

1 Article

# 2 Drought modulated boreal forest fire occurrence and 3 linkage with La Nina events in Altai Mountains, 4 Northwest China

5 Chunming Shi <sup>1</sup>, Ying Liang <sup>2,\*</sup>, Cong Gao <sup>1</sup>, Fengjun Zhao <sup>3</sup>, Qiuhua Wang <sup>4</sup> and Lifu Shu <sup>3,\*</sup>

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7 <sup>1</sup> College of Global Change and Earth System Science, Beijing Normal University, Beijing 100875, China;  
8 chunming.shi@gmail.com (C.S.); gaocong@mail.bnu.edu.cn (C.G.)

9 <sup>2</sup> Xinjiang Academy of forestry Sciences, Urumqi 830000, Xinjiang Province, China; liangying0421@126.com

10 <sup>3</sup> Research Institute of Forest Ecology, Environment and Protection, Chinese Academy of Forestry; Forest  
11 Protection Laboratory of National Forestry and Grassland Administration, Beijing 100091, China;  
12 zhaofj@caf.ac.cn

13 <sup>4</sup> College of Civil Engineering, Southwest Forestry University, Kunming 650224, Yunnan Province, China;  
14 qhwang2010@swfu.edu.cn

15 \* Correspondence: liangying0421@126.com (L.Y.); slfhxk@126.com (L.S.)

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17 **Abstract:** Warming-induced drought stress and El Nino associated summer precipitation failure are  
18 responsible for increased forest fire intensities of tropical and temperate forests in Asia and  
19 Australia. However, both effects are unclear for boreal forests, the largest biome and carbon stock  
20 over land. Here we combined fire frequency, burned area and climate data in the Altai boreal forests,  
21 the southmost extension of Siberia boreal forest into China, and explored their link with ENSO (El  
22 Nino and South Oscillation). Surprisingly, both summer drought severity and fire occurrence have  
23 shown significant ( $P < 0.05$ ) teleconnections with La Nina events of the previous year, and therefore  
24 provide an important reference for forest fire prediction and prevention in Altai. Despite a  
25 significant warming trend, the increased moisture over Altai has largely offset the effect of  
26 warming-induced drought stress, and lead to an insignificant fire frequency trend in the last decades,  
27 and largely reduced burned area since the 1980s. The reduced burned area could also benefit from  
28 the fire suppression efforts and greatly increased investment in fire prevention since 1987.

29 **Keywords:** boreal forest; forest fire; ENSO; Altai Mountain

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## 31 1. Introduction

32 Climate warming is faster at higher latitudes and altitudes [1-3]. Boreal forest, the largest biome  
33 and living carbon stock on land, has therefore experienced a faster warming rate than global mean  
34 level, and an unprecedented large fire disturbance over the past 10,000 years [4], mainly due to  
35 increased lightning ignition in association with warming [5-8], fire season extension [9,10], and higher  
36 fuel aridity [11,12]. Forest fire plays a key role in dominating the structure, succession, and function  
37 of the boreal forests [13-14], and releases a large amount of organic carbon into the atmosphere,  
38 potentially transferring boreal forest from net carbon sink to net source [15,16]. The forest fire emitted  
39 CO<sub>2</sub>, black carbon and aerosols have aggravated global warming [17], which in turn increased the  
40 fire risk [18,19]. Although great anthropogenic suppression efforts have been taken globally, the  
41 observed and projected forest fire intensity is still increasing significantly over the globe in the 21st  
42 century [20-23].

43 Superimposed on the warming-forced forest fire increasing trend, internal variabilities inherent  
44 in the climate system have modulated fire regime at interannual to decadal time scale, e.g. ENSO (El  
45 Nino-South Oscillation), shifting between warm (El Nino) and cold (La Nina) phases, was considered

46 one of the main sources of inter-annual climate variabilities. At longer timescale, PDO (Pacific  
47 Decadal Oscillation), an ENSO like climate variability but varies at inter-decadal timescale, was  
48 shown to modulate ENSO's impacts through in-phase (overlapping) or out-phase (counteract) with  
49 ENSO [24-26]. Both ENSO and PDO, showing close teleconnections with precipitations and  
50 temperature variabilities [27-29], have modulated drought conditions [30-32], and therefore forest  
51 fire occurrence over a large part of the Asian and American continents [23]. The frequency of extreme  
52 El Nino and la Nina events are projected to increase with global warming [33-35], substantially  
53 elevate future forest fire risks.

54 The effects of ENSO and PDO on forest fire variabilities have been widely explored over the  
55 tropical and temperate forests, e.g., the combination of cold ENSO (La Nina) and PDO phases were  
56 closely related to anomalous high fire occurrence in west coast forests of North America [36-38]. In  
57 contrast, the dry condition in association with warm ENSO (El Nino) and PDO phases have led to  
58 significantly increased forest fire intensity in most China [39], Southeast Asia [40], central to eastern  
59 America [23,41,42], southeast Australia [43,44], and tropical rain forests [45]. A warm ENSO-warm  
60 PDO combination has greatly increased fire risks, frequency and burned area in the boreal forests in  
61 North America and Northeast China [25, 46-49], and wildfire emissions in the northern high latitudes  
62 [50]. However, the impacts of ENSO and PDO on the boreal forest fire of none-monsoonal regions,  
63 especially in inland Asia is still unclear. The connections of La Nina events with high fire risks of  
64 boreal forest have not been found.

65 In this study, we have constructed a dataset combining fire frequency, burned areas of the boreal  
66 forest in Northwest China. The linkage of fire data with ENSO and long-term climate trends and the  
67 teleconnection between local climate and ENSO were explored as well.

## 68 2. Data and method

### 69 2.1. Study region

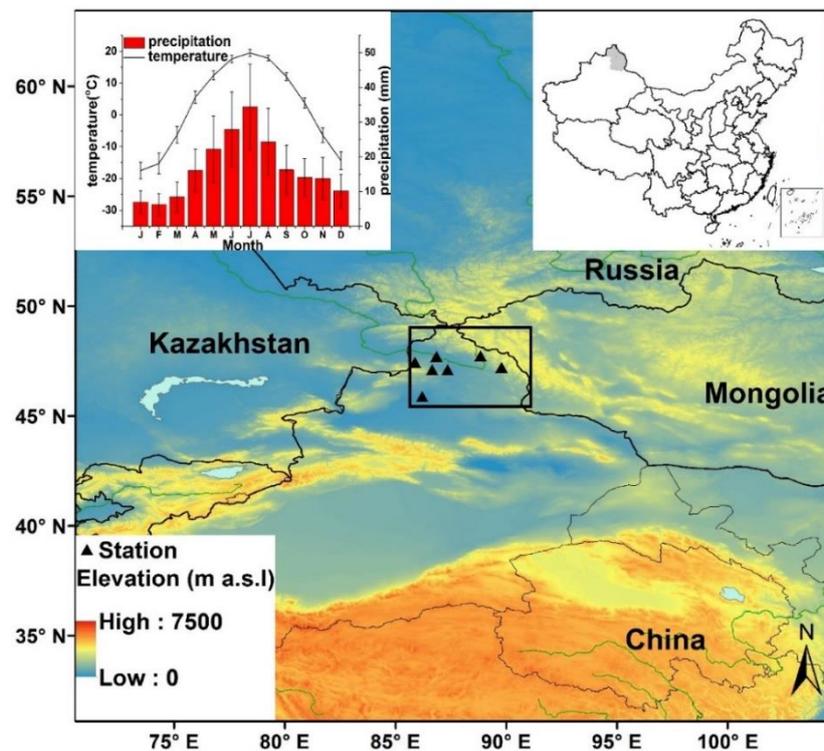
70 This research focused on forest fires in the Altay Prefecture of Xinjiang Province, China, the  
71 most Northwest corner of China (grey area in the top left insert of Fig.1), which is adjacent to three  
72 countries of Mongolia, Russia, and Kazakhstan. The boreal forest in the study region is the southmost  
73 extension of Siberia boreal forest into China. The annual mean temperature and total precipitations  
74 are 2.4 °C and 200.4 mm respectively. 62.5% of precipitation falls in May-September (here defined as  
75 summer) when almost all forest fire occurs. The large snow cover before May and after September  
76 has largely suppressed the fire occurrence.

### 77 2.2. Fire and climate data

78 May-September fire numbers and burned area data spanning 1960-2018 were obtained from  
79 individual forest administrations of seven counties of Altai, and were then averaged to represent the  
80 mean fire frequency of Altai Prefecture. 0.5° resolved monthly climate data, including temperature,  
81 precipitation, and self-calibrating Palmer Drought Severity Index (scPDSI) were extracted from the  
82 Climate Research Unit (CRU) TS 4.03 dataset and averaged over a rectangle of 45-49°N, 85-91°E to  
83 estimate the mean climate condition of Altai. The One-month Standardised Precipitation-  
84 Evapotranspiration Index (SPEI1) and monthly relative humidity (RH) data (black triangles in Fig.1)  
85 were downloaded from Spanish National Research Council (CSIC) and meteorological stations of the  
86 seven counties, respectively. NINO3 and PDO indices derived from ERSST v5 datasets were obtained.

87 The years with fire occurrence and SPEI1 above and below the 95% distribution limits were  
88 defined as the years of most frequent fire and drought stress, respectively. The coincidence of the  
89 extreme years was tested. In order to explore the connection of drought severity and fire occurrence,  
90 correlation analysis was conducted using summer fire frequency and moisture indicators. Monthly  
91 NINO3 and PDO data were correlated to summer fire frequency to determine the key season of  
92 teleconnection and possible time-lag effect, the same analysis between key-season NINO3 and  
93 summer moisture indices was conducted to explore the mechanisms underlining the teleconnection.

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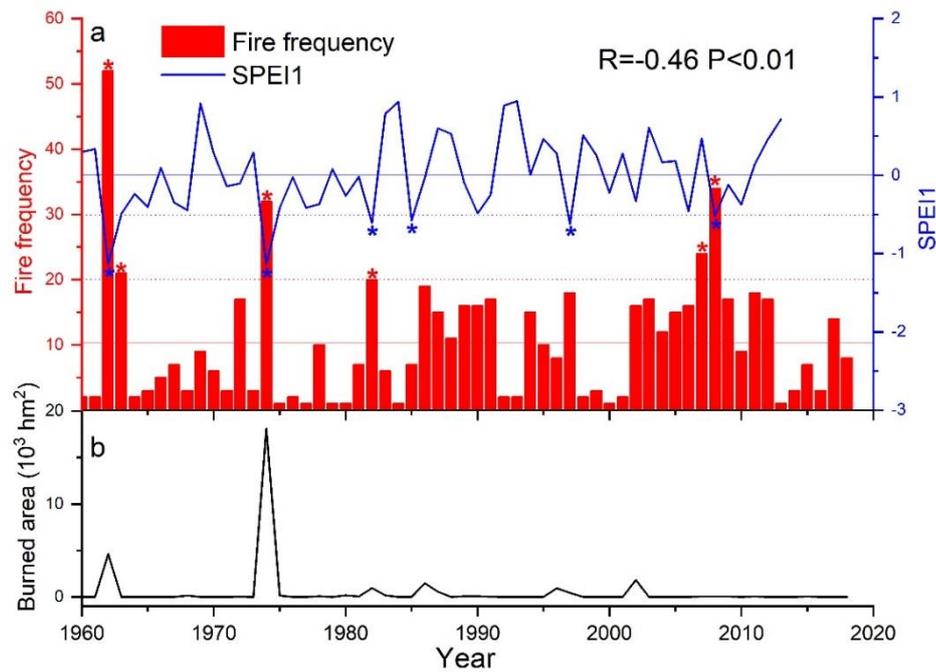
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**Figure 1.** Meteorological stations are indicated with black triangles; Grey shading of the top right insert shows the Altai Prefecture. The black rectangle is the study area (45–49°N, 85–91°E). The top left insert is the Walter Lieth diagram of the study region, the temperature (black curve) and precipitation (red bars) are the regional averaged data of CRU TS4.03 dataset.

### 100 3. Results

#### 101 3.1. Linkage of fire and moisture variability

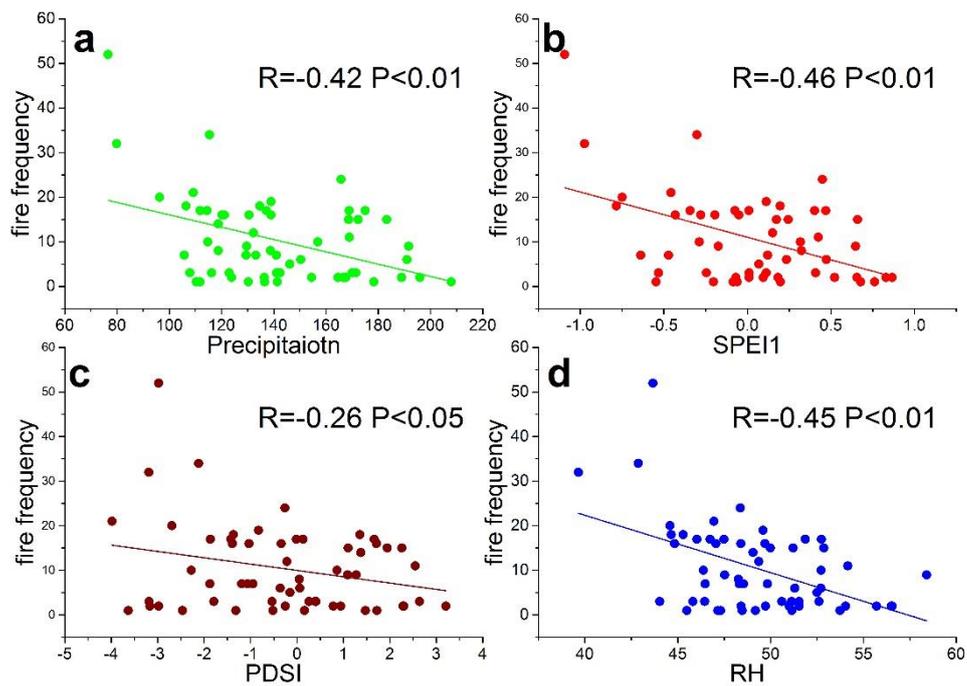
102 The mean correlation coefficient of the summer fire frequency records of the seven counties  
 103 reaches 0.49 (1960–2018, Figure A1), suggesting a synchronous variation of fire occurrence and  
 104 reasonability pooling these data. Six years with the most frequent fire occurrence (Year-f) and the  
 105 largest drought stress (Year-d) were identified, respectively. We found 4 out of 6 Year-f (1962, 1963,  
 106 1974 and 1982), and the two years with the largest burned areas (1962 and 1974) coincided with Year-  
 107 d. Four out of 6 Year-f occurred before 1987, since when a great fire suppression effort has been  
 108 carried out in China. The R between summer fire frequency and SPEI1 reaches 0.46 (1960–2018,  
 109  $P < 0.001$ ), showing the possible link of drought severity and fire occurrence. The trend of fire  
 110 frequency is insignificant (1960–2018, slope =  $0.45 \pm 1.45$  /year,  $P = 0.55$ ). Exceptional large burned areas  
 111 were recorded in 1962 and 1974 ( $4.6$  and  $18.1 \times 10^3$  hm<sup>2</sup>, respectively), which are coincided with two  
 112 driest years over 1960–2018 (lowest SPEI values in 1962 and 1974). Burned area has never exceed  
 113  $3 \times 10^3$  hm<sup>2</sup> since the 1980s. Even with comparable drought condition and fire frequency in 2008, the  
 114 burned area has not reached similar level of 1962 and 1974.



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116 **Figure 2. a:** Summer (May-Sep) fire frequency (red column) and SPEI1 (solid blue line), the horizontal solid  
 117 lines are the mean values, the dashed red and blue lines indicate the limits of 95% significant deviation from the  
 118 mean value. Extreme frequent fire and dry years were defined as above and below these limits and marked by  
 119 red and blue stars, respectively; **b:** annual summer burned area.

120 The negative correlation between summer fire frequency and moisture indices, including  
 121 precipitation, SPEI1, scPDSI and Relative Humidity are all significant above 95% level (Fig. 2  $R=-0.42$ ,  
 122  $-0.46$ ,  $-0.26$  and  $-0.45$ ;  $P < 0.01$ ,  $0.01$ ,  $0.05$  and  $0.01$ , respectively), suggesting a drought-induced fire  
 123 occurrence in Altai region. A significant correlation was not found for fire frequency and temperature  
 124 records, regardless of minimum, mean, or maximum temperatures.



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**Figure 3.** Scatter plot with correlation coefficients between summer fire frequency and moisture variability of the study region. a precipitation; b SPEI1; c scPDSI; d relative humidity.

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### 3.2. Teleconnection of ENSO/PDO and local moisture variabilities

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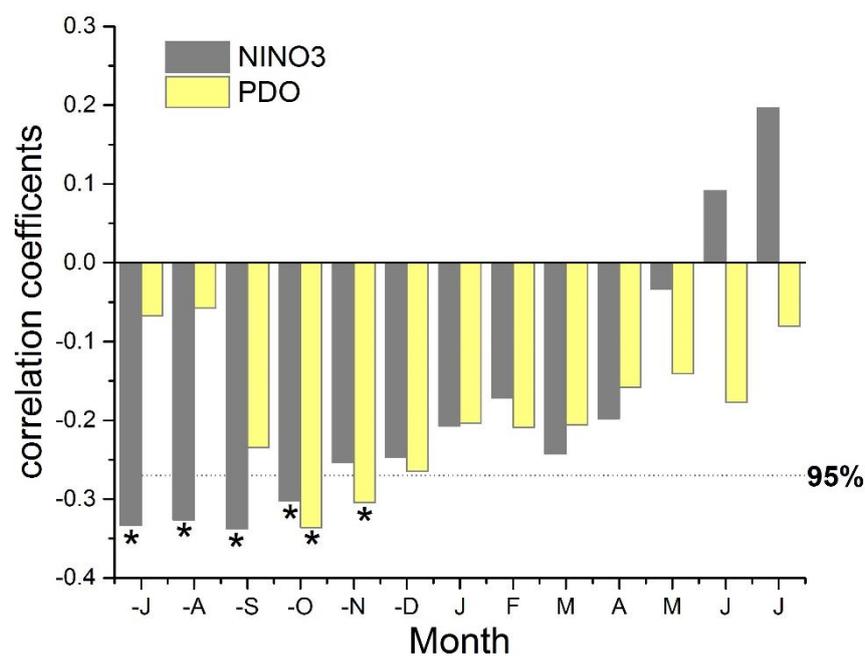
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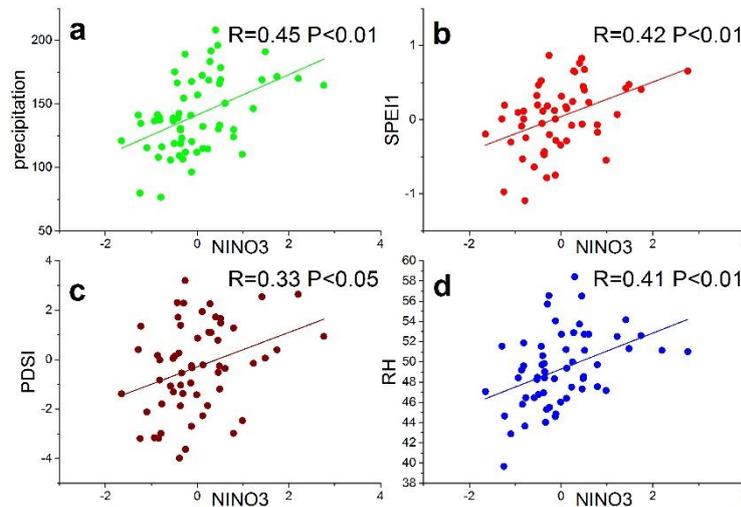
Figure 4 shows July-October NINO3 and October-November PDO of the previous year are significantly ( $P < 0.05$ ) and negatively correlated with fire frequency of the current summer. Although insignificant, the correlation coefficients are all negative from Previous December to the current May. All these results imply a persistent teleconnection between previous Sea Surface Temperature (SST) of the eastern tropical Pacific Ocean and summer Altai fire-climate variabilities.



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**Figure 4.** Correlation coefficients of monthly NINO3/PDO and summer fire frequency from July of the previous year to July of the current year (1960-2018), horizontal dashed line is the 95% significant level.

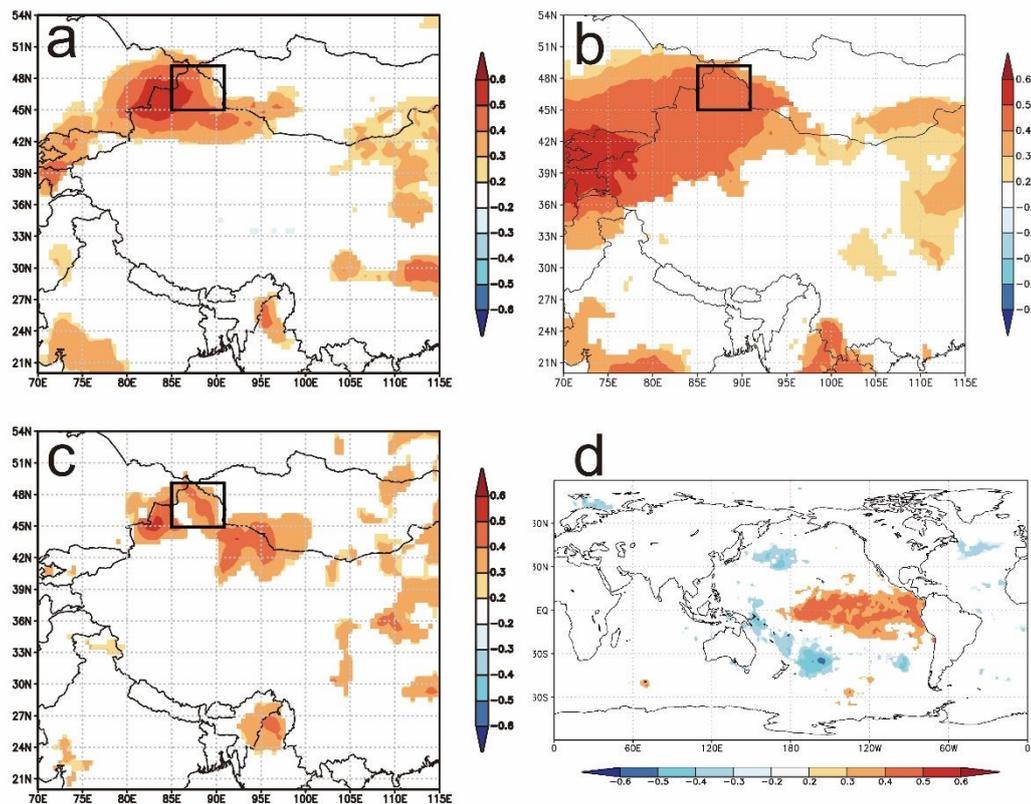


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**Figure 5.** Scatter plot with correlation coefficients between NINO3 average over July-October of the previous year and summer moisture variability of the current year. a precipitation; b SPEI1; c scPDSI; d relative humidity.

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To understand the mechanisms underlying the teleconnection between ENSO and local climate, correlation analysis of NINO3 and moisture indices was conducted and presented in Figure 5. All the 4 moisture indices have shown significantly positive R with NINO3 index (R=0.45, 0.42, 0.33 and 0.41; P<0.01, 0.01, 0.05 and 0.01 for precipitation, SPEI1, scPDSI and RH, respectively), suggesting a previous El Niño event could lead to high summer moisture availability in Altai, and vice versa. The spatial correlation between July-October NINO3 index of the previous year and summer precipitation, SPEI1 and scPDSI are all significant (P<0.05) over the study region (Fig. 6a-6c). In addition, summer Altai RH shows significant coherence (P<0.05) with previous July-October SST over the eastern tropical Pacific Ocean (Fig. 6d), where the SST anomalies define NINO3 index.



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**Figure 6.** Spatial correlation coefficients of July-October NINO3 index of the previous year and summer moisture indicators, including a precipitation, b SPEI1 and c scPDSI. Black rectangles are the study region. Subplot d is spatial correlation coefficients of summer Altai RH and July-October global SST of the previous year. Only parts with R value <0.05 were shown.

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#### 4. Discussion

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##### 4.1. Regional climate trends, fire frequency and burned area

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High drought severity can increase the aridity of fuels, and elevate forest fire frequency and area burned [51-53]. Warming itself and the associated increase in drought stress, extreme hot events, and fire season length are supposed to raise fire risks as well. [54,55]. Global-change-type drought, also termed as warming-induced drought stress, have contributed to a large part of burned area and fire risk increase over dry areas [53,56]. However, despite a significant summer warming ( $0.28 \pm 0.026$  °C/decade  $P < 0.001$ , Figure A2a), the trend of annual summer fire frequency is insignificant in Altai.

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In this study, both coincidences of frequent fire and extremely dry years, and correlation analysis have shown a significant connection between drought stress and fire occurrence in Altai (Fig. 2 and 3). Among the moisture indices used, scPDSI and SPEI1 are showing a slight and significant wet trend (Figure A2b and A2d), whereas precipitation is increasing as well but statistically insignificant (Figure A2c).

The ambiguous fire frequency trend, and largely reduced burned area could also due to the significant moistening trend along with warming, and to strong fire suppression efforts and greatly increased investment in fire prevention since 1987.

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##### 4.2. Teleconnections of La Nina events and drought stress in Altai

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The El Nino events, defined as anomalously high SST over the eastern tropical Pacific, can lead to a following warmer SSTs over the Northwest Pacific and tropical Indian Oceans [57,58], which further reduced the land-ocean thermal gradient, delayed the onset of the East Asian Summer Monsoon (EASM) and South Asian Summer Monsoon (SASM), and weakened monsoon intensities

177 [59,60]. Thus El Nino is usually responsible for inter-annual drought stress and fire occurrence in  
178 many monsoonal regions [61-63].

179 On the contrary, we find the dry conditions (low precipitation, SPEI1 and scPDSI) of Altai are  
180 significantly associated with previous La Nina events (low NINO3) (Fig. 5 and 6). The same findings  
181 have been reported for summer precipitation in Xinjiang, and the teleconnections and time-lag effect  
182 was interpreted with an atmospheric bridge theory: with a cooler Indian Ocean SST in association  
183 with La Nina events, South Asian High (SAH) exhibits a northward extension due to the decreasing  
184 geopotential height in its southern flank, and lead to a barotropic high-pressure anomaly and less  
185 precipitation over Northwest China [60]. The significant teleconnections between previous La Nina  
186 events and high drought severity/fire occurrence can provide important knowledge for long-term  
187 forest fire prediction in Altai.

#### 188 4.3. Comparison with ENSO's impact in other studies

189 Previous studies have shown that fire risks and severities in boreal forests are higher following  
190 El Nino events in Canada and Northeast China [25,44]. Moreover, fire activities of boreal forests were  
191 usually characterized by large multidecadal variabilities, which were modulated by long-term  
192 climate dynamics in the Pacific and Atlantic sectors, such as PDO and AMO (Atlantic Multidecadal  
193 Oscillation) [25,44,46,47,64]. For the first time, we have identified fire occurrence of boreal forests is  
194 lacking of long-term variability, and tele-connected with La Nina rather than El Nino. At interannual  
195 time scale, El Nino events contribute to extremely warm and dry conditions, are responsible for more  
196 wildfires in many regions of the world [38-41,43], whereas La Nina associated fires can only be found  
197 in a few regions, such as west America [35].

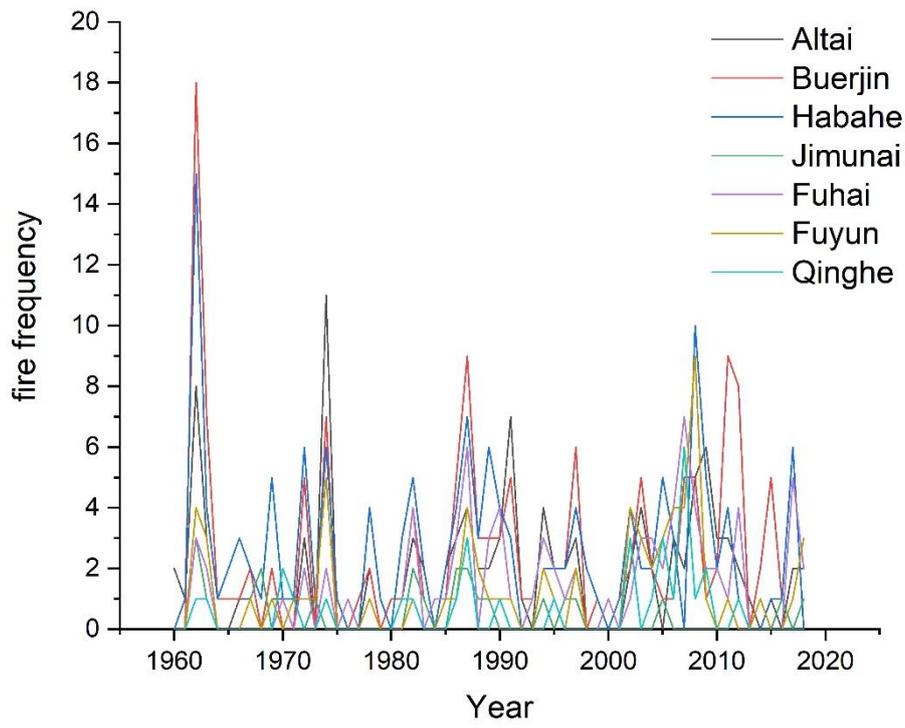
198 **Author Contributions:** Conceptualization, C.S.; methodology, C.S.; software, C.S.; validation, C.S., Y.L. and L.S.;  
199 formal analysis, C.S.; investigation, C.S.; resources, C.S.; data curation, Y.L.; writing—original draft preparation,  
200 C.S.; writing—review and editing, C.G., F.Z. and Q.W.; visualization, C.S.; supervision, C.S.; project  
201 administration, C.S.; funding acquisition, C.S., Q.W. and L.S. All authors have read and agreed to the published  
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207 **Conflicts of Interest:** The authors declare no conflict of interest.

#### 208 Appendix

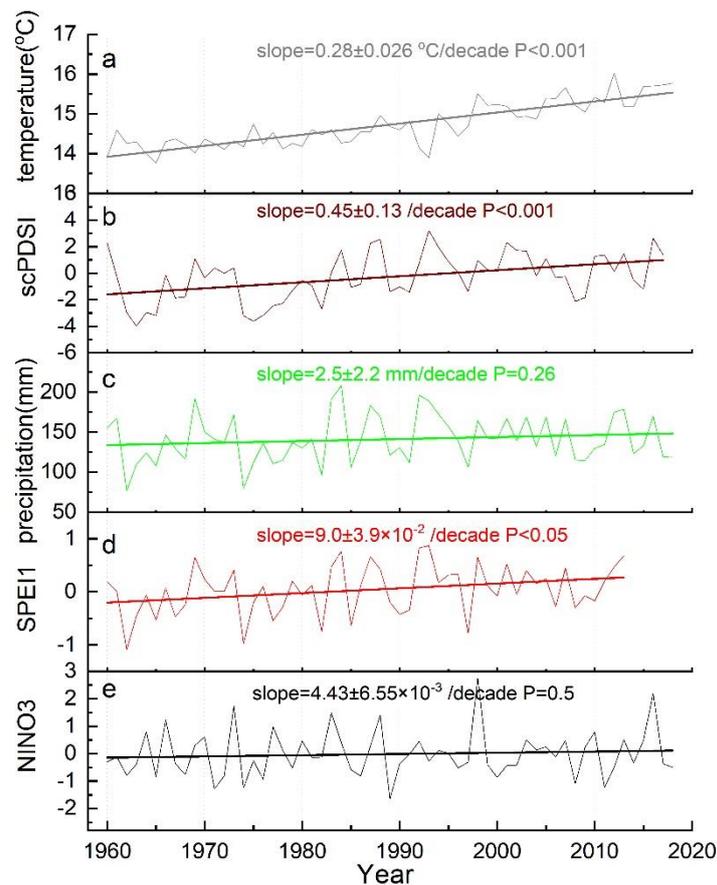


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**Figure A1.** summer forest fire frequency of the 7 counties of Altay prefecture



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213 **Figure A2.** summer climate trend over the study region, including temperature (a), scPDSI (b).  
 214 precipitation (c), SPEI1(d) and July-October NINO3 index of the previous year (e)

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