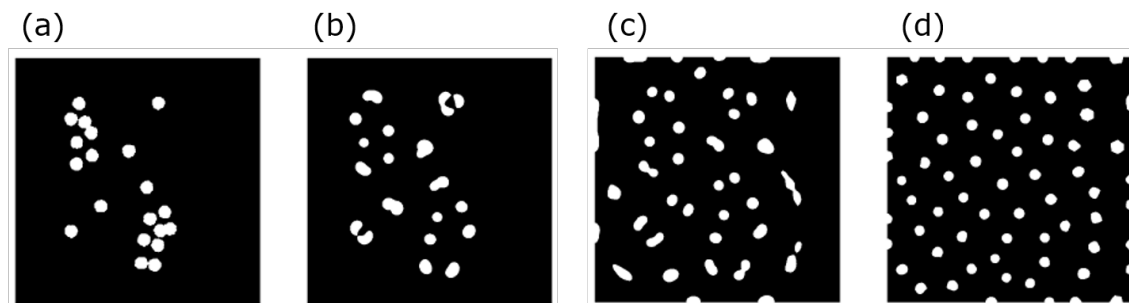
To answer these questions, we first have to introduce reaction kinetics. There are many different reaction kinetics known in the literature (cf. [23]), probably the simplest is the one of Schnakenberg [16]. The two coupled reaction terms have the following form:

$$\begin{aligned} f_1(u_1, u_2) &= a - u_1 + u_1^2 u_2 \\ f_2(u_1, u_2) &= b - u_1^2 u_2. \end{aligned} \quad (11)$$

These reaction kinetics will be integrated in eq. 2 and the resulting system is analysed in the following sections.

### 3. Analysis of spatial varying reaction coefficients

Turing patterns result from instability. Since every point in an area is unstable, when the initial conditions are homogenous, there is no preference for certain space points at the beginning. Initial condition does not ensure a spatially limited arrangement of concentration peaks when the patterns converge, because, for example, there is no attractive field between concentration peaks. This can impressively be shown in Figure 2, where starting from clustered initial conditions and spatially homogeneous parameters, the pattern merge into a homogeneously arranged pattern.



**Figure 2.** Qualitative illustration of a Schnakenberg system with clusters as initial condition and homogeneous parameters. Initial condition (a)  $t = 0$ , (b)  $t = 0.16t = t_{\text{end}}$ , (c)  $t = t = 0.27t_{\text{end}}$  and end (d)  $t = t_{\text{end}}$ .

To achieve this symmetry and to integrate the results of the data analysis into the model, could two methods can be identified by the literature search (see Sec. 2.1):

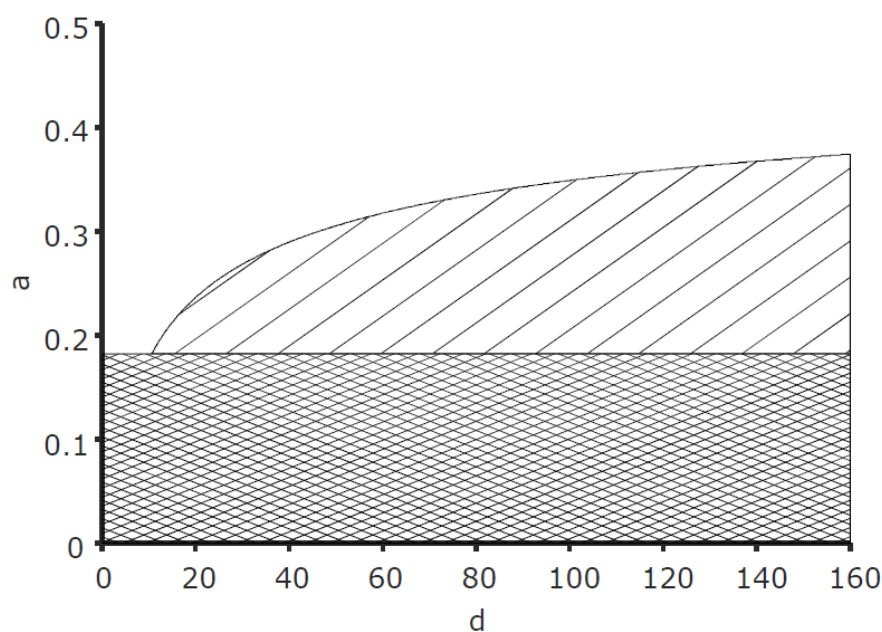
1. restriction of instability to a specific area by areas with parameters in Turing space and areas with parameters outside the Turing space.
2. local pattern formation outside the Turing space by jumping in the parameter.

If the instability is limited to a certain area, Turing patterns are created specifically in a certain sub-area, where the parameters lie within the Turing space. Another possibility is a jump in the parameters. A jump in parameters may also create patterns outside the Turing space. This is possible, because with the derivation of the conditions for pattern formation (sec. 2.1) a homogeneous system is assumed. A jump in the parameters means inhomogeneity and the conditions generally no longer apply.

For the second method, due to a lack of knowledge about the parameter range, with the local pattern formation occurs through a parameter jump, a parameter study required. In the parameter study, both methods can easily be tried out. Numerical simulations are used as a method. For simulation the program FlexPDE from PDE Solutions Inc. in version 6.50n/W64 is used. It is a Finite element program (FEM program) for the solution of partial, time-dependent Differential equations. Meshing takes place automatically and is performed during the solution process adapted. The fineness of the mesh can be determined by a budget of mesh nodes.

### 3.1. Parameter study

First of all, a parameter study is conducted to identify the region of parameters in which local limited Turing patterns occur due to parameter jumps. The kinetics parameter  $b$  is initially set arbitrarily to  $b = 0.5$ . The Turing space then looks as shown in Figure 3.

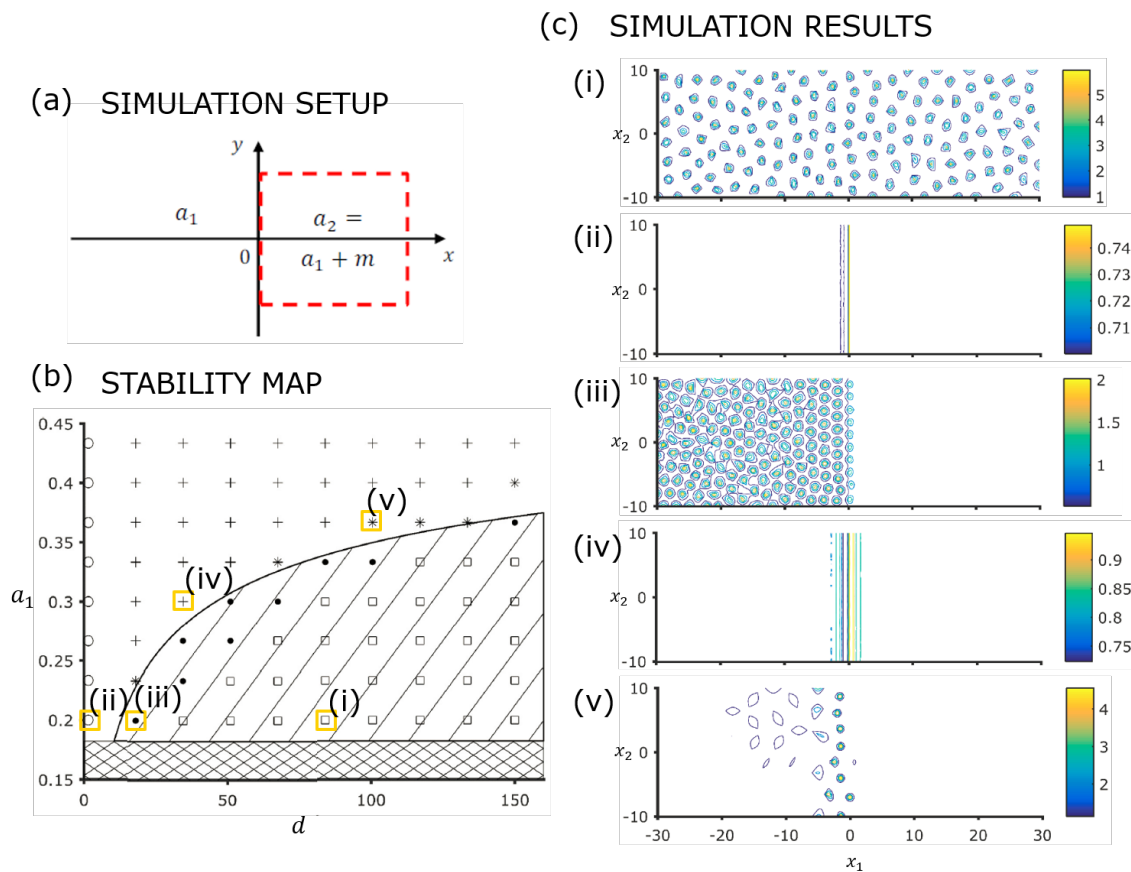


**Figure 3.** Turing space (hatched), area with unstable kinetics without diffusion (crosshatched) for Schnakenberg kinetics with fixed parameter  $b = 0.5$ .

There will be a jump in the kinetics Parameter  $a$  examined. The reaction area is divided into two subareas in which all parameters are equal, except  $a$  (Fig. 4a). The Turing space as well as the area above it is defined with of different numbers of points are covered.

With initial parameter studies, which are not documented here, the parameter range of  $a$ ,  $d$  and the step height are limited and it is ensured that the resulting patterns are largely converged out after the break-off time. In these studies it has been found that the crosshatched area in Figure 3, where the kinetics are unstable, is not a useful parameter range for simulations. Patterns do not arise there. In the restricted parameter range, 100 simulations are performed, where the parameters  $a$  and  $d$  were varied as shown in Figure 4b. The simulation time for each simulation is  $t = 50$ , the size parameter  $\gamma = 70$ , the boundary conditions are no-flux boundary conditions, the initial conditions are the stationary solution of the kinetics where the component  $u_1$  is randomly increased by 1 % by the stationary solution  $u_{1,0} = a + b$  is varied. Since the components  $u_1$  and  $u_2$  are inverse, in the following only the component  $u_1$  is evaluated.





**Figure 4.** (a) Setup of the simulation area to investigate the influence of a jump of kinetic parameter  $a$  by  $m$ . (b) Parameter study in the Turing Room with 100 points. The points are examined parameter sets. Shown is the kinetics parameter left of the jump,  $a_1$ . The value to the right of the jump is always  $a_2 = a_1 + 0.05$ , the parameter  $b = 0.5$ . The results of the parameter study are coded as follows: Turing pattern in the whole area ( $\square$ ), Turing pattern in the left half ( $\bullet$ ), no pattern ( $\circ$ ), localized stripes ( $+$ ), localized points ( $*$ ). (c) (i) Turing pattern on both sides of the jump ( $a_1 = 0.2$ ,  $d = 80.5$ ), (ii) no Turing pattern, just the concentration difference due to the jump ( $a_1 = 0.2$ ,  $d = 1$ ), (iii) Turing pattern on the left side of the jump with parameters in the Turing space. No pattern on the right side of the jump, since parameter is outside of the Turing space ( $a_1 = 0.2$ ,  $d = 18$ ), (iv) stripe pattern, parameters outside of the Turing space ( $a_1 = 0.3$ ,  $d = 34.5.5$ ) and (v) Turing pattern left of the jump with parameters outside of the Turing space ( $a_1 = 0.3667$ ,  $d = 100.5$ ). The edgy patterns on the left of (c,v) are the result of meshing, as the number of nodes is limited and the main part is used to map the jump.

In the results, five basically different solutions are observed, which are qualitatively different and can be distinguished by the resulting patterns and the position of the parameters in space:

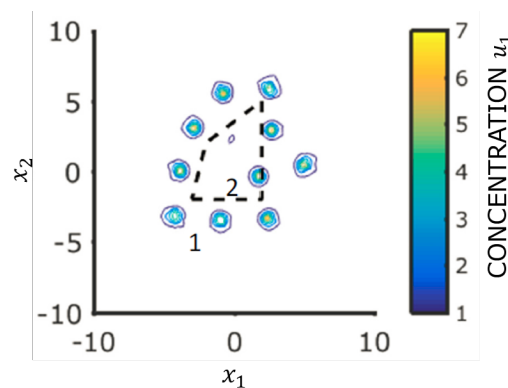
1. *dot pattern in the whole area.* With this result the parameters are all in the Turing space. As expected, Turing patterns are created. Optically there is no difference in the distance and size of the points between the halves of the simulation space.
2. *no patterns on either side.* Here all parameters are outside the Turing space. As expected, no patterns are created.
3. *dot pattern in one half.* In this case the parameters left of the jump are inside the Turing space, the parameters right of the jump are outside. Therefore patterns are concentrated in one half of the simulation space.
4. *stripe patterns that become smaller with distance from the jump.* The parameters are outside the Turing space. Stripes are created, which disappear with increasing distance from the jump. The further away the parameters are from Turing space, the less pronounced the stripes are.

5. *dot patterns in one half, which become smaller with distance from the jump.* The parameters are outside the Turing space here too. But there are dot-like patterns that disappear with distance from the jump.

In principle, the results found in the parameter study correspond to results from [20] and [22]. Two methods can be used to create spatially limited Turing patterns will be. On the one hand, by limiting the instability to one side locally restricted (case 3). On the other hand, excited by a parameter jump, local limited Turing-pattern occur outside of Turing space (here case 4 and 5). It can also be shown, that in the system with Schnakenberg kinetics in the chosen parameter range these patterns appear as points only in the surrounding of the Turing space. (see Figure 4b). At a greater distance from the Turing space locally limited stripes are formed, which decrease in amplitude with distance. This behaviour shows parallels to the results from [19]. In this thesis a changed bifurcation structure is observed by non-constant parameters.

### 3.2. Influence of shape

The generic investigations of the previous section are a first step to illustrate cluster formation with RD equations. In a further step the influence of the shape of a jump is to be considered. For this purpose, a polygon is defined, with the jump running transverse to its edge.



**Figure 5.** Simulation of a jump in a with a course transverse to polygon (dashed). Parameters:  $a_1 = 0.4, a_2 = 0.45, d = 133.5, b = 0.5, \gamma = 70, t = 100$ .

The pattern formation starts at about 10% of the total simulation time. The first Concentration peaks occur at the corners. In the course of time these migrate outwards and other spikes appear in between. As can be seen in Figure 5, the but not all points outside the polygon. Altogether the concentration peaks in the shape of the polygon.

### 3.3. Discussion

With the parameter study it can be shown that, as described in the literature, local pattern formation by jump excitation also occurs outside the Turing space. This is possible, because due to the parameter jump the conditions for the derivation of the Turing space are no longer valid. Within the Turing space, as expected, pattern formation occurs in the whole area. A second form of local pattern formation also occurs in the results if the parameters on one side of the jump in the Turing space, but on the other hand outside.

The patterns are locally limited to the side with the smaller parameter, here so left of the jump. Patterns of dots do not occur everywhere in the parameter space, but mainly at the border to the Turing area. Stripes appear also in larger distance to the border, which weaken considerably with distance. Individual results indicate that the height of the step height increases the extent of the local limited pattern increases. However, the parameter studies are not comprehensive, since neither the influence



of parameters  $b$  and  $\gamma$ , nor any other parameters than  $a$  and  $d$  with a larger parameter range have been investigated, but represent a possible solution in principle.

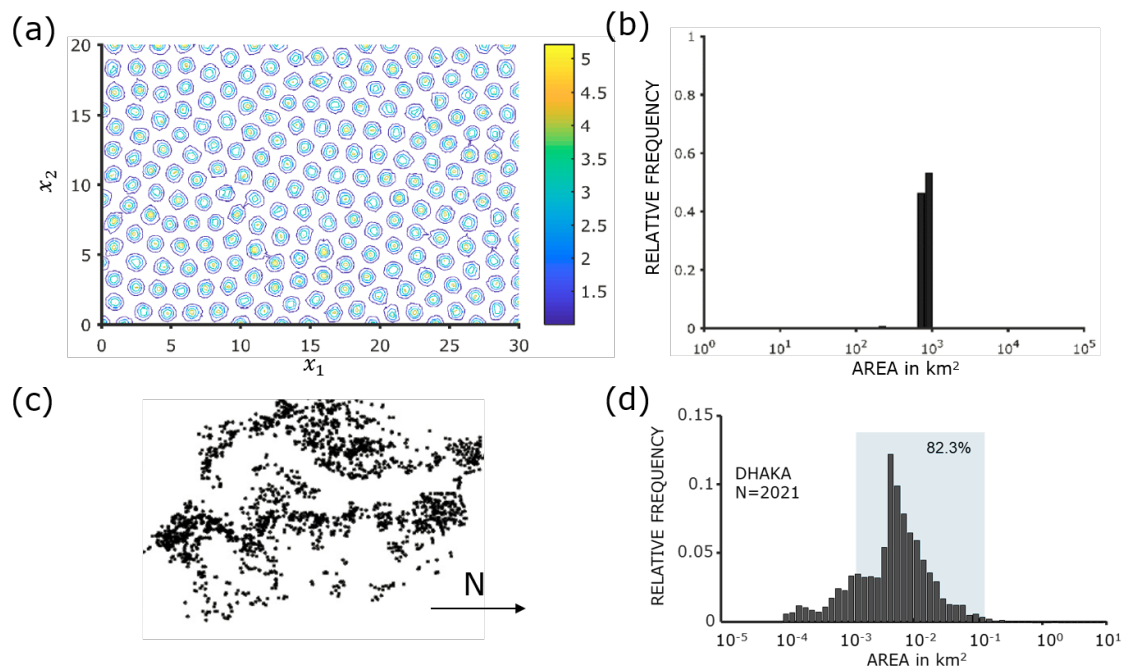
The patterns that are created by a jump in a kinetics parameter are induced, but do not tend to form natural clusters, but only to local pattern formation around the jump. There is no attraction between the concentration peaks, which leads to clustering. Clustering with regard to the total reaction area can therefore only be created by a prepattern in the form of a jump. The shape of the prepattern determines the quality of the model. To adapt the resulting patterns to real data, the results of previous analyses [15] can serve as a basis for a prepattern. They show that a characteristic cluster size for slums, which varies from city to city which is in the order of  $10^3$  m. The cluster size can be set in the model to different ways to bring the model in line with reality bring.

A second method could be to use the characteristic cluster size to set up models only within clusters, that is, to limit the validity of the model to the cluster size. Both methods require prior knowledge in the form of pre-patterns or a validity range and therefore take away the simplicity of a few parameters from the model.

However, Turing has also described that the analytically manageable case of pattern formation from the homogeneous initial state can only be the exception and not the rule "*Most of an organism, most of the time, is developing from one pattern to another, rather than from homogeneity into a pattern.*" [24] This statement is also valid for the city system, because geography, history of the city, culture and the surrounding area influence the respective picture of a city. A slum model must, to be plausible, certainly have some of these influences image. A prepattern is a way to do this without using the model of the RD equations to change things.

#### 4. Analysis of spatial variation of diffusion coefficients

Homogeneous parameters result in a so-called mode selection where an unstable wavelength prevails in the pattern. For example, patterns like the one shown in Figure 6a are created, where the pattern shows a homogeneous wavelength. The size distribution of the resulting patterns with homogeneous parameters (Figure 6a) is shown in Figure 6b. As expected, it is limited to a small area and therefore its shape does not show big similarities with the size distribution of slums in cities, like the one shown in Figure 6d for the city of Dhaka, Bangladesh (Figure 6c).



**Figure 6.** (a) Resulting pattern by homogeneous parameters ( $a = 0.2, b = 1, d = 50, \gamma = 70, t = 50$ ). (b) Size distribution of concentration peaks from (a) with a threshold of 2. (c) Empirical spatial pattern of the slums of Dhaka (2010) from [13]. (d) Size distribution of (c).

Page, Maini et al. show that especially locally varying parameters lead to a modulated wavelength in the patterns [18]. Therefore, in the following the influence of locally monotonically varying diffusion coefficients will be investigated. As described in section 2.2, there is a relationship between the wavelength and the resulting pattern size. Influencing the wavelength therefore also leads to a wider size distribution.

#### 4.1. Parameter study

However, RD-Eq. 3 cannot be used to represent locally varying diffusion coefficients, but must be derived from the more general form. In general, the derivations for the Turing space are no longer valid. If one assumes a system which is undimensioned except for the diffusion coefficients, then the initial equation becomes

$$\begin{aligned}\frac{\partial u_1}{\partial t} &= \nabla \cdot D_1(x_1, x_2) \nabla u_1 + \gamma(a - u_1 + u_1^2 u_2) \\ \frac{\partial u_2}{\partial t} &= \nabla \cdot D_2(x_1, x_2) \nabla u_2 + \gamma(b - u_1^2 u_2).\end{aligned}\quad (12)$$

To de-size eq. 12,  $D_i(x_1)$  is split into a constant mean diffusion coefficient  $\hat{D}_i$  and a location-dependent function  $g_i(x_1)$ . The mean diffusion coefficients are then undimensioned in the conventional way. This results in

$$\begin{aligned}\frac{\partial u_1}{\partial t} &= \nabla \cdot g_1(x_1, x_2) \nabla u_1 + \gamma(a - u_1 + u_1^2 u_2) \\ \frac{\partial u_2}{\partial t} &= d \nabla \cdot g_2(x_1, x_2) \nabla u_2 + \gamma(b - u_1^2 u_2).\end{aligned}\quad (13)$$

Eq. 13 is used as the basis for the simulations in this chapter, with  $g_i(x_1)$  being specified in more detail. Jumps for mapping for size distributions with varying diffusion coefficients are not advisable, since many jumps would be necessary for a size distribution. This would involve very long calculation times and the model would not be numerically practicable. In the following, therefore, the influence of the wavelength of the Turing patterns by means of the local dependence of parameters on smooth functions is analysed.

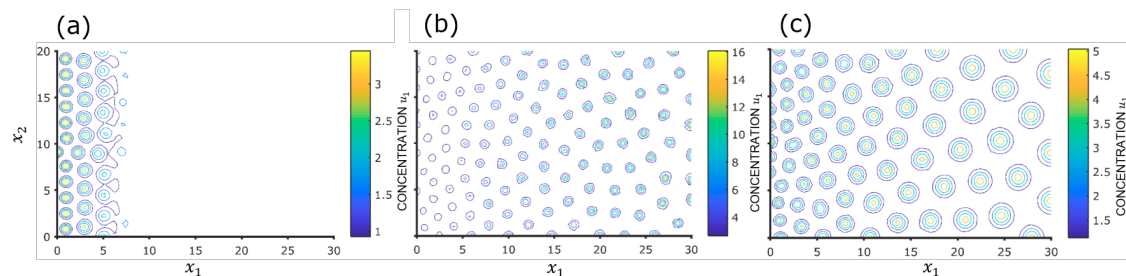
#### 4.2. Spatial variation of diffusion

In order to find the connection between the change in diffusion coefficients over the location as well as the size of the resulting patterns,  $g_i(x_1, x_2)$  is first defined as a linear function. A rectangular area is defined on the equation 13 with

$$g_i(x_1, x_2) := 1 + m_i x_1 \quad (14)$$

depends on a direction in space. The slope parameter  $m_i$  is set equal to zero or as a positive number, depending on whether the diffusion coefficient of  $u_1$  or  $u_2$  is to be varied. The parameters for all investigations are  $a = 0.2$ ,  $b = 1$ ,  $d = 50$ ,  $\gamma = 70$ ,  $t = 50$ . The initial conditions are the homogeneous initial state, where  $u_1, 0$  is randomly varied by 1 %. The threshold for the simulation is  $10^{-4}$  for  $u_1$  and  $u_2$ , the error limit is  $10^{-5}$ , the number of nodes is limited to 10000.

With the variation of  $g_1$  (cf. Figure 7a), the patterns only occur in a part of the reaction area. The concentration decreases with increasing  $x_1$  until the patterns are not more visible. The distance between concentration peaks remains constant. At variation of  $g_2$  (cf. Figure 7b), on the other hand, the patterns emerge in the whole reaction area. The concentration  $u_1$  increases as  $x_1$  increases. The diameter of the Pattern seems to be slightly larger with increasing  $x_1$ , the distance between the concentration peaks seems to remain constant.

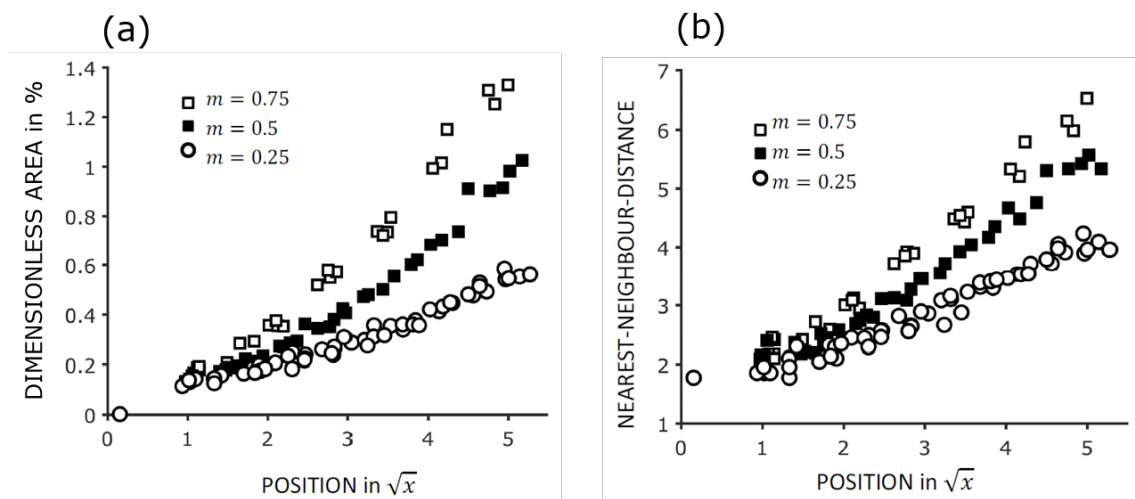


**Figure 7.** (a) Spatial pattern for  $g_1 = 1 + 0.25x_1$  and  $g_2 = 1$  (b) Spatial pattern with  $g_2 = 1 + 0.25x_1$  and  $g_1 = 1$ . (c) Spatial pattern with  $g_1 = 1 + 0.25x_1$  and  $g_2 = 1 + 0.25x_1$ .

Varying both  $g_1$  and  $g_2$ , the points become larger with  $x_1$  (see Figure 7c). The concentration above  $x_1$  remains the same, the distance between concentration peaks increases. To determine the development of the point size quantitatively, we conduct further investigations.

With a threshold of 2 the concentration  $u_1$  is converted into a binary pattern, meaning that all points with  $u_1 > 2$  are set to 1, all points with  $u_1 < 2$  are set to 0. From this binary pattern we find the sizes of the concentration peaks depending on the location. The points at the edge of the simulation area were excluded from the analysis using a suitable filter method so as not to falsify the result.

When applying the areas from the filtered results of Figure 7c via the location and two other simulations with the same parameters and varying slope parameters  $m = 0.5$  and  $m = 0.75$ , Figure 8a shows the results from the three simulations are arranged in a line.



**Figure 8.** (a) Display of the dependence of the area on the position in  $x_1$  direction in the simulation area for different with variation of  $g_1$  and  $g_2$ . The area is undimensioned over the total area of the grid. (b) Nearest-Neighbour-Distance plotted over the position. (Similar to (a))

Especially the results from of the simulation with  $m = 0.25$  are additionally divided into smaller groups. The latter can be explained by the fact that the points in the patterns are more or less parallel to the  $x_2$ -axis. Points of a size are thus located approximately at a position in  $x_1$ -direction. The arrangement in a line indicates a dependence of the form  $A \propto \sqrt{x_1}$ . To verify this, the empirical correlation coefficient between  $A$  and  $\sqrt{x_1}$  each of the simulations is determined. The results for this, as well as the results of a linear regression, are summarized in Table 1. Since the correlation coefficients in all three cases are very close to 1, a linear relationship can be assumed in Figure 8a and  $A \propto \sqrt{x_1}$  is thus confirmed.

**Table 1.** Results from the regression of the data from Fig. 5a.

Simulation	Correlationcoefficient	Slope	Intercept
$m = 0.25$	0.9816	0.1073	-0.0203
$m = 0.5$	0.9792	0.2063	-0.1413
$m = 0.75$	0.9876	0.2966	-0.2140

The change in the distance is measured using the Nearest-Neighbour-Distance, i.e. the Distance of each point to its nearest neighbour, quantitatively evaluated, since an optical assessment based on the simulation results is difficult. For the simulations in which  $g_1$  and  $g_2$  are varied at the same time, Figure 8b. The course of the points is similar to the course of the points in Figure 8a. the points from each of the three cases arrange themselves on a line, with each line divided into smaller groups. Also for the other cases, where either only  $g_1$  or only  $g_2$  are varied, the result is a similar course (not shown). The correlation coefficients give a similar picture as in the analysis of the areas. The connection between the Nearest-Neighbour-Distance and  $\sqrt{x_1}$  is the for the variation of  $g_1$  and  $g_2$  linear. For the other cases, where either only  $g_1$  or only  $g_2$  is varied, no linear relationship is apparent. Since both the area and the Nearest-Neighbour-Distance are equally affected by  $\sqrt{x_1}$  this implies a proportionality between the area and the nearest-dependent Neighbourhood Distance. The correlation coefficients in Table 2 confirm this, especially for simultaneous location dependency of  $g_1$  and  $g_2$ .

**Table 2.** Correlation coefficients for nearest neighbour distance and area

Simulation	$g_1$ and $g_2$	just $g_1$	just $g_2$
$m = 0.25$	0.9841	0.8512	0.5584
$m = 0.5$	0.9926	0.6254	0.9319
$m = 0.75$	0.9797	0.0212	0.2553

4.3. Discussion

In summary, it can be said that a linear change in the diffusion coefficients over a separation has various effects on the height, distance and area of the concentration peaks that are produced. Thus, the mode selection of Turing patterns can be negated by inhomogeneous diffusion coefficients. The effects of the variation of individual diffusion coefficients are summarized in Table 3 for better clarity.

**Table 3.** Summary of the qualitative influence of linear location dependence on pattern formation.

Variation of	height of peaks	distance	area
$g_1$ and $g_2$	constant	increases	increases
just $g_1$	decreases	constant	decreases
just $g_2$	increases	increases	increases

The height of the concentration peaks decreases with increasing  $f_1$  and increases with  $f_2$ . These effects cancel each other out for simultaneous variation of  $g_1$  and  $g_2$ . The area decreases with  $g_1$  and increases with  $g_2$ . If one compares these results qualitatively with the results from [18], the results are confirmed only for the height of the peaks. The results for the area and distance cannot be confirmed. In principle, the distance in the simulations of this work shows the same dependencies as the surface. Distance and area are therefore proportional to each other. This common increase shows that pattern formation by RD equations is a strongly coupled phenomenon. The difference between the results found here and the work may be due to the fact that a Schnakenberg kinetics is investigated here, while the authors of [18] investigate a Gierer-Meinhardt kinetics. Furthermore, the authors use an interference approach for which the ratio of the diffusion coefficients  $d$  must be much smaller than 1. Here, however, the ratio is  $d = 50$ . Furthermore, it can be shown that the area of the points and their distance increases proportionally with simultaneous and equal linear spatial dependence of  $g_1$  and  $g_2$ . Consequently, the square of the area is proportional to  $z$  or  $l$  when both diffusion coefficients are varied. With this insight, theoretically any size distribution can be constructed. However, this is a difficult and time-consuming problem compared to the reverse problem, the determination of a size distribution. An analogous problem can be found with drag coefficients of wing profiles. The determination of the coefficient of drag of a given wing profile is easily done by analytical or numerical calculations. Conversely, however, calculating an airfoil with a desired drag coefficient is difficult due to the large number of possible solutions. Therefore we will end at this point with the result that in principle a solution exists. More important is the question of the usability of this solution for the representation of slums by means of Turing patterns. With regard to this, the found proportionality of area and distance with variation of both diffusion coefficients is a problem, since no such strong correlation is observed in real slum data. The applicability of the approach investigated here is therefore questionable.

5. Conclusion

In this work, the question has been examined to what extent slums can be depicted as an urban structure by means of Turing patterns. The main motivation for this is to find a characteristic slum size that does not change regardless of culture and continent [6,7]. Turing patterns are caused by instabilities in systems with reaction and diffusion and also show a characteristic size which is not imposed on the system from the outside, but is created in the system by interaction of two components.

For these reasons Pelz et al. [11] stated the hypothesis that slums as anthropogenic patterns can also be mapped by Turing patterns. This model would have the advantage of a small number of parameters and sizes compared to common slum models (see [25]).

The clustering observed in the slum data sets cannot be found in Turing patterns. Rather, Turing patterns result in a homogeneous distribution of points in space. Moreover, unlike in slums, the size distribution of the points is narrow and dominated by a particular size. The reason is the mode selection in Turing patterns. Results from the literature suggest that the spatial arrangement and size of the pattern using non-homogeneous parameters. This is done using simulations have shown that jumps in one parameter of the reaction kinetics form spatially limited patterns. Parameter studies show that these local pattern formation takes place outside the Turing space. Cluster formation could be represented by a prepattern in form of jumps. To identify structures for the prepattern, the characteristic cluster size found in this work could be used. By means of locally equally dependent diffusion coefficients for both components in the RD equations, any size distribution of the resulting patterns can be achieved. However, this also changes the distance between the concentration peaks, which does not match the observed properties of the slums. The simulations show a proportionality between area and distance, whereas in slums diameter and distance are hardly related. Turing patterns have further properties which, unlike the resulting patterns, are not recognizable at first glance, but which must be found in the potential system to be mapped. These properties mainly concern the origin of the patterns and are therefore not directly visible in illustrations of the patterns. A comparison of the properties yields few matches. For example, when Turing-patterns are created, the concentration of both components changes simultaneously by interaction. In the case of slums, there is no simultaneous growth of slums and surrounding city, which is an indication against self-organization. Furthermore, in Turing patterns the wavelength of the patterns changes when the size of the area changes. This behavior is not observed in slums, since they have a characteristic size independent of the city under study.

This work shows that there are differences between slum and Turing patterns, especially in the development of the patterns. However, without considering the process of formation, the slum patterns that emerge can basically be described with Turing patterns by considering heterogeneous parameters. With the use of heterogeneous parameters, possibly coupled with a prepattern, is possible. The simplicity of the model through the interdependencies and more parameters as a great advantage.

The results of the work show that when mapping slums using Turing patterns there are already qualitative differences in the systems. The question therefore arises as to the reason for that. Apart from the answer that slums do not depict themselves with Turing patterns there could be other reasons. For example, one of the basic assumptions of this work could be to want to map the building structure, be wrong. Perhaps building structures or slums are a result of previous processes. Such approaches can also be found in the context of Turing patterns in biology. The Pattern formation by the Turing mechanism takes place in a much shorter time as the final expression of the patterns in the form of cell differentiation, for example [26]. Slums that do not grow simultaneously with the city surrounding them also need space, on which they can grow. So there must also be a previous pattern. Investigation of free surfaces and their properties could provide information about whether these surfaces can be described by Turing patterns. Slums then arise from this pattern and are in a sense a third component in the RD equations.

**Author Contributions:** Conceptualization, Jakob Hartig and John Friesen; Formal analysis, Jakob Hartig; Investigation, Jakob Hartig; Methodology, John Friesen; Project administration, Peter F. Pelz; Supervision, John Friesen and Peter F. Pelz; Visualization, Jakob Hartig and John Friesen; Writing – original draft, Jakob Hartig; Writing – review & editing, John Friesen.

**Funding:** This research received no external funding.

**Acknowledgments:** Diese Arbeit ist Teil einer Masterarbeit. We also acknowledge support by the DFG and the Open Access Publishing Fund of Technical University of Darmstadt for publishing.



**Conflicts of Interest:** The authors declare no conflict of interest.

## Abbreviations

The following abbreviations are used in this manuscript:

RD reaction diffusion

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