

*Review*

# Recent advances in acoustic metamaterials for simultaneous sound attenuation and air ventilation performances

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**Abstract:** In the past two decades, acoustic metamaterials have garnered much attention owing to their unique functional characteristics, which is difficult to be found in naturally available materials. The acoustic metamaterials have demonstrated to exhibit excellent acoustical characteristics that paved a new pathway for researchers to develop effective solutions for a wide variety of multifunctional applications such as low-frequency sound attenuation, sound wave manipulation, energy harvesting, acoustic focusing, acoustic cloaking, biomedical acoustics, and topological acoustics. This review provides an update on the acoustic metamaterials' recent progress for simultaneous sound attenuation and air ventilation performances. Several variants of acoustic metamaterials, such as locally resonant structures, space-coiling, holey and labyrinthine metamaterials, and Fano resonant materials, are discussed briefly. Finally, the current challenges and future outlook in this emerging field is discussed as well.

**Keywords:** Acoustic metamaterials; sound attenuation; air ventilation; architectural acoustics

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## 1. Acoustic metamaterials: a brief overview

The concept of metamaterial research initially originates from electromagnetics, which is based upon the fundamental Maxwell's equations. Prof. Veselago proposed the first primary hypothesis related to metamaterials in 1968 that discussed the possibility of materials with simultaneously negative fundamental parameters, namely permittivity  $\epsilon$ , and permeability  $\mu$  for manipulating electromagnetic waves [1]. However, the term 'metamaterial' was first coined by R. M. Walser [2]. He defined metamaterials as "macroscopic composites having a manmade, three-dimensional, periodic cellular architecture designed to produce an optimized combination, not available in nature, of two or more responses to specific excitation."

The metamaterial research revolution started when Pendry et al. [3] theoretically demonstrated a decrease of the plasma frequency in a regular array of thin metallic wire microstructure in the GHz band. In 1999, for the first time, the concept was experimentally investigated in which negative permeability was realized from the copper etched 'split-ring' type resonators [4]. In 2001, Shelby et al. [5] experimentally demonstrated the wave propagation in negative-index media. The structure was constructed by stacking multiple copper split-ring resonators and wire strips into a periodic array on fiberglass circuit board material. They termed their fabricated structure as the 'left-handed' metamaterial. This finding was a milestone in the field of metamaterials. Following these research works, the field of metamaterials has been expanded in many areas like electromagnetics [6,7], optics [8-10], and acoustics [11-15].

The optics counterpart was termed as photonic crystals, while the acoustic counterpart was termed as phononic crystals. The photonic crystals possess effective medium characteristics like negative permittivity and permeability, and thus an effectively negative refractive index. The photonic crystals were first proposed in 1987 [16-18], for propagating the optical wave in refraction index-modulated periodic structures analogous to the propagation of electrons in real crystals. Since

then, the photonic crystals have shown some outstanding phenomena such as left-handed backward effects, negative refractions [19], inverse Doppler effects [20,21], inverse Cherenkov effects [22,23], and superlens [24].

In parallel with photonic crystals' evolutions, the phononic crystals were conceived with elastic waves propagating in periodic structures modulated with periodic elastic moduli and mass densities [25]. Phononic crystals are artificially engineered composite structures comprising of periodically distributed 'atoms' (single acoustic functional scatter) or inclusions in a matrix form. The high impedance contrast of elastic moduli and/or mass density of the scatterers and that of the host matrix can give rise to new acoustic dispersions and band structures due to the periodic Bragg scattering and localized Mie scatterings from the atoms or scatterers [26]. The propagation of acoustic waves in a phononic crystal is governed by the Bloch or Floquet theorem [27-29]. When a wave passes through a periodic lattice structure, constructive interference leads to band gaps that prevent wave propagation through the structure at specified frequencies. In this condition, all the energy of the incoming wave gets reflected in the source. Moreover, in case of destructive interference, all incoming wave energy is transmitted through the crystal, leading to the formation of propagation bands (passbands). The band gap formation occurs when the path differences between the interfering waves are equal to an integer multiple of the corresponding wavelength. The path difference is determined by the lattice parameter of the phononic crystal  $a$ , so for the occurrence of constructive interference the lattice parameter should be comparable to the wavelength of incoming waves [30]. It is possible to create a band gap of target frequency or wavelength by carefully tuning the unit cell size. Besides, the width of the band gap is directly related to the ratio of the mass densities and sound velocities in the different layers of the crystal. The width of the band gap increases with the increase of mass density contrast between the material of the scatterers and that of a host matrix [31]. Hence, in total, the elastic properties (mass density and elastic modulus), shape, location, and topology of the scatterers are the essential parameters for controlling the propagation of the acoustic/elastic waves in the structure [32].

Phononic crystals can be broadly classified into acoustic phononic crystals (APCs) with a fluid matrix, and elastic phononic crystals (EPCs) with a solid elastic matrix. Initially, the phononic band structures for two-dimensional lattices of solid cylinders in the solid background were theoretically calculated by Sigalas et al. [33], and Kushwaha et al. [25] in their separate research works. The first experimental realization of the full band gap through the phononic crystals was demonstrated by Espinosa et al. [34] by using a square lattice of cylindrical holes drilled in aluminum alloy plate, and Sánchez-Pérez et al. [35] by using a two-dimensional array of rigid cylinders. Since then, phononic crystals have shown several remarkable properties, such as band gaps, band-edge states, and slowing of sound speed.

Inspired by these concepts, Liu et al. [11] first demonstrated an artificial acoustic metamaterial for attenuation of the sound wave. The proposed metamaterial was composed of a matrix of silicone-coated metallic spheres embedded in epoxy (see Figure 1a). The sample has shown low-frequency resonances characterized by the relative motions of the metallic sphere and silicone rubber layer. Following this pioneering work, in the past two decades, various acoustic metamaterials have been presented that offered unprecedented abilities for the sound wave manipulation. Acoustic metamaterials are the artificially engineered composite structures designed for acoustic wave manipulations unprecedentedly [36]. Two distinct effective parameters, namely, effective mass density  $\rho$  and negative bulk modulus  $E$ , are associated with the acoustic metamaterials on an analogy with electromagnetism. These physical properties are not primarily dependent on the material constituents' intrinsic properties, but rather on the internal, and geometrical structures of metamaterials. Also, these negative effective acoustic properties are dynamic and dispersive. For a homogeneous medium and plane wave, the wave propagation vector can be defined as  $\mathbf{k} = |n| \frac{\omega}{c}$ , where  $n = \sqrt{\rho/E}$  is the refractive index of the medium,  $\omega$  is the angular frequency and  $c$  is the sound speed. If any of the effective parameters are negative (either  $\rho < 0$  or  $E < 0$ ), then the refractive index/phase velocity term becomes imaginary, thus the sound will not propagate through the medium. The sound wave propagation will occur only when  $\rho$  and  $E$  are both either

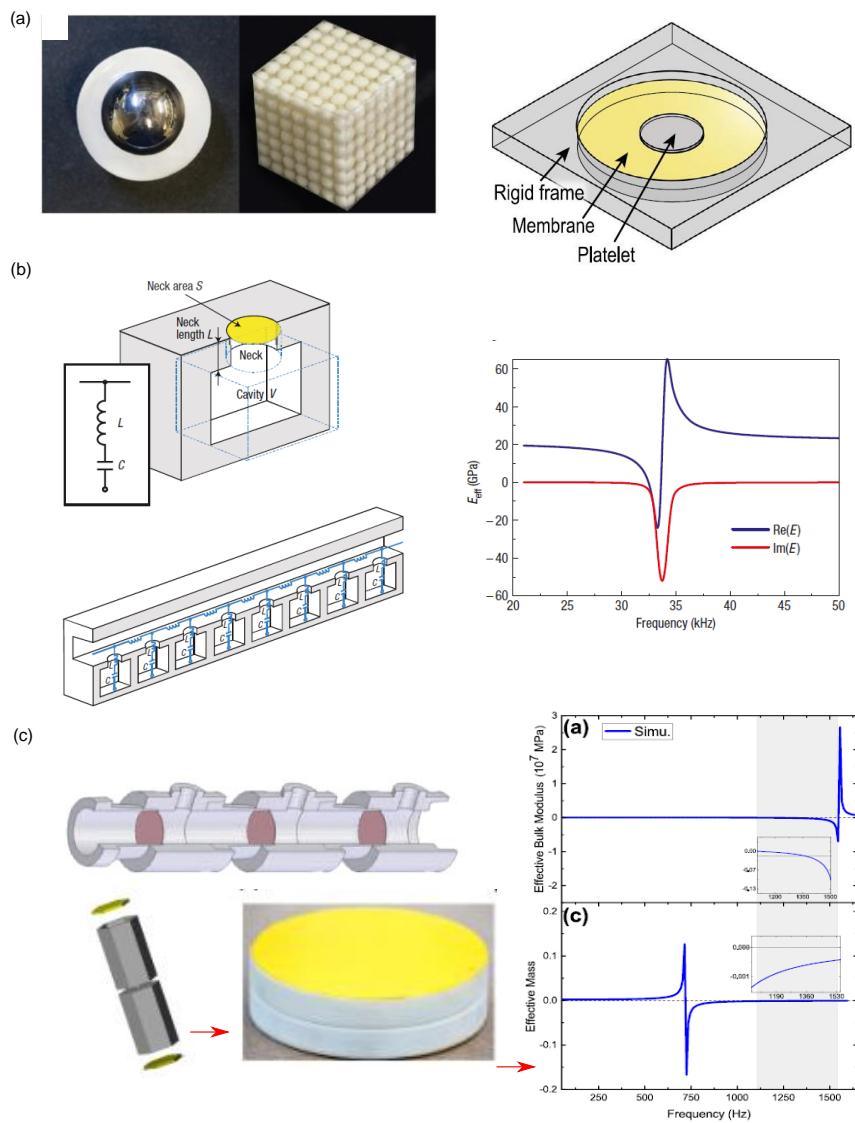
simultaneously negative or positive in a specific frequency band. Negative mass density implies that acceleration is out of phase (accelerates in a direction opposite to the external sound pressure) with the dynamic pressure gradient while, negative bulk modulus implies that volume change is out of phase (expansion of the medium upon compressive load) with applied dynamic pressure. These negative effective properties manifest when the metamaterial's resonances are strong enough so that the scattered field prevails over the background incident field. It has been shown that a monopolar resonance could result in a negative effective bulk modulus, and a dipolar resonance could result in a negative effective mass density at certain frequencies around respective resonant frequencies [37]. These anomalous behaviors are realized through the locally resonant constituent subwavelength unit cells of the metamaterials. This kind of structure is known as locally resonant metamaterials. The locally resonant acoustic metamaterials exhibit either one of them or both basic characteristics. Several theoretical formulations have been reported for the demonstration of negative mass density (spring-mass analogy) [38] and negative bulk modulus (Helmholtz resonance) [12,36,39].

For the realization of negative effective mass density, generally thin membranes with or without embedded mass have been used [40-42]. A thin membrane, generally made of soft materials such as natural rubber, latex or myler sheet, is suitable for responding against incoming acoustic pressure waves. Figure 1(a) shows the examples of two types of acoustic metamaterials (sonic crystals [11] and decorated membrane type metasurface [43]) designed for achieving the negative effective mass density. For the realization of negative effective bulk modulus, Helmholtz resonator has been employed. The resonance induced effective bulk modulus was first demonstrated by Fang et al. [44] by a waveguide, consisting of an array of subwavelength Helmholtz resonators with designed acoustic inductance and capacitance. Figure 1(b) shows the schematic description of Helmholtz resonator, waveguide shunted by daisy-chained Helmholtz resonators, and the effective bulk modulus spectra.

Moreover, the effective mass density and bulk modulus behaviors are associated with two distinct spatial symmetries of the particular resonances, and by overlapping the frequency-dependent response of these two symmetries, it is possible to realize double negativity. The design of these metamaterials usually involves the hybridization of two different kinds of subwavelength resonators [45-48]. The first successful experimental validation of double negativity had accomplished by Lee et al. [49] in 2010. The proposed acoustic composite structure consisted of a long hollow tube with multiple side holes separated by interspaced membranes fixed at fixed at the tube circumference (Figure 1c). The frequency dispersion in effective mass density was induced by the thin elastic membranes, while the shunted side holes induced the frequency dispersion in effective bulk modulus. Using their design, they achieved double negativity with a wide bandgap for frequencies lower than 450 Hz. Following this work, several strategies have been employed to achieve double negativity in several frequency ranges. Some examples for double negative acoustic metamaterials are: coupled membrane resonators [50], rubber-coated water cylinder embedded in a foam host [51], hollow steel tube meta-atom [52], Mie resonances of porous silicone rubber spheres [53], and ultraslow fluid-like unit cell with Mie resonance [54]. Apart from the single and double negativity types, a new type of metamaterial has been presented, called near-zero acoustic metamaterials. Sound propagates with an extremely large phase velocity in these kinds of media, leading to a quasi-static spatial distribution for time-harmonic fields [55-57].

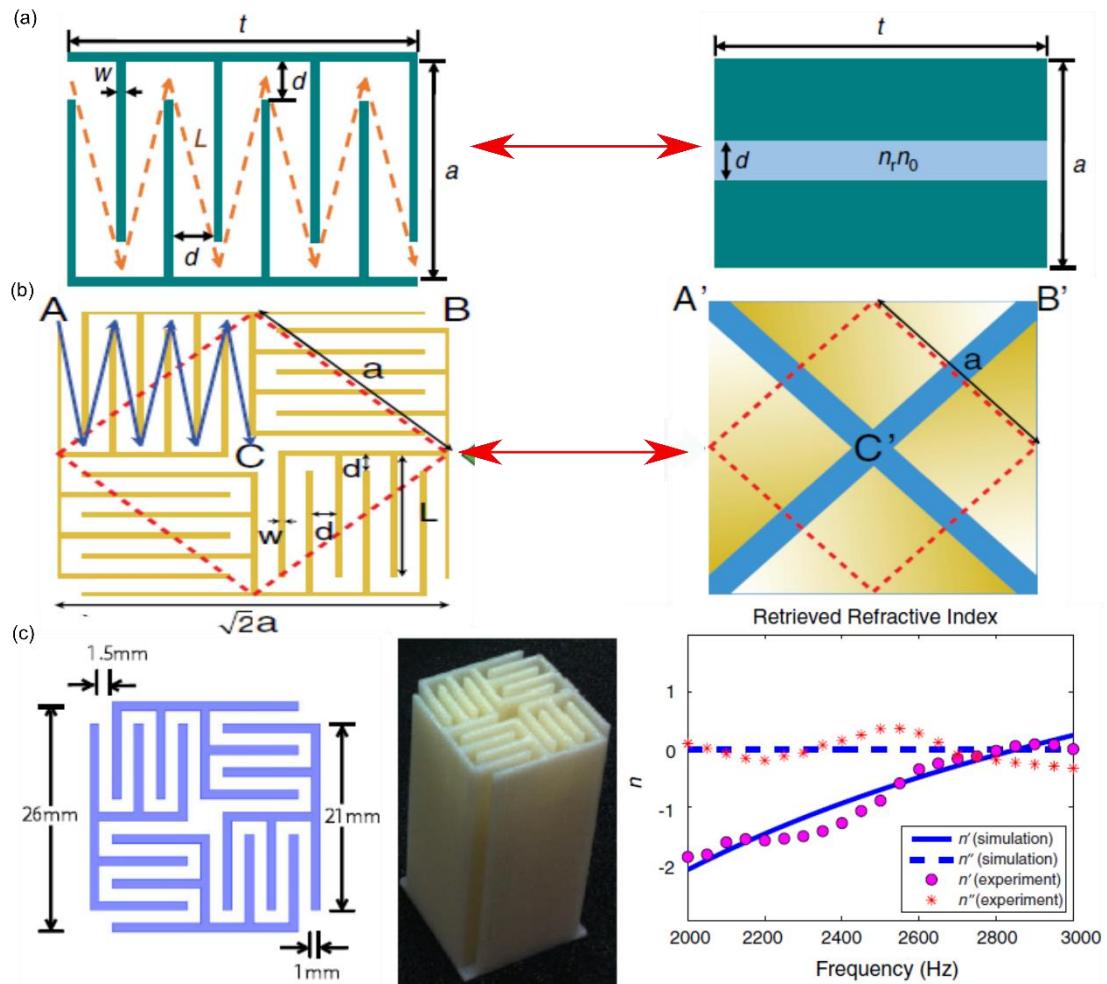
Although these resonant acoustic metamaterials have enabled advanced sound control, however, the resonant behaviors occur in a narrowband frequency range that substantially limits their application possibilities of meta-structures. In recent times, several designs have shown towards the broadening of bandwidth. The concept of acoustic metamaterials is not limited only to locally resonant materials or periodic structures. Several other types of acoustic metamaterials have been reported, such as coiling-up space type AMs [58,59], split-ring type AMs, topological acoustics [60], fractal acoustic metamaterials [61-65], helical-structured metamaterials [66,67], and acoustic metasurfaces [68,69]. For coiling-up space type acoustic metamaterials, the incident acoustic waves are confined in coiled subwavelength cross-section channels, thereby resulting in extraordinary acoustic properties such as double negativity and near-zero index to a high effective refractive index of unit cells [70,71]. Acoustic waves in fluids are longitudinal scalar waves. Hence, unlike

electromagnetic waves, acoustic waveguides have no cut off frequency and can be confined through the narrow channels at will. By coiling the acoustic waves, the sound propagation phase is delayed such that band folding with negative dispersion ( $\rho < 0$  and  $K < 0$ ) is compressed towards the long-wavelength regime. Each curled unit cell purposely leads to propagating the airflow in confined channels resulting in the phase delay along the elongated path and, consequently, a higher refractive index is realized. The phase delay is calculated as  $\Delta\phi = k_0 L_{eff}$ , where  $k_{eff}$  is the acoustic wave number and  $L_0$  is the effective channel length [12]. Recently, several types of space coiling structures have been demonstrated for low-frequency sound attenuation, such as co-planar spiral tubes [59], axially coupled circular tubes [72], coiled air chambers [73], spiral metasurface [74], and labyrinthine structures [75-77]. Figure 2(a-c) shows the various design examples of space coiling metamaterials.



**Figure 1.** Examples of resonant acoustic metamaterials. **(a)** Negative mass density ( $\rho < 0$ ): schematic illustrations of sonic crystals and decorated membrane type metasurface. Reprinted with permission from Ma et al. [12], ©2016 Author(s) under a Creative Commons Attribution Non Commercial License 4.0 (CC BY-NC), and Yang et al. [43], ©2015 Académie des sciences, respectively. **(b)** Negative effective bulk modulus ( $E < 0$ ): Helmholtz resonator unit cell, consisting of a rectangular cavity and a cylindrical neck, filled with water. Reprinted with permission from Fang et al. [44], ©2006 Nature Publishing Group. **(c)** Double negativity ( $\rho < 0, E < 0$ ): The composite structure consisting of

interspaced membranes and side holes. Reprinted with permission from Lee et al. [49], © 2010 American Physical Society, Double membrane-hexagonal cavity and the dual negative spectra. Reprinted with permission from Kumar et al. [78], © 2018 AIP Publishing LLC.



**Figure 2.** (a) Schematic illustrations of the traditional labyrinthine-type space-coiling metamaterial unit cell with the overall length of  $t$  and overall width of  $a$ . Also,  $d$  and  $w$  are channel width and coil's wall thickness respectively, and  $L$  is the acoustic wave trajectory (represented by dashed line) length within each coil. The equivalent model featuring a single straight channel filled with medium of different refractive index. The relative refractive index can be expressed as  $n_r = L_{eff}/t$ , where  $L_{eff} = N \times L$  is the effective total channel length. Here  $N$  denotes the number of coils. Reprinted with permission from Ghaffarivardavagh et al. [79], licensed under a Creative Commons Attribution 4.0 International License. (b) Schematic diagram of an individual unit of the coiling-up-space metastructure consists of thin plates of length  $L$  and thickness  $d$  arranging in folded channels of width  $d$  and lattice constant  $a$ . The zigzag blue arrows denote a path of waves in the second quadrant inside curled channels—the simplified view with straightened channels ("X"-shaped region). Reprinted with permission from Liang and Li [70], © 2012 American Physical Society. (c) Designed labyrinthine unit cell, the photograph of a fabricated unit cell, and the obtained refractive index of the medium. Reprinted with permission from Xie et al. [80], © 2013 American Physical Society.

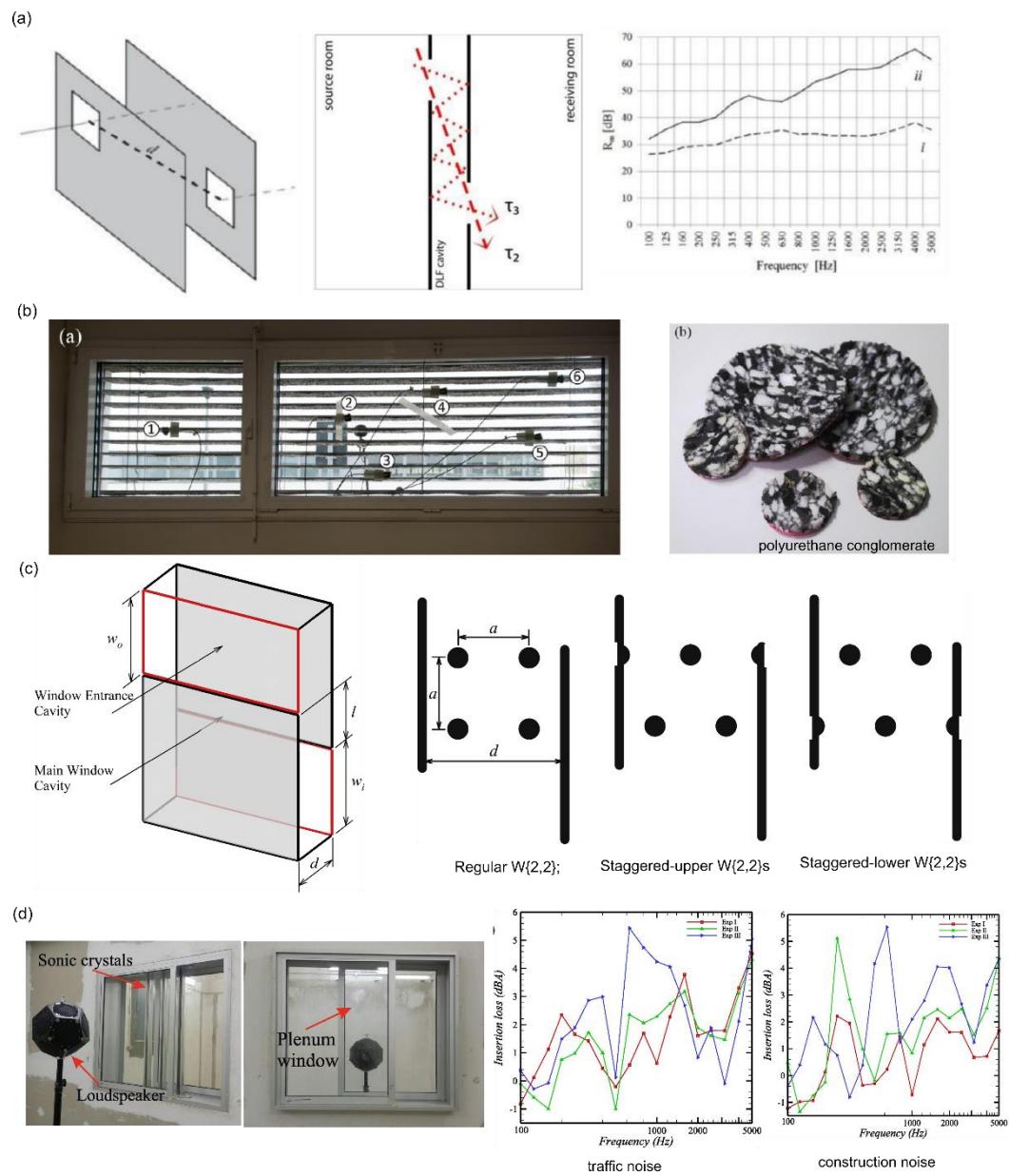
## 2. Recent applications of acoustic metamaterials for sound attenuation and ventilation

### 2.1 Acoustic façade systems

Researchers have demonstrated several unique design structures for the simultaneous noise attenuation and ventilation. Some traditional technologies like double-leaf facades and louvers are quite popular among architects and widely been used in several places such as buildings (windows, doors, and partitions), heavy machinery cabins, and stations. The conceptual design of the double-skin façade was first proposed and tested by the Swiss-French architect *Le Corbusier* in the early 20<sup>th</sup> century [81]. He set an architectural principle popularly known as “the five points of a new architecture.” Initially, the double-skin façade design was developed for solar heat comfort for his villa. While the concept of these designs is not new, there is a growing interest among designers, engineers, and architects because of their specific characteristics of lightweight, easy installation, allow clear outer views and natural light, improved thermal and acoustic insulation, provide natural ventilation and fresh air circulation. In recent times, double-leaf facades have become favorite as transparent window panels in skyscraper buildings. The multiple walls facades windows have now been designed and customized for attaining simultaneous noise insulation and natural ventilation. Bajraktari et al. [82] investigated the acoustical performance of double-leaf façades. The structure consisted of two face sheets, namely primary and secondary facades, with the ventilated openings. These face sheet openings were positioned at particular locations so that the incoming sound and the air entered from the source side and circulated in the intermediate cavity before passing to the other side of the façade. Figure 3(a) shows the arrangement of a double-leaf façade system. The sound reduction index of 27 to 35 dB was achieved from these double facades systems. Such high sound insulation was achieved due to the frictional resistance offered by the ventilated openings, and the impedance mismatch resulted from the air cavity between face sheets. Moreover, by carefully adjusting the location of window openings and the spacing between the face sheets, the sound insulation performance can be tuned. Zuccherini Martello et al. [83] modified the traditional building façade system for the enhancement of acoustical performances. A thin layer of sound absorbing material was stacked underneath the metal lightweight louvers and investigated their sound reduction performance. Figure 3(b) shows the photographs of the installed louvers installed for the noise measurement and the absorptive material (polyurethane conglomerate) that was used with louvers.

A modified design of double-glazing façade systems known as the plenum windows panels have also shown the capabilities for noise insulation (especially the traffic noise) with proper ventilation for light and air in high-rise buildings. The plenum window panels consist of at least two face sheets with the staggered inlet and outlet openings. These are designed in the zigzag configuration to configuration to block the direct sound path from the outdoor to the indoor environment while allowing natural ventilation across it. Several researchers, including our research groups, have made significant progress in this regard [84,85]. Tang [86] investigated the sound transmission loss performances of plenum windows. A standard double-leaf façade window was modified by installing rigid circular cylinder arrays into the air gap cavity. Figure 3(c) illustrates the schematics of the plenum window and different schemes of cylindrical arrays orientations. The cylinder positions were relative to the nodal/anti-nodal planes of the incoming acoustic modes, resulting in an overall improvement of sound transmission loss values of around 4-5 dB than the standard plenum window panels. However, installing such rigid cylinders in the air cavity may obstruct the airflow. Recently, Li et al. [87] attempted to establish simple empirical models for predicting transmission loss performance across plenum windows. A total of fourteen full-scale plenum windows were included in the study, and their parametric analysis was performed. The model was validated through the ensuing experiments. Lee et al. [88] incorporated sonic crystals with the existing standard plenum windows and their acoustical performance was investigated. Figure 3(d) shows the experimental reverberation room set-up of the plenum windows with two different settings. The dimensions of the sonic crystals had selected with the target central frequency of 1000 Hz and resonant frequency of 1164 Hz. It was revealed that the incorporation of sonic crystals with

the plenum window panels resulted in additional attenuation of 4.2 dBA and 2.1 dBA of traffic and construction noises, respectively, at 1000 Hz. Although sonic crystals have proven to be a good acoustic barrier for the traffic and construction noises, but these structures require larger space for their installations.



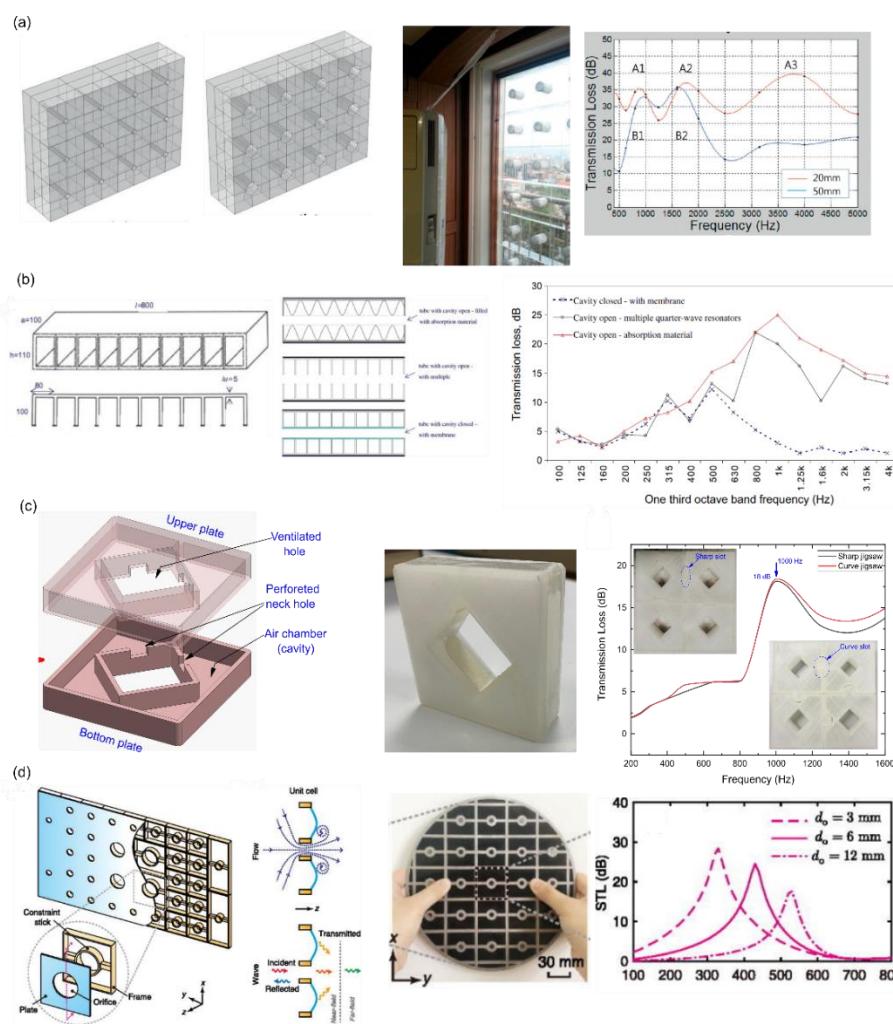
**Figure 3.** (a) Schematic illustrations of the double-layered façade system with openings, and the measured sound reduction index ( $R_m$ ) of the experimental wall (i: one layer fully closed and one fully open; ii: both layers fully closed). Reprinted with permission from Bajraktari et al. [82], © 2015 Elsevier Ltd. Licensed under the CC BY-NC-ND license. (b) Photographs measurement set-up of the installed glazed surface and the absorptive material (polyurethane conglomerate) used with louvers. Reprinted with permission from Zuccherini Martello et al. [83], © 2015 by the authors; licensee MDPI, Basel, Switzerland. (c) Schematics of a plenum window unit and the orientations of cylinder arrays relative to plenum window cavity; regular, staggered-upper, staggered-lower windows. Reprinted with permission from Tang [86], © 2017 Elsevier Ltd. (d) Experimental reverberation room set-up of the plenum windows with two different settings, and the measured insertion loss spectra under the traffic noise and construction noises. Reprinted with permission from Lee et al. [88], © 2020 Elsevier Ltd.

## 2.2 Helmholtz resonators

Helmholtz resonators are conventional devices, which have been widely used for the enhancement of acoustic wave energy absorption. A typical Helmholtz resonator consists of a known volume rigid wall air cavity and a narrow neck. The fluid present in the neck area acts approximately as a mass, whereas the compressible fluid in the rigid cavity acts as a spring. It can be considered as a spring-mass system. When sound pressure waves enter the neck, it displaces the air down to the neck, causing the air inside the cavity to be compressed. At the resonant frequency, the compressed air inside the cavity propels against the incoming sound wave (equivalent to spring displacement in the spring-mass system), thus realizing a dynamic response from the system known as the effective negative bulk modulus. The resonant frequency of the Helmholtz resonator is given as  $f_h = \frac{c}{2\pi} \sqrt{\frac{A}{VL_{eff}}}$ , where  $c$  is the speed of sound in the air,  $A$  is neck cross-sectional area,  $L_{eff}$  is the corrected neck length, and  $V$  is the volume of the cavity. Also, the effective bulk modulus, which is the ratio of pressure drop in the neck to the change in volume in the cavity can be calculated from following relation[44];  $E_{eff}(\omega) = E_0 \left[ 1 - \frac{F \omega_h^2}{\omega^2 - \omega_h^2 + i\Gamma\omega} \right]^{-1}$ , where  $E_0$  is the bulk modulus of the air,  $F$  is the geometrical factor, and  $\Gamma$  is the dissipation loss factor. In the past two decades, Helmholtz resonator's concept has been widely incorporated in the development of acoustic metamaterials.

Kim and Lee [89] presented a prototype of air transparent soundproof window panels. As shown in Figure 4(a), the structure consisted of a three-dimensional array of robust diffraction-type resonators with individual central holes with subwavelength diameter. While the resonator and the central hole together resulted in the effective negative bulk modulus at a particular frequency, and at the same time, the central though holes paved the way for air ventilation. Using the design concept, the average sound reduction was achieved about 20-35 dB in the frequency range of 400 Hz-5000 Hz. The measured transmission loss spectra showed a reduction in sound wave amplitudes because of the realization of the negative effective bulk modulus. Wang et al. [90] investigated the acoustical performances of multiple ventilated window panels with quarter-wave resonators and membrane absorber. Figure 4(b) illustrated the proposed designs. For the efficient transmission of the environmental light into the buildings, the transparent plexiglass plastic sheet was used to fabricate these acoustic silencers. The high transmission loss of 10–22 dB from the designed acoustic window panels was achieved in a 500 Hz–4000 Hz frequency range. Also, the outlet airflow velocity of ventilated window design was found to be higher than that of a standard open window. Yu et al. [91] performed theoretical investigations on sound insulation performance of the proposed planer acoustic metasurface. The structure design was an array of multiple unit cells and each unit cell consisted of a central air duct with periodic sub-wavelength resonators. A finite element based theoretical and numerical approach was used to evaluate the sound characteristics in the frequency range from 125 Hz to 2000 Hz. Jung et al. [92] demonstrated an acoustic metamaterial panel for both fluid passage and broadband soundproofing in the audible frequency range of 2000 Hz to 4000 Hz. The unit cell of the panel consisted of a through circular duct enclosed with multiple annular circumferential cavities. The circular hole made a provision for continuous fluid passage while the equidistant annular cavities were attributed to the noise reduction because of their Helmholtz resonance effects. More recently, Kumar et al. [93] presented a ventilated acoustic metamaterial window panels for simultaneous noise shielding and air ventilation. The metamaterial unit cell was composed of a square-shaped central ventilated hole, and a Helmholtz chamber with two squared shaped neck on the inner sidewall (Figure 4c). The experimental results showed the proposed design's abilities to achieve significant narrowband noise attenuation in the low-to-mid frequency range while providing substantial ventilated space for air passage. They also presented a proof-of-concept for scaling-up the small unit cell for large-space noise insulation. A simple jigsaw puzzle-like assembly technique was demonstrated to interconnect the multiple unit cells, which led to the

production of an integrated acoustic architecture without further adhesives. Wang et al. [94] experimentally investigated the acoustic performances of a perforated and constrained acoustic metamaterial (PCAM). The presented structure, eventually a part of the plate-type acoustic metamaterial category, composed of the perforated plate and a rigid supporting frame. The rigid frame was composed of multiple unit cells, and each unit cell contained an orifice at the center, allowing for air circulation. The top thin perforated plate was stacked over the rigid frame in the final structure. **Figure 4(d)** shows the illustrative configuration of the perforated and constrained acoustic metamaterial (PCAM). The results revealed the ability of sound insulation of tonal noises in low-frequency noise regime (<500 Hz). The metamaterial possessed high transmission loss performance with a maximum transmission loss peak of ~25 dB at 430 Hz and a large perforation ratio. Such high noise insulation originates from sufficient interferences between the two wavefields at the orifice and the plate regions.



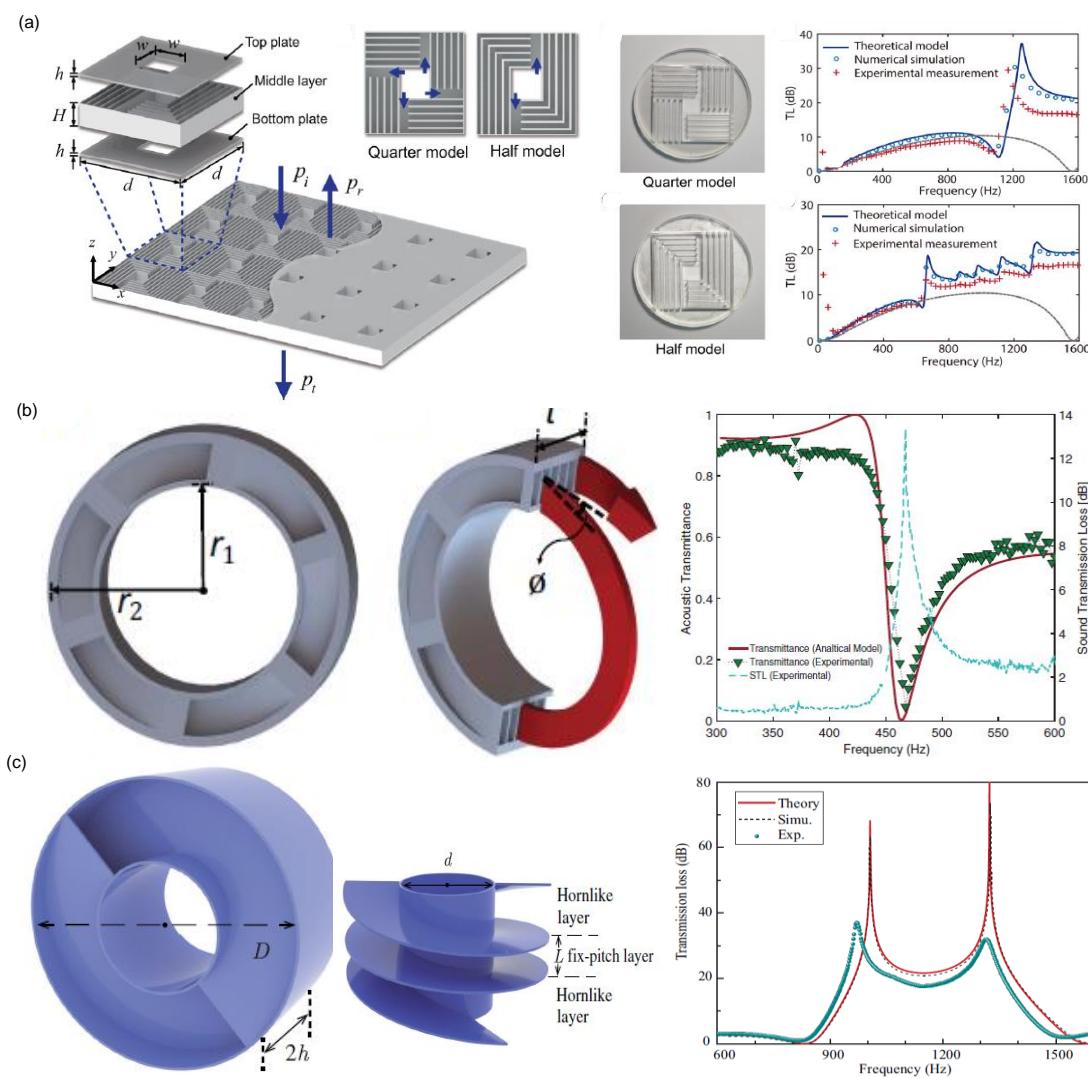
**Figure 4.** **(a)** Schematics of proposed air transparent acoustic window panels (consisting of transformed resonators and air filters with the hole diameters of 20 and 50 mm. The dimension of each cell was made of  $150 \text{ mm} \times 150 \text{ mm} \times 40 \text{ mm}$  and a thickness of 5 mm of transparent acrylic sheet). Photographs of the installed window panel in building and their measured transmission loss spectra which was about 30–35 dB in the range of 400–5000 Hz with 20 mm window and about 20–35 dB in the range of 700–2200 Hz with 50 mm window. Reprinted with permission from Kim and Lee [89], ©2014 Author(s), licensed under a Creative Commons Attribution 3.0 License. **(b)** 3D-view and top-view of the multiple quarter-wave resonators. Measured transmission loss spectra of three types of silencers. Reprinted with permission from Wang et al. [90], ©2013 Elsevier Ltd. **(c)** Schematic details of ventilated metamaterial unit cell composed of two neck and single chamber. A maximum transmission loss peak of around 18 dB achieved from the proposed design. Reprinted with permission from Kumar et al. [93], ©2019 Elsevier Ltd. **(d)** Typical configuration of the perforated

and constrained acoustic metamaterial and the measured transmission loss spectra. Reprinted with permission from Wang et al. [94], ©2019 AIP Publishing LLC.

### 2.3 Noise attenuation through coiling-up-space

Space-coiling metastructures contain acoustic channels with subwavelength dimensions in the confined space. Various designs like labyrinthine, spiral, curved, folded chains, and helix have been utilized for the development of these metastructures. The unusual acoustic properties like refractive index have resulted from these engineered structures capable of effectively slowing down the sound speed and reducing sound intensity because of frictional resistance offered at the air-channel wall interface. When the sound wave enters into the metastructure, it propagates along the coiled path, which is considerably longer than the structure's physical dimensions (see **Figure 5**). The longer path length allows the modulation of reflected sound waves and the reflected phase shift to be customized within the full  $0$ – $2\pi$  range. The concept of space coiling has been utilized in many applications such as lens, waveguides, and absorbers. Recently, Yang et al. [95] presented a holey acoustic metamaterial panel for low frequency sound attenuation. The unit cell of the designed metastructure consisted of two adequately spaced thin panels with a square hole at the center, and a specifically designed rigid partition inserted between the holey panels. As illustrated in **Figure 5(a)**, two different variants of partitions namely 'quarter model' and 'half model' were used in the experimental investigations. Each type of partition model was equipped with a central square opening and the slotted 'L-shape' waveguides. While the central hole in the metamaterial panel allowed the uninterrupted fluid flow, the rigid partition structure was attributed for the noise insulation by slowing the incoming sound wave speed into the subwavelength gap between L-shaped slots. The results suggested the broadband transmission loss from 1400 Hz to 2500 Hz with a transmission loss peak of around 30 dB in case of quarter model metapanel. Also, the enhanced transmission loss bandwidth for half-model unit cell was observed in relatively low frequency range between 730 Hz to 1350 Hz with a maximum transmission loss peak of around 18 dB. Such efficient soundproofing properties were obtained from the thin metapanel of thickness around 18 mm. Zhang et al. [96] realized a ventilated omnidirectional acoustic barrier. The metasurface was composed of multiple arrays of two different unit cells; first, labyrinthine structure based coiled unit cell for sound shielding and second, a hollow pipe providing continuous fluid ventilation. The labyrinthine based coiling up structure enables sound propagation with a phase delay of  $2\pi$  within a sub-wavelength domain, resulting in a high sound transmission loss. Yu et al. [97] demonstrated the acoustical performances of the acoustic metamaterial grating. The metamaterial unit cell consisted of an open channel patterned with an array of space-coiling resonators intended to attenuate sound transmission using its acoustic stop-band. The subwavelength size of coiled resonators allowed the sound attenuation in the low-frequency band. The results obtained from the structures showed their potential for using as the ventilated acoustic barriers. Ghaffarivardavagh et al. [98] demonstrated the ultra-open (i.e., ventilated) acoustic metasurface for silencing low-frequency noise. The ring-shaped metasurface unit cell consisted of helical structure in the periphery and a completely open area at the central portion, which was equivalent to 60 % of the total cross-sectional area of the metamaterials. **Figure 5(b)** shows the schematic illustration of the proposed metastructure. The central open area yielded a high degree of air transport while the circumferential helical cavities attributed to the noise silencing. The reduction in the incoming acoustical energy at targeted frequencies resulted from the acoustic refractive index mismatch between open area (air) and the helical structure. The helical structure of multiple helix channels in transverse orientation provided the extended path length of the acoustic

wave, which resulted in a broad effective refractive index in the region. The cross-sectional area of the helix channels was kept smaller than the waveguide, which yielded a significant acoustic impedance mismatch. As shown in **Figure 5(b)**, the designed acoustic metamaterial was able to reduce the transmitted acoustic energy of up to 94% in the low-frequency regime (~460 Hz). Sun et al. [99] demonstrated the acoustic ventilation barriers of planer-profile with subwavelength thickness for the sound barrier in a broad range. **Figure 5(c)** shows the schematics of a helical meta-unit cell. The structure was composed of two parts: the central hollow-out orifice and a surrounding helix pathway with varying pitch. The proposed hornlike helical metasurface achieved simultaneous broadband sound reduction and significant airflow passage. The broadband sound insulation was realized between the monopolar, and the dipolar mode responses originated from the helix structure. The frequency range of these responses can be adjusted by tuning the helical pathway's pitch. The hornlike helical pathways provided the excellent flexibility for tailoring acoustic waves, and their monopolar and dipolar modes responses resulted in high noise insulation of around 90% of incident energy in the range of 900–1418 Hz.

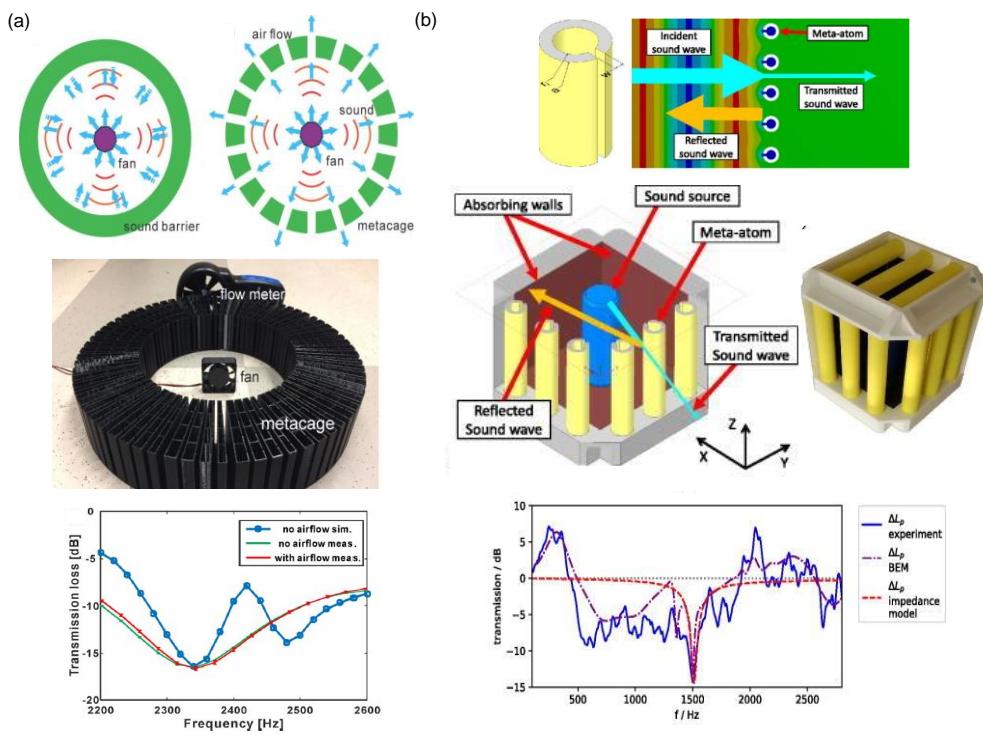


**Figure 5.** (a) Schematic diagram of the Slow-wave metamaterial open panel composed of periodically repeated subwavelength unit cells. Photographs of 3D printed samples (12 mm thickness) and their corresponding transmission loss spectra. Reprinted with permission from Yang et al. [95], ©2018 AIP Publishing LLC. (b) Schematics of the ultra-open metamaterials composed of the central open region and the transverse bilayer structure, featuring six channels coiled in the helix form. The simulated (solid red line) and experimental (dotted green line) acoustic transmittance spectra with measured sound transmission loss spectrum (dashed blue line). Reprinted with permission from

Ghaffarivardavagh et al. [98], © 2019 American Physical Society. (c) Schematics of a helical meta-unit cell composed of two parts: the central hollow-out orifice and a surrounding helix, and transmission loss spectra of helical meta unit, theoretical (red line), simulated (black dashed line), and measured (green dots). Reprinted with permission from Sun et al. [99], © 2020 American Physical Society.

#### 2.4 Acoustic metacage

Acoustic metacage refers to the shielding of noise either by installing the acoustic metamaterials-made cage around the source or the receiver. If the sound originates from the confined source, then it is always recommended to install the acoustic metastructure around it. Recently, Shen et al. [100] presented a unique design of ventilated acoustic metacage for sound shielding and steady fluid flow. The circular shape structure was designed based on an acoustic gradient-index metasurface composed of open tapered channels and shunted Helmholtz resonators. **Figure 6(a)** shows the schematic illustrations of ventilated metacage and photograph of the 3D printed metacage. The dimensions of the unit cell were tailored to ensure that the supercell covers a complete  $2\pi$  phase delay. The structure provided almost the uniform sound shielding from all the incident angles. The fabricated metacage had the capability of achieving a sound transmission loss of around 10 dB within the frequency range from 2.2 to 2.6 kHz with a simultaneous fluid flow of velocity 1 m/s. Also, no significant change in sound performance was observed under the fluid flow. Because the fluid flow rate (1 m/s) was much smaller than the sound speed in air (343 m/s). Recently Melnikov et al. [101] demonstrated the capabilities of acoustic metamaterials for the reduction of stage machinery noise. The three-dimensional meta-capsule design prototype was used to investigate the acoustical performance of the stage machine component. The metamaterial cuboid capsule was composed of an array of equidistant C-shape meta-atoms in three sidewalls, and the absorbing pads in two sidewalls and a rigid bottom plate. The schematic diagrams of C-shape meta-atom unit cell and the integration strategy for noise shielding are shown in **Figure 6(b)**. The C-shape hollow tubes can be equivalent to the Helmholtz resonators, are accountable for the noise attenuation, while the air gap between two meta-atoms allowed the unrestricted airflow for cooling the machine components.



**Figure 6.** (a) Schematics of the proposed acoustic metacage design. Reprinted with permission from Shen et al. [100], © 2018 AIP Publishing LLC. (b) Schematic representations of the c-shape meta-atom unit cells, proposed meta-capsule prototype concept: the sound wave is redirected by the meta-grating to the absorbing walls, photograph of manufactured meta-capsule, and the transmission spectra of the meta-capsule (simulated and experimental). Reprinted with permission from Melnikov et al. [101], licensed under a Creative Commons Attribution 4.0 International License.

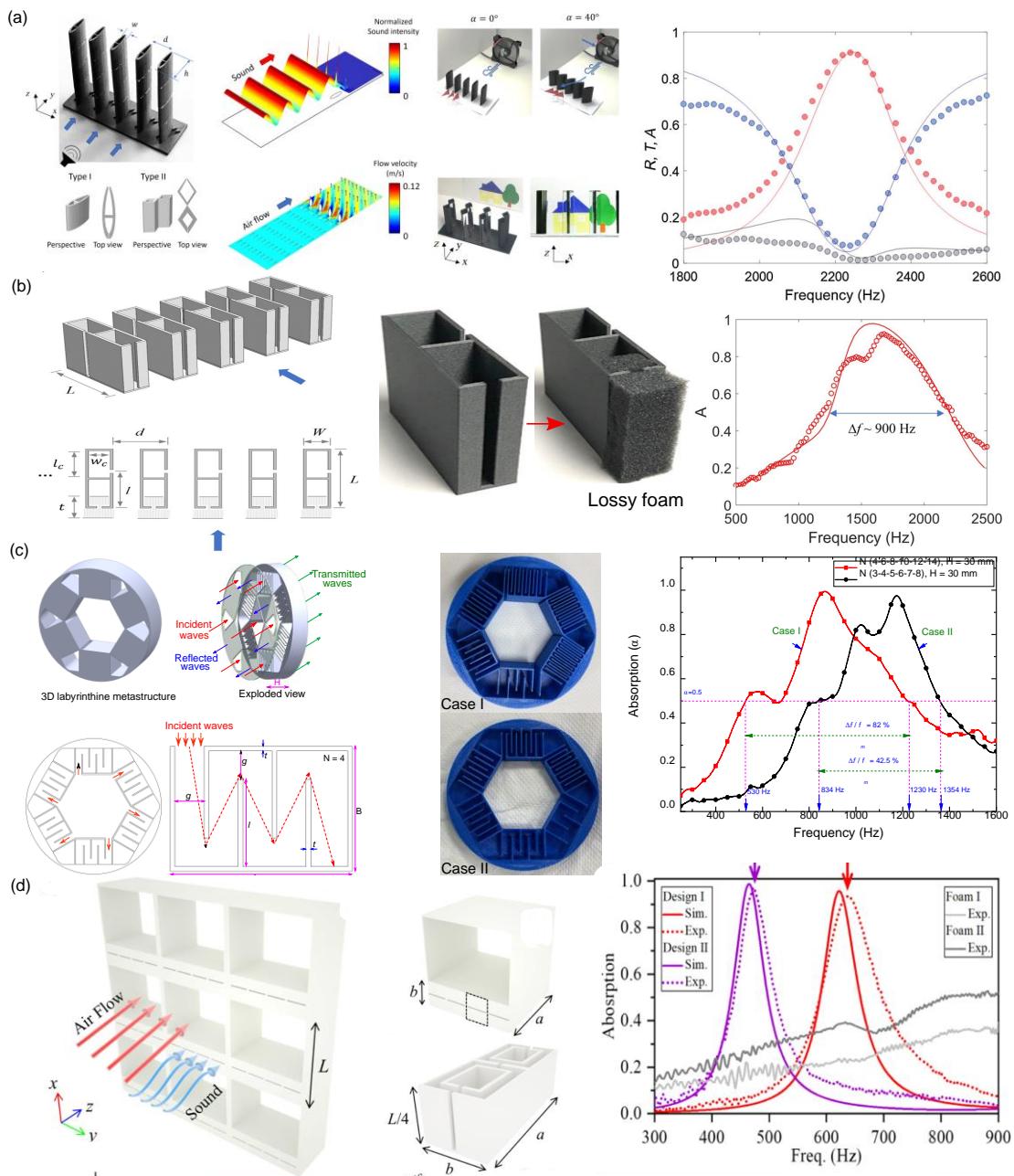
## 2.5 Acoustic meta-absorbers

The acoustic meta-absorber is a special kind of acoustic metamaterial capable of providing high sound absorption in a targeted frequency range. The low-frequency sound absorption of the metastructures has mainly achieved by employing the concept of impedance matching which enhances coupling of the incident acoustic energy with the absorbers [12]. The acoustic impedance matching with air implies no reflection from the sound-absorbing metastructure, ensuring the maximum absorption of sound energy inside the structure. Since the inception of acoustic metamaterial concepts, a variety of meta-absorbers with subwavelength-scale structures have been realized. These structures include decorated membrane resonators, hybrid resonators, degenerate resonators, coiled Fabry-Perot, coherent perfect absorption, and Helmholtz resonators. For a more detailed description of acoustic metamaterials' sound-absorbing fundamentals, one can follow the previously published review article by Yang and Sheng [102]. The effectiveness and simplicity of the acoustic meta-absorbers have resulted in gaining considerable attention. In recent years several acoustic metastructures have been presented for the sound absorption and airflow. Lee et al. [103] presented the absorption-type metamaterials with an ultrahigh sparsity. The sparse acoustic absorber had composed of a periodic array of two-sided Helmholtz resonators (HRs) that permitted fluid flow and light propagation while insulating the incoming sound. They demonstrated two different types of sparse absorber design: fish shape and double-diamond shape for the simultaneous fluid flow manipulation and optical cloaking, respectively, along with the absorption of sounds. **Figure 7(a)** shows the photographs of 3D-printed sparsely arranged acoustic absorbers of both types. They experimentally realized that the sparse absorber array exceeded 90% absorption at the resonance frequency of 2200 Hz with a volume-filling ratio of approximately 26%. Also, the ultrasparse acoustic

absorber had shown the excellent tunability for the air-flow-direction control and optical cloaking. A similar kind of sparse absorber has also been demonstrated by Lee et al. [104]. As illustrated in **Figure 7(b)**, the meta-absorber consisted of an array of dual resonance unit cells. Each unit cell consisted of two resonators. The upstream resonator partially filled with foams, and the downstream resonator has an empty hollow cavity with a neck. The dimensions of these both resonators had chosen for the constant operating resonant frequency. The experimental results showed a high absorption bandwidth of 900 Hz obtained from the proposed metamaterials. Such a high bandwidth was obtained due to the addition of loss media, i.e., foams in sparse absorbers' design.

These design prototypes had shown efficient sound absorption capabilities in the higher frequency range. The low-frequency sound absorption while maintaining efficient air ventilation remained a challenge. In recent years, few designs have been demonstrated in this regard. Li et al. [105] demonstrated broadband and compact acoustic absorber with high-efficiency ventilation performance. The metastructure had constructed with eight-unit cells arranged in the square periphery that resulted in a large central open space for air ventilation. The ratio between the ventilated area and overall cross-sections was kept around 70%. The individual unit cell consisted of double-layered metastructure perforated with arrays of deep-subwavelength micro-perforations on two opposite sides. The multiple perforation size holes were used for the designated target frequencies. The results reported the average absorption coefficient above 0.5 in the frequency range of 850 to 1000 Hz.

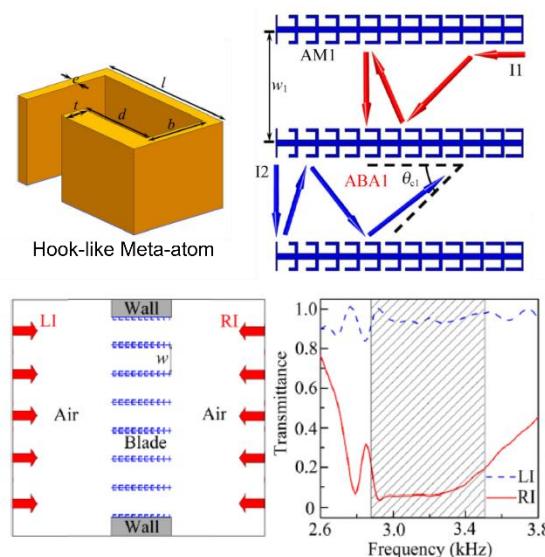
Keeping the aim for the low-frequency sound absorption, Wu et al. [106] demonstrated highly efficient ventilated acoustic metamaterial absorber (VMA) at a low-frequency regime (< 500 Hz). The VMA was composed of multiple layers of absorption unit cells. Each absorber unit was comprised of two identical but oppositely oriented split tube resonators. The high-efficiency sound absorption (>90%) had been achieved by using two layers of the absorber units. Such a high absorption was realized due to the weak coupling of two identical split tube resonators. Also, the gap between the two absorber units provided for ventilation purposes. Kumar and Lee [107] demonstrated the labyrinthine acoustic metastructures for broadband sound absorption and efficient ventilation. As shown in **Figure 7(c)**, the metastructure had orchestrated six labyrinthine unit cells of different configurations in a hexagonal array. The subwavelength metastructure exhibited a superior sound absorption with a high bandwidth (more than one octave in the range of 400–1400 Hz). Such a broadband absorption was achieved by the dissipation of incident sound waves between the labyrinthine zigzag channels while the central opening facilitated natural ventilation. The acoustic performance of the presented acoustic metastructures can be customized as per the requirements. More recently, Xiang et al. [108] demonstrated an ultra-open ventilated metamaterial absorber (UVMA). The absorber had comprised of weakly coupled split-tube resonators, which resulted in a simultaneous high-efficiency absorption and ventilation. **Figure 7(d)** shows the schematic of the UVMA units arranged in a rectangular lattice. The realization of high broadband sound absorption through the meta-absorbers resulted from the small coupling between the two split-tube resonators, which led to the merging of the resonance peaks of the symmetric and anti-symmetric modes.



**Figure 7.** **(a)** Photographs of the multifunctional sparsely arranged acoustic absorbers (Type I. fish shape, Type II. double-diamond shape). Corresponding measured acoustic spectra (symbols) for the HR pair array. Reprinted with permission from Lee et al. [103], © 2019 American Physical Society. **(b)** Schematics and photographs of the broadband sparse absorbers composed of dual-resonance Helmholtz resonators and measured absorption spectra of the sparse absorbers (right side). Reprinted with permission from Lee et al. [104], licensed under a Creative Commons Attribution 4.0 International License. **(c)** Schematic representation of the labyrinthine acoustic metasurface with an exploded view. The photographs of 3D printed samples with two different design types and their corresponding experimental results of broadband sound absorption spectra (right side). Reprinted with permission from Kumar and Lee [107], © 2020 AIP Publishing LLC. **(d)** Schematic of the UVMA units were arranged in a rectangular lattice and close-up views of single supercells comprised of four UVMA units. Simulated (colored solid lines) and experimentally measured (dotted lines) absorption spectra of different designs. Gray solid lines represented the measured absorption spectra of melamine foams. Reprinted with permission from Xiang et al. [108], ©2020 Elsevier Ltd.

## 2.6 Omnidirectional/unidirectional sound insulators

In recent times, acoustic metamaterials have been employed for the uni/omnidirectional sound wave propagation. Based on these design concepts, the acoustic waveguide can also be designed whose transmission property can be freely switched between all possible directions. These unique concepts have great potentials for their utilizations in the development of ventilated acoustic window panels. Ge et al. [109] demonstrated the unidirectional and omnidirectional acoustic insulation through an open window structure with a metasurface of ultrathin hook-like meta-atoms (**Figure 8**). The acoustic metasurface design also had capable of the switchable acoustic wave insulation directions [110]. The omnidirectional acoustic insulation could be switched 'on' or 'off' by adjusting the distance between two adjacent blades.



**Figure 8.** (a) Schematics of a hook-like meta-atom, acoustic propagation paths through the open windows with left incidence LI and right incidence RI, and corresponding transmission spectra. Reprinted with permission from Ge et al. [109,110], ©2018 AIP Publishing LLC and ©2019 American Physical Society, respectively.

## 3. Outlook

The last decade has witnessed tremendous growth in the acoustic metamaterials research fields. From the physics-based theoretical/numerical modeling to the experimental investigations, from prototype design to large-scale fabrications, the researchers have demonstrated extraordinary competences of acoustic metamaterials for many applications, in particular, low-frequency noise mitigations. However, the ongoing research works can be extended into the following directions:

*1. Design methodologies.* The acoustic metamaterials are the artificially engineered structure-based composites, containing complex and sophisticated designs. The unusual characteristics like negative effective mass density and negative effective bulk modulus, stem from their geometry and structure rather than the material composition of which they fabricated [111]. Therefore, the physics-based geometrical design is a crucial step for the development of specific acoustic metastructures. The current metamaterial research steps include physics-based modeling, numerical simulations, 3D printing, and acoustical measurements. The researchers often face several challenges related to designing a specific structure for the desired targets. Sometimes, they need to go through several hit-and-trial iterations before the fabrication of final metamaterial prototypes, thus delaying the overall

processing time. In recent years, few significant new artificial intelligence-based techniques like topological optimization and machine learning have shown great potential in various research fields, including genetics, astrophysics, manufacturing, and nanomaterials, and engineering.

Topological optimization is a mathematics-based optimization technique prominently used in the selection of structural design for achieving the objectives. The topology optimization has been proven to be a constructive tool for the identification of optimal settings in the early stage of design process. It finds a wide range of applications in mechanical, aerospace, bio-chemical and civil engineering. Several optimality criteria methods such as genetic algorithm, simulated annealing algorithms, and particle swarm are being used in the topological optimization. Among them, genetic algorithm has been efficiently used in the structural design optimizations [112,113]. The genetic algorithm is an artificial intelligence-based search and optimization technique, inspired by evolutionary biology such as selection, crossover and mutation to obtain global and robust optimal solutions optimization problems [114,115]. More detailed information on topology optimization can be found in many published articles [116,117].

Machine learning is another artificial intelligence (AI)-based technique that provide systems the ability to automatic learning by performing data analysis, development of predictive models, getting self “trained” to produce outputs, make decisions, improve from the past experience. Using the machine learning, the approximate desired results can be obtained from a large number of datasets. The field of machine learning is very diverse and many forms of machine learning algorithms are available. However, in recent times, the artificial neural networks technique has been extensively employed in the machine learning for many applications [118]. The artificial neural network is also known as a curve-fitting approximation method or deep-learning methods that use multiple layers of matrix multiplications with nonlinear objective functions applied between each matrix operation. Several published articles can be referred for the detailed information on machine learning techniques.

These data-analytics based technologies have been used to improve accuracy, reduce trial-and-error steps, shorten unplanned downtimes, improve transition times, and increase productivity. However, in the acoustic metamaterial research domain, the utilization of these techniques is at the initial stage. Numerous works have been reported in the acoustic metamaterial field [119-127]. Recently, Bianco et al. [128] has discussed the recent advances and transformative potential of machine learning (ML), including deep learning, in the field of acoustics. The field of acoustic metamaterials is in a fledging stage and by incorporating these emerging tools, the current approaches towards the designing and development of acoustic metamaterials may be translated into advanced stage. Artificial intelligence-based machine learning can further lead to developing intricate designs with more sophisticated metamaterial prototypes.

*II. Exploration of auxetic metamaterials for acoustic applications.* Auxetic metamaterials are the purposefully designed architectures that unusual mechanical properties like negative Poisson ratio [129-131]. In near future, auxetic mechanical metamaterials can play a major role in the fabrication lightweight, and mechanically stable metastructure for sound attenuation. In high rise-buildings, the installation of these auxetic structure based light weight ventilated acoustic window panels is desirable.

*III. In-situ sound wave manipulation.* In recent times, active acoustic metamaterials have gained much interest among acoustic researchers [132]. The sound attenuation performance of the active or tunable acoustic metamaterials can be adjusted by tuning their inherent characteristics such as membrane tension in membrane-type metamaterials. Due to the boundless variants of active controls, the research in this direction could be up-and-coming. Moreover, resonant behavior and the effective acoustic parameters (mass density and bulk modulus) of the acoustic metamaterials have been tuned by the thermal energy [133]. Using this technique, bandgap can be tuned for desired frequency range.

*IV. Detailed investigations on ventilation performance.* It is challenging to conduct ventilation tests for laboratory-scale prototypes as suitable fan systems will need to be designed and installed for delivering the designed airflow patterns. Potentially it is possible to install the prototypes in a wind tunnel for the evaluation of ventilation pattern or on a desktop wind tunnel for small scale prototypes.

*V. Scaling-up.* The laboratory-based acoustic metastructure prototypes have shown promising results. However, the acoustical performance of these prototypes at scaling-up dimensions may differ from the laboratory-based results. There is an inherent need for the fabrication of larger specimens for performance testing as well as commercial applications. Furthermore, additive manufacturing (AM), also known as 3D printing, is the most commonly used current technology for the fabrication of metastructures. There is a variety of 3D printing techniques that are available, and appropriate selection of 3D printing process plays a crucial role [134]. Moreover, 3D printing is not yet a sustainable mass production technology and hence is expensive. The recent developments in advanced manufacturing technologies such as 4D printing have enabled the fabrication of acoustic metamaterials with complex architectures across several length scales, with feature sizes of several meters for a wide range of materials.

#### 4. Conclusions

In this review, a historical perspective of acoustic metamaterials has been initially discussed in the introduction section. Subsequently, the concept of numerous acoustic metamaterial categories has been discussed. Several notable designs of acoustic metamaterials for both sound attenuation and air ventilation applications have been discussed in greater detail. The presented prototypes have shown their acoustic performance in wide frequency ranges from the low-to-mid frequencies to mid-to-high frequencies. However, the Helmholtz resonance-based metastructures have proven to be useful for tonal noise mitigations. The newly developed design concept like space coiling has revealed a wideband noise attenuation and enabled efficient ventilation if designed accordingly.

Finally, a perspective on the possible future developments in the field regarding metamaterial design and modeling, fabrication, testing, and characterization methods has been discussed. The outlook may provide a possible emerging direction to the researchers, which could be further investigated and hopefully lead to the industrial application of acoustic metamaterials across multiple disciplines.

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