

## Article

# Evaluating the Safety and Potential Risks of Food Allergy of Silk Fibroin Derived from *Bombyx mori* Cocoons

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**Abstract:** Recent studies have demonstrated silk fibroin's ability to extend the shelf life of foods by mitigating the hallmarks of spoilage, namely oxidation and dehydration. Due to the potential for this protein to become more widespread, its safety was evaluated comprehensively. First, a bacterial reverse mutation test (Ames test) was conducted in five bacterial strains. Second, an *in vivo* erythrocyte test was conducted with Sprague Dawley rats at doses up to 1,000mg/kg-bw/day. Third, a range-finder study was conducted with Sprague Dawley rats at the highest consumption amount given solubility and oral gavage volume constraints (500mg/kg-bw/day). Fourth, a 28-day study in Sprague Dawley rats was conducted at the 500mg/kg-bw/day amount. Fifth, an *in vitro* pepsin digestion assay was performed to assess the potential for protein allergenicity. Sixth, allergenic potential was further assessed using liquid chromatography-mass spectroscopy for detection of allergenic insect proteins. Seventh, the protein sequences were subjected to bioinformatic analyses. Together, these studies raise no mutagenic, carcinogenic, toxicological, or allergenic concerns with the oral consumption of silk fibroin.

**Keywords:** *Bombyx mori*; silk fibroin; food safety; shelf-life; toxicity; mutagenicity; allergenicity

## 1. Introduction

The unique properties of silk fibroin have led to the broad investigation of its applicability and use in medical, cosmetic, and food industries. Recent developments have demonstrated the ability of silk fibroin to extend the shelf-life of various classes of foods by minimizing oxidation and dehydration [1,2]. The potential of this protein to reduce food waste and the corresponding likelihood of widespread consumption of silk fibroin in the context of extending the shelf-life of foods necessitates an evaluation of the potential risks.

Food waste has far-reaching socioeconomic and environmental implications that affect nations of all income levels, including food security, nutrition, and economic development. The Food and Agriculture Organization (FAO) of the United Nations estimates that over one-third of food produced globally each year is wasted, predominantly due to spoilage prior to consumption [3]. Nutrient-rich fresh foods are particularly susceptible to waste resulting from cold chain breaks, with an estimated 50% of produce and 30% of proteins wasted before reaching the end-consumer [3]. Further, the decomposition of wasted food and the subsequent energy and water inputs required for redundant production, processing, and distribution are severely detrimental to the environment.

Although the food packaging industry has shown moderate improvements over the last several decades, the cold chain and single-use plastics remain the industry standard [4-6]. Plastics demonstrate useful barrier properties for food preservation yet contribute roughly 26.8 million tons

to landfills yearly [7]. Conversely, sustainable plastic alternatives often lack the functionality and cost-effectiveness of traditional packaging [8-11]. Recently, naturally-occurring silk proteins, comprised mostly of silk fibroin protein, demonstrated the ability to form an edible barrier on the surface of food that reduces oxidation and moisture loss, key hallmarks of spoilage [12]. As a highly tunable biomaterial with unique mechanical properties, silk fibroin presents a promising solution for sustainable shelf-life extension of a wide range of food items [1,2].

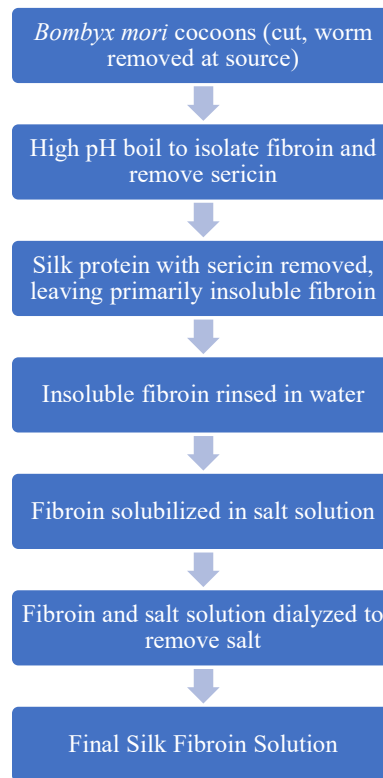
The unique properties of silk fibroin have led to the broad investigation of its applicability and use in medical, cosmetic, and food industries. Recent developments have demonstrated the ability of silk fibroin to extend the shelf-life of various classes of foods through minimizing oxidation and dehydration [1,2]. The potential of this protein to minimize food waste and the corresponding likelihood of widespread consumption of silk fibroin in the context of extending the shelf-life of foods necessitates an evaluation of the potential risks. Building upon the historical consumption of silk fibroin-containing foods (e.g. silkworms and their derivatives), this work evaluates the safety of silk fibroin using both *in vitro* and *in vivo* models [12-14].

To evaluate the potential genotoxicity of silk fibroin, an *in vitro* bacterial reverse mutation test (Ames test) and an *in vivo* mouse erythrocyte micronucleus test were performed [15,16]. Whole body dietary toxicity was evaluated using an *in vivo* 28-day oral feeding study in Sprague Dawley rats [17,18]. The potential allergenicity of silk fibroin was assessed following the guidelines of the CODEX Alimentarius Commission guidance for food crops developed using biotechnology [19]. An *in vitro* pepsin stability study was conducted to understand the susceptibility of fibroin to digestion in pepsin [20,21]. Two pepsin:protein ratios (10:1 and 1:1) were used based on published guidelines [20,21] and recent modifications requested by some regulators. The identity of proteins in the fibroin product were determined using liquid chromatography with tandem mass spectrometry ("LC-MS/MS") with untargeted methods to determine likely protein identity and content using databases of proteins from databases of the parent organism, *Bombyx mori*. Additional tests were performed using LC-MS/MS to determine what proteins are in the final products. The sequences of identified proteins were compared to known allergens using bioinformatics with the AllergenOnline.org database using FASTA3 and the National Center for Biotechnology Information ("NCBI") Protein database using BLASTP, paying attention to criteria described in the CODEX Alimentarius Guidelines for food safety of food products [19]. The results of these tests along with considerations of potential uses of this product to protect foods are evaluated through the course of these studies.

## 2. Materials and Methods

### 2.1 Test Substance Manufacturing & Evaluation

Silk fibroin protein was extracted from cut *Bombyx mori* ("*B. mori*") cocoons and manufactured as outlined in Figure 1. *B. mori* cocoons largely consist of two major proteins: (1) an insoluble fibroin protein and (2) a soluble sericin protein. The cocoons were first boiled in alkaline sodium carbonate solution to remove the soluble sericin. The insoluble fibroin was then removed from its container, washed in MilliQ water, and dried in room temperature conditions. The insoluble fibroin, commonly known as "degummed" fiber, was then dissolved in a highly concentrated chaotropic salt solution using a method adapted from Rockwood et al. with minimal modification [22]. The solubilized fibroin solution was then dialyzed in a water bath to remove the salt. Silk fibroin solution concentrations are determined using a bicinchoninic acid (BCA) protein assay with silk fibroin standard solutions (Advanced BioMatrix). Molecular weight of the silk fibroin solution may be determined via SDS-PAGE and subsequent Coomassie Blue staining.



**Figure 1.** Silk fibroin manufacturing process overview.

## 2.2 Bacterial Reverse Mutation Test (Ames Test)

To evaluate the potential mutagenicity of silk fibroin, an Ames test was conducted at Product Safety Laboratories, Inc. (PSL; Dayton, New Jersey) using *S. typhimurium* strains TA1535, TA1537, TA98, TA100, and *E. coli* strain WP2 uvrA, per OECD guideline 471 and complying with Good Laboratory Practice (GLP) [23].

Silk fibroin was tested at doses of 31.6, 100, 316, 1000, 10,000, 31,600, and 100,000 ug/plate. This was performed in the above strains with and without pre-incubation of doses (37°C for 30 min.) that were both metabolically inactivated and activated (via rat liver-derived S9 mix). S9 mix at 5% v/v S9 fraction was prepared day-of and kept chilled on ice. The sterile cofactors in the S9 mix included: 8mM MgCl<sub>2</sub>, 33 mM KCl, 100mM sodium phosphate buffer pH 7.4, 5 mM glucose-6-phosphate and 4 mM NADP [24]. Sterile water was used as the vehicle for silk fibroin as well as a negative control. Positive controls included the following: sodium azide (15 ug/mL in sterile water) for *S. typhimurium* TA100 and TA1535, ICR 191 acridine (10 ug/mL in sterile water) for *S. typhimurium* TA1537, daunomycin (60 ug/mL in sterile water) for *S. typhimurium* TA98, methyl methanesulfonate (25 uL/mL in sterile water) with *E. coli* WP2 uvrA, and 2-aminoanthracene (100 ug/mL in DMSO) for all strains tested. All positive control materials were obtained from Molecular Toxicology, Inc. and stored refrigerated until use. Standard plate incorporation technique was used for the experiment, in which the bacterial strain, test substance or positive/negative control, and buffer with or without S9 mix were mixed and poured on agar plate. This technique was modified for strains receiving pre-incubated doses, in which the above mixture is incubated at 37°C for 30 minutes prior to plating on agar. Each test parameter was tested in triplicate. Colonies were counted with a plate counter (Colony-Doc-It™). The mutation factor (MF) was calculated for each experimental group by dividing the mean revertant colony count by the mean revertant colony count for the corresponding vehicle control. A valid Ames test requires vehicle control plates to demonstrate abundant microscopic non-revertant bacterial colony growth. Strains treated with the vehicle were to have mean revertant

colony counts close to or within the expected laboratory historical control range and/or published values [25,26]. Positive controls should produce substantial increases in mean revertant colony count within the appropriate bacterial strain(s).

### 2.3 *In vivo* Erythrocyte Micronucleus Test (Flow Cytometry)

To evaluate potential genotoxicity of silk fibroin, an *in vivo* mouse erythrocyte micronucleus test was conducted at Product Safety Labs following OECD Guideline 474 and Complying with GLP. Mice for this study were supplied by Envigo Laboratories, Inc. and looked after in compliance with the latest Guide for the Care and Use of Laboratory Animals (Natl. Res. Council, 2011) [27,28]. Flow cytometry was conducted at Litron Laboratories (Rochester, NY) using their MicroFlow Basic-M Whole Blood kit.

Three groups of ten Swiss Albino (ICR) mice were used in this study. Each group consisted of five males and five females, ranging between 35-38 and 24-28 grams, respectively. Females were nulliparous and non-pregnant. Mice were selected for experimentation if they were free from clinical signs of disease or injury and had a body weight within 20% of the mean within a sex. Silk fibroin in distilled water was administered by oral gavage at a dose of 1,000 mg/kg/day in a volume of 20 mL/kg bodyweight for two days. The silk fibroin dosage was chosen as it was the maximum achievable dose level within the parameters of the test system. Distilled water served as the vehicle control (20 mL/kg bodyweight) and cyclophosphamide (40 mg/kg bodyweight) was the positive control. The test substance and negative control were administered on Days 1 and 2 of the study in divided doses, while the positive control was administered on Day 2 only.

Mice were group-housed in cages with solid bottoms and provided with bed-o'-cobs® bedding that was changed at least once per week. The animals were kept on a 12-hour light-dark cycle and given a six-day housing acclimation period prior to the start of testing. Throughout the duration of the study, animal room air changes were monitored 13 times per hour and the recorded temperature and relative humidity of the animal room ranged from 19-22°C and 50-58%, respectively. The mice were supplied ad libitum with food (Teklad Global 16% Protein Rodent Diet® #2016) and filtered water.

Mice were monitored for clinical observations throughout the duration of the study. Blood was collected via cardiac puncture 44-48 hours after the final dose was administered and after full anesthetization with carbon dioxide. Upon completion of sample collection, mice were euthanized via exsanguination. Flow cytometry was used to separate fluorescently-labeled erythrocytes (fluorescent labeled anti-CD71 antibody), platelets (fluorescent labeled anti-CD61 antibody), and DNA (propidium iodide, following RNase treatment). Immature and mature erythrocytes were distinguished as CD71+/CD61- cells and CD71-/CD61- cells, respectively. A minimum target of 4,000 polychromatic erythrocytes per animal was scored for incidence of micronucleated immature erythrocytes.

Analysis of variance (ANOVA) and Bonferroni correction using GraphPad Prism (v.5.03, GraphPad Software, San Diego, CA) were used to analyze flow cytometry data. The negative control group showed micronucleated immature erythrocyte (MIE) values close to or within the expected range from method- and laboratory-specific published control data. The positive control group displayed a distinct increase in MIE values with individual and mean values outside the historical control range for negative control animals. A result was deemed positive if there was a statistically significant ( $p < 0.05$ ) increase in MIE values when compared with the negative control group in the absence of cytotoxicity.

#### *2.4 Fourteen-Day Repeat Dose Oral Gavage Range-Finding Study*

A 14-day repeat dose study was used as a range-finder. This study was conducted at PSL according to OECD Guidelines for Testing of Chemicals, US EPA Health Effects Test Guidelines: OPPTS 870.3050, and US FDA Toxicological Principles for the Safety Assessment of Food Ingredients IV.C.4.a and was approved by the Institutional Animal Care and Use Committees (IACUC) of PSL [17,29,30]. PSL is Association for the Assessment and Accreditation of Laboratory Animal Care accredited and certified in the appropriate care of all live experimental animals and maintains current staff training, ensuring animals will be handled humanely during the experimental phase of this study in compliance with the National Research Council's 2011 Guide for the Care and Use of Laboratory Animals (8th ed.) [28].

Forty-four CRL Sprague Dawley CD IGS rats (22/sex) arrived at PSL from Charles River Laboratories, Raleigh, NC. The rats were designated by the supplier to be between six and seven weeks of age upon arrival and were acclimated for six days prior to testing. The animals were housed in a temperature- and humidity-controlled room at 19-22°C and 50-67%, respectively, under a standard 12-hour light-dark cycle. Food (Envigo Teklad Gloal 16% Protein Rodent Diet #2016) and filtered tap water were available ad libitum, except when fasted prior to euthanasia. No known food or water contaminants were found that could potentially interfere with the results of the study. Each animal was given a sequential number in addition to being uniquely identified with a Monel self-piercing stainless-steel ear tag.

Rats (5 per sex per group, for a total of 10 per group) were administered 0, 125, 250, or 500 mg/kg bodyweight/day of silk fibroin via oral gavage. The maximum dose for this study was limited by test substance solubility in the vehicle (water) for a single gavage.

Dose administration was daily for a period of at least 14 days at approximately the same time each day ( $\pm 2$  hours). Individual dosages were calculated based on the most recent body weights and were adjusted each week to maintain the targeted dose level for all rats (measured in mg/kg bodyweight/day). Animals were observed twice daily for viability. Cage-side observations were performed daily during the study. Individual body weights and food consumption were measured one day prior to study start and weekly thereafter. Food efficiency was also reported. At terminal sacrifice, all animals were weighed and euthanized by exsanguination from the abdominal aorta under isoflurane anesthesia. All animals in the study were subjected to a gross necropsy, which included examination of the external surface of the body, all orifices, musculoskeletal system, and the cranial, thoracic, abdominal, and pelvic cavities with their associated organs and tissues. All gross lesions were recorded.

#### *2.5 Twenty-Eight-Day Repeat-Dose Oral Toxicity Study*

A 28-day oral toxicity study was performed at PSL in accordance with the same regulations and procedures outlined in the 14-day study. Animal supplier and feed formulation were also the same.

Eighty rats (10 per sex per group, for a total of 20 per group) were administered 0, 125, 250, or 500 mg/kg bodyweight/day of silk fibroin via oral gavage. The maximum dose was determined based on the lack of adverse clinical observations when used during the 14-day study. As previously stated, the maximum dose was limited by test substance solubility in the vehicle (water) and single gavage volume.

Dose administration was daily for a period of at 28 days at approximately the same time each day ( $\pm 2$  hours). Individual dosages were calculated based on the most recent body weights and were adjusted each week to maintain the targeted dose level for all rats (measured in mg/kg bodyweight/day).



Animals were observed twice daily for viability. Cage-side observations were performed daily during the study. Individual body weights and food consumption were measured one day prior to study start and weekly thereafter. Food efficiency was also reported. At terminal sacrifice, all animals were weighed and euthanized by exsanguination from the abdominal aorta under isoflurane anesthesia. All animals in the study were subjected to a gross necropsy, which included examination of the external surface of the body, all orifices, musculoskeletal system, and the cranial, thoracic, abdominal, and pelvic cavities with their associated organs and tissues. All gross lesions were recorded.

Selected tissues and organs were weighed wet immediately after dissection to avoid drying, including adrenals (combined), brain, epididymides (combined), kidneys (combined), liver, heart, spleen, thymus, testes (combined), uterus, ovaries without oviducts. Histological examination was performed on the preserved organs and tissues of the animals from both the control and high dose groups. The fixed tissues were trimmed, processed, embedded in paraffin, sectioned with a microtome, placed on glass microscope slides, stained with hematoxylin and eosin and examined by light microscope. Histology preparation and analysis was performed by a board-certified pathologist at Histo-Scientific Research Laboratories (HSRL).

Pathology was performed for clinical chemistry, hematology, and coagulation at necropsy. Blood was collected via the inferior vena cava: approximately 500  $\mu$ L of blood were collected in a pre-calibrated tube containing K2EDTA for hematology assessments. The whole blood samples were stored under refrigeration and transferred on cold packs. Hematology included erythrocyte count (RBC), hemoglobin concentration (HGB), hematocrit (HCT), mean corpuscular volume (MCV), mean corpuscular hemoglobin (MCH), red cell distribution width (RDW), absolute reticulocyte count (ARET), platelet count (PLT), total white blood cell (WBC) and differential leukocyte count; mean corpuscular hemoglobin concentration (MCHC) was also calculated. Separate blood smears, stained with New Methylene Blue or Wright-Giemsa stain, were prepared from each animal to substantiate hematology findings. Approximately 1.8 mL of blood was collected in a pre-calibrated tube containing 3.2% sodium citrate for coagulation analysis. These samples were centrifuged and the plasma stored in a -80°C freezer until analysis. Coagulation included prothrombin time (PT) and activated partial thromboplastin time (APTT). Approximately 1000  $\mu$ L of blood were collected in a tube containing no preservative for clinical chemistry assessments. These samples were centrifuged, and the serum stored in a -80°C freezer until analysis. Clinical chemistry included serum aspartate aminotransferase (AST), serum alanine aminotransferase (ALT), sorbitol dehydrogenase (SDH), alkaline phosphatase (ALKP), total bilirubin (TBIL), urea nitrogen (BUN), blood creatinine (CREAT), total cholesterol (CHOL), triglycerides (TRIG), fasting glucose (GLUC), total serum protein (TP), albumin (ALB), globulin (GLOB), calcium (CA), inorganic phosphorus (PHOS), sodium (NA), potassium (K), chloride (CL).

Animals were fasted overnight prior to urine collection and samples were refrigerated until analysis. Urinalysis included quality (QUAL), pH, ketone (UKET), color (UCOL), glucose (UGLU), bilirubin (UBIL), clarity (UCLAR), specific gravity (SG), blood (UBLO), volume (UVOL), protein (UPRO), urobilinogen (URO) and microscopic urine sediment examination.

## 2.6 Digestion & Allergenicity Studies

### 2.6.1 Pepsin Digestion

To determine whether silk fibroin contains digestion-resistant polypeptides that might elicit an allergic response, an *in vitro* digestion assay at pH 2 in simulated gastric fluid (SGF) at pepsin:protein ratios of 10:1 and 1:1 ( $\text{U } \mu\text{g}^{-1}$ ) followed by visualization via SDS-PAGE [20,21] was performed. Stock solutions of pepsin were prepared at  $1.05 \times 10^6$  units/mL (for 10:1) and  $1.05 \times 10^5$  units/mL (for 1:1) in simulated gastric fluid (SGF), respectively. A silk fibroin stock was prepared

42 mg/mL in sterile water. To begin digestion, 1.43 mL (60 mg) of silk fibroin stock was combined with 570  $\mu$ L of the relevant pepsin stock and placed in a pre-heated incubator set to 37°C and 120 RPM. Samples of 0.2 mL were taken at 0, 2, 5, 10, 20, 30, and 60 minutes. Controls to assess pepsin auto-digestion (pepsin without silk fibroin) and the thermal stability of the test substance (silk fibroin without pepsin) were tested at 0 and 60 minutes. All samples were collected in vials containing 70  $\mu$ L of 0.7 M sodium carbonate and 70  $\mu$ L of 5x Laemmli loading buffer. Samples were vortexed and heated at a temperature greater than 80°C for 10 minutes to inactivate pepsin prior to electrophoresis at 350  $\mu$ g/well. After electrophoresis, the gels were stained using Coomassie Blue for visualization. To assess the extent of digestion, a reference gel with wells containing 350  $\mu$ g silk fibroin (corresponding to 0% digestion), 175  $\mu$ g (50% digestion), 87.5  $\mu$ g (75% digestion), 43.8  $\mu$ g (87.5% digestion), 21.9  $\mu$ g (93.7% digestion), 10.9  $\mu$ g (96.9% digestion), 5.5  $\mu$ g (98.4%), and 2.7  $\mu$ g (99.2%) was run. As a positive control, bovine serum albumin (BSA) was subjected to digestion by pepsin in SGF at the same ratios as silk fibroin, 10:1 and 1:1.

## 2.6.2 Identification & Evaluation of Potential Allergens

Four samples, representative of silk fibroin and potential manufacturing contaminants, were evaluated for allergenic potential. These samples included the *B. mori* pupa, cocoon, degummed silk fibroin, and silk fibroin powder. Samples were sent to the Harvard Center for Mass Spectrometry Proteomics Laboratory for sample preparation and analysis via mass spectroscopy (MS). To prepare cocoon, degummed silk fibroin, and silk fibroin powder product samples, 20  $\mu$ L of dialyzed material at (14 mg/mL, for a total of approximately 280  $\mu$ g of protein) was reduced, alkylated, and digested by trypsin using a FASP protocol. Samples were dried and resuspended in 30  $\mu$ L of 0.1 % trifluoroacetic acid (TFA). 5 mL of this solution was injected for MS analysis (Orbitrap Elite Hybrid Ion Trap-Orbitrap Mass Spectrometer using a WATERS Aquity #186008795 nanoEase M/Z Peptide BEH column). The *B. mori* pupa sample was prepared by combining Covaris DF buffer (containing urea) with the pupa sample (sample 1 = 7.6 mg, sample 2 = 6.62 mg) to give a 1% w/v solution, and then sonicated using a Covaris S220 shearing device. Samples (1 = 76  $\mu$ g, 2 = 66  $\mu$ g) of the resulting extract were used in FASP and analyzed as described above. An estimated 2.5  $\mu$ g of pupa material was injected.

The raw mass spectrometry data (Thermo.raw files) used for analysis in this experiment were provided by the Harvard Center for Mass Spectrometry Proteomics Laboratory. Two separate sets of MS analysis were performed in separate experiments. In total, there are 3 MS runs of pupa material, 1 run of cocoon material, 1 run of degummed fibroin product and 3 runs of fibroin powder product.

Data were analyzed using PEAKS version 8.5. Full settings used are available upon request. Data were taken directly from PEAKS and label-free data was exported for analysis in MS Excel. This study considers only data relevant to designated proteins of interest, as outlined below.

The -10logP score is a measure of protein identification used by PEAKS software. The P-value is converted to -10\*log<sub>10</sub>(P-value) for ease of understanding. A more significant match will have a higher -10logP value. Additionally, a P-value of 1% is equivalent to -10logP of 20. This score is dependent upon the database used as well as the number and quality of peptide spectra. The number of peptides identified for each protein sequence is given here as an additional measure of identification quality. Human Proteome Organization (HUPO)–Proteomics Standards Initiative (PSI) demand at least two unique peptides for a protein identification.

## 2.6.3 Bioinformatics & Literature Searches

Literature searches and bioinformatics evaluations for possible risks of allergy were performed using the amino acid sequences of the identified, intended proteins that represent fibroin, and proteins that have been suggested as possible allergens from the adult insect or pupae. Bioinformatics methods

include Full-length FASTA3, sliding 80mer window FASTA3 and eight-amino acid identity matches using AllergenOnline.org, version 20 as described for transgenic proteins in bacterial wilt-resistant bananas [31]. The primary concern for allergy is whether the proteins are known to be allergens or are sufficiently sequence identical to an allergen to suspect possible IgE cross-reactivity. Additional searches were performed with BLASTP using the NCBI Protein database to confirm those findings.

### 3. Results

#### 3.1 Bacterial Reverse Mutation Test (Ames Test)

Results are indicative of mutagenicity if three criteria were met: (1) a substantial increase in revertant colony counts as defined by  $MF > 2$  for bacterial strains TA98, TA100, and WP2 uvrA and  $MF > 3$  for bacterial strains TA1535 and TA1537; (2) the mean MF value(s) must lie outside the historical laboratory control range; and (3) the increase in revertant colony counts is dose related and/or reproducible, where increases are obtained at more than one experimental point.

The mean, standard deviation, and mutation factor for each set of triplicate plates are found in Tables 1A-1E. In the case of each strain treated with the vehicle, the mean revertant colony counts were close to or within the expected range, considering the laboratory historical control range and/or published values in Table S1 of the Supplemental Information [25]. In each phase of the test, the positive control substances caused the expected substantial increases in revertant colony counts in both the absence and presence of S9 mix. The validity of the test is demonstrated through this confirmation of both the sensitivity of the test and the activity of the S9 mix.

**Table 1A.** Mean revertant colony counts of bacterial strain TA1535  $\pm$  SD (Ames test)

Treatment	Dose, $\mu\text{g}/\text{plate}$	Plate Incorporation Method				Pre-Incubation Method			
		-S9		+S9		-S9		+S9	
		Count	MF	Count	MF	Count	MF	Count	MF
Sterile Water	N/A	12 $\pm$ 6.1	1.00	9 $\pm$ 2.3	1.00	12 $\pm$ 5.3	1.00	13 $\pm$ 3.8	1.00
Silk Fibroin	31.6	13 $\pm$ 1.2	1.08	13 $\pm$ 4.9	1.44	14 $\pm$ 2.6	1.17	10 $\pm$ 2.6	0.77
Silk Fibroin	100	13 $\pm$ 2.0	1.08	9 $\pm$ 5.5	1.00	9 $\pm$ 3.5	0.75	9 $\pm$ 0.6	0.69
Silk Fibroin	316	12 $\pm$ 1.5	1.0	13 $\pm$ 1.0	1.44	11 $\pm$ 2.1	0.92	12 $\pm$ 0.6	0.92
Silk Fibroin	1000	15 $\pm$ 1.5	1.25	11 $\pm$ 3.2	1.22	12 $\pm$ 2.5	1.00	12 $\pm$ 2.9	0.92
Silk Fibroin	3160	12 $\pm$ 0.6	1.00	12 $\pm$ 4.2	1.33	16 $\pm$ 2.9	1.33	8 $\pm$ 0.6	0.62
Silk Fibroin	10000	15 $\pm$ 7.0	1.25	10 $\pm$ 3.2	1.11	13 $\pm$ 1.5	1.08	12 $\pm$ 2.9	0.92
Silk Fibroin	31600	10 $\pm$ 1.2	0.83	16 $\pm$ 2.1	1.78	15 $\pm$ 7.8	1.25	11 $\pm$ 5.2	0.85
Silk Fibroin	100000	17 $\pm$ 4.5	1.42	10 $\pm$ 4.5	1.11	11 $\pm$ 2.1	0.92	15 $\pm$ 3.2	1.15
Sodium Azide	1.5	807 $\pm$ 30.4	67.25	–	–	805 $\pm$ 11.7	67.08	–	–
2-AA	10	–	–	412 $\pm$ 44.5	45.78	–	–	347 $\pm$ 19.2	26.69

n = 3; SD, standard deviation; count, revertant colony counts per plate; MF, mutation factor;  $\pm$ S9, with/without activation factor S9; 2-AA, 2-aminoanthracene

<sup>U</sup>2 replicates due to U – contamination, plate unreadable



**Table 1B.** Mean revertant colony counts of bacterial strain *TA1537* ± SD (Ames test)

Treatment	Dose, µg/plate	Plate Incorporation Method				Pre-Incubation Method			
		-S9		+S9		-S9		+S9	
		Count	MF	Count	MF	Count	MF	Count	MF
Sterile Water	N/A	12 ± 2.1	1.00	14 ± 3.5	1.00	16 ± 3.5	1.00	14 ± 4.6	1.00
Silk Fibroin	31.6	15 ± 3.6	1.25	16 ± 2.3	1.14	15 ± 4.0	0.94	11 ± 2.3	0.79
Silk Fibroin	100	13 ± 5.1	1.08	14 ± 3.5	1.00	11 ± 1.2	0.69	12 ± 2.1	0.86
Silk Fibroin	316	12 ± 2.3	1.00	16 ± 2.9	1.14	14 ± 3.8	0.88	15 ± 2.5	1.07
Silk Fibroin	1000	7 ± 2.3	0.58	12 ± 1.7	0.86	18 ± 7.5	1.13	17 ± 1.4 <sup>U</sup>	1.21
Silk Fibroin	3160	10 ± 4.7	0.83	14 ± 1.5	1.00	12 ± 0.6	0.75	17 ± 4.2	1.21
Silk Fibroin	10000	15 ± 2.6	1.25	13 ± 4.0	0.93	17 ± 2.3	1.06	18 ± 3.2	1.29
Silk Fibroin	31600	16 ± 3.0	1.33	13 ± 3.8	0.93	18 ± 4.7	1.13	15 ± 5.1	1.07
Silk Fibroin	100000	20 ± 2.9	1.67	21 ± 4.0	1.50	18 ± 4.0	1.13	14 ± 2.5	1.00
ICR191 acridine	1.5	287 ± 52.7	23.92	–	–	5948 ± 379.9	371.75	–	–
2-AA	10	–	–	243 ± 85.9	17.36	–	–	233 ± 37.2	–

n = 3; SD, standard deviation; count, revertant colony counts per plate; MF, mutation factor; ±S9, with/without activation factor S9; 2-AA, 2-aminoanthracene

<sup>U</sup>2 replicates due to U – contamination, plate unreadable

**Table 1C.** Mean revertant colony counts of bacterial strain *TA98* ± SD (Ames test)

Treatment	Dose, µg/plate	Plate Incorporation Method				Pre-Incubation Method			
		-S9		+S9		-S9		+S9	
		Count	MF	Count	MF	Count	MF	Count	MF
Sterile Water	N/A	24 ± 1.2	1.00	28 ± 4.9	1.00	21 ± 1.2	1.00	27 ± 4.9	1.00
Test Substance	31.6	23 ± 2.5	0.96	23 ± 1.2	0.82	23 ± 3.8	1.10	25 ± 1.5	0.93
Test Substance	100	22 ± 4.0	0.92	27 ± 5.6	0.96	20 ± 5.1	0.95	26 ± 3.1	0.96
Test Substance	316	22 ± 2.6	0.92	29 ± 5.5	1.04	23 ± 2.3	1.10	23 ± 3.1	0.85
Test Substance	1000	24 ± 10.0	1.00	29 ± 4.0	1.04	22 ± 3.5	1.05	24 ± 5.7	0.89
Test Substance	3160	26 ± 3.6	1.08	25 ± 5.7	0.89	31 ± 3.8	1.48	27 ± 2.6	1.00
Test Substance	10000	20 ± 4.5	0.83	28 ± 3.1	1.00	21 ± 6.9	1.00	34 ± 3.5	1.26
Test Substance	31600	21 ± 4.2	0.88	23 ± 2.3	0.82	23 ± 1.5	1.10	24 ± 2.1	0.89
Test Substance	100000	26 ± 4.0	1.08	29 ± 5.9	1.04	21 ± 1.5	1.00	25 ± 4.0	0.93
Daunomycin	1.5	1346 ± 63.4	56.08	–	–	876 ± 14.6	41.71	–	–
2-AA	10	–	–	3205 ± 300.9	114.46	–	–	3512 ± 354.0	130.07

n = 3; SD, standard deviation; count, revertant colony counts per plate; MF, mutation factor; ±S9, with/without activation factor S9; 2-AA, 2-aminoanthracene

**Table 1D.** Mean revertant colony counts of bacterial strain *TA100* ± SD (Ames test)

Treatment	Dose, µg/plate	Plate Incorporation Method				Pre-Incubation Method			
		-S9		+S9		-S9		+S9	
		Count	MF	Count	MF	Count	MF	Count	MF
Sterile Water	N/A	89 ± 8.1	1.00	110 ± 5.6	1.00	115 ± 27.2	1.00	121 ± 27.0	1.00
Test Substance	31.6	122 ± 8.4	1.37	113 ± 13.3	1.03	91 ± 15.5	0.79	122 ± 10.0	1.01
Test Substance	100	101 ± 16.6	1.13	117 ± 7.0	1.06	99 ± 4.6	0.86	126 ± 7.9	1.04
Test Substance	316	112 ± 6.5	1.26	106 ± 11.1	0.96	107 ± 17.6	0.93	133 ± 13.1	1.10
Test Substance	1000	95 ± 10.5	1.07	117 ± 10.1	1.06	124 ± 2.1	1.08	113 ± 16.6	0.93
Test Substance	3160	107 ± 3.6	1.20	102 ± 7.2	0.93	110 ± 12.6	0.96	111 ± 2.0	0.92
Test Substance	10000	112 ± 11.8	1.26	122 ± 16.4	1.11	116 ± 9.5	1.01	115 ± 3.0	0.95
Test Substance	31600	107 ± 15.0	1.20	117 ± 16.5	1.06	103 ± 9.5	0.90	104 ± 11.9	0.86
Test Substance	100000	105 ± 10.0	1.18	124 ± 16.5	1.13	105 ± 8.7	0.91	108 ± 12.9	0.89
Sodium azide	1.5	696 ± 36.1	7.82	–	–	476 ± 37.2	4.14	–	–
2-AA	10	–	–	3812 ± 184.2	34.65	–	–	2708 ± 245.0	22.38

n = 3; SD, standard deviation; count, revertant colony counts per plate; MF, mutation factor; ±S9, with/without activation factor S9; 2-AA, 2-aminoanthracene

**Table 1E.** Mean revertant colony counts of bacterial strain *EC WP2 uvrA* ± SD (Ames test)

Treatment	Dose, µg/plate	Plate Incorporation Method				Pre-Incubation Method			
		-S9		+S9		-S9		+S9	
		Count	MF	Count	MF	Count	MF	Count	MF
Sterile Water	N/A	38 ± 3.1 <sup>c</sup>	1.00	45 ± 5.9	1.00	38 ± 4.0	1.00	42 ± 2.1	1.00
Test Substance	31.6	38 ± 3.5	1.00	43 ± 3.2	0.96	44 ± 2.9	1.16	49 ± 2.5	1.17
Test Substance	100	35 ± 4.7	0.92	39 ± 7.8	0.87	38 ± 2.1	1.00	46 ± 4.9	1.10
Test Substance	316	41 ± 4.4	1.08	43 ± 2.6	0.96	34 ± 5.6	0.89	45 ± 8.3	1.07
Test Substance	1000	44 ± 7.5	1.16	43 ± 6.5	0.96	32 ± 4.7	0.84	40 ± 2.3	0.95
Test Substance	3160	37 ± 6.6	0.97	37 ± 6.7	0.82	37 ± 4.2	0.97	39 ± 5.3	0.93
Test Substance	10000	35 ± 6.8	0.92	50 ± 6.5	1.11	35 ± 5.0	0.92	52 ± 6.1	1.24
Test Substance	31600	35 ± 10.8	0.92	41 ± 2.6	0.91	37 ± 7.0	0.97	41 ± 6.8	0.98
Test Substance	100000	41 ± 6.1	1.08	48 ± 3.0	1.07	36 ± 2.1	0.95	44 ± 2.5	1.05
MMS	1.5	881 ± 58.0	23.18	–	–	457 ± 3.5	12.03	–	–
2-AA	10	–	–	117 ± 2.5	2.60	–	–	111 ± 8.9	2.64

n = 3; SD, standard deviation; count, revertant colony counts per plate; MF, mutation factor; ±S9, with/without activation factor S9; MMS, methyl methanesulfonate; 2-AA, 2-aminoanthracene

<sup>c</sup> – contamination, did not obscure count

Contamination that did not obscure mean revertant colony counts was noted on a singular plate in the vehicle control for the *E. coli* strain in the plate incorporation method. Contamination that did obscure plate counts was noted in two individual plates: strain TA1535 at a dose of 3160 µg/plate using the standard incorporation method and strain TA1537 at a dose of 1000 µg/plate using the pre-incubation method. None of the three individual contaminated plates impacted mutagenicity evaluation in this study as they did not meet the predefined criteria for a positive result. Additionally, no signs of precipitation or toxicity were noted in any of the strains.

There were no concentration-related or substantial test substance-related increases in the number of revertant colonies observed with strains TA1535, TA1537, TA98, TA100 or *E. Coli* WP2 *uvrA* in both the absence and presence of S9 using either the plate incorporation or the pre-incubation method.

Based on these results, silk fibroin did not elicit any evidence of bacterial mutagenicity in the Bacterial Reverse Mutation (Ames) Test.

3.2 *In Vivo* Mouse Erythrocyte Micronucleus Test (Flow Cytometry)

Mean animal body weights (Table 2) and cage-side observations, a summary of average percent micronucleated reticulocytes (% MN-RET), percent of reticulocytes (% RET), and percent micronucleated normochromatic erythrocytes (% MN-NCE) (Table 3A-3C), dot plots of representative blood samples for both male and female mice (Figures 2A and 2B, respectively), and relevant historical control data (Supplemental Information Tables S2 and S3) [32-34]. The negative control group showed micronucleated immature erythrocyte values consistent with PSL historical data and the positive control caused a statistically significant increase in MIE with individual and mean values consistent with PSL historical data and outside of the historical control range for negative control animals. All results were within the expected ranges for both the positive and negative controls.

**Table 2.** Mean body weight ± SD, g (mouse erythrocyte micronucleus test)

Group	M	F
Vehicle control, 0 mg/kg/day	37.0 ± 1.0	26.0 ± 0.7
Test substance, 1000 mg/kg/day	37.0 ± 0.7	27.0 ± 1.0
Positive control, 40 mg/kg/day	36.0 ± 1.0	26.2 ± 1.5

n = 5; SD, standard deviation; SEM, standard error of mean; M, male; F, female

**Table 3A.** Summary of average percent micronucleated reticulocytes (% MN-RET)

% MN-RET	Mean ± SEM		p-value	
Group	M	F	M	F
Vehicle control, 0 mg/kg/day	0.12 ± 0.01	0.11 ± 0.01	–	–
Test substance, 1000 mg/kg/day	0.12 ± 0.02	0.14 ± 0.01	ns	ns
Positive control, 40 mg/kg/day	2.06 ± 0.35	1.41 ± 0.15	p < 0.001	p < 0.001

n = 5; ns, not significant (p > 0.05)

% MN-RET, frequency (%) of positive CD71 micronucleated reticulocytes (i.e., micronucleated immature erythrocytes [MIEs]); SEM, standard error of mean; M, male; F, female

**Table 3B.** Percent of reticulocytes (% RET)

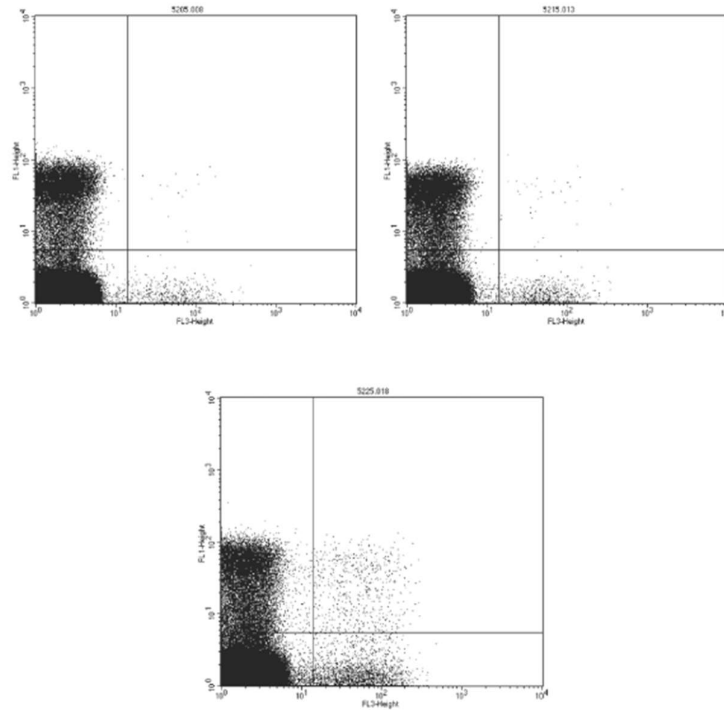
% RET			Mean ± SEM		<i>p</i> -value	
Group			M	F	M	F
Vehicle	control,	0	1.88 ± 0.12	1.52 ± 0.10	–	–
mg/kg/day						
Test	substance,	1000	1.55 ± 0.14	1.42 ± 0.21	ns	ns
mg/kg/day						
Positive	control,	40	0.62 ± 0.08	0.42 ± 0.08	<i>p</i> < 0.001	<i>p</i> < 0.001
mg/kg/day						

n = 5; ns, not significant (*p* > 0.05)  
% RET, frequency (%) of CD71 positive reticulocytes; SEM, standard error of mean; M, male; F, female

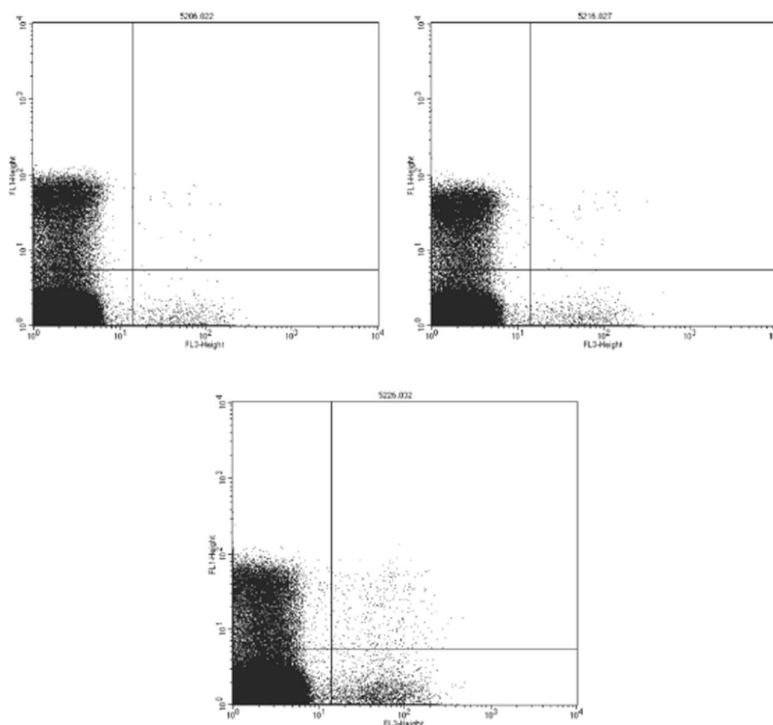
**Table 3C.** Percent micronucleated normochromatic erythrocytes (% MN-NCE)

% MN-NCE		Mean ± SEM		<i>p</i> -value	
Group		M	F	M	F
Vehicle control, 0					
mg/kg/day		0.11 ± 0.01	0.09 ± 0.01	–	–
Test substance, 1000					
mg/kg/day		0.11 ± 0.01	0.13 ± 0.01	ns	ns
Positive control, 40					
mg/kg/day		0.14 ± 0.02	0.13 ± 0.01	ns	ns

n = 5; ns, not significant (*p* > 0.05)  
% MN-NCE, frequency (%) of micronucleated normochromatic erythrocytes; SEM, standard error of mean; M, male; F, female



**Figure 2A.** Three Representative Bivariates (Male). These representative bivariates of test facility submitted Samples 5205, 5215, and 5225 illustrate the resolution of the various erythrocyte populations in mouse peripheral blood: Lower Left quadrant = NCE [cells which are low in green and red fluorescence]; Lower Right = MN-NCE [cells high in red (PI) fluorescence]; Upper Left = CD71 positive RET [cells with green (CD71) fluorescence]; Upper Right = CD71 positive MN-RET [cells with both red and green fluorescence; the population of primary interest for this analysis].



**Figure 2B.** Three Representative Bivariates (Female). These representative bivariates of test facility submitted Samples 5206, 5216, and 5226 illustrate the resolution of the various erythrocyte populations in mouse peripheral blood: Lower Left quadrant = NCE [cells which are low in green and red fluorescence]; Lower Right = MN-NCE [cells high in red (PI) fluorescence]; Upper Left = CD71 positive RET [cells with green (CD71) fluorescence]; Upper Right = CD71 positive MN-RET [cells with both red and green fluorescence; the population of primary interest for this analysis].

All animals survived administration of the negative control, test substance, or positive control. The initial body weights of all animals were within + 20% of the mean for each sex. No clinical observations were noted in any animal during the study.

Under the conditions of this study, silk fibroin at the maximum dose of 1000 mg/kg bodyweight/day did not induce micronucleus formation in the immature erythrocytes of the mouse. As such, silk fibroin is not considered to be genotoxic with respect to micronucleus induction in the *in vivo* Mouse Erythrocyte Micronucleus Test (Flow Cytometry).

### 3.3 Fourteen-Day Repeat Dose Oral Gavage Range-Finding Study

A 14-day toxicity study was performed in rats to evaluate the clinical effects and establish a maximum tolerated dose of repeated silk fibroin dosing. The test substance was administered in doses of 0, 125, 250, and 500 mg/kg-bw/day (Groups 1-4, respectively). All animals survived test substance administration. There were no clinical observations considered related to test substance administration. Incidental clinical observations included: superficial eschar on the face of one of five Group 2 males and a lesion on the tail of one of five Group 4 males. Neither of these findings was deemed to be test substance related.



No macroscopic findings were noted in necropsy, which included examination of the external surface of the body, all orifices, musculoskeletal system, and the cranial, thoracic, abdominal, and pelvic cavities with their associated organs and tissues.

Overall and mean weekly body weights (Table 4A) and mean daily body weight gain (Table 4B) for treated male and female rats in Groups 2-4 were comparable to their respective control Group 1 values throughout the study. Mean daily food consumption (Table 4C) and mean food efficiency (Table D) for treated male and female rats in Groups 2-4 were comparable to control Group 1 values on Days 8-15. Under the conditions of the study and based on the toxicological endpoints evaluated, male and female Sprague Dawley rats are expected to tolerate dose levels of 500 mg/kg bodyweight/day of silk fibroin in a study of longer duration.

**Table 4A.** Mean body weight  $\pm$  SD, g (14-day study)

SF dose	0 mg/kg bw/day		125 mg/kg bw/day		250 mg/kg bw/day		500 mg/kg bw/day	
Day	M	F	M	F	M	F	M	F
1	236.2 $\pm$ 16.7	151.2 $\pm$ 5.5	237.0 $\pm$ 18.9	151.4 $\pm$ 15.0	233.4 $\pm$ 18.4	152.6 $\pm$ 8.0	236.6 $\pm$ 15.4	154.2 $\pm$ 7.0
8	289.2 $\pm$ 18.3	176.8 $\pm$ 8.6	281.0 $\pm$ 25.3	169.4 $\pm$ 12.7	311.4 $\pm$ 40.6	177.2 $\pm$ 11.3	295.4 $\pm$ 16.1	181.0 $\pm$ 7.4
15	344.8 $\pm$ 30.6	200.6 $\pm$ 11.6	331.8 $\pm$ 36.7	196.2 $\pm$ 9.7	344.0 $\pm$ 29.6	198.4 $\pm$ 13.2	351.6 $\pm$ 21.1	204.8 $\pm$ 9.9

n = 20; SD, standard deviation; SF, silk fibroin; M, male; F, female

**Table 4B.** Mean body weight gain  $\pm$  SD, g/day (14-day study)

SF dose	0 mg/kg bw/day		125 mg/kg bw/day		250 mg/kg bw/day		500 mg/kg bw/day	
Day	M	F	M	F	M	F	M	F
1 $\rightarrow$ 8	7.57 $\pm$ 2.27	3.66 $\pm$ 0.59	6.29 $\pm$ 1.33	2.57 $\pm$ 1.10	11.14 $\pm$ 6.35	3.51 $\pm$ 0.58	8.40 $\pm$ 0.40	3.83 $\pm$ 0.23
8 $\rightarrow$ 15	7.94 $\pm$ 2.20	3.40 $\pm$ 0.55	7.26 $\pm$ 2.48	3.83 $\pm$ 0.80	4.66 $\pm$ 7.42	3.03 $\pm$ 0.36	8.03 $\pm$ 0.88	3.40 $\pm$ 0.57

n = 20; SD, standard deviation; SF, silk fibroin; M, male; F, female

**Table 4C.** Mean daily food consumption  $\pm$  SD, g/day (14-day study)

SF dose	0 mg/kg bw/day		125 mg/kg bw/day		250 mg/kg bw/day		500 mg/kg bw/day	
Day	M	F	M	F	M	F	M	F
1 $\rightarrow$ 8	35.03 $\pm$ 10.99	38.54 $\pm$ 7.39	34.63 $\pm$ 12.99	38.43 $\pm$ 7.17	34.17 $\pm$ 14.45	37.40 $\pm$ 6.74	37.97 $\pm$ 12.42	26.60 <sup>a</sup> $\pm$ 9.22
8 $\rightarrow$ 15	35.77 $\pm$ 11.62	19.17 $\pm$ 0.89	26.80 $\pm$ 0.40	17.71 $\pm$ 0.72	24.94 $\pm$ 5.40	19.11 $\pm$ 0.81	29.20 $\pm$ 1.49	23.03 <sup>a</sup> $\pm$ 3.81

n = 20; SD, standard deviation; SF, silk fibroin; M, male; F, female

<sup>a</sup>p < 0.05

**Table 4D.** Mean food efficiency  $\pm$  SD, mg/kg bw/day (14-day study)

SF dose	0 mg/kg bw/day		125 mg/kg bw/day		250 mg/kg bw/day		500 mg/kg bw/day	
Day	M	F	M	F	M	F	M	F
1 $\rightarrow$ 8	0.243 $\pm$	0.098 $\pm$	0.193 $\pm$	0.066 <sup>a</sup> $\pm$	0.393 $\pm$	0.095 <sup>a</sup> $\pm$	0.242 $\pm$	0.159 <sup>a</sup> $\pm$
	0.118	0.025	0.048	0.027	0.320	0.019	0.078	0.056
8 $\rightarrow$ 15	0.229 $\pm$	0.178 $\pm$	0.271 $\pm$	0.216 <sup>a</sup> $\pm$	0.167 $\pm$	0.159 <sup>a</sup> $\pm$	0.276 $\pm$	0.148 <sup>a</sup> $\pm$
	0.059	0.030	0.093	0.046	0.320	0.022	0.034	0.018

n = 20; SD, standard deviation; SF, silk fibroin; M, male; F, female

<sup>a</sup>p < 0.05

### 3.4 Twenty-Eight-Day Repeat-Dose Oral Toxicity Study

Following the 14-day study, an expanded 28-day study was performed to evaluate toxicity of repeated silk fibroin consumption. Due to the lack of adverse clinical effects at all dose ranges in the 14-day study, a maximum tolerated dose could not be established. The same dose range was therefore used in the 28-day study: 0, 125, 250, and 500 mg/kg bodyweight/day (Groups 1-4, respectively).

All animals survived test substance administration and there were no unscheduled deaths among the animals submitted for histopathological evaluation. The gross findings at terminal sacrifice were considered incidental, of the nature commonly observed in rats, and/or were of similar incidence in control and dosed rats. Incidental observations included: macroscopic unilateral (right) small and/or flaccid testes correlated microscopically with testicular atrophy in one Group 1 and one Group 2 males; macroscopic findings of small right epididymis that microscopically correlated with epididymal atrophy in one Group 1 male. The macroscopic findings were not considered related to administration of silk fibroin.

No silk fibroin-related microscopic findings were noted in terminal sacrifice animals. The microscopic findings observed were considered incidental (background findings), of the nature commonly observed in rats, and/or were of similar incidence and severity in the control and dosed animals and were not considered related to the administration of silk fibroin.

Overall and mean weekly body weights (Table 5A) and mean daily body weight gain (5B) for treated male and female rats in Groups 2-4 were comparable to their respective control Group 1 values throughout the study. Mean daily food consumption (Table 6A) and mean food efficiency (Table 6B) for treated male and female rats in Groups 2-4 were comparable to control Group 1 values throughout the study. Hematology and coagulation (Table 7A), serum chemistry (Table 7B), and urinalysis results (Table 7C) values fell within expected ranges and raise no concern regarding the effects of ingested silk fibroin.

**Table 5A.** Mean body weight  $\pm$  SD, g (28-day study)

SF dose	0 mg/kg bw/day		125 mg/kg bw/day		250 mg/kg bw/day		500 mg/kg bw/day	
Day	M	F	M	F	M	F	M	F
1	231.9 $\pm$ 12.5	204.2 $\pm$ 13.9	232.2 $\pm$ 12.3	204.9 $\pm$ 12.8	233.0 $\pm$ 13.6	205.3 $\pm$ 13.3	231.7 $\pm$ 12.6	205.9 $\pm$ 11.8
8	276.6 $\pm$ 17.3	224.3 $\pm$ 14.2	282.2 $\pm$ 11.6	222.6 $\pm$ 16.7	284.5 $\pm$ 16.0	226.4 $\pm$ 16.0	289.9 $\pm$ 16.9	221.4 $\pm$ 14.8
15	332.1 $\pm$ 17.4	243.2 $\pm$ 16.0	327.5 $\pm$ 14.2	240.9 $\pm$ 23.7	335.2 $\pm$ 14.4	241.5 $\pm$ 18.0	340.8 $\pm$ 20.1	239.7 $\pm$ 16.2
22	380.2 $\pm$ 26.5	256.3 $\pm$ 17.2	372.8 $\pm$ 15.6	252.4 $\pm$ 26.1	379.1 $\pm$ 18.9	257.0 $\pm$ 19.9	392.6 $\pm$ 20.5	255.7 $\pm$ 21.5
29	428.6 $\pm$ 26.9	268.6 $\pm$ 18.4	410.1 $\pm$ 18.7	267.0 $\pm$ 30.1	416.6 $\pm$ 18.4	269.3 $\pm$ 23.9	438.2 $\pm$ 22.3	273.2 $\pm$ 25.3

n = 20; SD, standard deviation; SF, silk fibroin; M, male; F, female

**Table 5B.** Mean body weight gain  $\pm$  SD, g/day (28-day study)

SF dose	0 mg/kg bw/day		125 mg/kg bw/day		250 mg/kg bw/day		500 mg/kg bw/day	
Day	M	F	M	F	M	F	M	F
1 $\rightarrow$ 8	6.39 $\pm$ 1.52	2.87 $\pm$ 0.82	7.14 $\pm$ 1.23	2.53 $\pm$ 0.96	7.36 $\pm$ 0.84	3.01 $\pm$ 0.68	8.31 <sup>b</sup> $\pm$ 1.17	2.21 $\pm$ 1.07
8 $\rightarrow$ 15	7.93 $\pm$ 2.14	2.70 $\pm$ 1.42	6.47 $\pm$ 0.62	2.61 $\pm$ 1.38	7.24 $\pm$ 0.92	2.16 $\pm$ 1.11	7.27 $\pm$ 1.03	2.61 $\pm$ 1.07
15 $\rightarrow$ 22	6.87 $\pm$ 1.93	1.87 $\pm$ 1.37	6.47 $\pm$ 1.49	1.64 $\pm$ 1.25	6.27 $\pm$ 0.93	2.21 $\pm$ 0.91	7.40 $\pm$ 0.74	2.29 $\pm$ 1.25
22 $\rightarrow$ 29	6.91 $\pm$ 1.55	1.76 $\pm$ 1.35	5.33 <sup>a</sup> $\pm$ 0.81	2.09 $\pm$ 1.03	5.36 <sup>a</sup> $\pm$ 0.93	1.76 $\pm$ 1.16	6.51 $\pm$ 1.42	2.50 $\pm$ 1.65

n = 20; SD, standard deviation; SF, silk fibroin; M, male; F, female; <sup>a</sup>p < 0.05; <sup>b</sup>p < 0.01

**Table 6A.** Mean daily food consumption  $\pm$  SD, g/day (28-day study)

SF dose	0 mg/kg bw/day		125 mg/kg bw/day		250 mg/kg bw/day		500 mg/kg bw/day	
Day	M	F	M	F	M	F	M	F
1 $\rightarrow$ 8	24.69 <sup>b</sup> $\pm$ 1.60	20.23 $\pm$ 1.23	25.00 $\pm$ 1.09	19.71 $\pm$ 0.86	25.89 $\pm$ 1.71	19.77 $\pm$ 1.46	26.67 $\pm$ 1.27	20.90 $\pm$ 1.60
8 $\rightarrow$ 15	28.06 $\pm$ 1.83	21.26 $\pm$ 1.39	27.34 $\pm$ 0.71	21.01 $\pm$ 0.77	28.93 $\pm$ 1.34	20.43 $\pm$ 1.53	28.77 $\pm$ 1.46	21.43 $\pm$ 0.71
15 $\rightarrow$ 22	28.49 $\pm$ 1.87	19.87 $\pm$ 1.26	27.61 $\pm$ 2.08	19.44 $\pm$ 1.66	28.29 $\pm$ 1.12	19.71 $\pm$ 1.29	29.54 $\pm$ 0.96	20.96 $\pm$ 1.75
22 $\rightarrow$ 29	29.34 $\pm$ 2.35	20.04 $\pm$ 1.58	27.33 <sup>a</sup> $\pm$ 1.14	19.89 $\pm$ 1.40	28.49 $\pm$ 1.05	20.06 $\pm$ 1.51	30.46 $\pm$ 1.29	21.94 <sup>a</sup> $\pm$ 1.53

n = 20; SD, standard deviation; SF, silk fibroin; M, male; F, female

<sup>a</sup>p < 0.05

<sup>b</sup>p < 0.01

**Table 6B.** Mean food efficiency  $\pm$  SD, mg/kg/day (28-day study)

SF dose	0 mg/kg bw/day		125 mg/kg bw/day		250 mg/kg bw/day		500 mg/kg bw/day	
Day	M	F	M	F	M	F	M	F
1 $\rightarrow$ 8	0.258 $\pm$	0.142 $\pm$	0.286 $\pm$	0.131 $\pm$	0.284 $\pm$	0.152 $\pm$	0.311 $\pm$	0.106 $\pm$
	0.055	0.041	0.048	0.052	0.028	0.033	0.038	0.050
8 $\rightarrow$ 15	0.281 $\pm$	0.128 $\pm$	0.237 $\pm$	0.125 $\pm$	0.250 $\pm$	0.104 $\pm$	0.252 $\pm$	0.122 $\pm$
	0.062	0.068	0.025	0.067	0.029	0.050	0.030	0.047
15 $\rightarrow$ 22	0.239 $\pm$	0.092 $\pm$	0.235 $\pm$	0.081 $\pm$	0.222 $\pm$	0.113 $\pm$	0.250 $\pm$	0.109 $\pm$
	0.060	0.065	0.058	0.061	0.035	0.047	0.022	0.061
22 $\rightarrow$ 29	0.236 $\pm$	0.087 $\pm$	0.196 $\pm$	0.106 $\pm$	0.189 $\pm$	0.085 $\pm$	0.213 $\pm$	0.111 $\pm$
	0.054	0.067	0.036	0.053	0.036	0.052	0.042	0.067

n = 20; SD, standard deviation; SF, silk fibroin; M, male; F, female

**Table 7A.** Hematology and coagulation, mean  $\pm$  SD (28-day study)

SF dose	0 mg/kg bw/day		125 mg/kg bw/day,		250 mg/kg bw/day		500 mg/kg bw/day	
Parameter	M	F	M	F	M	F	M	F
RBC, $\times 10^6/\mu\text{L}$	8.287 $\pm$	8.349 $\pm$	8.341 $\pm$	8.363 $\pm$	8.245 $\pm$	8.216 $\pm$	7.909 $\pm$	8.265 $\pm$
	0.3027	0.4709	0.6971	0.6045	0.5894	0.6070	0.4059	0.3784
HGB, g/dL	15.73 $\pm$	15.46 $\pm$	15.67 $\pm$	15.35 $\pm$	15.93 $\pm$	15.23 $\pm$	15.38 $\pm$	15.32 $\pm$
	0.403	0.789	0.998	0.902	0.641	1.215	0.553	0.646
HCT, %	52.35 $\pm$	50.81 $\pm$	52.21 $\pm$	50.19 $\pm$	52.72 $\pm$	49.67 $\pm$	51.02 $\pm$	50.92 $\pm$
	1.855	2.824	4.273	3.273	2.391	4.283	2.825	1.712
MCV, fL	63.39 $\pm$	60.86 $\pm$	62.61 $\pm$	60.08 $\pm$	64.22 $\pm$	60.44 $\pm$	64.56 $\pm$	61.65 $\pm$
	2.197	0.947	1.149	1.483	1.825	1.308	1.366	2.022
MCH, pg	19.00 $\pm$	18.51 $\pm$	18.83 $\pm$	18.35 $\pm$	19.35 $\pm$	18.53 $\pm$	19.45 $\pm$	18.55 $\pm$
	0.680	0.328	0.680	0.570	0.747	0.442	0.570	0.595
RDW, %	12.21 $\pm$	11.30 $\pm$	12.10 $\pm$	11.01 $\pm$	11.99 $\pm$	11.35 $\pm$	12.48 $\pm$	11.20 $\pm$
	0.396	0.422	0.356	0.351	0.532	0.430	0.567	0.467
ARET, $\times 10^3/\mu\text{L}$	226.6 $\pm$	180.7 $\pm$	206.4 $\pm$	167.9 $\pm$	224.4 $\pm$	178.4 $\pm$	243.2 $\pm$	176.2 $\pm$
	36.52	44.86	29.50	25.32	28.11	38.27	52.97	36.59

PLT, ×10 <sup>3</sup> /μL	1182.1±	1092.7±	1192.6±	1084.3±	984.3 ±	1015.4±	1142.8±	975.7 ±
	114.77	133.06	90.93	82.26	335.49	160.48	110.00	207.49
WBC, ×10 <sup>3</sup> /μL	9.836 ±	7.684 ±	9.670 ±	7.788 ±	8.643 ±	6.465 ±	8.978 ±	7.404 ±
	2.2150	2.3797	2.9527	1.6943	1.6761	0.9602	1.9173	2.1553
MCHC, g/dL	30.01 ±	30.14 ±	30.00 ±	30.52 ±	30.18 ±	30.61 ±	27.42 ±	30.09 ±
	0.540	0.583	0.751	0.569	0.437	0.507	8.459	0.458
APTT, sec	16.5 ±	16.1 ±	16.8 ±	16.2 ±	18.7 ±	15.9 ±	18.5 ±	16.4 ±
	1.24	1.27	3.09	1.12	3.03	1.19	3.77	1.46
PT, sec	9.6 ±	9.1 ±	9.5 ±	9.0 ±	9.4 ±	9.1 ±	9.6 ±	9.0 ±
	0.28	0.24	0.23	0.16	0.60	0.16	0.25	0.18

n = 40; SD, standard deviation; SF, silk fibroin; M, male; F, female; RBC, erythrocyte count; HGB, hemoglobin concentration; HCT, hematocrit; MCV, mean corpuscular volume; MCH, mean corpuscular hemoglobin; RDW, red cell distribution width; ARET, absolute reticulocyte count; PLT, platelet count; WBC, total white blood cell; MCHC, mean corpuscular hemoglobin concentration; APTT, activated partial thromboplastin time; PT, prothrombin time

**Table 7B.** Serum chemistry, mean ± SD (28-day study)

SF dose	0 mg/kg bw/day		125 mg/kg bw/day		250 mg/kg bw/day		500 mg/kg bw/day	
Parameter	M	F	M	F	M	F	M	F
AST (U/L)	80.0 ±	82.9 ±	75.5 ±	80.7 ±	65.7 ±	102.2 ±	68.1 ±	94.9 ±
	14.89	29.00	14.95	22.62	13.17	67.16	9.40	28.86
ALT (U/L)	27.100 ±	27.700 ±	28.500 ±	23.200 ±	24.000 ±	37.300 ±	24.900 ±	44.100 ±
	6.2796	7.1964	6.0964	4.2111	5.2493	28.4412	4.2282	39.1619
SDH (U/L)	9.28 ±	7.36 ±	7.85 ±	5.65 ±	8.83 ±	18.16 ±	9.96 ±	13.69 ±
	2.033	2.878	2.618	2.170	2.503	30.935	3.302	12.405
ALKP (U/L)	132.3 ±	62.6 ±	151.1 ±	64.3 ±	133.5 ±	65.1 ±	112.7 ±	70.8 ±
	27.24	20.72	23.82	16.59	25.71	16.91	24.14	20.66
TBIL (mg/dL)	0.066 ±	0.077 ±	0.062 ±	0.085 ±	0.064 ±	0.082 ±	0.070 ±	0.090 ±
	0.0097	0.0106	0.0155	0.0190	0.0126	0.0175	0.0226	0.0330
BUN (mg/dL)	12.8 ±	13.9 ±	13.3 ±	15.1 ±	12.6 ±	14.0 ±	12.8 ±	14.3 ±
	1.48	2.02	1.34	2.08	1.90	2.11	0.92	1.34
CREA (mg/dL)	0.163 ±	0.201 ±	0.170 ±	0.230 ±	0.174 ±	0.199 ±	0.170 ±	0.225 ±
	0.0231	0.0357	0.0452	0.0488	0.0443	0.0387	0.0346	0.0433
CHOL (mg/dL)	56.9 ±	78.2 ±	60.9 ±	75.1 ±	63.1 ±	72.3 ±	56.0 ±	75.0 ±
	14.69	15.82	10.21	19.81	10.65	12.23	14.35	19.76
TRIG (mg/dL)	45.5 ±	45.4 ±	40.7 ±	37.9 ±	43.6 ±	42.2 ±	52.4 ±	41.3 ±
	9.99	16.63	9.73	12.99	12.02	9.82	19.07	17.70
GLUC (mg/dL)	135.2 ±	140.6 ±	113.4 ±	121.8 ±	119.3 ±	131.6 ±	123.4 ±	121.8 ±
	36.30	36.79	25.50	41.74	31.11	31.39	22.19	35.93
TP (g/dL)	5.72 ±	6.93 ±	5.83 ±	6.74 ±	5.88 ±	6.49 ±	5.77 ±	6.84 ±
	0.405	0.593	0.327	0.595	0.301	0.821	0.564	0.585
ALB (g/dL)	3.64 ±	4.86 ±	3.76 ±	4.68 ±	3.78 ±	4.50 ±	3.76 ±	4.69 ±
	0.347	0.548	0.227	0.527	0.322	0.655	0.344	0.398

GLOB (g/dL)	2.08 ±	2.07 ±	2.07 ±	2.06 ±	2.10 ±	1.99 ±	2.01 ±	2.15 ±
	0.235	0.125	0.125	0.237	0.082	0.360	0.251	0.276
CA (mg/dL)	10.54 ±	10.46 ±	10.46 ±	10.30 ±	10.22 ±	10.07 ±	10.16 ±	10.51 ±
	0.826	1.256	0.648	0.874	0.877	0.815	0.785	1.064
PHOS (mg/dL)	9.47 ±	7.88 ±	9.61 ±	8.25 ±	9.30 ±	7.88 ±	9.46 ±	8.37 ±
	0.732	0.666	1.119	1.033	0.847	1.214	0.738	1.024
Na (mmol/L)	140.4 ±	133.5 ±	140.9 ±	134.4 ±	139.7 ±	136.4 ±	140.0 ±	136.1 ±
	3.41	6.00	3.00	6.00	2.75	6.20	3.46	5.26
K (mmol/L)	6.997 ±	6.432 ±	7.426 ±	7.130 ±	7.499 ±	7.561 ±	7.076 ±	7.022 ±
	1.0184	0.6382	1.6202	1.9689	0.8967	2.4808	0.9773	1.1857
Cl (mmol/L)	98.67 ±	94.73 ±	99.18 ±	95.67 ±	98.57 ±	97.98 ±	98.60 ±	96.78 ±
	2.320	4.513	2.523	5.265	1.754	3.721	2.720	3.192

n = 40; SD, standard deviation; SF, silk fibroin; M, male; F, female; AST, serum aspartate aminotransferase; ALT, serum alanine aminotransferase; SDH, sorbitol dehydrogenase; ALKP, alkaline phosphatase; TBIL, total bilirubin; BUN, urea nitrogen; CREA, blood creatinine; CHOL, total cholesterol; TRIG, triglycerides; GLUC, fasting glucose; TP, total serum protein; ALB, albumin; GLOB, globulin; CA, calcium; PHOS, inorganic phosphorus; NA, sodium; K, potassium; Cl, chloride

**Table 7C.** Urinalysis, mean ± SD (28-day study)

SF dose	0 mg/kg bw/day		125 mg/kg bw/day		250 mg/kg bw/day		500 mg/kg bw/day	
Parameter	M	F	M	F	M	F	M	F
URO (EU/dL)	0.20 ±	0.20 ±	0.20 ±	0.20 ±	0.20 ±	0.20 ±	0.20 ±	0.20 ±
	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
pH	6.70 ±	6.44 ±	6.65 ±	6.94 ±	6.75 ±	6.55 ±	6.65 ±	6.39 ±
	0.350	0.300	0.474	0.563	0.354	0.438	0.242	0.220
SG	1.0245 ±	1.0178 ±	1.0240 ±	1.0213 ±	1.0240 ±	1.0215 ±	1.0235 ±	1.0256 ±
	0.00643	0.00667	0.00658	0.00835	0.00568	0.00626	0.00784	0.00682
UVOL (mL)	8.76 ±	10.42 ±	9.16 ±	6.70 ±	10.03 ±	8.39 ±	10.18 ±	7.44 ±
	11.148	6.654	5.734	5.489	6.790	7.279	5.115	6.146
UPRO (mg/dL)	32.5 ±	1.7 ±	32.0 ±	23.8 ±	19.5 ±	10.5 ±	19.5 ±	15.0 ±
	25.74	5.00	37.87	33.25	10.12	14.23	12.35	12.99

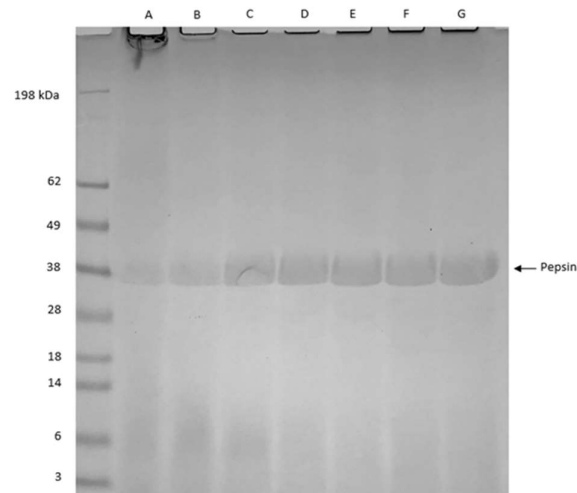
n = 40; SD, standard deviation; SF, silk fibroin; M, male; F, female; URO, urobilinogen; SG, specific; UVOL, volume; UPRO, protein

3.5 Digestion & Allergenicity Studies

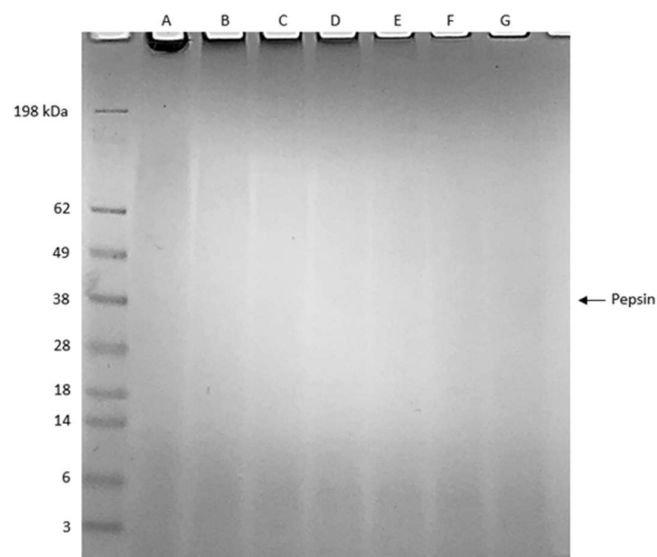
3.5.1 Pepsin Digestion

Stained SDS-PAGE gels were generated for silk fibroin digestion at a ratio of 10 activity units per µg (Figure 3A), 1 activity unit per µg (Figure 3B), and a reference serial dilution (Figure 4). SDS-PAGE gels showing digestion of a positive control protein to confirm pepsin activity (BSA) can be found in Figure S1 of Supplementary Information. Confirmation of stability of pepsin, silk fibroin, and BSA in SGF can be found in Figure S2. A stained gel of this digestion experiment demonstrated that silk

fibroin was stable in acid alone (Figure S2, Lanes E-F) but was rapidly digested by pepsin at a ratio of 10:1 in under 2 minutes (Figure 3A, Lane B), most closely resembling Figure 4, Lane E (93% digestion) in the LOD reference gel. At a ratio of 1 activity unit per microgram, a significant quantity of the silk fibroin was digested in less than five minutes, determined by comparing the band intensity of silk fibroin at 5 minutes in pepsin (Figure 3B, Lane C) to the band intensity representing 75% digested protein (Figure 4, Lane C) in the LOD reference gel. By 60 minutes (Figure 3B, Lane G), the majority of the silk fibroin was digested with a band intensity closely resembling Figure 4, Lane E (93% digestion). Per CODEX (2003) guidelines, based on the degree of digestion at both pepsin:protein ratios, as well as the lack of degradation bands resulting from digestion, no added concern of allergenicity risk was found [19].



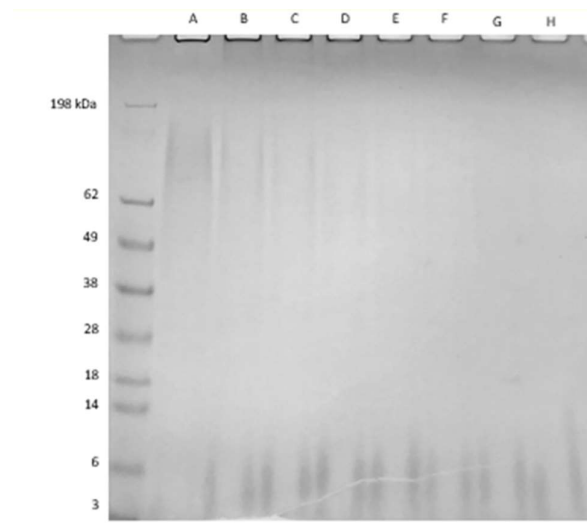
**Figure 3A.** Pepsin Digestion of Silk Fibroin at a ratio of 10 activity units per microgram test protein. Silk fibroin at 350  $\mu$ g run with pepsin in SGF sampled at various time points. Lanes A-G contain samples of silk fibroin digested with pepsin at 0 (Lane A), 2 (Lane B), 5 (Lane C), 10 (Lane D), 20 (Lane E), 30 (Lane F), and 60 (Lane G) minute intervals.



**Figure 3B.** Pepsin Digestion of Silk Fibroin at a ratio of 1 activity unit per microgram test protein. Silk fibroin at 350  $\mu$ g run with pepsin in SGF sampled at various time points. Lanes A-G contain samples of silk fibroin digested



with pepsin at 0 (Lane A), 2 (Lane B), 5 (Lane C), 10 (Lane D), 20 (Lane E), 30 (Lane F), and 60 (Lane G) minute intervals.



**Figure 4.** Reference Gel for the Digestion of Silk Fibroin in Pepsin Solution. To visualize the extent of silk fibroin digestion in pepsin solution, varying amounts ( $\mu\text{g}$ ) of silk fibroin were run SDS-PAGE gel to serve as a reference in the following quantities: 350  $\mu\text{g}$  (0% digestion, Lane A), 175  $\mu\text{g}$  (50% digestion, Lane B), 88  $\mu\text{g}$  (75% digestion, Lane C), 44  $\mu\text{g}$  (88% digestion, Lane D), 22  $\mu\text{g}$  (93% digestion, Lane E), 11  $\mu\text{g}$  (97% digestion, Lane F), 5.5  $\mu\text{g}$  (98% digestion, Lane G), and 2.7  $\mu\text{g}$  (>99% digestion, Lane H).

### 3.5.2 Identification & Evaluation of Proteins of Allergenic Interest

The following five proteins were identified as potentially allergenic components (“Proteins of Allergenic Interest”) of silk cocoons and their derivatives: tropomyosin (TM), arginine kinase (AK), thioredoxin (TR), chitinase (CT), and paramyosin (PM). These were identified based on sequence homology to known allergens in similar arthropods or as a known protein in *B. mori*. Sequences corresponding to each protein of allergenic interest were identified by text search of protein name, and by BLAST searching to identify potentially unnamed members of the protein families.

Representative sequences from each Protein of Allergenic Interest were readily identifiable, at high confidence, in all three pupae samples (Table 8). This high-quality identification serves as a positive control, and suggests that the methodology employed is capable of definitive demonstration of protein presence if proteins are present in the sample. In some cases, isoforms of proteins of allergenic interest were identifiable due to the presence of peptides that are unique to one or another isoform. In other cases, only peptides that were shared between isoforms were identified, therefore positively identifying the group of proteins rather than an individual isoform.

**Table 8.** Identification of proteins of allergenic interest, Score (Matches)

	TM	AK	TR	CN	PM
Pupa1	237.67 (7)	318.42(16)	227.14(9)	348.47(13)	159.95(5)
Pupa2a	236.16 (14)	289.72(15)	233.06(8)	229.73(13)	216.88(10)
Pupa2b	225.47 (10)	238.37(10)	212.36(6)	246.08(15)	206.54(8)
Cocoon1	nd (0)	nd (0)	119.67(3)	nd (0)	nd (0)
Degummed SF 1	nd (0)	nd (0)	nd (0)	nd (0)	nd (0)
SF Powder1	nd (0)	nd (0)	nd (0)	nd (0)	nd (0)
SF Powder2a	nd (0)	nd (0)	nd (0)	nd (0)	nd (0)
SF Powder2b	45.87 (1)	nd (0)	nd (0)	nd (0)	nd (0)

SF, silk fibroin; TM, tropomyosin; AK, arginine kinase; TR, thioredoxin; CN, chitinase; PM, paramyosin; nd, not detected

Score, -10logP score; Matches, number of peptide matches

For each protein of allergenic interest, the highest -10logP (ID quality) score obtained and the number of peptide matches (in parentheses) to that sequence is given.

One of three fibroin powder samples identified an equivocal match with one peptide from TM. However, the Human Proteome Organization (HUPO) requires at least two unique peptides for a protein identification. As such, this match does not represent a solid identity.

### 3.5.3 Bioinformatics & Literature Searches

As confirmed by LC-MS/MS of fibroin, four proteins are dominant including fibroin heavy chain, Accession NP\_001106733.1, which has 5263 amino acids (AA), and a mixture of fibroin light chain, Accession NP\_001037488.1 which has 262 AA, fibroin P25 Accession CAA27804.1 with 220 AA and Sericin with Accession P07856.2 with 1186 AA. The full length sequences were compared to www.AllergenOnline.org version 20 using full-length FASTA, then a sliding 80 AA window that is adjusted for shorter segments to be equivalent to 80 AA, to search for identity matches greater than 35%, and finally identity matches of 8 contiguous AA to allergens. In addition, these sequences were compared by BLASTP (version 2.10) to the NCBI Protein database to identify closest matches to proteins of any organism. The results for the primary proteins were evaluated with respect to similarity to known allergens and toxins and are summarized in Table 9.

**Table 9.** Bioinformatics search summary of identity matches (ID) for potential allergens in www.AllergenOnline.org with the dominant proteins in Fibroin, and by BLASTP to include allergens and toxins.

Protein	FASTA3 overall	Sliding 80mer	8 AA match	NCBI BLASTP 2.10 searches
Fibroin Heavy 5263 AA	Collagen, 26% ID, 1082 HMW gluten, 25.7%, 654 AA Gluten, 26%, 710 AA	Ragweed Art v 1 pollen, 42.5 to 45% ID Parthenium pollen, 41.3% Bovine collagen, 38.2%	None	Limited by length, first 402 AA was sufficient to search, matches were only to lepidopteran sequences, none to toxins or allergens
Fibroin light 262 AA	Globin CTT-IX, 30.8%, 78 AA	None	None	Matches were only to lepidopteran sequences, none to toxins or allergens

	SXP/RAL2, 24.8%, 153 AA SXP/RAL2, 24.8%, 153 AA			
Fibroin P25 220 AA	Sol I 1, 26.1%, 115 AA	None	None	Matches were only to lepidopteran sequences, none to toxins or allergens
Sericin 1186 AA	Gal g 6, 27.9%, 330 AA Disulfide isomerase, 30.8%, 104 AA Conglutin beta, 18.8%, 415 AA	Gal g 6, 46.2%	None	Matches were only to lepidopteran sequences, none to toxins or allergens

#### 4. Discussion

*In vitro* and *in vivo* studies were conducted to assess the safety of silk fibroin, a naturally-derived material from the cocoons of *Bombyx mori* (silkworms) that has demonstrated promise as an edible coating to extend the shelf-life of foods. Silkworm cocoons are comprised largely of two primary protein components: sericin, which acts as a glue-like substance, and fibroin, which provides structural integrity. Using standard methods to isolate fibroin, sericin is removed by boiling cocoons under basic conditions [15]. The fibroin fibers are then dissolved in water using chaotropic salts. Subsequent removal of these salts by conventional methods results in solution that can then be applied to the surface of foods.

Silk fibroin was shown to be non-mutagenic in the bacterial reverse mutation (Ames) test. Five strains of bacteria and nine concentrations of silk fibroin were evaluated, up to a maximum dosage of 100,000 µg/plate. Similarly, silk fibroin did not demonstrate genotoxicity when evaluating micronuclei induction in mouse erythrocytes via flow cytometry. Here, mice were dosed with silk fibroin at 1,000 mg/kg bodyweight/day, with blood samples collected for analysis 48 hours after treatment. A minimum of 4,000 polychromatic erythrocytes per animal were evaluated. Silk fibroin did not induce a statistically significant increase in frequency of micronucleated reticulocytes in male or female mice, nor did frequency of reticulocyte and micronucleated normochromatic erythrocytes differ significant after treatment with silk fibroin. Data from the above tests shows that silk fibroin is not genotoxic under the *in vitro* and *in vivo* conditions tested.

Chronic and acute systemic toxicity were evaluated *in vivo* with a 14-day repeat dose and range-finding study followed by a 28-day repeat-dose oral consumption study in rats. During the 14-day study, rats were fed silk fibroin by oral gavage at doses between 0 and 500 mg/kg/day (silk fibroin/bodyweight/day). No adverse clinical observations attributed to the administration of silk fibroin was observed. Due to the tolerance of the maximum dose of 500 mg/kg/day in the 14-day feeding study, the same dose range was used in the 28-day study to assess systemic toxicity. As previously noted, the maximum dose could not be further increased due to test substance solubility and oral gavage volume constraints. Again, no silk fibroin-dependent effects were observed, including macroscopic and microscopic findings during necropsy after scheduled sacrifice and pathology.

Finally, an allergenicity risk assessment of silk fibroin was performed. Five potential allergens present in silkworms and their derivatives were identified. LC-MS/MS was used to determine whether these proteins were present in silk fibroin at various points during processing, including silkworm pupae, cocoons, and manufacturing intermediates. While all five proteins were easily detected in the silkworm pupae samples, they were not found in the cocoons or the silk fibroin sample that would be consumed as a food coating. Additionally, the test substance was rapidly digested by pepsin in SGF at a ratio of 10 units per microgram and moderately digested at a ratio of 1 unit per microgram. No degradation bands were found resulting from the digestion of silk fibroin. Based on CODEX

(2003) guidelines for allergenicity assessment, there is no added concern of risk based on stability of silk fibroin in pepsin [19].

In order to assess potential allergenicity via cross-reactivity, the primary amino acid sequences present in silk fibroin were queried in the AllergenOnline database using FASTA3, which provides similar local alignments based on input query criteria. None of the full-length searches of silk fibroin resulted in >50% identity. While 16 matches existed with >35% identity over 80 amino acids using Entrez Protein BLASTP searches, the matches were low identity matches to proteins distinctly dissimilar to *B. mori* proteins. Silk fibroin therefore poses no concerns of allergenicity or potential cross-reactivity.

## 5. Conclusions

Silk fibroin has been shown to form an edible barrier on the surfaces of foods to extend shelf-life by reducing oxidation and moisture loss. This represents a transformative approach to food preservation that could have immense socioeconomic and environmental implications if widely implemented. Here, the safety of silk fibroin was evaluated using well-established *in vivo*, *in vitro*, and bioinformatics studies. First, a bacterial reverse mutation test (Ames test) conducted in five bacterial strains demonstrated that silk fibroin does not carry a mutagenic risk. Likewise, silk fibroin did not induce micronucleus formation in immature erythrocytes at the highest administered dose (1,000 mg/kg-bodyweight/day). Under silk fibroin solubility and oral gavage volume constraints, limited to 500 mg/kg-bodyweight/day, a fourteen-day oral toxicity study in rats could not establish a maximum tolerable dose. A subsequent twenty-eight-day oral toxicity demonstrated no adverse macroscopic or microscopic clinical observations at the maximum dose. Next, an *in vitro* pepsin digestion assay was performed to assess the potential for silk fibroin to induce an allergenic response upon oral consumption. Here, silk fibroin did not demonstrate a high degree of stability and was rapidly digested by pepsin at ratio of 10 activity units per microgram test protein and intermediate stability at a ratio of 1 activity unit per microgram. Allergenic potential was further assessed by LC-MS/MS for detection of known allergenic arthropod proteins. Food allergy to consumption of silkworm pupae has been described and is likely due to five known allergenic proteins. The five allergenic proteins were readily detected in silkworm pupae but were not found in the cocoon before or after processing, nor in the final silk fibroin product. Finally, bioinformatics analysis did not identify any matches that would suggest significant identity matches to allergens or toxins. The results of the studies presented in this article raise no questions of toxicological or allergenic concerns at doses up to and including 500 mg/kg bodyweight per day.

**Supplementary Materials:**

Table S1: Historical control data: Mean revertants per plate  $\pm$  SD (Ames test), Table S2. Validation data: cyclophosphamide, Table S3. Historical control data (micronucleated reticulocytes), Figure S1A Pepsin Digestion of bovine serum albumin (BSA) at a ratio of 10 activity units per microgram test protein, Figure S1B Pepsin Digestion of bovine serum albumin (BSA) at a ratio of 1 activity unit per microgram test protein, Figure S2 Pepsin in SGF sampled for stability.

*Bacterial Reverse Mutation Test (Ames Test)***Table S1.** Historical control data: Mean revertants per plate  $\pm$  SD (Ames test)<sup>1</sup>

Strain	Treatment	Dose	S9	Plate Incorporation Method		Pre-Incubation Method	
				Mean $\pm$ SD	Range	Mean $\pm$ SD	Range
TA1535	Sodium azide	1.5	–	618 $\pm$ 91	359 – 1192	622 $\pm$ 71	478 – 831
TA1537	ICR 191 Acridine	1	–	1136 $\pm$ 1437	119 – 6388	3227 $\pm$ 1227	875 – 5700
TA98	Daunomycin	6	–	938 $\pm$ 343	350 – 1500	602 $\pm$ 345	146 – 1227
TA100	Sodium azide	1.5	–	600 $\pm$ 126	394 – 1003	539 $\pm$ 166	138 – 904
E. Coli	MMS	2.5	–	634 $\pm$ 101	389 – 846	509 $\pm$ 143	313 – 808
TA1535	2-AA	10	+	267 $\pm$ 86	85 – 636	293 $\pm$ 64	64 – 391
TA1537	2-AA	10	+	280 $\pm$ 99	42 – 512	260 $\pm$ 107	112 – 541
TA98	2-AA	10	+	2321 $\pm$ 971	83 – 3915	2384 $\pm$ 938	506 – 3530
TA100	2-AA	10	+	2377 $\pm$ 806	976 – 4169	2388 $\pm$ 583	1308 – 3620
E. Coli	2-AA	10	+	125 $\pm$ 30	63 – 196	128 $\pm$ 29	60 – 188
TA1535	Sterile Water	N/A	–	13 $\pm$ 2	7 – 21	16 $\pm$ 3	8 – 23
TA1537	Sterile Water	N/A	–	12 $\pm$ 4	6 – 25	14 $\pm$ 5	5 – 23
TA98	Sterile Water	N/A	–	28 $\pm$ 8	16 – 49	29 $\pm$ 7	14 – 46
TA100	Sterile Water	N/A	–	130 $\pm$ 16	104 – 155	118 $\pm$ 18	83 – 143
E. Coli	Sterile Water	N/A	–	45 $\pm$ 7	29 – 57	46 $\pm$ 10	30 – 67
TA1535	Sterile Water	N/A	+	13 $\pm$ 1	9 – 20	12 $\pm$ 2	8 – 19
TA1537	Sterile Water	N/A	+	15 $\pm$ 3	8 – 28	14 $\pm$ 5	6 – 26
TA98	Sterile Water	N/A	+	29 $\pm$ 5	18 – 40	35 $\pm$ 7	23 – 50
TA100	Sterile Water	N/A	+	145 $\pm$ 14	116 – 170	125 $\pm$ 16	88 – 147
E. Coli	Sterile Water	N/A	+	59 $\pm$ 13	13 – 31	53 $\pm$ 9	36 – 76

<sup>1</sup>Historical Data maintained by PSL from 2015

SD, standard deviation; dose units  $\mu$ g/plate; range is min – max

*In Vivo Mouse Erythrocyte Micronucleus Test (Flow Cytometry)***Table S2.** Validation data: cyclophosphamide (from PSL)

Treatment	% MN-RET	
	M	F
0 mg/kg	0.17 $\pm$ 0.02	0.17 $\pm$ 0.02
1.5 mg/kg	0.23 $\pm$ 0.03	0.22 $\pm$ 0.04
5 mg/kg	0.28 <sup>a</sup> $\pm$ 0.03	0.29 <sup>a</sup> $\pm$ 0.02

15 mg/kg	0.57 <sup>b</sup> ± 0.03	0.46 <sup>b</sup> ± 0.05
40 mg/kg	1.33 <sup>b</sup> ± 0.07	1.36 <sup>b</sup> ± 0.29

<sup>a</sup>*p* < 0.05

<sup>b</sup>*p* < 0.001

% MN-RET, frequency (%) of positive CD71 micronucleated reticulocytes (i.e., micronucleated immature erythrocytes [MIEs]); SEM, standard error of mean; M, male; F, female

% RET	Mean ± SEM	
	M	F
Treatment		
0 mg/kg	1.74 ± 0.10	1.79 ± 0.17
1.5 mg/kg	2.36 ± 0.37	1.83 ± 0.23
5 mg/kg	1.39 ± 0.15	1.77 ± 0.13
15 mg/kg	1.48 ± 0.15	1.15 ± 0.14
40 mg/kg	0.81 <sup>a</sup> ± 0.18	1.15 ± 0.36

<sup>a</sup>*p* < 0.05

% RET, frequency (%) of CD71 positive reticulocytes; SEM, standard error of mean; M, male; F, female

% MN-NCE	Mean ± SEM	
	M	F
Treatment		
0 mg/kg	0.12 ± 0.01	0.11 ± 0.01
1.5 mg/kg	0.11 ± 0.02	0.11 ± 0.01
5 mg/kg	0.10 ± 0.01	0.10 ± 0.01
15 mg/kg	0.15 ± 0.00	0.11 ± 0.01
40 mg/kg	0.12 ± 0.01	0.14 ± 0.02

% MN-NCE, frequency (%) of micronucleated normochromatic erythrocytes; SEM, standard error of mean; M, male; F, female

**Table S3.** Historical control data (from PSL).

%MN-RET	Mean ± SEM		Control limits	
	M	F	M	F
Treatment				
Vehicle	0.16 ± 0.01	0.17 ± 0.01	-0.01 – 0.34	-0.07 – 0.41
CP 40	1.56 ± 0.08	1.49 ± 0.12	-0.12 – 3.24	-1.04 – 4.02

% MN-RET, frequency (%) of positive CD71 micronucleated reticulocytes (i.e., micronucleated immature erythrocytes [MIEs]); M, male; F, female

%RET	Mean ± SEM		Control limits	
	M	F	M	F
Treatment				
Vehicle	2.21 ± 0.15	1.90 ± 0.08	-0.80 – 5.22	0.27 – 3.54
CP 40	0.69 ± 0.05	0.81 ± 0.06	-0.29 – 1.68	-0.54 – 2.17

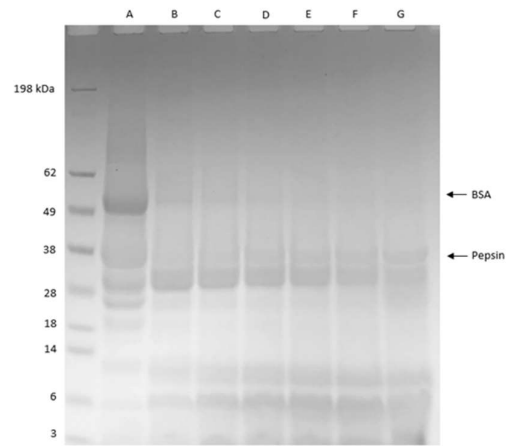
% RET, frequency (%) of CD71 positive reticulocytes; M, male; F, female



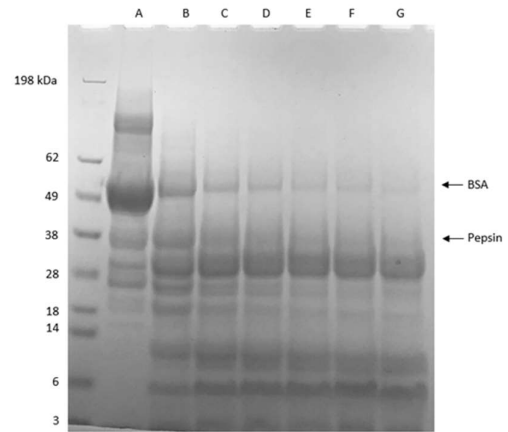
%MN-NCE	Mean ± SEM		Control limits	
Treatment	M	F	M	F
Vehicle	0.12 ± 0.00	0.11 ± 0.01	0.03 – 0.22	-0.04 – 0.26
CP 40	0.12 ± 0.00	0.13 ± 0.00	0.02 – 0.23	0.04 – 0.22

% MN-NCE, frequency (%) of micronucleated normochromatic erythrocytes; M, male; F, female

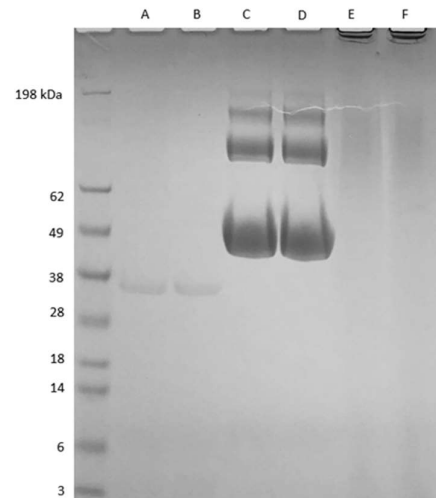
Pepsin Digestion



**Figure S1A** Pepsin Digestion of bovine serum albumin (BSA) at a ratio of 10 activity units per microgram test protein. Silk fibroin at 350 µg run with pepsin in SGF sampled at various time points. Lanes A-G contain samples of silk fibroin digested with pepsin at 0 (Lane A), 2 (Lane B), 5 (Lane C), 10 (Lane D), 20 (Lane E), 30 (Lane F), and 60 (Lane G) minute intervals.



**Figure S1B** Pepsin Digestion of bovine serum albumin (BSA) at a ratio of 1 activity unit per microgram test protein. Silk fibroin at 350 µg run with pepsin in SGF sampled at various time points. Lanes A-G contain samples of silk fibroin digested with pepsin at 0 (Lane A), 2 (Lane B), 5 (Lane C), 10 (Lane D), 20 (Lane E), 30 (Lane F), and 60 (Lane G) minute intervals.



**Figure S2** Pepsin in SGF sampled for stability at 0 min. (Lane A) and 60 min. (Lane B). BSA in SGF sampled for stability at 0 min. (Lane C) and 60 min. (Lane D). Silk fibroin in SGF sampled for stability at 0 min. (Lane E) and 60 min. (Lane F).

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