

1 Article

## 2 Impact of Grip Strength in Patients with 3 Unresectable Hepatocellular Carcinoma Treated with 4 Lenvatinib

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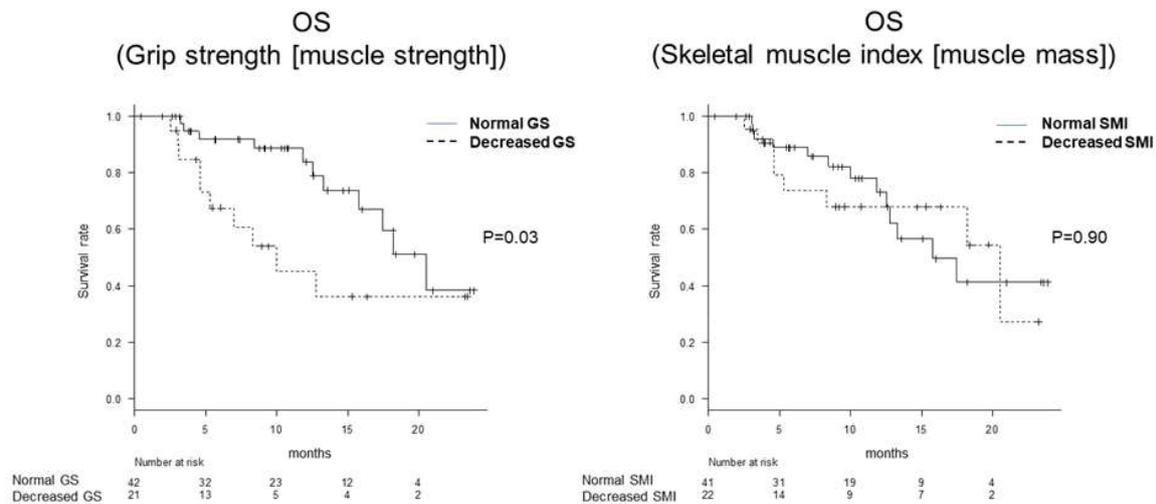
18 Abstract: Although sarcopenia is characterized by a loss of muscle strength and skeletal muscle  
19 mass, few studies have evaluated the effect of muscle strength on hepatocellular carcinoma (HCC)  
20 patients. We separately evaluated the impact of sarcopenia-related factors (grip strength [GS] and  
21 the skeletal muscle index [SMI]) on the survival among lenvatinib-treated unresectable HCC (u-  
22 HCC) patients. This single-center cohort study was conducted at a university hospital. The study  
23 population included 63 lenvatinib-treated u-HCC patients managed between April 2018 and April  
24 2020. A decreased GS and decreased SMI were found in 21 (33.3%) and 22 (34.9%) patients,  
25 respectively. The overall survival (OS) of the normal GS group was significantly higher than that of  
26 the decreased GS group, while that of the normal and decreased SMI groups did not differ  
27 markedly. There were no significant differences in the progression-free survival between the normal  
28 GS and decreased GS groups or the normal SMI and decreased SMI groups. A multivariate Cox  
29 proportional hazards model showed that ALBI2b (hazard ratio [HR] 4.39) and a decreased GS (HR  
30 3.55) were independently associated with an increased risk of poor prognosis. In addition to the  
31 hepatic functional reserve, a decreased GS was a poor prognostic factor in lenvatinib-treated u-HCC  
32 patients.

33 **Keywords:** sarcopenia; grip strength; skeletal muscle index; hepatocellular carcinoma; lenvatinib;  
34 modified albumin-bilirubin grade  
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36 Graphical abstract

## Lenvatinib therapy



Sarcopenia

= Loss of

muscle strength

+

muscle mass

Prognostic factor

muscle strength

&gt;

muscle mass

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38 **1. Introduction**

39 The administration of tyrosine kinase inhibitors (TKIs) has become an important treatment  
 40 option for improving the prognosis of patients with unresectable hepatocellular carcinoma (u-HCC).  
 41 Lenvatinib, an oral multikinase inhibitor, is recommended as first-line systemic chemotherapy for u-  
 42 HCC, as well as sorafenib [1, 2].

43 Sarcopenia is characterized by a loss of muscle strength associated with a progressive reduction  
 44 of skeletal muscle mass [3]. Recently, sarcopenia has been recognized as a poor prognostic factor in  
 45 various fields of clinical medicine, including cancer [4-6]. Sarcopenia has been reported to worsen the  
 46 prognosis of patients with u-HCC patients treated with sorafenib and lenvatinib [7-10].

47 Although the recent definition and diagnostic criteria for sarcopenia have emphasized muscle  
 48 strength over muscle mass as the primary parameter of sarcopenia [11], most previous studies have  
 49 only evaluated the effect of skeletal muscle mass loss on u-HCC patients treated with sorafenib or  
 50 lenvatinib [7-10]. Indeed, no studies have examined the effects of muscle strength in u-HCC patients  
 51 treated with TKIs.

52 This study separately evaluated the impact of sarcopenia-related factors (muscle strength and  
 53 skeletal muscle mass) based on established guidelines on the survival of u-HCC patients treated with  
 54 lenvatinib.

55 **2. Results**56 *2.1. Baseline characteristics*

57 Between April 2018 and April 2020, 69 patients with HCC received lenvatinib treatment at our  
 58 hospital. A total of 6 patients were excluded based on the defined exclusion criteria (lack of grip  
 59 strength [n=3], short observation period [n=2] and lack of CT findings [n=1]). Sixty-three patients were  
 60 enrolled in this study. The clinical characteristics of the patients are shown in Table 1. The median  
 61 age was 71 years, and 53 (84.1%) patients were men. Forty-four (69.8%) patients were BCLC C, and

62 the mALBI classifications were 1 (n=18), 2a (n=20), and 2b (n=25). Forty-eight (76.2%) patients had  
63 recurrent HCC, and 12 (19.0%) and 4 (6.3%) patients had a history of sorafenib and regorafenib  
64 treatment, respectively. Twenty-two (34.9%) patients had low muscle mass (decreased SMI) and 21  
65 (33.3%) had low muscle strength (decreased GS), respectively.  
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	<b>All patients (n=63)</b>	<b>Normal GS group (n=42)</b>	<b>Decreased GS group (n=21)</b>	<b>P</b>	<b>Normal SMI group (n=41)</b>	<b>Decreased SMI group (n=22)</b>	<b>P</b>
Gender, male / female	53 / 10	38 / 4	15 / 6	0.07	37 / 4	16 / 6	0.08
Age (years)	71 (50 - 86)	70 (50 - 81)	74 (61 - 86)	0.06	70 (50 - 84)	72 (57 - 86)	0.36
Body mass index (kg/m <sup>2</sup> )	23.2 (16.4 - 32.2)	24.2 (17.0 - 32.2)	22.5 (16.4 - 28.7)	0.04	24.3 (18.6 - 32.2)	20.4 (16.4 - 31.5)	<0.01
Body weight (kg)	61.9 (35.4 - 102.1)	64.4 (45.2 - 102.1)	55.2 (35.4 - 75.5)	<0.01	65.0 (45.6 - 102.1)	53.8 (35.4 - 86.8)	<0.01
Etiology, HBV / HCV / Alcohol / others	10 / 23 / 17 / 13	7 / 19 / 11 / 5	3 / 4 / 6 / 8	0.07	7 / 17 / 11 / 6	3 / 6 / 6 / 7	0.44
mALBI. 1 / 2a / 2b	18 / 20 / 25	13 / 13 / 16	5 / 7 / 9	0.89	12 / 15 / 14	6 / 5 / 11	0.43
Child-Pugh class, A / B	49 / 14	32 / 10	17 / 4	0.76	34 / 7	15 / 7	0.21
Albumin (g/dL)	3.6 (2.6 - 4.6)	3.7 (2.8 - 4.6)	3.4 (2.6 - 4.2)	0.08	3.7 (2.6 - 4.6)	3.4 (2.8 - 4.3)	0.21
AST (IU/L)	44 (19 - 154)	46 (21 - 133)	41 (19 - 154)	0.40	44 (19 - 133)	51 (19 - 154)	0.57
ALT (IU/L)	34 (8 - 123)	41 (15 - 123)	24 (8 - 106)	0.02	40 (14 - 123)	29 (8 - 106)	0.12

Total bilirubin (IU/L)	0.7 (0.2 - 1.6)	0.8 (0.3 - 1.6)	0.6 (0.2 - 1.1)	0.013	0.7 (0.2 - 1.6)	0.7 (0.2 - 1.1)	0.28
Creatinine (mg/dl)	0.80 (0.49 - 2.18)	0.79 (0.49 - 1.62)	0.83 (0.52 - 2.18)	0.62	0.80 (0.49 - 2.18)	0.81 (0.52 - 1.57)	0.67
Prothrombin (INR)	1.09 (0.90 - 1.49)	1.06 (0.90 - 1.49)	1.14 (0.99 - 1.29)	0.17	1.08 (0.90 - 1.49)	1.13 (0.99 - 1.28)	0.67
Platelets ( $\times 10^4/\mu\text{L}$ )	14.1 (3.0 - 59.8)	12.3 (3.0 - 58.7)	22.1 (7.7 - 59.8)	<0.01	13.6 (3.0 - 58.7)	14.4 (4.7 - 59.8)	0.74
AFP <400 / >400 (ng/ml)	46 / 17	30 / 12	16 / 5	0.77	31 / 10	15 / 7	0.56
DCP <400 / >400 (mAU/ml)	29 / 34	17 / 25	12 / 9	0.29	18 / 23	11 / 11	0.79
Maximum tumor size (cm)	5.8 (1.6 - 22.2)	5.0 (1.6 - 13.0)	8.5 (2.0 - 22.2)	<0.01	5.0 (1.6 - 22.2)	7.3 (2.0 - 17.4)	0.052
Tumor number (single / multiple)	4 / 59	2 / 40	2 / 19	0.60	2 / 39	2 / 20	0.61
Vascular invasion, yes / no	36 / 27	21 / 21	15 / 6	0.18	23 / 18	13 / 9	0.99
Extrahepatic metastasis, yes / no	23 / 40	13 / 29	10 / 11	0.27	14 / 27	9 / 13	0.60
BCLC classification (B / C)	19 / 44	16 / 26	3 / 18	0.08	15 / 26	4 / 18	0.15

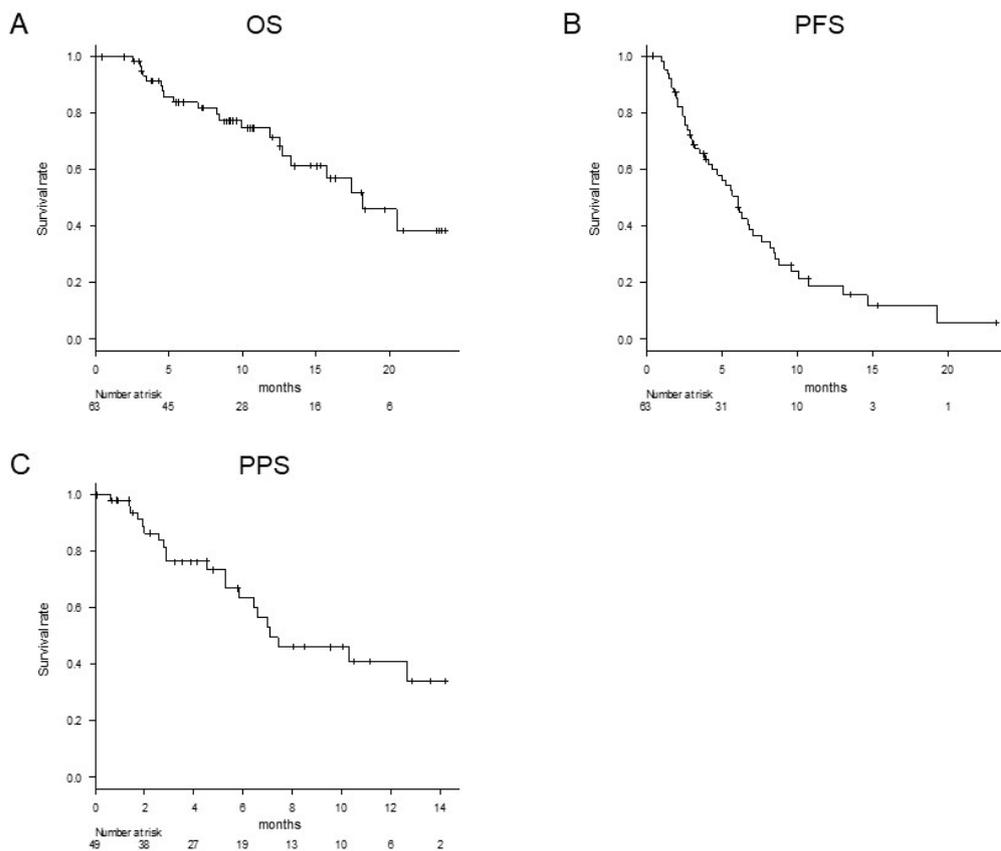
Reduced dose at initial lenvatinib, yes / no	24 / 39	12 / 30	12 / 9	0.053	14 / 27	10 / 12	0.42
HCC, naïve / recurrence	15 / 48	10 / 32	5 / 16	1.00	10 / 31	5 / 17	1.00
Sorafenib naïve / experience	51 / 12	35 / 7	16 / 5	0.51	34 / 7	17 / 5	0.74
Regorafenib naïve / experience	59 / 4	40 / 2	19 / 2	0.60	38 / 3	21 / 1	1.00
Objective response rate (%)	35.5	35.7	35.0	1.00	32.5	40.9	0.80
Disease control rate (%)	75.8	76.2	75.0	1.00	72.5	81.2	0.84

Table 1. The baseline characteristics and comparison of the normal GS and decreased GS or normal SMI and decreased SMI groups.

GS, grip strength; SMI, skeletal muscle index; HBV, hepatitis B virus; HCV, hepatitis C virus; AST, aspartate aminotransferase; ALT, alanine aminotransferase; AFP,  $\alpha$ -fetoprotein; DCP, des- $\gamma$ -carboxy prothrombin; BCLC, Barcelona Clinic Liver Cancer.

## 72 2.2. Results of lenvatinib treatment in all patients

73 The median observation period was 8.3 months. The estimated median survival time (MST) was  
 74 18.2 months (Figure 1A). The estimated median PFS was 6.0 months (Figure 1B). Of the 63 enrolled  
 75 patients, 49 were diagnosed with disease progression. The median PPS was 7.1 months (Figure 1C).  
 76 The responses of the patients were classified as follows: complete response (n=0), partial response  
 77 (n=22), stable disease (n=25), progressive disease (n=15), and not evaluated (n=1). The objective  
 78 response rate (ORR) was 35.5% and the disease control rate (DCR) was 75.8%.

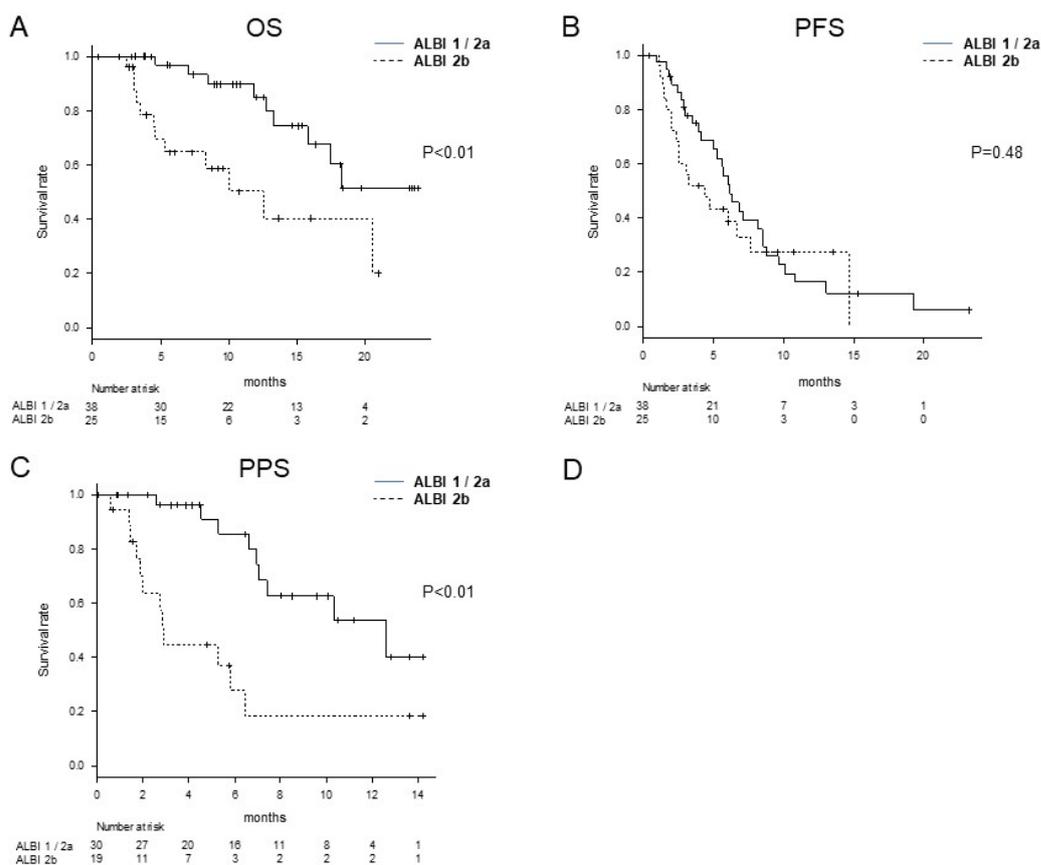


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80 **Figure 1.** The overall survival (OS), progression-free survival (PFS) and post-progression survival  
 81 (PPS) in all patients. The median survival time (MST) was 18.2 months (A). The median PFS was 6.0  
 82 months (B). The median PPS was 7.1 months (C).

## 83 2.3. Impact of mALBI on OS, PFS and PPS

84 The OS of the mALBI 1/2a group was significantly higher than that of the mALBI 2b group  
 85 ( $P < 0.01$ ) (Figure 2A). The PFS of the mALBI 1/2a and ALBI 2b groups did not differ to a statistically  
 86 significant extent ( $P = 0.48$ ) (Figure 2B). The PPS of the mALBI 1/2a group was significantly longer than  
 87 that of the mALBI 2b group ( $P < 0.01$ ) (Figure 2C).



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**Figure 2.** The OS, PFS and PPS according to the mALBI. The MST was not reached in the mALBI 1/2a group and 12.5 months in the mALBI 2b group ( $P < 0.01$ ) (A). The median PFS was 6.2 months in the mALBI 1/2a group and 4.4 months in the mALBI 2b group ( $P = 0.48$ ) (B). The median PPS was 12.6 months in the mALBI 1/2a group and 2.9 months in the mALBI 2b group ( $P < 0.01$ ) (C).

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#### 2.4. The comparison of the normal and decreased GS groups and normal and decreased SMI groups

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The clinical characteristics of the patients with or without decreased GS or SMI are shown in Table 1. The decreased GS and SMI groups had a significantly lower body mass index and body weight than the normal GS and SMI groups, respectively. The decreased GS group had a significantly higher maximum tumor diameter than the normal GS group. The mALBI grade and Child-Pugh class in each group did not differ significantly. The respective ORR and DCR values were 35.0% and 75.0% in the decreased GS group, 35.7% and 76.2% in the normal GS group, 40.9% and 81.2% in the decreased SMI group and 32.5% and 72.5% in the normal SMI group; these differences were not statistically significant.

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#### 2.5. Influence of a decreased GS and decreased SMI on the OS, PFS and PPS

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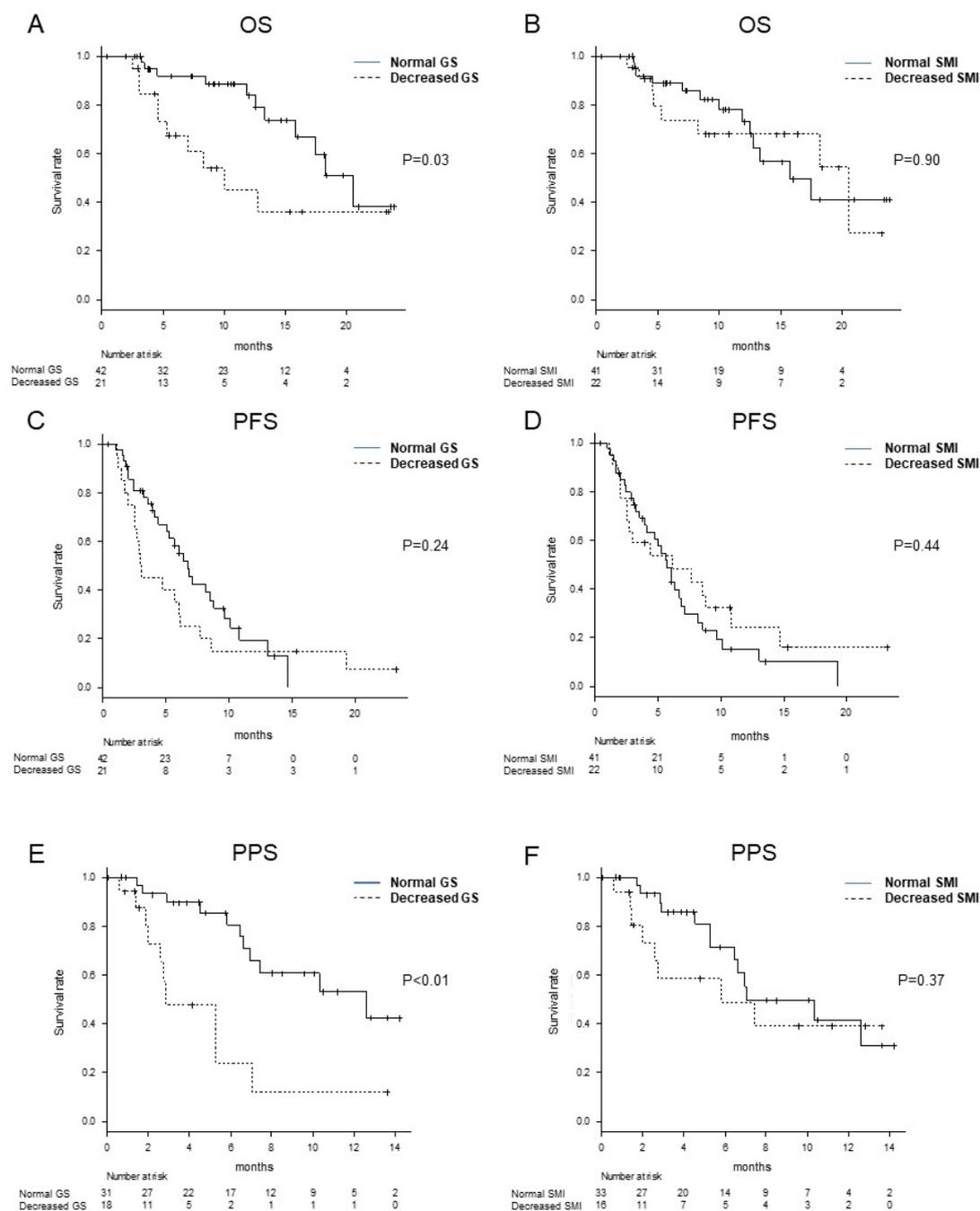
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The OS of the normal GS group was significantly higher than that of the decreased GS group ( $P = 0.03$ ) (Figure 3A). In contrast, the OS of the normal and decreased SMI groups did not differ significantly ( $P = 0.90$ ) (Figure 3B).

There were no significant differences in PFS between the normal GS and decreased GS groups or normal SMI and decreased SMI groups ( $P = 0.24$  and  $0.44$ , respectively) (Figure 3C, 3D). The PPS for the normal GS groups was significantly higher in comparison to the decreased GS groups ( $P < 0.01$ ) (Figure 3E). In contrast, the PPS of the normal SMI and decreased SMI groups did not differ significantly ( $P = 0.37$ ) (Figure 3F).



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**Figure 3.** The OS, PFS and PPS according to the GS and SMI. The MST was 20.5 months in the normal GS group and 10.0 months in the decreased GS group ( $P=0.03$ ) (A), 15.8 months in the normal SMI group and 20.5 months in the decreased SMI group, respectively ( $P=0.90$ ) (B). The median PFS was 6.7 months in the normal GS group and 3.0 months in the decreased GS group ( $P=0.24$ ) (C), 5.7 months in the normal SMI group and 6.2 months in the decreased SMI group, respectively ( $P=0.44$ ) (D). The median PPS was 12.6 months in the normal GS group and 2.9 months in the decreased GS group ( $P<0.01$ ) (E), 7.1 months in the normal SMI group and 5.8 months in the decreased SMI group, respectively ( $P=0.37$ ) (F).

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## 2.6. AEs

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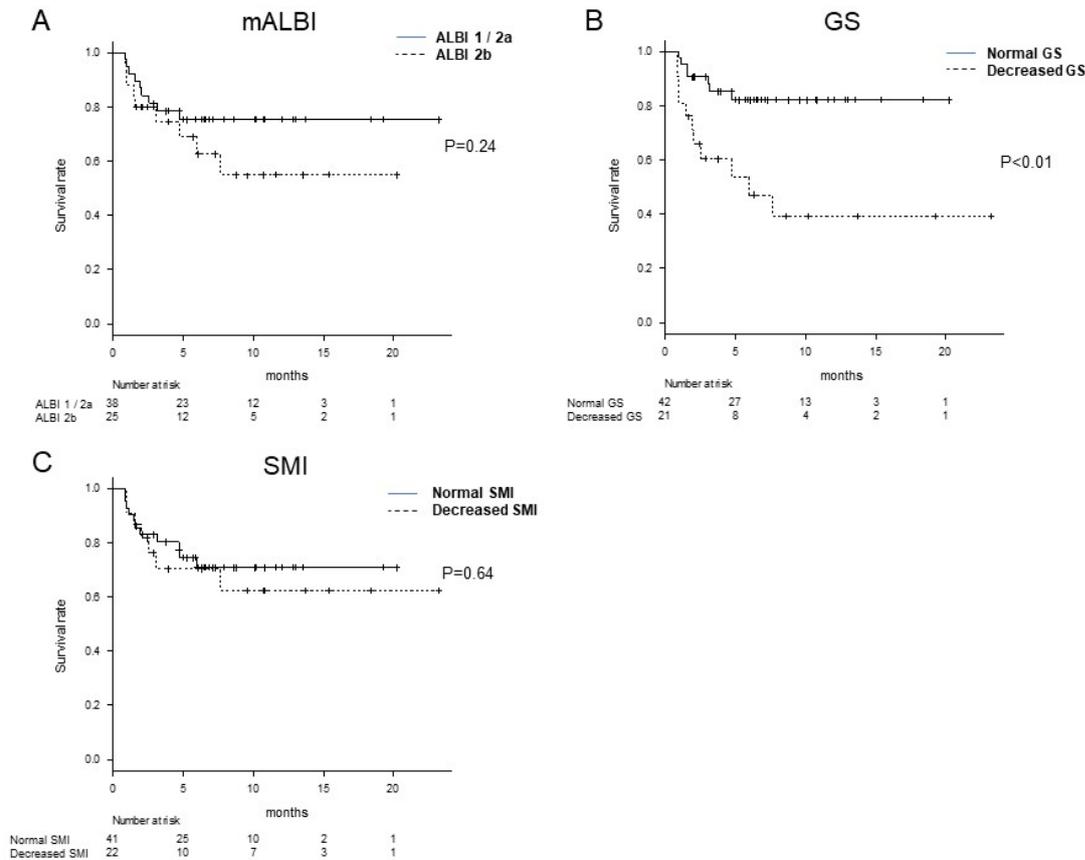
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Supporting file 1 shows the AE profiles, which were observed in more than 15% of the overall study population. There was no significant difference in the time to discontinuation due to AEs between the normal SMI group and decreased SMI group or between the mALBI 1/2a group and mALBI 2b group ( $P=0.64$  and  $0.24$ , respectively) (Figure 4A, 4C). The normal GS groups showed a

126 significantly longer time to discontinuation due to AEs in comparison to the decreased GS groups  
 127 ( $P < 0.01$ ) (Figure 4B).



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129 **Figure 4.** Discontinuation due to AEs according to the mALBI, GS and SMI. There was no significant  
 130 difference in time to discontinuation due to AEs between the mALBI 1/2a group and mALBI 2b group  
 131 or between the normal SMI group and decreased SMI group ( $P = 0.24$  and  $0.64$ , respectively) (A, C).  
 132 The time to discontinuation due to AEs for the normal GS group was significantly longer in  
 133 comparison to the decreased GS group ( $P < 0.01$ ) (B).

### 134 2.7. Factors associated with the OS

135 The results of the univariate and multivariate Cox proportional hazards model analyses are  
 136 shown in Table 2. In the univariate analysis, 3 factors (ALBI 2b, AFP  $\geq 400$  ng/ml, and decreased GS)  
 137 had P values of  $< 0.1$ . The multivariate analysis revealed that ALBI 2b (hazard ratio [HR], 4.39; 95%  
 138 CI, 1.72–11.2;  $P < 0.01$ ) and decreased GS (HR, 3.55; 95%CI, 1.42–8.92) were independently associated  
 139 with an increased risk of poor OS. The decreased SMI was not detected as a poor prognostic factor.

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Variables	Univariate analysis				Multivariate analysis		
	HR	95% CI	P	HR	95% CI	P	
Gender, female	2.07	0.75 - 5.69	0.15				
Age (y)	1.04	0.98 - 1.10	0.18				
mALBI 2b (vs. 1/2a)	3.32	1.38 - 7.97	<0.01	4.39	1.72 - 11.2	<0.01	
Child-Pugh class B	2.14	0.82 - 5.58	0.12				
AFP > 400 (ng/ml)	2.14	0.87 - 5.29	0.09				
DCP > 400 (mAU/ml)	2.18	0.79 - 6.01	0.13				
BCLC C	0.87	0.34 - 2.19	0.77				
Maximum tumor size (cm)	1.04	0.95 - 1.14	0.39				
Tumor number, multiple	1.01	0.13 - 7.65	0.99				
Vascular invasion, yes	0.98	0.42 - 2.35	0.97				

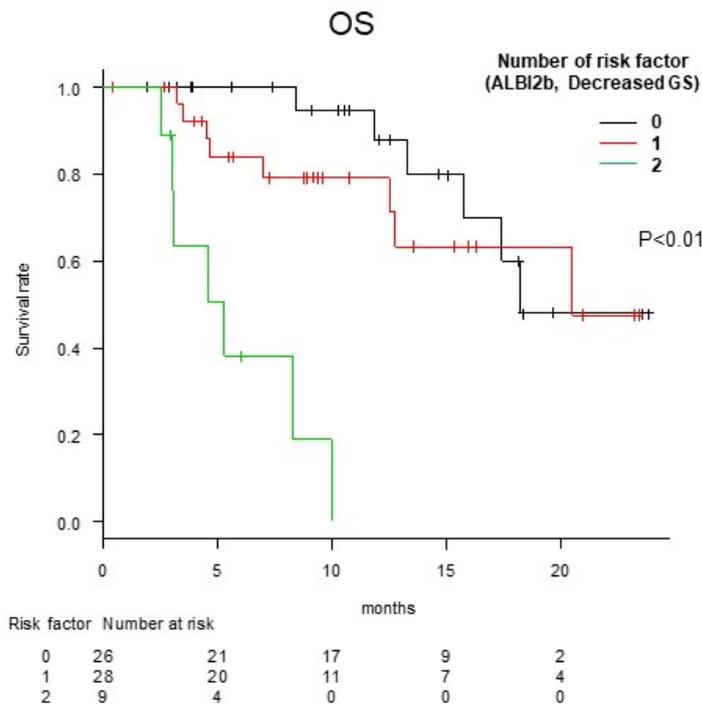
Extrahepatic metastasis, yes	1.04	0.42	-	2.54	0.93				
Reduced initial dose of Lenvatinib, yes	1.85	0.75	-	4.54	0.18				
Decreased grip strength, yes	2.57	1.08	-	6.09	0.03	3.55	1.42	-	8.92 <0.01
Decreased SMI, yes	1.06	0.43	-	2.56	0.90				

141 **Table 2.** Factors associated with poor survival.

142 Cox proportional hazard model. AFP,  $\alpha$ -fetoprotein; DCP, des- $\gamma$ -carboxy prothrombin; BCLC, Barcelona Clinic Liver Cancer; SMI, skeletal muscle index. Cox proportional  
 143 hazard model.

## 144 2.8. OS according to the number of risk factors

145 We classified the enrolled patients into three groups according to the number of risk factors  
 146 identified by the multivariate analysis (ALBI 2b and decreased GS). The patients with 2 risk factors  
 147 had significantly lower OS in comparison to those with 1 or 0 ( $P < 0.01$ ) (Figure 5).



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149 **Figure 5.** The OS according to the number of risk factors. The patients with 2 risk factors (mALBI 2b  
 150 and decreased grip strength) had a significantly lower OS than those with 1 or 0 ( $P < 0.01$ ).

## 151 3. Discussion

152 To our knowledge, this is the first study to separately evaluate the impact of sarcopenia-related  
 153 factors (muscle strength and quantity) on the survival while complying with the established  
 154 guidelines in u-HCC patients treated with lenvatinib.

155 This study showed that a low muscle strength and low hepatic functional reserve were poor  
 156 prognostic factors in u-HCC patients treated with lenvatinib. In addition, low muscle strength rather  
 157 than low skeletal muscle mass was associated with a poor prognosis in u-HCC patients treated with  
 158 lenvatinib. The present study therefore suggests that the skeletal muscle quality is more important  
 159 than the quantity.

160 The results of the present study differ from those of previous retrospective studies that reported  
 161 that low skeletal muscle mass was associated with poor tolerability and survival in HCC patients  
 162 treated with sorafenib or lenvatinib [7-10]. There may be several reasons for this discrepancy. The  
 163 method of measuring the skeletal muscle mass and the cut-off values differed among studies. In  
 164 contrast, the diagnosis of sarcopenia in the present study complies with the established guideline  
 165 (JSH guidelines) [12]. A previous cross-sectional study showed that skeletal muscle steatosis was  
 166 significantly correlated with age [13]. In addition, our previous study reported that intramuscular  
 167 adipose tissue content increases with aging [14]. Because the present study included a large number  
 168 of older adults, it is possible that skeletal muscle mass does not strongly reflect the actual muscle  
 169 function due to muscle steatosis, in elderly patients in particular, which may have led to it being less  
 170 likely to reflect the prognosis. In addition, the body weight is strongly correlated with the SMI [15]  
 171 because lenvatinib, unlike sorafenib, has its dose adjusted by body weight, which may have reduced

172 the effect of the SMI. Indeed, the present study showed that the time to discontinuation due to AEs  
173 did not differ significantly between patients with and without a decreased SMI, although the patients  
174 in the decreased GS group discontinued treatment significantly earlier than those in the normal GS  
175 group. This result suggested that the SMI is adjusted to some extent by the body weight, while the  
176 GS is not adjusted, which may have led to an earlier discontinuation of lenvatinib and a consequent  
177 poor prognosis. Furthermore, the muscle strength was lost two to five times faster than muscle mass,  
178 indicating that muscle strength is a more sensitive indicator of prognosis than skeletal muscle mass  
179 [16]. Although our cohort had a preserved hepatic reserve at the start of lenvatinib, 19% of patients  
180 had a history of TKIs, and 76.2% patients had recurrent HCC. Since HCC often recurs and requires  
181 repeated treatment, the loss of muscle strength may have been a stronger factor than the loss of  
182 skeletal muscle mass in the process.

183 Indeed, recently published studies have reported that GS (muscle strength) has a greater effect  
184 on the prognosis than the skeletal muscle mass in community-dwelling elderly people, as well as  
185 patients with chronic liver disease [17-19]. In fact, the European Working Group on Sarcopenia in  
186 Older People (EWGSOP) guidelines state that low muscle strength is the primary parameter of  
187 sarcopenia, because muscle strength is the most reliable measure of the muscle function [11]. The  
188 EWGSOP guidelines recommend the use of GS as an indicator of muscle strength. Because the GS is  
189 simple and inexpensive method and is a powerful predictor of poor patient outcomes (e.g., longer  
190 hospital stays, increased functional limitations, poor health-related quality of life and death). In  
191 addition, the measurement of GS is usually easier than the measurement of skeletal muscle mass  
192 because the measurement of skeletal muscle mass requires special equipment, such as a bioelectrical  
193 impedance analysis (BIA), dual-energy X-ray absorptiometry (DEXA) and CT. Thus, GS can be useful  
194 as a simple prognostic indicator in clinical practice.

195 There was no significant difference in ORR, DCR, or PFS between the groups with and without  
196 decreased GS; however, the differences in PPS may have contributed to the difference in OS. This  
197 might be because normal GS patients can still receive other treatment, including TKIs, after disease  
198 progression, while decreased GS patients cannot be treated due to the deterioration of their PS or  
199 liver function. The lack of difference in treatment response to lenvatinib between the those with and  
200 without a decreased GS may have been due to the relatively high number of patients who received a  
201 reduced dose at the initiation of lenvatinib treatment in this study.

202 In the present study, as in previous reports, patients with an mALBI grade of 1/2a were  
203 considered the best candidates for lenvatinib treatment [20-22]. However, lenvatinib may have to be  
204 initiated for patients with an mALBI grade 2b in clinical practice. Our results suggested that in  
205 patients without a decreased GS, lenvatinib may also be selected, even for patients with an mALBI  
206 grade of 2b. However, lenvatinib treatment for mALBI grade 2b patients with a decreased GS should  
207 be performed with care or avoided. However, the number of cases is small, and further research is  
208 needed. It is well known that the prognosis of HCC patients is dependent on the tumor burden and  
209 hepatic reserve function [23]. In addition to these factors, the present study demonstrated that muscle  
210 strength was an important prognostic factor.

211 The present study was associated with some limitations. First, it was a single-center study with  
212 a relatively small sample size and a short observation period. Second, this study did not measure the  
213 GS and SMI repeatedly, so the changes in these values during the treatment are unknown. Third, our  
214 cohort only included Asian subjects. Because the cut-off value of skeletal muscle mass and GS vary  
215 depending on race/ethnicity, further studies are needed to confirm the findings in other regions.

## 216 4. Materials and Methods

### 217 4.1. Patients

218 This single-center cohort study was based on data collected from a university hospital. We  
219 analyzed patients with u-HCC who were treated with lenvatinib (Lenvima®, Eisai Co., Ltd., Tokyo,  
220 Japan) in our hospital between April 2018 and April 2020. The diagnosis of HCC was confirmed  
221 according to the European Association for the Study of the Liver Clinical Practice guidelines [24]. U-

222 HCC was confirmed by pathological or radiographic findings. The study complied with the  
223 provisions of the Declaration of Helsinki and was approved by the Ethics Committee of our hospital  
224 (MH2019-082).

#### 225 4.2. Lenvatinib therapy

226 Lenvatinib therapy was indicated for patients with Child-Pugh A or B in u-HCC and an ECOG  
227 PS of 0 or 1 and/or (a) extrahepatic metastasis, (b) presence of vascular invasion, (c) refractory to  
228 previous transcatheter arterial chemoembolization (TACE). Patients for whom the period of  
229 observation period was <28 days were excluded. All patients were admitted to the hospital for one  
230 week for lenvatinib induction to check for adverse effects (AEs). They also received nutritional  
231 guidance according to the European Society for Clinical Nutrition and Metabolism guidelines [25].

232 In principle, patients received lenvatinib (12 mg, once daily for those with BW  $\geq$ 60 kg at baseline;  
233 8 mg, once daily for those with BW <60 kg at baseline). For patients with a risk factor, such as Child-  
234 Pugh class B or comorbidities, the initial dose of lenvatinib could be reduced from 12 mg to 8 mg or  
235 4 mg and from 8 mg to 4 mg. During treatment, clinicians could adjust the daily dose of lenvatinib,  
236 according to the severity of AEs. According to the guideline provided by the manufacturer, the drug  
237 dose was reduced or treatment was interrupted in patients who developed grade  $\geq$ 3 severe AEs or  
238 any unacceptable grade 2 drug-related AEs. AEs were assessed according to the National Cancer  
239 Institute Common Terminology Criteria for Adverse Events, version 4.0. Lenvatinib was continued  
240 until disease progression was diagnosed or uncontrollable AEs occurred, or if the patient decided  
241 that they did not wish to continue treatment. When HCC progression was observed after initial  
242 therapy, the most appropriate therapy was performed according to the clinical guidelines [26].

#### 243 4.3. Assessment and Follow-up

244 The therapeutic response was evaluated once every 6–8 weeks, according to the modified  
245 Response Evaluation Criteria in Solid Tumors (mRECIST), using dynamic computed tomography  
246 (CT) or magnetic resonance imaging (MRI) [27]. The hepatic reserve function was assessed using the  
247 Child-Pugh classification [28] and modified albumin-bilirubin grade (mALBI), as previously reported  
248 [29]. Patients with mALBI 1/2a were compared with those with mALBI 2b. HCC stage was  
249 determined according to the Barcelona Clinic Liver Cancer classification (BCLC) [24].

#### 250 4.4. The diagnosis and cut-off value of sarcopenia-related factors

251 As in our previous reports, skeletal muscle index (SMI) was calculated by dividing the skeletal  
252 muscles mass ( $\text{cm}^2$ ) by the square of the height ( $\text{cm}^2/\text{m}^2$ ) using abdominal CT performed within one  
253 month of the initiation Lenvatinib [14]. Grip strength (GS) was measured as an indicator of muscle  
254 strength, using a Smedley-type digital hand dynamometer (T.K.K.5401; Takei Scientific Instruments,  
255 Niigata, Japan) with the elbow straight in standing position. The maximal strength values of two  
256 trials for each hand were averaged for the analysis. GS was measured on the day of lenvatinib  
257 initiation. The cut-off values of sarcopenia-related factors were based on the Japan Society of  
258 Hepatology (JSH) guidelines for sarcopenia in liver disease [12]. Low muscle strength was defined as  
259 a GS of <26 kg and <18 kg in men and women, respectively. Low muscle volume was defined as an  
260 SMI <42  $\text{cm}^2/\text{m}^2$  and <38  $\text{cm}^2/\text{m}^2$  in men and women, respectively.

#### 261 4.5. Statistical analysis

262 Continuous variables are expressed as the median and range. Categorical variables are  
263 expressed in numbers and percentages (%). We used the Mann-Whitney U-test to analyze continuous  
264 variables and Fisher's exact test to analyze categorical variables. Overall survival (OS; time from  
265 lenvatinib treatment to any cause of death), progression-free survival (PFS; time from lenvatinib  
266 treatment to progression or any cause of death), post-progression survival (PPS; time from disease  
267 progression after lenvatinib treatment to death) and time to discontinuation due to AEs (time from  
268 the lenvatinib treatment to discontinuation due to AEs) were analyzed using the Kaplan-Meier

269 method, and the difference between the two groups was compared using the log-rank test. Factors  
 270 potentially associated with poor OS were assessed using univariate and multivariate Cox's  
 271 proportional hazards regression model. Factors with P-values of <0.1 in a univariate analysis were  
 272 included in the multivariate analysis. The following variables were included in a univariate analysis:  
 273 age, sex, mALBI grade, Child-Pugh class,  $\alpha$ -fetoprotein (AFP), des- $\gamma$ -carboxy prothrombin (DCP),  
 274 BCLC stage, reduced initial dose of lenvatinib, maximum tumor diameter, tumor number, vascular  
 275 invasion, extrahepatic metastasis, decreased GS and decreased SMI. All tests were two-sided. P  
 276 values of <0.05 were considered to indicate statistical significance. All statistical analyses were  
 277 performed using EZR (Saitama Medical Center, Jichi Medical University, Saitama, Japan), which is a  
 278 graphical user interface for the R software program (version 3.3.2, the R Foundation for statistical  
 279 computing, Vienna, Austria) [30].

## 280 5. Conclusions

281 In addition to a low hepatic functional reserve, low muscle strength was a poor prognostic factor  
 282 in u-HCC patients treated with lenvatinib.

283 **Supplementary Materials:** The following are available online at [www.mdpi.com/xxx/s1](http://www.mdpi.com/xxx/s1), Figure S1: title, Table  
 284 S1: title, Video S1: title.

285

	All Grade	Grade 3/4
Hypertension	22 (34.9 %)	5 (7.9 %)
Thyroid function abnormality	19 (30.2 %)	1 (1.6 %)
Fatigue	18 (28.6 %)	1 (1.6 %)
Urine protein	14 (22.2 %)	6 (9.5 %)
Diarrhea	12 (19.0 %)	1 (1.6 %)
HFSR	11 (17.5 %)	2 (3.2 %)
Appetite loss	11 (17.5 %)	2 (3.2 %)

286 Supporting file 1. Adverse events observed in more than 15% of the all patients (n=63)

287 HFSR, Hand-foot skin reaction

288

289

290

291 **Acknowledgments:** We would like to thank Koko Motodate for providing excellent secretarial support and  
 292 Japan Medical Communication for editing the English of this paper.

293 **Author Contributions:** Conceptualization, K.E. and H.K.; methodology, K.E., H.K. and T.O.; formal analysis,  
 294 K.E.; investigation, J.K., T.S., Y.F., T.A., H.S., Y.K. and K.S.; data curation, K.E.; writing-original draft preparation,  
 295 K.E.; writing-review and editing, H.K. and Y.T.; supervision, Y.T.; project administration, K.E., H.K. and T.O.;  
 296 All authors have read and agreed to the published version of the manuscript.

297 **Funding:** This research received no external funding.

298 **Conflicts of Interest:** The authors declare no conflict of interest.

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