

1 Article

# 2 Role of Transient Characteristics in Fish Trajectory 3 Modeling

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11 **Abstract:** In this experiment, we analyzed live fish (silver carp) trajectories recorded in an  
12 experimental vertical-slot fishway. Combined with a numerical simulation, we demonstrated that  
13 randomness shown in fish trajectories might not merely be attributed to the fish's random choices  
14 in its swimming, but could also be a consequence of adaption to the bulk unsteady turbulent flow  
15 structures. Simple superposition of a fish trajectory on the time-averaged flow field obtained either  
16 by interpolating on discrete point measurements or numerical simulation data is not an ideal  
17 method for description of fish movement. How to model the fish paths in transient flow and the  
18 necessity of simultaneous recording of the flow field and the fish locomotion are challenging topics.  
19 We also discussed the possible integration of currently existing methods to promote the  
20 development of fish trajectory modelling.

21 **Keywords:** Fishway; Trajectory; CFD; Measurement; Modelling

22

## 23 1. Introduction

24 River fragmentation by dams and weirs is heavily responsible for the dramatic decline in the  
25 range and abundance of freshwater fish, making the fisheries development unsustainable. In order  
26 to minimize such consequences, fishways are designed in a watercourse for mitigating the  
27 fragmentation and keeping the sustainability of the river systems and the fisheries. One crucial  
28 problem worth discussing is how to solve the coupling between the complex unsteady flow field  
29 around the fish and the response made by fish during the fish pass, for which live fish tracing is an  
30 effective method. As Lacey et al. (2012) proposed, relating the quantitative observational data on  
31 spatial fish positions to their preference is the simplest level to start. Silva et al. (2011), Goettel et al.  
32 (2015), and Tan et al. (2019) superposed the fish trajectories on the mean flow field in their studies.  
33 They made statistics among the hydraulic variables and the residence times or appearance frequency  
34 for the target fish. Hence, the "water preferences" of a target fish can be found for given hydraulic  
35 conditions. For later usage we refer to this approach of superposition of the fish path on time-  
36 averaged flow fields as Method I.

37 However, the flow in fishway is essentially a turbulent flow, which is comprised of unsteady  
38 eddies of different sizes or length-scales. The existence of large eddies or coherent flow structures  
39 implies that hydraulic variables at adjacent points possess close correlations, which are also  
40 dynamically changing with time. In Method I, the prominent features of the contours of specific  
41 hydraulic parameters are time-averaged at spatial points. During the averaging process, the vital  
42 linkage between hydraulic variables at relevant space points is ignored, and replaced by the  
43 turbulence intensity or turbulence kinetic energy. This represents only the velocity fluctuations at  
44 each isolated position and the information of the vortex structures is accordingly lost.

45 In contrast to Method I, the other kind of approach, referred to as Method II herein, was used in  
46 the works carried out by Liao et al. (2003a, 2003b), Tritico and Cotel (2010) and Liao and Akanyeti  
47 (2017), and describes the fish movement with the temporal vortical structures in the flow. Liao et al.  
48 (2003b) pointed out that fish tend to exploit vortices to decrease muscle activity. Therefore, if the  
49 vortical structures are random in a turbulent flow, fish will actively utilize the random eddies to assist  
50 their swimming. Naturally, its movement reflects the randomness. In other words, randomness in  
51 fish locomotion may be partially explained as an adaption consequence of velocity fluctuations,  
52 which could not merely be interpreted as a fundamental feature of animal choices. This new  
53 perspective leads us to reconsider our method used in fish trajectory modelling, as well as the concept  
54 of "water preferences." In our opinion, "water preferences" seems not to be a feature at a fixed point  
55 in space, but a series of continuously changing flow structures, which is better to be termed as  
56 "hydraulic stimuli."

57 Besides the experimental methods, a Computational Fluid Dynamics (CFD) technique often  
58 appears as a complementary tool to understand flow field details at any interested time and locations  
59 over variable scales of view from microscopic to macroscopic. The Lagrangian Individual-Based  
60 Model (IBM) combined with an Eulerian CFD-model is an emerging method in fish-path modelling  
61 (Weber et al., 2006; Goodwin et al., 2006 & 2014; Gao et al., 2016; Zielinski et al., 2018; Jager &  
62 DeAngelis, 2018; Tan et al., 2018; LaBone et al., 2019; Gilmanov et al., 2019; Padgett et al., 2020). Based  
63 on Lagrangian tracking of individual virtual fish, this kind of method evaluates the fishway design  
64 by calculating the passage ratios of virtual migratory fish. However, proper modelling of the response  
65 made by fish to its surrounding hydraulic stimuli is an unavoidable problem in the applications of  
66 CFD tools, and reliable experimental results are a prerequisite.

67 In this paper, by experimenting with an experimental fishway with live fish (silver carp) as well  
68 as a CFD simulation, we demonstrated the strengths and weaknesses of Method I, Method II and the  
69 CFD technique in a descriptive way. The silver carp (*Hypophthalmichthys molitrix*) is a species of  
70 freshwater cyprinid fish, one of the most well-known commercial fish in China (Shi et al., 2018). This  
71 is a typical potamodromous fish and has regular migratory activities in spawning and nursery  
72 periods. The species is currently classified as near-threatened in its original range, as its habitat and  
73 reproductive behaviour are heavily impacted by the construction of dams. No matter from fish  
74 conservation, economic values, or the convenience of access, this fish species is an ideal research  
75 objective for this study.

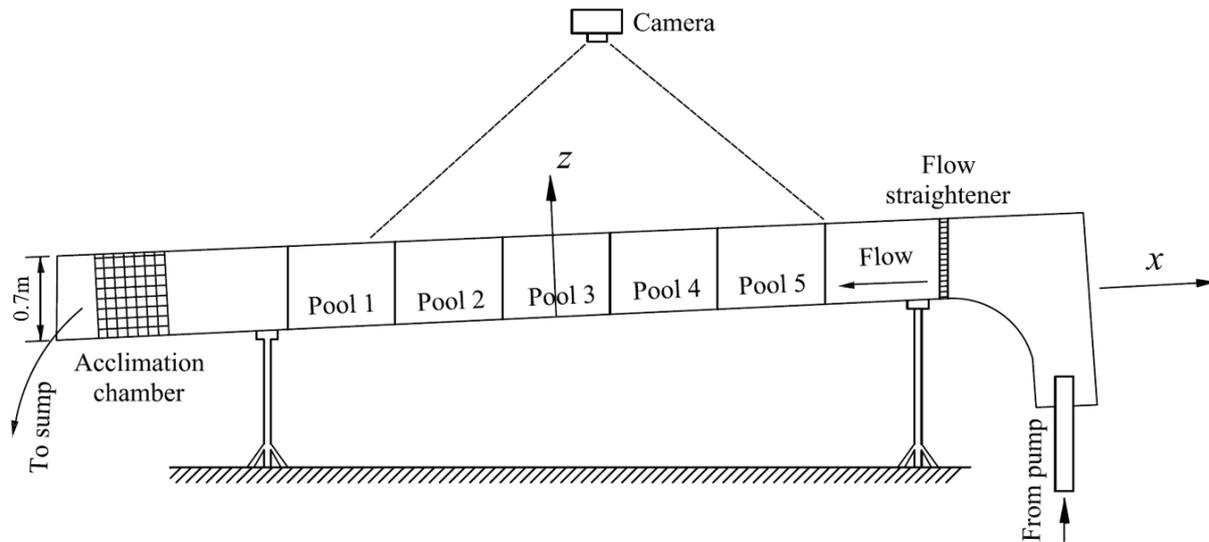
76 The objective of this study is threefold. Firstly, to demonstrate that randomness shown in fish  
77 trajectories might not merely be attributed to a fish's random choices in its swimming, but could also  
78 be a consequence of adaption to the bulk unsteady turbulent flow structures. Secondly, to invoke  
79 researchers to evaluate the effectiveness and practicability of the two typical methods used in fish  
80 behavior studies, i.e., superposing a fish trajectory on the time-averaged flow field, and simultaneous  
81 measurements of fish movement and the flow field around them. Thirdly, the internal hydraulic links  
82 between the three methods and a proposed integrative framework of combining them are discussed,  
83 which renders a new perspective for future experimental and numerical studies in fishway science.

## 84 2. Material and methods

### 85 2.1. Experimental setup

86 The experiments were conducted in an indoor Vertical Slot Fishway (VSF) at the Engineering  
87 Research Center of Eco-Environment in the Three Gorges reservoir region, Yichang (China). The  
88 major facility was 7m long, 0.5m wide, and 0.7m high, as shown in Figure 1.

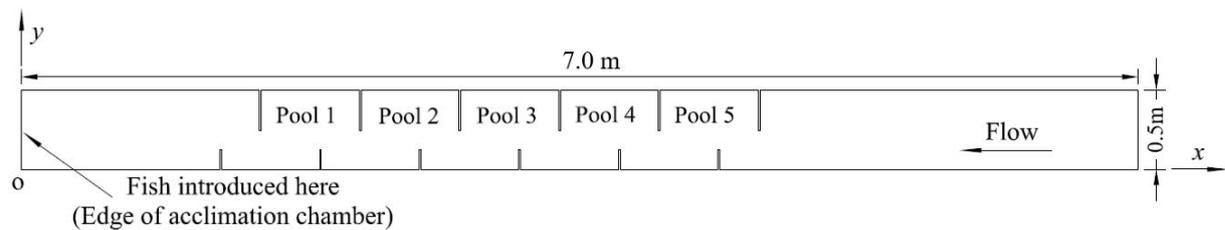
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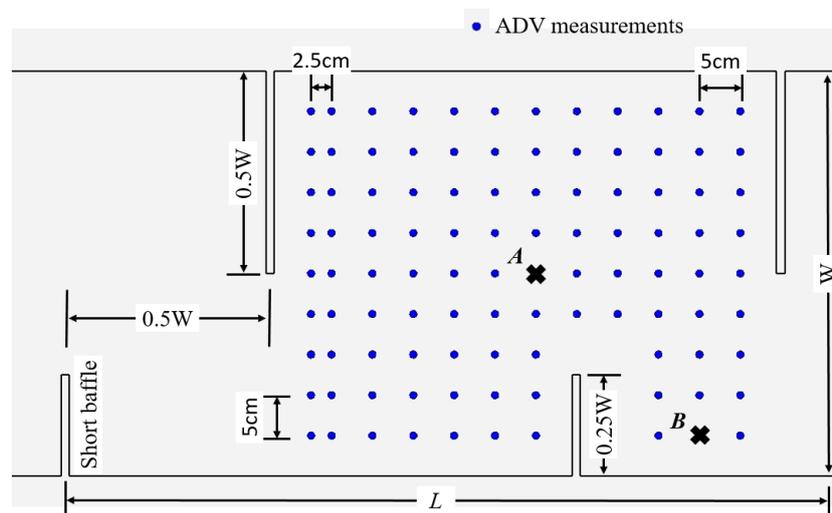
(a)



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(b)



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(c)

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**Figure 1.** Schematics of experimental set-up: (a) side view; (b) plane view; (c) details of pool geometry ( $L=0.625\text{m}$ ,  $W=0.5\text{m}$ ) and ADV measurement grid distribution in a plane parallel to the flume bottom at  $z=9\text{cm}$  in pool 3. Points A and B are marked with the symbol ✖, as an example, where transient velocity characteristics will be described in section 2.4.4.2. (Dimensions are not to scale).

100 The fishway consisted of seven pools divided by Polyethylene hard boards; the uppermost and  
 101 the lowest pools are not labelled. The middle five pools with identical geometry and sizes are the test  
 102 sections. The fishway was set at a slope of 1%. Baffles were always vertical, despite of the slope in the  
 103 fishway model. The flume was connected to a head tank of the same width with a streamlined bottom  
 104 and a flow straightener net to smoothen the entrance flow. A pump was used to supply the head tank  
 105 from the laboratory sump. The flow rate was measured by a magnetic flowmeter installed in the  
 106 supply pipe and controlled by a valve. The fishway also encompassed an acclimation chamber at the  
 107 downstream end of the channel, which was created by installing two fine mesh screens 0.5m apart,  
 108 thereby preventing fish from entering the channel before the experiments began. The water used in  
 109 the experiments was drawn from the domestic water supply, recirculated through the laboratory  
 110 pumping system for at least two weeks before the tests, and checked for temperature, pH, and  
 111 dissolved oxygen at the beginning and the end of experiments (Silva et al., 2011). The water surface  
 112 levels were measured using graduated scales placed on the sidewall of the pool, in the vicinity of the  
 113 cross-walls and the middle point of the pool. The water level within the structure was regulated by a  
 114 slot gate at the downstream end of the fishway to obtain a "uniform flow," the definition of which is  
 115 that the mean flow depth in a pool is the same for all the pools, as suggested by Rajaratnam et al.  
 116 (1986). Table 1 provides the primary details of the experiments. In a preliminary test, we observed  
 117 that the fish swimming almost in a plane parallel to the bottom at a distance of  $0.3h_0$  (i.e., 9cm from  
 118 the fishway bottom,  $h_0$  is the water depth in the fishway). Hence 102 sample points were measured  
 119 in that plane, as shown in Figure 1(c).

120 **Table 1.** Parameters of the Experiments.

$S$ (deg.)	$Q$ (m <sup>3</sup> /s)	Velocity measured in the plane with $z$ (cm)	ADV sampling time (s)	Water depth (mm)
1	0.0135	9	30	30

121 Detailed instantaneous velocity measurements were conducted with a SonTek 16 MHz Micro  
 122 Acoustic Doppler Velocimeter (ADV). The advantage of using this device relies on its ability to  
 123 adequately measure the three-dimensional velocity components of flowing water (Silva et al., 2011).  
 124 Velocity measurements were recorded at 50 Hz over a sampling period of 30 seconds in each point  
 125 of the measurement grid. In a post-processing phase, ADV measurements were filtered with  
 126 WinADV (release 2.031) software to remove samples with low correlation scores or signal-to-noise  
 127 ratios.

## 128 2.2. Experimental fish

129 All experimental silver carps (with a total length of  $11.49\text{cm} \pm 0.63\text{cm}$  and total weight of  $20.74\text{g}$   
 130  $\pm 8.64\text{g}$ ) were supplied by Yidu hatchery, Yichang city, China. Before fish were used in the  
 131 experiments, they were held at least for 3 days in 3,000L circular tanks at water temperatures of 19-  
 132 21°C, with additional air supply to maintain dissolved oxygen at  $\geq 6.0 \text{ mgL}^{-1}$ . 30% of the water in the  
 133 tanks was replaced every 2 days. Fish excrement and uneaten food were removed daily. Test fish  
 134 were unfed for 24 hrs before the tests (Ke et al., 2019). A total of 30 age-0 silver carps were individually  
 135 tested to avoid confounding schooling effects. Each fish was used only one time. At the beginning of  
 136 each experiment, the fish were held in the downstream acclimation chamber for 15 minutes. In the  
 137 acclimation chamber, the fish were exposed to the freestream velocity in the flume. After this period,  
 138 the screens were removed, and the fish were allowed to explore the fishway autonomously.

## 139 2.3. Fish tracking

140 Fish movements were recorded in each trial using a video recording system, which was mainly  
 141 composed of one 25 fps digital video camera (DS-2CD3345-I, Hikvision Corporation, Hangzhou,  
 142 China), a video recorder (DS-7808N-K1/C, Hikvision Corporation) and a computer. The camera was  
 143 suspended above the flume by a specific stand with an adjustable height. The height of the camera  
 144 was selected to capture continuous clear images of the fish swimming through from Pool 2 to Pool 5.

145 Pool 1 was not considered due to the limited shot scope of the camera. A reference grid containing  
146 25 cells was attached to the bottom of the five pools to aid the quantification of fish locations.

147 All fish were manually tracked from video images relative to the bottom grid with positions by  
148 software Logger Pro 32. From the video recordings, the fish location and time spent in each cell of the  
149 grid (transit time) were determined and further analyzed in combination with the time-averaged  
150 hydraulic data (i.e., interpreted by the earlier defined Method I). The corresponding results derived  
151 from these tracks using Method I can be found in the work of Tan et al. (2018).

## 152 2.4. Numerical model

### 153 2.4.1. Flow equations

154 The governing 3D unsteady Navier-Stokes equations in their compact form are:

$$155 \quad \nabla \bar{u} = 0 \quad (1)$$

$$156 \quad \frac{\partial \bar{u}}{\partial t} + \bar{u} \cdot \nabla \bar{u} = -\frac{1}{\rho} \nabla p + \nu \nabla^2 \bar{u} + \bar{f}_b \quad (2)$$

158 where  $u$  is velocity,  $p$  is the pressure,  $\nu$  is the kinematic viscosity,  $\bar{f}_b$  is a body forces (gravity  
159 and inertial force), and  $t$  is the time.

### 160 2.4.2. Turbulence modelling

161 Intermittently, all kinds of different scale fluctuations in vorticity, pressure, and velocity are the  
162 essential characteristics of the flow within the fishway. Thus, accurate modeling of these flow features  
163 is a basis of the successful design of a fishway with high performance.

164 Theoretically, Direct Numerical Simulations (DNS) is the best tool to resolve the turbulence, but  
165 it is too computationally demanding to be applied in a fishway flow. The Reynolds-Averaged Navier-  
166 Stokes (RANS) method and the Large-eddy Simulation (LES) model are the most appropriate options.  
167 Most earlier studies implemented RANS methods as the numerical tool for the 3D modeling of flow  
168 in the fishway (Quaresma et al., 2018; Fuentes-Perez et al., 2018; Fuentes-Perez et al., 2018; An et al.,  
169 2016; Lindberg et al., 2013) due to their extensive and robust applications in all kind of flows in  
170 different industrie, as well as representing a balance between computational cost and accuracy.  
171 However, a significant shortcoming in using RANS is that the approach only resolves mean flow  
172 characteristics, mainly omitting the more rapid turbulent structures in the flow. In contrast to RANS,  
173 LES includes large-scale turbulent flow structures. It provides time-resolved flow fields, including  
174 turbulent structures, which are the basis in the analysis of fishway performance by decoding the  
175 coupling of fish trajectories and the surrounding flow field. Due to the rapid development of  
176 computing capabilities, the LES model has become an available option for flow field simulations in  
177 the fishway study.

178 In the present study, the LES method has been evaluated utilizing the Smagorinsky model  
179 (Smagorinsky, 1963). In the Smagorinsky model, an effective viscosity is defined as:

$$180 \quad \nu_{eff} = \nu + \nu_{sgs} \quad (3)$$

$$181 \quad \nu_{sgs} = C_k \Delta \sqrt{k} \quad (4)$$

182 where  $\nu_{sgs}$  is the subgrid-scale kinematic viscosity,  $\Delta$  is the filter width, defined as the cube root  
183 of the volume of each cell, and  $k$  is calculated from the velocity field by using equation (5).  
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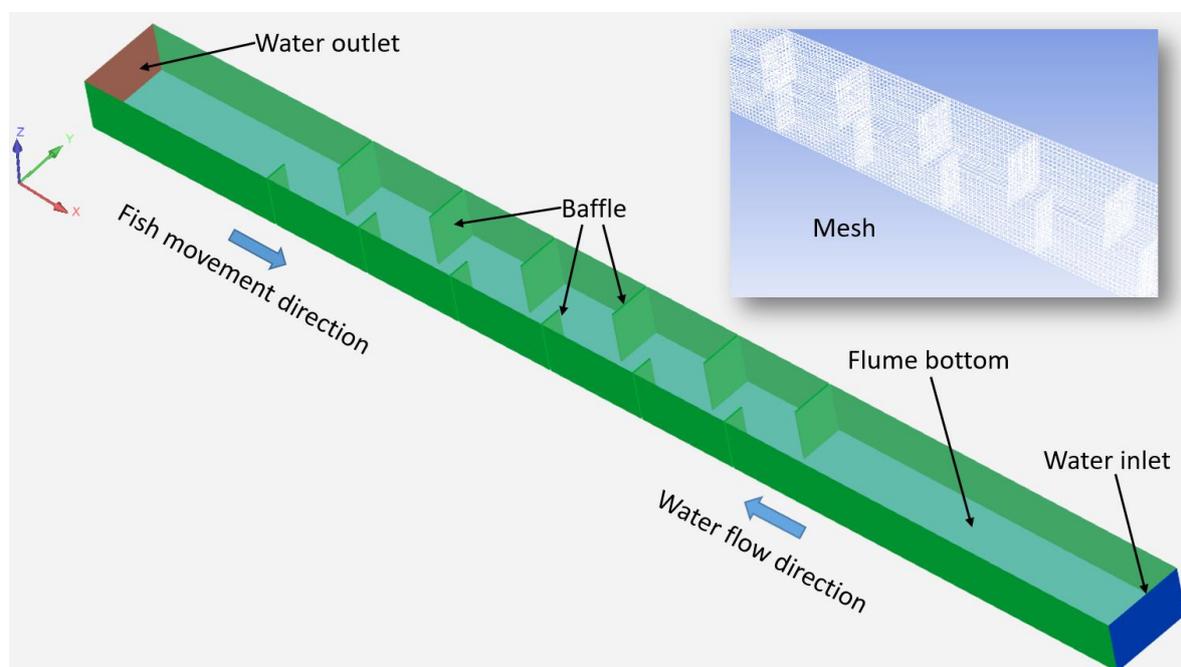
$$186 \quad k = \frac{C_k}{C_e} \Delta^2 |\bar{S}|^2 \quad (5)$$

$$187 \quad v_{sgs} = C_k \sqrt{\frac{C_k}{C_e}} \Delta^2 |\bar{S}| = C_s \Delta^2 |\bar{S}| \quad (6)$$

188 Here,  $|\bar{S}| = \sqrt{2 \cdot S_{ij} S_{ij}}$  and  $S_{ij}$  is the rate of strain tensor of the large-scale or resolved velocity field.  
 189  $C_k$ ,  $C_e$ , and  $C_s$  are classical Smagorinsky constants; their values are 0.094, 1.048, and 0.168, respectively  
 190 (Deardorff, 1970; Lilly, 1966).

#### 191 192 2.4.3. Mesh and boundary conditions

193 The final choice of mesh element size is highly case-specific; therefore, a mesh sensitivity  
 194 analysis should be performed according to the American Society of Mechanical Engineers (ASME)  
 195 criteria (Celik et al., 2008). Regarding LES, it is essential to mention that the Smagorinsky method is  
 196 an implicit approach, and thus the filter size will change with the selected grid size; as a result, there  
 197 is no genuinely grid-independent solution. Thus, the selected LES method approaches DNS if the  
 198 grid size is refined (Celik et al., 2009). Klein and Oertel (2016) and Fuentes-Perez et al. (2018) indicated  
 199 that based on comparison of macro parameters, such as flow depths in pools or flow rate under  
 200 various mesh resolutions, a mesh width of 3–4 cm is sufficient for practical use when a LES model is  
 201 chosen. In the present study, a mesh width of 3cm is selected. However, as a traditional practice, the  
 202 outcome of 3D numerical models was additionally validated by comparing it with results from the  
 203 experimental study.



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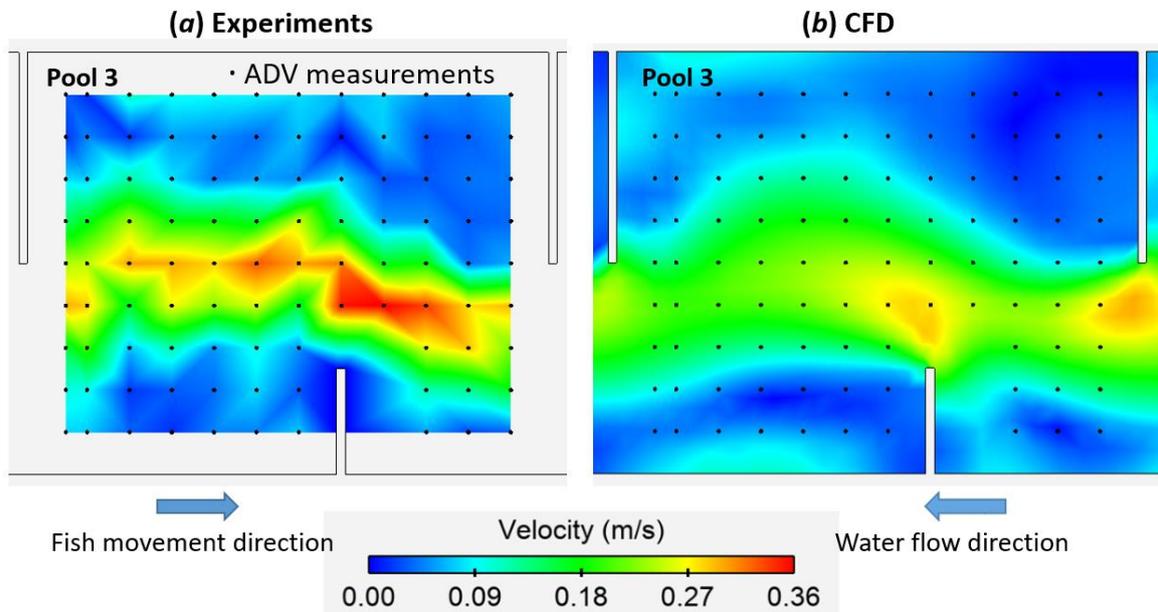
**Figure 2.** Computational domain and mesh.

206 The computation domain shown in Figure 2 is defined as the volume enclosed by the faces of  
 207 the flume walls, baffles, water inlet cross-section, water outlet cross-section, flume bottom, and water  
 208 surface. Because the head drop per pool is tiny, the water surface can be modelled as a smooth plane  
 209 parallel to the flume bottom with zero shear stress. At the water inlet surface, a uniform velocity  
 210 distribution is assigned, while at the water outlet surface, a pressure-outlet boundary condition is  
 211 applied. A total of 65 098 hexahedral cells are used to mesh the domain. The calculation is initiated  
 212 from a status of zero velocity everywhere in the domain. The timestep size is 0.01 second. When the

213 velocity at a predefined monitor point shows an oscillatory behaviour in time, the end of the  
 214 simulation has been reached. However, the simulation was extended for another 30 seconds, in order  
 215 to compare the results with the ADV measurements at least for a sampling period of 30 seconds at  
 216 steady flow state.

#### 217 2.4.4. Validation

##### 218 2.4.4.1 Velocity contours



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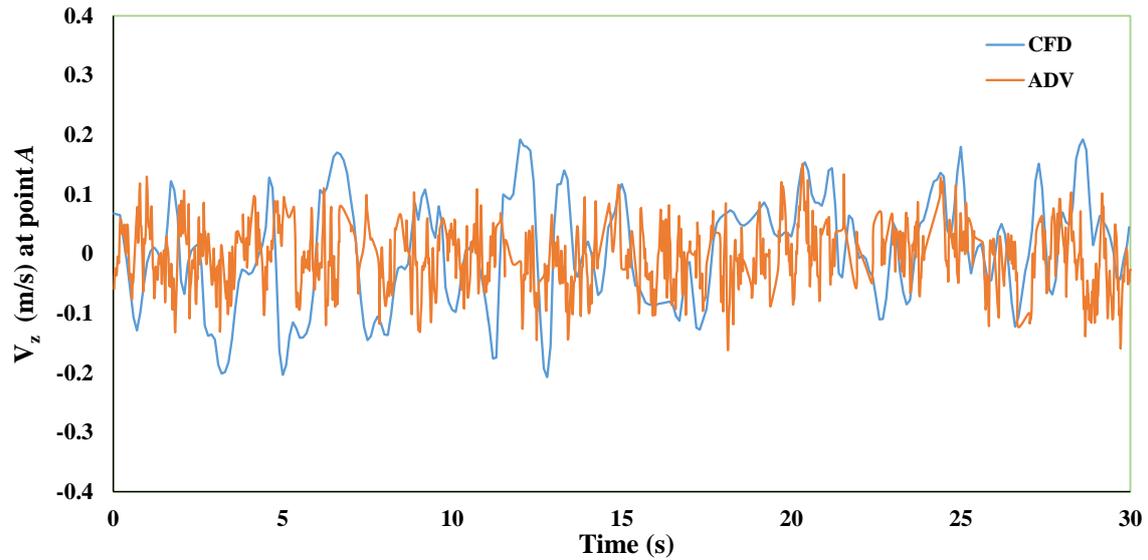
220 **Figure 3.** Velocity contours in the plane of  $z = 0.09\text{m}$ , black dots represent the ADV measurements. (a)  
 221 Contours made from discrete ADV measurements. The region near the wall is blank because there  
 222 are no measurements that could be used to interpolate contours. (b) Time-averaged velocity contours  
 223 made from CFD transient simulation series. The length of the averaging period is 30 seconds and the  
 224 time interval of the transient simulation series is 0.1s.

225 Velocity contours measured by ADV are plotted in Figure 3(a). At each ADV measurement, the  
 226 transient velocities are acquired at 50 Hz for a sampling period of 30 seconds. The contours from  
 227 discrete ADV measurements are shown in Figure 3(a). In contrast, the contours obtained by averaging  
 228 the transient velocity during 30 seconds through the CFD technique is shown in Figure 3(b). The flow  
 229 pattern and velocity magnitude of the experimental and computational results are close.

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##### 231 2.4.4.2 Point velocity

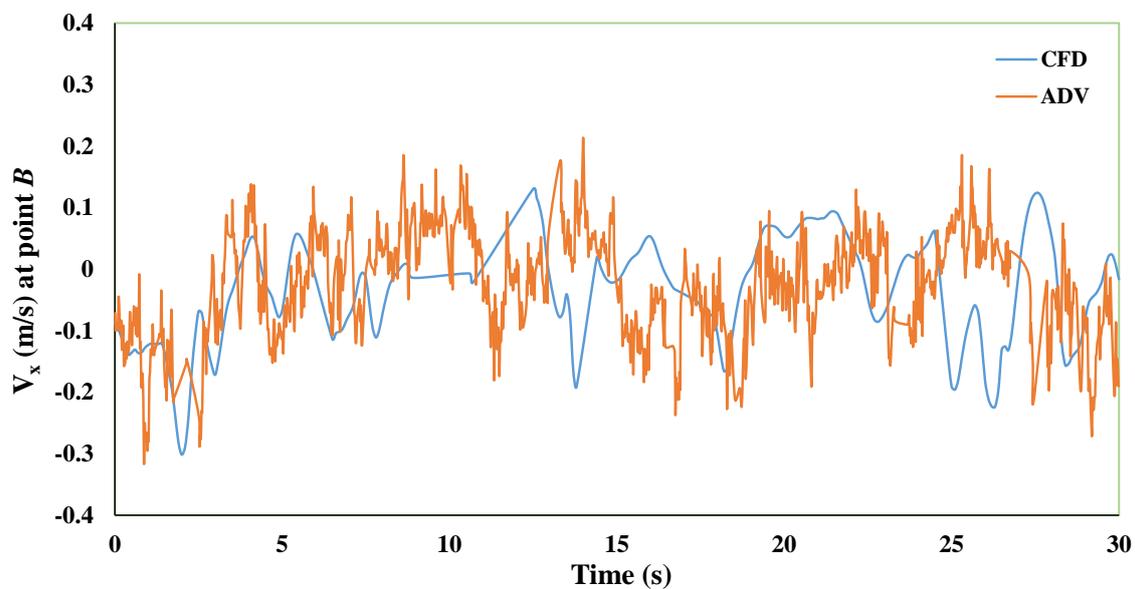
232 Velocity components measured at points *A* and *B* are shown in Figure 4. The fluctuation patterns  
 233 and amplitudes of velocity signals in *x*- and *z*-direction computed from CFD are very close to those  
 234 measured by ADV.



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(a)



237

238

(b)

239 **Figure 4.** Velocity components signal (50Hz) measured by ADV and simulated by the LES method in  
 240 two spatially separated points *A* and *B* in Pool 3, the locations of *A* and *B* are shown in Figure 1(c). (a)  
 241 Variation of  $V_z$  (velocity component in the *z*-direction) along time axis for 30s sampling time at point  
 242 *A*. (b) Variation of  $V_x$  (velocity component in the *x*-direction) along the time axis for 30s sampling time  
 243 at point *B*.

244 Based on the above comparisons, we conclude that the simulation results are in overall good  
 245 agreement with the experiments and could be used to further analysis.

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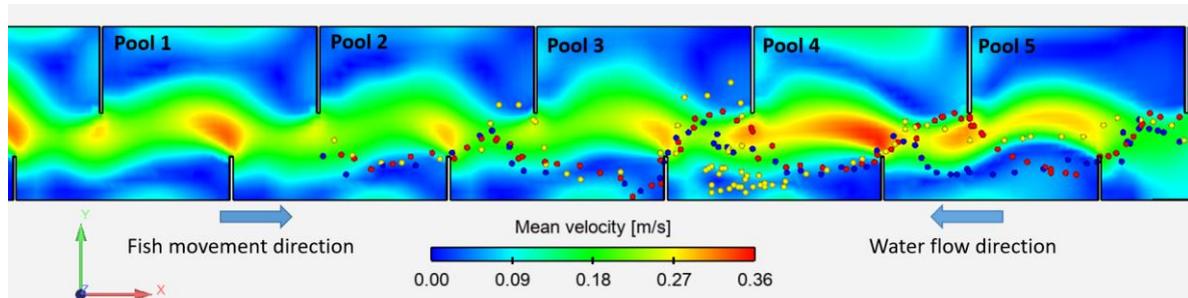
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250 **3. Results and discussion**

## 251 3.1. Mean flow

252 In the experiment, water depths are identical in the consecutive five pools. According to the  
 253 definition suggested by Rajaratnam et al. (1986) for the uniform flow in a fishway, we could regard  
 254 the flow as "steady and uniform," however if we carefully inspect the velocity contours shown in  
 255 Figure 5, the assertion of uniform flow is not accurate.

256



257

258 **Figure 5.** The randomness of fish trajectories observed during the fish tracking in an experimental  
 259 vertical slot fishway. The dots represent the live fish trajectories. Each color represents one individual  
 260 fish. The background is the mean velocity contours produced at the plane at  $0.3h_0$  from the fishway  
 261 bottom ( $h_0$  is the water depth in the fishway).

262 In Figure 5, the flow structures at the entrance and exit are quite different from those in the  
 263 middle part of the fishway, although all the pools have the same water depth. In many fishway  
 264 studies, researchers often adopted intermediate ponds as the representative case to describe the flow  
 265 structures, thereby ignoring the differences between the flow at the inlet and outlet. This overlook  
 266 will discount the application effects of the fishway since fish will take different paths in response to  
 267 varying flow patterns.

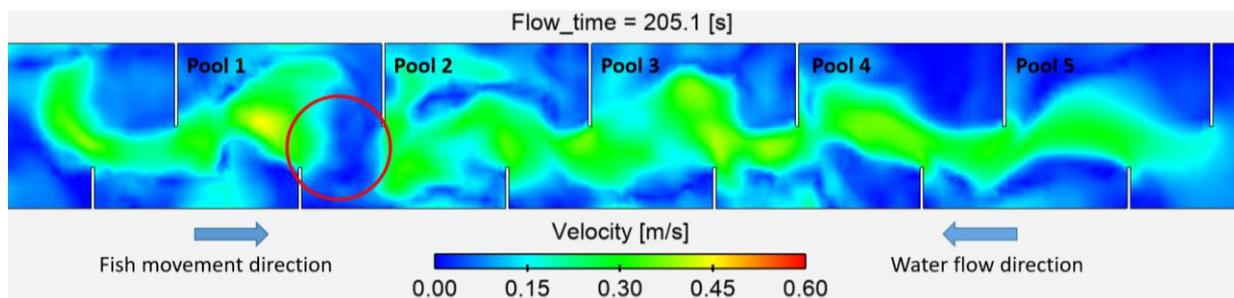
## 268 3.2. Velocity barrier

269 It is generally believed that the high velocity at the vertical slot of the fishway is always an  
 270 upstream obstacle, which is observed from the time-averaged speed. If we see the velocity at a slot  
 271 from the perspective of the transient flow field, the flow barrier at the slot does not always exist. At  
 272 certain moments, the flow rate at the vertical slot decreases to zero, as shown in Figure 6. Even at  
 273 certain moments, as shown in Figure 7, the direction of local water flow is in line with the ascending  
 274 path of the fish, which can also be partially verified from the velocity fluctuations seen in Figure 4(b),  
 275 i.e. the alternating sign of the velocity component.

276 The appearance of a "velocity barrier" varies over time, which may be an explanation of the  
 277 phenomenon that fish occasionally are wandering in a pool for quite a long time, and then suddenly  
 278 swim through consecutive pools in a row. Fish swimming behind the baffles may not always be  
 279 resting to recover. They could simply wait for the opportunities (windows) of "velocity barrier  
 280 disappearance," and then they could take the lucky moments to easier swim upstream.

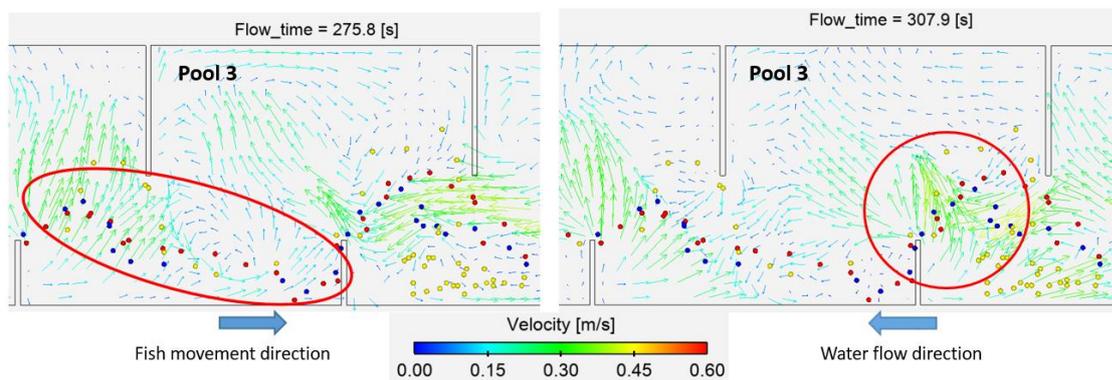
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284 **Figure 6.** At some instants, low-velocity areas appear in the fish passage, which the fish can use to aid  
 285 their ascent. For example, at time 205.1 seconds, the circled area has zero velocity. For an upstream  
 286 fish, the velocity barrier is temporarily removed, and the fish can move laterally in this area.



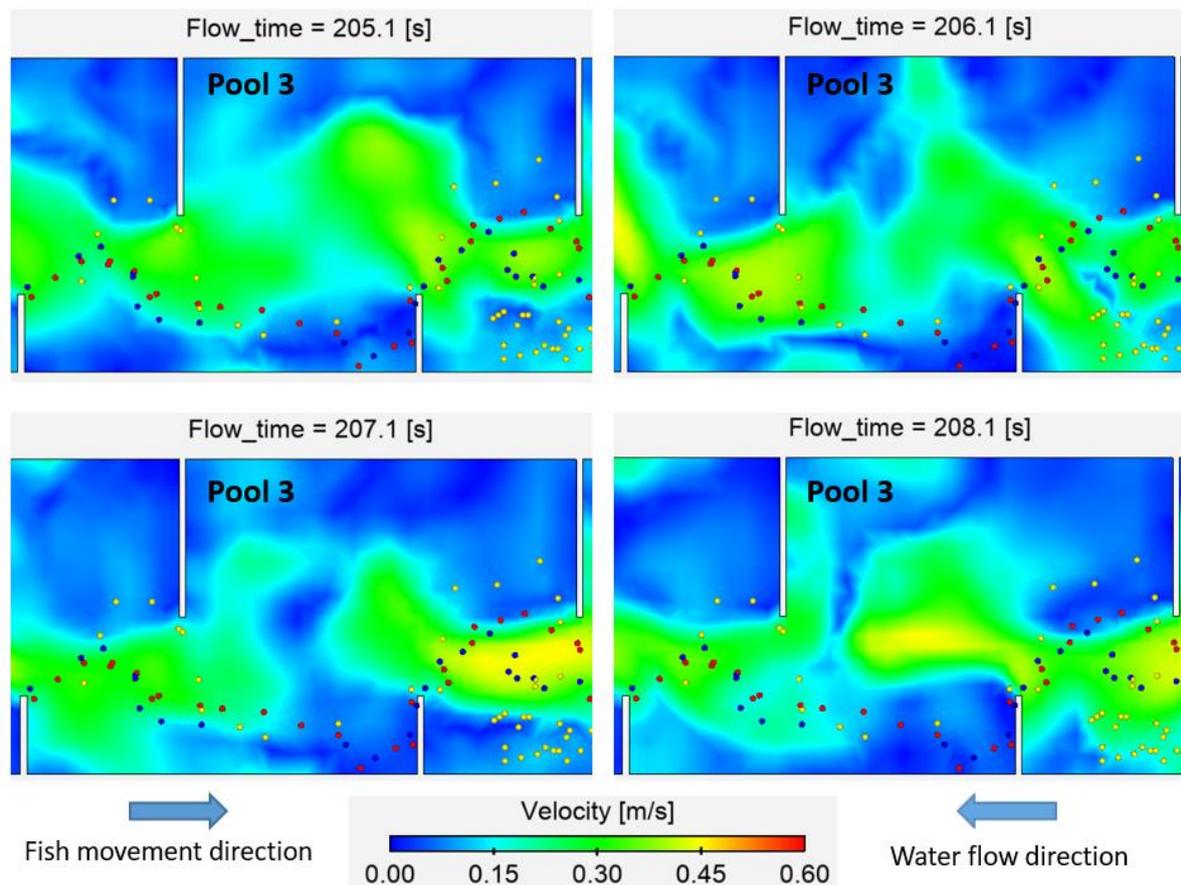
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288 **Figure 7.** At some moments, the local flow direction could point in the fish ascending direction, e.g.,  
 289 in the area circled, the fish may utilize the flow to "drift" themselves to the upstream locations without  
 290 consuming much energy.

### 291 3.3. Trajectory of fish

292 In Figure 5, although the fish paths were limited in some particular areas in the plane, the  
 293 trajectories show a characteristic randomness. One explanation for this kind of randomness, as  
 294 Farnsworth et al. (1999) pointed out, is that randomness is a fundamental feature of animal choices.  
 295 Additionally, we can see the typical practice of superposing the recorded live fish trajectories on the  
 296 mean flow fields, meanwhile in Figure 8, another method of superposing the recorded live fish  
 297 trajectories on the transient velocity contours obtained by CFD (specifically LES here). Both  
 298 approaches are not ideal analysis methods because both of them neglect the fact that live fish  
 299 trajectories primarily originate under unique turbulent flow conditions with specific vortical  
 300 structures at particular time series. In other words, an ideal analysis of fish behavior strictly requires  
 301 that a live fish trajectory and the corresponding flow environment should be recorded at exactly the  
 302 same time.

303 According to the random characteristics of the flow field seen in Figures 7 and 8, the randomness  
 304 of fish movement is likely to be the superposition of the randomness of the flow field and the  
 305 randomness of movement selection of aquatic animals. Further similar figures showing random  
 306 trajectories can be found in the works of Smith et al. (2005), Rodriguez et al. (2011), Puertas et al.  
 307 (2012), Rodriguez et al. (2015), and Goettel et al. (2015).



308

309 **Figure 8.** Velocity contours at different moments of time (205.1s, 206.1s, 207.1s, 208.1s) in the plane at  
 310  $z = 0.09\text{m}$  in pool 3, respectively. The dots represent the recorded live fish trajectories, separate colors  
 311 for each individual fish.

312 The discussion about the randomness of fish trajectories has practical implications for fish path  
 313 modelling. For example, if someone wants to simulate the fish paths based on superposing the  
 314 recorded live fish trajectories on the mean flow fields, a workaround is to introduce some random  
 315 functions or constants, such as advocated by Goodwin et al. (2006) and Gao et al. (2016). However,  
 316 the random functions or constants seriously depend on the specific cases, limiting their universality  
 317 in practical applications.

### 318 3.4. New requirement for experiments

319 Method II conquers the shortcoming of Method I by simultaneously obtaining precise fish  
 320 positions and the corresponding flow field around the fish. Furthermore, muscle activity (oxygen  
 321 consumption) could be additionally measured at the same time, to understand the energetic demands  
 322 (swimming costs) of fish under different turbulent flow conditions. Theoretically, this is an ideal  
 323 method to describe the fish movement. However, there are still many limitations to the application  
 324 of this method. For example, the illumination range of the laser sheet is narrow, there is a shadow on  
 325 the backlit side of the illuminated object, how to use a full set of measuring devices outdoor, whether  
 326 the laser sheet will affect the swimming behaviour and physiological indexes of fish. Those may be  
 327 the reasons why studies published in the literature using Method II are often limited to simple flow  
 328 fields. For example, research relevant to the motion of fish in a vortex street generated by a single  
 329 blunt body with simple geometry. Applications in fishways are rarely to be found.

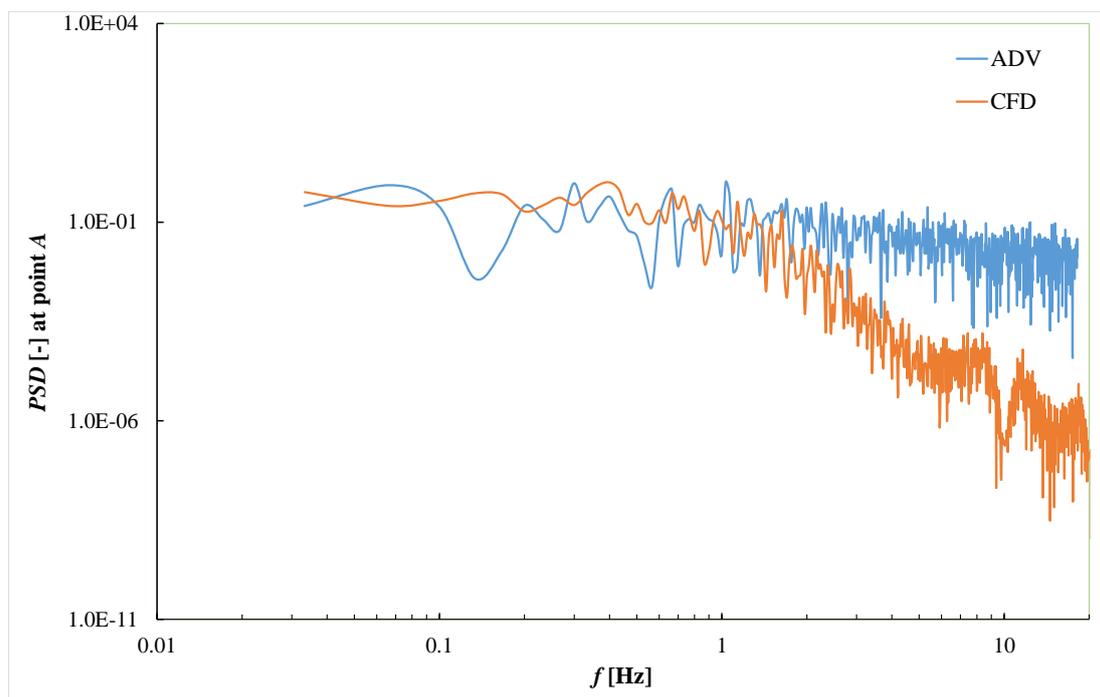
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## 332 3.5. How to use CFD tools

333 Fast Fourier Transform (FFT) converts data from the temporal domain to the frequency domain,  
334 which allows a direct comparison of different temporal series in the frequency domain. FFT is of  
335 particular interest in turbulence since an exact repetition of two temporal series representing the same  
336 turbulent flow phenomenon is impossible. So, we may speculate that FFT might possibly provide a  
337 new way to analyze and model the fishway flow field in the frequency domain. For example, a  
338 specific physical flow field, from which a live fish trajectory was obtained, may have highly similar  
339 spectral features as a computed flow field obtained by modern CFD. If we could obtain a  
340 comparatively stable relationship between the live fish trajectory and the spectrum of the physical  
341 flow field, then we can use the computed flow field to replace the physical flow field. This can be  
342 justified since the calculated flow field and the real flow field have similar spectral characteristics in  
343 the frequency domain. If this way is feasible, then we have a new design framework to extend an  
344 experimental or in situ study results to other scenarios.

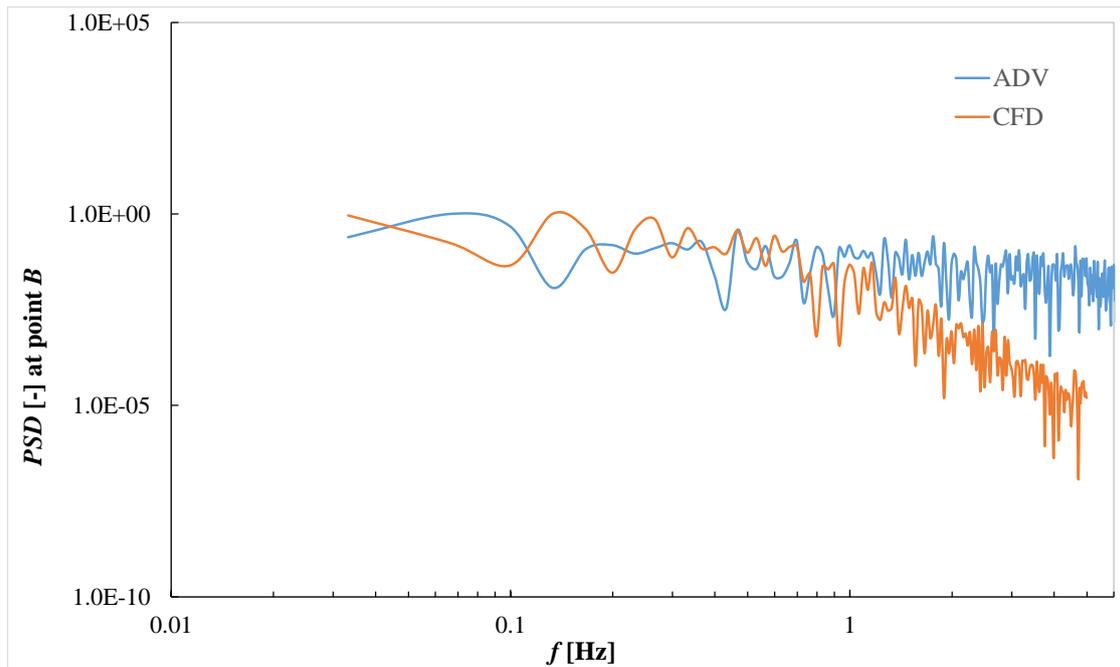
345 In Figure 9, the normalized Power Spectrum Density (PSD) of the velocity components at point  
346 *A* and *B* are shown, respectively. When the frequency is larger than 1~2Hz, the PSD curves computed  
347 by the numerical and experimental method are not overlapped anymore. Because LES filters out  
348 high-frequency oscillations according to the used cell size [equation 4], this model shows a difficulty  
349 in estimating the high-frequency oscillations. However, this can be remedied by adjusting and  
350 refining the cell size. Fish are sensitive to those vortices with a size larger or comparable to their body  
351 length (corresponding to a relatively low frequency), as shown in the work of Tritico and Cotel (2010).  
352 The small eddies are not needed to be precisely computed. Coincidentally in LES, those tiny eddies  
353 (corresponding to high frequencies) are also modelled or filtered out without computing.



354

355

(a)



356

357

(b)

358

**Figure 9.** Power spectral density (PSD) of (a)  $V_z$  at point A, and (b)  $V_x$  at point B.

359

In this paper, 65098 hexahedral cells are used to mesh the computational flow domain; this provides a relatively cheap cost compared to currently available computing conditions. Nevertheless, it is yet to be determined which frequencies or ranges of spectrum that are relevant for fish, which is only obtained in experiments.

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With the development of the CFD technique, for the sake of convenience, time discretization is usually dynamically controlled using the Courant number to guarantee computational stability. Still, the time step is also a sensitive parameter for fish movement description. Details of fish movement will likely be lost if a more significant time step is chosen, especially when they swim in specific flow patterns with a particular spatial-temporal scale. However, a smaller time step will consume more computer resources (computer time and storage) and usually produce an overwhelming amount of data; if we could not find an effective way to analyze such huge data sets, the data will be useless to us. So, the information process technique relevant to fish trajectory modelling is urgently needed in this field.

371

#### 372 4. Conclusion

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Reduced fish mortality (or improved fishway passage efficiency) is the end objective of almost all attempts to model fish trajectories. In the work of Roscoe et al. (2011), migration failure occurred in all sections of the migration route, including the fishway, which supports the hypothesis that dam/fishway passage has post-passage consequences on survival. So, the precise description of fish trajectories is a necessary and powerful tool to help us understand how fish suffer in this kind of hydraulic structures and why the paths are like that. However, an accurate description of a trajectory means not only obtaining precise fish positions but also corresponding measurements of the flow field around the fish.

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381

In this paper, we have demonstrated that method based only on time-averaged point values (by ADV measurements or numerical simulation) is a "have no alternative" way due to the limitations of currently available technology in this field. Readers should therefore adopt conclusions derived from this method in literature cautiously. It seems that "water preferences" should best be explained as that the fish utilized some flow structures along the trajectories to help themselves swim through the

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386 vertical slot fishway. A local transient planar or volumetric measurement of the flow field with  
387 simultaneous recording of fish movement is an urgent and critical demand. However, many  
388 challenges still exist in this technique. A CFD technique might provide a by-pass tool to understand  
389 the mechanisms of why fish trajectories have an appearance like that. Converting data from the  
390 temporal domain to the frequency domain and determining which frequencies or spectra are relevant  
391 for fish, may be a new approach in CFD to conquer the puzzle of how to reproduce the basic features  
392 of the target fish trajectory in different flow scenarios. However, the first step is to make sure the  
393 relationship between the frequency and the fish trajectory still starting from the challenging  
394 experiments using Method II.

395 The species selected here is merely an easily acquirable representative of fish, which is used to  
396 help to demonstrate that the simultaneous measurements of fish movement and the flow field around  
397 them are necessary for fish behaviour studies. Therefore, the statements of these thoughts do not  
398 depend on a specific species. In other words, using another species to repeat the experiment will only  
399 rich the proofs of our thoughts stated in this study.

400 Fish in a more natural status could make a more realistic response to hydraulic stimuli and  
401 produce a more realistic fish trajectory. Careful biological and environmental considerations for  
402 specimens should be included in this experiment, such as the light/dark cycle control and water  
403 composition. In the current study, we focused on evaluating the reasonability of the way of a  
404 superposing. A not so perfect (not the most natural) fish movement will not affect the conclusions of  
405 this study. However, in future more intensive research, the complete biologically and  
406 environmentally experimental conditions must be taken into account, in order to reproduce a more  
407 realistic fish trajectory in indoor experiments.

408 Despite the above difficulties, the future of these approached is promising, because, for a given  
409 fish species, their responses to specific stimuli or flow structures are unchanged. Once researchers  
410 grasp that constant information, we obtain a new general design tool, no matter how the flow  
411 conditions change with the variations in hydrology, geometry, or operation of fishway running. We  
412 just let the virtual fish swim in the numerical flow field. The trajectories will tell us which design or  
413 running conditions are better than the others.

414

415 **Ethics statement:** This study was conducted in strict accordance with the laws governing animal  
416 experimentation in China. The China Three Gorges University approved the protocol. All efforts  
417 were made to minimize suffering.

418

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427 **Supplements:** two YouTube videos of the transient velocity contours and vector fields in the  
428 plane of  $z = 0.09\text{m}$

429 <https://youtu.be/3rjW70yZh0g>

430 [https://youtu.be/UwAu\\_LqqquY](https://youtu.be/UwAu_LqqquY)

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