# A review of damage, void evolution and fatigue life prediction models

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#### **ABSTRACT**

This paper aims to provide an overall review of degradation, damage evolution and fatigue models in the literature of various engineering materials, mostly metals, and composites.

#### 1. Introduction

The degradation, damage evolution and fatigue behavior of materials are closely related to structural serviceability and safety. It is well-understood that engineering materials such as metals and composites have different micro-mechanisms, degradation process, damage accumulation and different failure modes that are dependent on many factors. For example, when the strain rate is in the range from  $10^{-6}$  to  $10^{-5}$  s<sup>-1</sup>, creep can be a dominant mechanism; when the rate of strain is in the neighborhood of  $10^{-4}$  to  $10^{-3}$  s<sup>-1</sup>, the response is quasi-static, which allows the measurements of (quasi-static) stress-strain curves using universal test machines under constant strain rates. The strain-rate regime of  $10^3$  s<sup>-1</sup> and beyond is generally regarded as the high strain rate range, where inertia terms, wave propagation influences and thermal effects (e.g. adiabatic shear banding) become important and need to be considered[84].

In the literature damage evolution and fatigue life prediction models can be classified in two categories: Empirical models which established under the framework of Newtonian mechanics (this also includes Hamiltonian and Lagrangian mechanics). Regardless of different ways used to characterize the damage evolution with equations and a number of parameters, it primarily relies on test data curve for fitting for the empirical void/damage evolution function. Examples include GTN model[85], Rice-Tracey model[86], Gunawardena model[87], the well-known strain rate dependent Johnson-Cook (JC) damage model[88], some other micro-mechanism based damage

models and models based on continuum damage mechanics (CDM) theory. These empirical models are popular for engineering applications due to their simplicity, but the identification of parameters is costly, time consuming and lacks any scientific basis because they lack physical and mathematical foundations[89].

The physics-based models, on the other hand, as the name suggests are based on the physical foundations and do not require curve fitting empirical functions. They can be classified under the framework of Unified Mechanics Theory, which modifies the universal laws of motion of Newton by incorporating second law of thermodynamics directly into Newton's laws at the ab-initio level[90]. As a result, governing differential equations of any system automatically include energy loss, entropy generation and degradation of the system in a non-empirical way. The UMT based models are pure physics based and do not require any curve fitting to a test data for evolution of void/damage. Thermodynamic fundamental equation and the second law of thermodynamics controls the evolution of damage along the fifth axis [Thermodynamic State Index axis].

In the following sections, some recent models for various engineering materials are reviewed. They are categorized based on their approach as well as the type of material being investigated. In section 2, empirical models including Gurson–Tvergaard–Needleman (GTN) type models, Johnson-Cook (JC) type models, microplasticity models, and some other empirical models are introduced and discussed. In section 3, physics-based models using unified mechanics theory are presented. Experimental verifications of physics-based models without simulations are also included in this section.

## 2. Empirical models

#### 2.1 Gurson-Tvergaard-Needleman (GTN) type models

The micro mechanical model developed by Gurson–Tvergaard–Needleman[85][101] is widely used for the prediction of ductile fracture on the basis of nucleation, growth and coalescence of voids in materials. However, it is only applicable to relatively high stress conditions. For shear loading, the GTN model significantly overestimates the carrying capacity of materials[7][11][96]. Although it does not apparently consider the effect of strain rate, the model calculates the strain rate and consider its effect on the void growth depending on the loading rate and the predefined solution time[89]. Moreover, the model is suitable for the relatively low strain rates, while at higher strain rates, such as impact problems, the numerical simulation results are not accurate. Many researchers have adopted the GTN model and performed further modification to account for the fracture mechanism due to shear, in order to predict ductile fracture under a low level of stress triaxiality. Some researchers combined the GTN model with

Johnson-Cook model considering the strain rate to better describe the ductile fracture process under high strain rate of loading. In the following sub-section those modified models are introduced.

Acharya and Dhar [2008] predicted the ductile failure of pipe using GTN model. An attempt has been made to fine tune the values of some of the GTN model's empirical curve fitting parameters by comparing the simulated results with the experimental results at the specimen level (axisymmetric tensile bar and compact tension specimens). An elastic–plastic finite element code has been developed together with GTN model for void nucleation and growth. The GTN model can be expressed in the following form. The yield function equation is given by

$$\phi = \left(\frac{\sigma_{eq}}{\sigma_m}\right)^2 + 2q_1 f^* \cosh\left(-\frac{3}{2}\frac{q_2 \sigma_h}{\sigma_m}\right) - (1 + q_3 f^{*2}) = 0$$
 (1)

where  $q_1$ ,  $q_2$ ,  $q_3$  are empirical curve fitting parameters,  $\sigma_{eq}$  is the von Mises equivalent stress,  $\sigma_h$  is the hydrostatic stress,  $\sigma_m$  is the flow stress that characterizes the microscopic stress state of the matrix.  $f^*$  is the total effective void volume fraction proposed by Tvergaard and Needleman to account for the onset of void coalescence[101]:

$$f^{*}(f) = \begin{cases} f & f \leq f_{c} \\ f_{c} + \frac{\left(\frac{1}{q_{1}}\right) - f_{c}}{f_{f} - f_{c}} (f - f_{c}) & f > f_{c} \end{cases}$$
 (2)

where the void volume fraction f represents the damage parameter,  $f_c$  is the critical value of porosity at the onset of void coalescence.  $f_f$  is the failure value of void volume fraction. When the void volume fraction f reaches to  $f_f$ , the material loses its load carrying capacity.  $f_c$  and  $f_f$  are obtained by empirical curve fitting to a test data.

The cumulative equivalent plastic strain increment is defined by

$$\dot{\varepsilon}_{eq}^{p} = \frac{\sigma : \dot{\varepsilon}^{p}}{(1 - f)\sigma_{m}} \tag{3}$$

The damage evolution is divided into two parts: the growth of existing voids and the nucleation of new voids, which is expressed as follows

$$\dot{f} = \dot{f}_{growth} + \dot{f}_{nucleation}, \quad \dot{f}_{g} = (1 - f)\dot{\varepsilon}_{kk}^{p} , \quad \dot{f}_{n} = A\dot{\varepsilon}_{eq}^{p}$$
(4)

where A is a function of  $\varepsilon_{eq}^p$  in some statistical sense.

Xue [2007] studied the constitutive modeling of void shearing effect in ductile fracture of porous materials. A new damage variable was introduced; first to replace

the widely used void volume fraction and second to incorporate the additional damage due to void shearing. In the modification, the void shearing damage effect, which was missing previously in the GTN model, was incorporated in the damage evolution.

The evolution of the damage described in a rate form is given by

$$D = K_D(q_1\dot{f} + \dot{D}_{shear})$$

$$\dot{D}_{shear} = q_3 f^{q_4} g_{\theta} \varepsilon_{ea} \dot{\varepsilon}_{ea}$$
(5)

where  $q_1$ ,  $q_3$ ,  $q_4$  are empirical constants,  $K_D$  is the empirical damage rate coefficient, f is the void volume fraction,  $D_{shear}$  is damage associated with void shearing,  $g_{\theta}$  is assumed to represent the azimuthal dependence on an octahedral plane,  $\varepsilon_{eq}$  is the equivalent strain. Same approach was used by Youbin Chen et al [2016], where they studied the damage induced by spherical indentation deformation by using a modified GTN model.

Jin et al[2008] investigated the mechanical properties and damage mechanism of 5A06 aluminum alloy welded joint under thermal cycling condition. Microstructural and fractographic observations demonstrate that void nucleation around the second phase particles is the dominant factor for performance decrease. The theoretical results of micromechanical analysis have been introduced into the empirical Gurson void nucleation equations to characterize the evolution of void-damage under thermal cycling condition. The modified void nucleation model developed here provides a quantitative description of thermal stress assisted voiding under thermal cycling conditions. However, it must be noted that only the strain-controlled nucleation mechanism is considered in the study.

The accumulated plastic strain rate of the matrix due to thermal cycling is given as

$$\dot{\varepsilon}_{eq}^{p} = \frac{\varepsilon^{pl,(N_{T}+1)} - \varepsilon^{pl,N_{T}}}{N_{T}} = \begin{cases} \frac{2\sigma_{y}(1-v_{1})}{E_{1}} \left[ \left(\frac{r_{p}}{r}\right)^{3} - 1 \right] & N_{T} = 1\\ \frac{4\sigma_{y}(1-v_{1})}{E_{1}N_{T}} \left[ \left(\frac{r_{c}}{r}\right)^{3} - 1 \right] & N_{T} \ge 2 \end{cases}$$
(6)

where  $E_1$  is Young's modulus of the alloy matrix, r is the radius of the spherical unit cell model containing a second phase particle,  $r_p$  is the radius of the plastic region. A zone of reversed plastic flow will form under the temperature reversal.  $r_c$  is the radius of the reversed plastic region,  $N_T$  is the cyclic period,  $\sigma_y$  is the yield stress in the interface and the neighboring matrix material.

The modified strain-controlled void nucleation equation is therefore given as

$$\dot{f}_n = A\dot{\varepsilon}_{eq}^p \tag{7}$$

Using GTN model, Linse et al[2012] simulated the fracture of a typical steel pressure vessel using a gradient-enriched ductile damage model based on dilatational strain. In

the model the evolution of damage is controlled by introducing an internal length scale that represents a characteristic material parameter independent of the mesh size. The investigations are focused on the evolution of ductile damage and stress state at the crack tip. The results show that the model captures the different stages of crack initiation and propagation realistically. Their work includes a non-local modification: Motivated by the approach of generalized continua, the GTN continuum damage model is modified by replacing the dilatational part of the plastic strain rate  $\dot{\varepsilon}_p$  by its non-local spatial average  $\dot{\varepsilon}_p$  in the empirical damage evolution equation for the growth of existing voids

$$\dot{f}_{G}^{nl} = (1 - f)\dot{\bar{\varepsilon}}_{p} 
\dot{f} = \dot{f}_{G}^{nl} + \dot{f}_{N} 
\bar{\varepsilon}_{p} - c\nabla^{2}\bar{\varepsilon}_{p} : \mathbf{I} = \varepsilon_{p}$$
(8)

where  $\dot{f}_g^{nl}$  is the non-local modification of void growth rate,  $\dot{f}$  is the empirical rate of damage evolution,  $\dot{f}_N$  is the nucleation of new voids,  $\varepsilon_p$  is the volumetric plastic strain,  $\bar{\varepsilon}_p$  is the non-local volumetric plastic strain, c is a non-local length parameter.

The GTN model has its limitations as it ignores the fracture mechanism due to shear. A number of papers have been published to develop modified GTN models by adding a function to capture the fracture at low stress triaxiality, Xue's shear mechanism [7] and Nahshon-Hutchinson's shear mechanism [11] have received most attention. Xu et al[2013] used the modified Gurson model for the failure behavior of the clinched joint on Al6061 sheet. In this work, Nahshon-Hutchinson's shear mechanism[11] is used because this model shows a good correlation between the simulation and experimental test data. In addition, it has shown improved accuracy of fracture over Xue's shear mechanism [7] under tensile/shear loading conditions. This extended empirical damage evolution function considers a nondimensional metric of stress state  $\omega(\sigma)$  with an empirical shear damage coefficient  $k_{\omega}$  and uses a modified GTN damage, as follows

$$\dot{f}_g = (1 - f)\dot{\varepsilon}_{kk}^p + k_\omega \frac{f\omega(\sigma_{ij})}{\sigma_e} S_{ij}\dot{\varepsilon}_{ij}^p \tag{9}$$

where  $S_{ij}$  is the stress deviator,  $\omega(\sigma_{ij})$  is the non-dimensional metric of stress state,  $\sigma_e$  is the von Mises equivalent stress.

Using the same approach, Gatea et al[2017] simulated ductile fracture in Single Point Incremental Forming(SPIF) process due to void nucleation and coalescence with results compared with the original GTN model. A combined approach of experimental testing and SPIF processing was used to validate finite element results of the shear modified Gurson–Tvergaard-Needleman damage model.

Malcher et al[2014] proposed a new formulation to improve the original GTN model, regarding its ability to predict ductile fracture under a low level of stress triaxiality.

Firstly, a new shear mechanism was proposed that is a function of the equivalent plastic strain, stress triaxiality and Lode angle. This mechanism can capture the elongation and rotation of microdefects, when shear loading condition is present. Furthermore, a new micro-defect nucleation mechanism was proposed which is responsible for triggering the evolution of the shear damage parameter, since the new mechanism is independent on the volume void fraction. Then, the new empirical damage parameter was coupled with GTN empirical constitutive formulation in such a way that only affects the deviatoric stress contribution. Thus, the new model has two new independent empirical damage parameters: first one affecting the hydrostatic stress component and the other affecting the deviatoric stress component.

$$\dot{D} = g_0 \frac{D_N}{S_N' \sqrt{2\pi}} \exp\left[\frac{-1}{2} \left(\frac{\bar{\varepsilon}^p - \varepsilon_N'}{S_N'}\right)^2\right] \dot{\bar{\varepsilon}}^p + |g_0|^{\frac{1}{|\eta| + k}} q_6 \dot{D}_{shear}$$

$$\dot{D}_N = g_0 \frac{D_N}{S_N' \sqrt{2\pi}} \exp\left[\frac{-1}{2} \left(\frac{\bar{\varepsilon}^p - \varepsilon_N'}{S_N'}\right)^2\right] \dot{\bar{\varepsilon}}^p$$

$$\dot{D}_{shear} = \begin{cases} q_3 D^{q_4} \bar{\varepsilon}^p \dot{\bar{\varepsilon}}^p & Xue's \ mechanism \\ \frac{1}{\ln \sqrt{1/\chi}} \left(\frac{3\bar{\varepsilon}^p}{1 + 3\bar{\varepsilon}^{p^2}}\right) \dot{\bar{\varepsilon}}^p & Butcher's \ mechanism \end{cases}$$

$$(10)$$

where  $q_3$ ,  $q_4$ ,  $q_6$  are empirical constants,  $g_0$  is a Lode angle dependence function,  $\dot{D}$  represents the evolution of the shear damage,  $\dot{D}_N$  represents its nucleation and  $\dot{D}_{shear}$  is the evolution of shear effects,  $\varepsilon_N'$  and  $S_N'$  are the mean strain for void nucleation and its standard deviation. The variable  $\bar{\varepsilon}^p$  represents the equivalent plastic strain and  $\dot{\bar{\varepsilon}}^p$  is the rate of the accumulated plastic strain.  $\eta$  is the stress triaxiality parameter, k is a numerical constant that needs to be calibrated for each material by curve fitting,  $\chi$  is the ligament size ratio defined for two- or three-dimensional problems.

Wang et al[2017] analyzed the tearing failure of ultra-thin sheet-metal including size effect in blanking process based on modified GTN model. The experiments suggested that void growth was suppressed around the narrow region due to the relatively low-stress triaxiality. The typical failure phenomena were exhibited in the form of tearing, which may imply that the conventional GTN model fails to predict such shearing domination failure. Therefore, a modified GTN model based on Lode parameter was tested to describe the failure mechanism.

Shear modified GTN model

$$\phi = \left(\frac{\sigma_{eq}}{\sigma_m}\right)^2 + 2q_1 f^* \cosh\left(-\frac{3}{2} \frac{q_2 \sigma_h}{\sigma_m}\right) - \left(1 + (q_1 f^* + D_s)^2 - 2D_s\right) = 0$$
 (11)

$$D = q_1 f^* + D_s, \quad D_s = \left(\frac{\varepsilon_q^m}{\varepsilon_f^s}\right)^n, \quad \dot{D}_s = \psi(\theta, T^*) \frac{nD_s^{\frac{n-1}{n}}}{\varepsilon_f^s} \dot{\varepsilon}_q^m$$

in which  $\sigma_{eq}$  is the von Mises equivalent stress,  $\sigma_h$  is the hydrostatic stress, and  $\sigma_m$  is the flow stress that characterizes the microscopic stress state of the matrix,  $f^*$  is the total effective void volume fraction,  $D_s$  is an empirical shear damage parameter,  $\varepsilon_q^m$  is the equivalent plastic strain of material matrix,  $\varepsilon_f^s$  is the failure strain under pure shear state, n is an empirical weakening exponential larger than one,  $\psi(\theta, T^*)$  is an empirical weight factor.

Chen et al[2018] proposed a dislocation density based viscoplastic constitutive model coupled with damage to investigate a single impact loading process for TWIP (twinning induced plasticity) in steels. To predict the damage evolution in these ductile steels, the Gurson–Tvergaard–Needleman (GTN) yield criterion is combined with the dislocation density-based model. The obtained results allows for predicting the induced residual stress, plastic strain and damage fields. The results show that the maximum compressive residual stress reaches up to about 650 MPa when the impact velocity is 4 m/s. The damage area generated by single impact loading is annular and the indent center is not affected by damage.

During hot working, internal damage of the workpiece is not only controlled by the stress state, but also by time- and temperature-dependent softening processes such as recovery and recrystallization. These processes may be used to delay or prevent damage initiation and hence to improve part performance. Bambach and Imran[2019] proposed a new damage initiation model taking the interaction of dynamic recrystallization and decohesion into account. The criterion is integrated into the GTN model for porous plasticity. Based on the model and experimental studies, damage control by optimized strain rate profiles is investigated and material-dependent factors for damage control are discussed. Their empirical evolution function is given by

$$\dot{f}_n = \sigma_Y \frac{\dot{\varepsilon}\sqrt{d}}{K_{IC}} f\{0.177 - a_1 \xi - a_2 \xi^2 + b_1 |\eta|\}$$
(12)

 $\dot{f}_n$  is the growth of void nucleation,  $K_{IC}$  is the fracture toughness,  $\dot{\varepsilon}$  is the plastic strain rate,  $\xi$  is a normalized third stress invariant, d,  $a_1$ ,  $a_2$  and  $b_1$  are curve fitting parameters.

The fracture analysis of shape memory alloys in martensite and austenite phase based on the voids behavior by incorporating GTN model has also been studied recently[162], [163]. Bahrami et al[2019] proposed a constitutive model to investigate the pseudoelastic-plastic behavior of the shape memory alloys, SMAs, up to fracture. The proposed model is based on the Boyd and Lagoudas phase transformation model[167] which is extended to take into account the plastic deformation and the fracture behavior

of the SMAs by using the Gurson-Tvergaard-Needleman (GTN) model shown in Eq (1-4).

#### 2.2 Johnson-Cook (JC) type models

An empirical constitutive relation developed by Johnson and Cook (J–C)[88] is widely used to capture strain rate sensitivity of metals. The model proposed by Johnson and Cook is used to simulate the damage evolution and predict failure in many engineering materials. Wang et al[2019] proposed a material model based on the Johnson-Cook model considering the strain rate combined with void nucleation and coalescence in the GTN model to better describe the ductile fracture process of steel and predict the structural deformation damage during ship collisions and grounding accidents. The ASIS(association for structural improvement of shipping industry) test numerical simulation results of the Johnson-Cook GTN model are also compared with the lab test results, and the accuracy of the model subjected to shear loading is validated. Therefore, the model can predict structural deformation damage in ship collision and grounding simulations.

Li et al[2014] developed a 3D FEM model to simulate the formation and predict the sizes of cracks generated by inappropriate laser shock peening (LSP) processing in airfoil specimens in order to avoid producing subsurface cracks. This model was fully considered with the plastic and fracture behaviors at high strain rate by using both JC plastic and fracture models.

Johnson-Cook plastic model is given by

$$\sigma = (A + B(\varepsilon^{pl})^n) \left[ 1 + C \times \ln\left(\frac{\dot{\varepsilon}}{\dot{\varepsilon}_0}\right) \right] \left[ 1 + D \times (\frac{T - T_0}{T_0})^m \right]$$
 (13)

Johnson-Cook empirical damage initiation criterion is given by

$$\bar{\varepsilon}_f^{pl} = [d_1 + d_2 \exp(-d_3 \sigma^*)] [1 + d_4 \ln(\frac{\dot{\bar{\varepsilon}}^{pl}}{\dot{\varepsilon}_0})] \times (1 + d_5 T^*)$$
 (14)

where  $\sigma$  is the stress;  $\varepsilon^{pl}$  is the plastic strain; A is the initial yield stress;  $\dot{\varepsilon}_0$  is the reference strain rate,  $T_0$  is the reference temperature;  $\sigma^*$  is the dimensionless pressure-stress ratio,  $\dot{\varepsilon}^{\dot{p}l}$  is plastic strain rate.  $T^*$  is the homologous temperature, B, n, C, D, and m are empirical coefficients,  $d_1$ ,  $d_2$ ,  $d_3$ ,  $d_4$ , and  $d_5$  represent different empirical failure parameters obtained by curve fitting to test data. The simulated crack sizes and locations in the airfoil coupon models are consistent with the experimental results.

Jeunechamps and Ponthot[2013] established an efficient 3D implicit approach for the thermomechanical simulation of elastic-viscoplastic materials subjected to high strain rate. The elasto-viscoplastic model is established by the coupling between the JC model and the Perzyna viscosity model.

Nam et al[2014] investigated the crack tip stress and strain fields at crack initiation of A106 Gr. B carbon steels under high strain rates. Three different strain rate tensile tests (4 x 10<sup>-4</sup> s<sup>-1</sup>, 3.4 s<sup>-1</sup> and 11.6 s<sup>-1</sup>) are fitted using Johnson-Cook model. Results show that applied strain rate and strain rate at characteristic length have similar values as in the CT(compact tension) specimen simulation result. Nam et al[2015] also proposed to implement ductile fracture simulation based on the energy. The energy based ductile fracture model determines the incremental damage in terms of stress triaxiality and fracture strain energy for dimple fracture using tensile test with FEM technique.

$$W_f = \left[ Aexp\left( -C\frac{\sigma_m}{\sigma_e} \right) + B \right] \left[ 1 + D \ln\left( \frac{\dot{\varepsilon}}{\dot{\varepsilon}_0} \right) \right] \tag{15}$$

Where  $W_f$  is the fracture strain energy for dimple fracture,  $\sigma_m/\sigma_e$  is the stress triaxiality,  $\dot{\varepsilon}$  is the equivalent plastic strain rate,  $\dot{\varepsilon}_0$  is the reference strain rate and A, B, C, D are empirical curve fitting constants.

Chen et al [2018] studied the mechanical behavior of the corroded high strength reinforcing steel bars under static and dynamic loading. High strength reinforcing steel bars were corroded by using accelerated corrosion methods and the tensile tests were carried out under different strain rates. Based on the test results, reduction factors were proposed to relate the tensile behaviors with the corrosion degree and strain rate for corroded bars. A modified Johnson-Cook strength model of corroded high strength steel bars under dynamic loading was proposed by considering the influence of corrosion degree.

$$\begin{cases} \alpha_A = \frac{A}{A_0} = 1 - k_A \eta_s \\ \alpha_B = \frac{B}{B_0} = 1 - k_B \eta_s \\ \alpha_C = \frac{C}{C_0} = 1 - k_C \eta_s \end{cases} \qquad \sigma = (A + B(\varepsilon^{pl})^n) \left[ 1 + C \times \ln\left(\frac{\dot{\varepsilon}}{\dot{\varepsilon}_0}\right) \right]$$
(16)

where  $\eta_s$  is the corrosion degree, A, B, and C are curve fitting parameters of corroded steel bars and  $A_0$ ,  $B_0$ , and  $C_0$  are curve fitting parameters of the J-C model of the uncorroded steel bars.  $k_A$ ,  $k_B$ ,  $k_C$  are curve fitting parameters also determined from test data.

Chen et al[2018] investigated the ductile damage behaviors of Ti-6Al-4V alloy for high strain rate compression tests over a wide range of strain rates and temperatures by a combined experimental and numerical approach. A coupling JCM(modified Johnson-Cook) plastic and energy density-based damage model were developed to characterize the whole range of flow stresses, including the plastic, failure initial strain and damage evolution

$$\sigma = (A + B\phi(\varepsilon^{pl})^n) \left[ 1 + C \times \ln\left(\frac{\dot{\varepsilon}}{\varepsilon_0}\right) \right] \left[ 1 - \left(\frac{T - T_f}{T_m - T_f}\right)^m \right]$$

$$\phi(T) = \left(\frac{T_0 - T/2}{T_0}\right)^{n_2}$$
(17)

where A, B, C, n, m and  $n_2$  are curve fitting empirical parameters.  $\phi(T)$ , a temperature dependent empirical function which is related to microstructure evolution, was introduced into the original JC model to characterize the temperature dependent work hardening behavior in flow curves.  $\sigma$  is the equivalent plastic flow stress,  $\dot{\varepsilon}$  is the equivalent plastic strain rate,  $\varepsilon_0$  is the reference strain rate,  $T_f$  and  $T_m$  are, respectively, workpiece ambient and melting temperature.  $T_0$  is a critical temperature related with microstructure evolution.

Wang et al[2019] investigated the deformation behaviors of superalloy GH3536 over a wide range of temperatures (298 K–1073 K) and at strain rates (0.1 s<sup>-1</sup>–5200 s<sup>-1</sup>). The investigation about the fracture behavior was also performed over a temperature range of 298 K–1073 K, strain rate range of 0.001 s<sup>-1</sup>–5000 s<sup>-1</sup> and stress triaxiality range of 0.6–1.1. According to the temperature and strain rate dependences of the deformation behavior, the original Johnson-Cook constitutive model was unable to describe such behavior. A modified J–C constitutive model was developed to accurately describe the deformation behavior of GH3536 superalloy, and modified J–C fracture criterion was proposed to accurately characterize the fracture behaviors of GH3536.

$$\sigma = \left\{ A + B_1 (1 - B_2 \ln(\dot{\varepsilon}^*)) \left[ 1 + B_3 \times \ln\left(\frac{T}{T_r}\right) \varepsilon^n \right] \right\}$$

$$\left[ 1 + C_6 \ln(\dot{\varepsilon}^*) + C_7 \left(\frac{1}{C_8 - \ln(\dot{\varepsilon}^*)} - \frac{1}{C_8}\right) \right] (1 - DT^{*m})$$
(18)

where A,  $B_1$ ,  $B_2$ ,  $B_3$ , n, m, D,  $C_6$ ,  $C_7$  and  $C_8$  are curve fitting material parameters,  $\dot{\varepsilon}^* = \dot{\varepsilon}/\dot{\varepsilon}_0$  is the dimensionless strain rate,  $T^* = (T - T_f)/(T_m - T_f)$  is homologous temperature.

With the advent of advanced testing techniques such as laser-induced particle impact test, it is possible to study materials mechanics under extremely high deformation rates. Wang and Hassani [2020] induced ultra-high strain rates in the range of  $10^6 - 10^{10} \, \mathrm{s}^{-1}$  in spherical microparticles of commercially pure titanium impacting a rigid substrate. They recorded impact-induced deformation of the microparticles in real-time and simulated the deformation using a finite element approach and two constitutive equations of Johnson–Cook and Zerilli–Armstrong. By comparing the deformed geometries from experimental data and simulated results, they evaluated the capability of the two constitutive equations—originally calibrated at  $10^3 - 10^4 \, \mathrm{s}^{-1}$  to describe deformation at ultra-high strain rates. Being mechanistically based, the Zerilli–Armstrong model was found to have a better performance than the Johnson–Cook

model al higher strain rates. Zerilli-Armstrong model is given by

$$\sigma_{y}(T, \varepsilon, \dot{\varepsilon}) = C_{0} + B_{0} \varepsilon^{C_{n}} \exp[-\alpha_{0} T + \alpha_{1} T ln(\dot{\varepsilon})] + B \exp[-\beta_{0} T + \beta_{1} T ln(\dot{\varepsilon})]$$

$$(For HCP metals)$$

where  $\varepsilon$  is the equivalent plastic strain,  $\dot{\varepsilon}$  the plastic strain rate, T is the temperature,  $C_0$ ,  $B_0$ ,  $C_n$ ,  $\alpha_0$ ,  $\alpha_1$ , B,  $\beta_0$ ,  $\beta_1$  are curve fitting parameters. Here,  $C_0$  is the athermal component of the yield strength of the material.  $B_0$  and  $C_n$  are strain hardening constants.  $\alpha_0$  and  $\beta_0$  are thermal softening parameters. Finally,  $\alpha_1$  and  $\beta_1$  are strain rate sensitivity parameters.

Zhang et al[2020] reported the necking evolution of a near a Ti3Al2.5 V at high strain rates. The experimental results at different strain rates are used to determine a suitable constitutive model for finite element simulations of the dynamic tensile tests. The combined JC-KHL(Johnson Cook-Khane Huange Liang) model (or CJK) is proposed to describe the constitutive response of Ti3Al2.5 V. The CJK model predicts the macroscopic force-time history, true strain rate evolution and true stress-strain data with good agreement compared to the experimental measurements.

$$\sigma = \left[A + B\left(1 - \frac{\ln(\dot{\varepsilon}/\dot{\varepsilon}_0)}{\ln D_0^P}\right)^{n_1} \left(\varepsilon_p\right)^{n_0}\right] (1 + C\ln(\frac{\dot{\varepsilon}}{\dot{\varepsilon}_0})) \left(\frac{T_m - T}{T_m - T_f}\right)^m \tag{20}$$

where  $\sigma$  is the true stress,  $\varepsilon_p$  is the plastic strain,  $\dot{\varepsilon}_0$  is a reference strain rate and  $D_0^P$  is an upper bound strain rate chosen arbitrarily. The curve fitting constants are A, B, C, m,  $n_1$  and  $n_0$ . T,  $T_f$  and  $T_m$  are current, reference and melting temperatures.

Chiyatan and Uthaisangsuk[2020] investigated the effects of strain rate on mechanical properties and fracture mechanism of ferritic-martensitic dual phase (DP) steel grades 780 and 1000 by both experiments and micromechanics-based modeling. FE simulations using 2D representative volume elements (RVEs) were conducted for investigating microstructure effects on local deformation and damage of DP steels under varying strain rates. Macroscopic flow curve model is a combination of Swift-Voce hardening law and Johnson-Cook (JC) rate-dependent model

$$\sigma[\varepsilon, \dot{\varepsilon}] = (D\{A(\varepsilon_0 + \varepsilon^n)\} + (1 - \alpha)\{B + Q(1 - \exp[-\beta \cdot \varepsilon])\})(1 + C\ln(\frac{\dot{\varepsilon}}{\dot{\varepsilon}_0}))$$
(21)

For the first term of Eq. (21), A,  $\varepsilon_0$ , n, B, Q,  $\beta$  are the Swift and Voce curve fitting material parameters, and the parameter  $0 \le D \le 1$  is a weighting coefficient. The second term is JC strain rate hardening equation including the parameters C and the reference strain rate  $\dot{\varepsilon}_0$ . Flow curve of observed phase constituents at different strain

rates were described by using a dislocation-based theory and local chemical composition in combination with the Johnson-Cook (JC) hardening model

$$\sigma[\varepsilon, \dot{\varepsilon}] = (\sigma_0 + \Delta\sigma + \alpha M \mu \sqrt{b} \sqrt{\frac{1 - \exp(-Mk_r \varepsilon)}{k_r L}}) (1 + C \ln(\frac{\dot{\varepsilon}}{\dot{\varepsilon}_0}))$$
 (22)

where  $\sigma$  and  $\varepsilon$  are the von Mises stress and equivalent plastic strain, respectively. The first term  $\sigma_0$  represents the Peierls stress and the effect of alloying elements in the solid solution state. The second term,  $\Delta \sigma$  described the material strengthening by precipitation or carbon in solution. The last term demonstrated the effect of dislocation strengthening and material softening, which contained the material constant  $\alpha$ , Taylor factor M, shear modulus  $\mu$ , Burger's vector b for both phases. Moreover, the recovery rates  $k_r$  and dislocation mean free path L of each phase were defined separately. The local crack mechanisms in DP microstructures were described by individual empirical damage criteria for each phase based on the rate-dependent empirical JC failure model.

### 2.3 Microplasticity models

Besides the studies in macroscopic or continuum scale, there are many studies that focus on atomic-scale modeling of the void nucleation, growth, and coalescence, or those focus on crystal plasticity in meso-scale to unveil the fatigue hotspots, fatigue crack nucleation and fatigue life prediction.

Wan et al[2016] investigated microstructural stress distributions and fatigue hotspots in polycrystalline copper using HR-EBSD (High Resolution- Electron Backscatter Diffraction) and computational crystal plasticity. HR-EBSD studies on a deformed copper polycrystal have been carried out to quantify the microstructural residual stress distributions, and those of stress state of importance in defect nucleation. Crystal plasticity analysis of a representative, similarly textured, model polycrystal has been carried out showing that the experimental distributions of microstructural residual stress components, effective stress, hydrostatic stress and stress triaxiality are well captured. A stored energy criterion for fatigue crack nucleation indicates that preferential sites for fatigue crack nucleation are local to grain boundaries (as opposed to triple junctions), and that hard-soft grain interfaces where high GND(Geometrically Necessary Dislocation) densities develop are preferable.

An empirical microstructure-sensitive fatigue crack nucleation equation is given by

$$\dot{G} = \frac{\dot{U}\Delta V_S}{\Delta A_S} = \oint_C \frac{\xi \boldsymbol{\sigma} : d\boldsymbol{\varepsilon}^p}{\sqrt{\rho_{SSD} + \rho_{GND}}}$$
(23)

This criterion is developed from consideration of local slip activity and the local storage

volume  $\Delta V_s$  resulting from geometrically necessary  $\rho_{GND}$  and statistically stored dislocation  $\rho_{SSD}$  accumulation, defining a mean free distance induced from the plastic behaviour. The local stored energy per cycle considered is effectively derived from the point-wise crystal accumulated slip and the plastic energy associated with a stored fraction  $\xi$ .

Wilson et al [2019] investigated the microstructurally sensitive fatigue crack growth in four material systems with BCC, FCC and HCP crystallography through integrated crystal plasticity eXtended Finite Element (XFEM) modelling and experiment. The mechanistic drivers for crack path tortuosity and propagation rate have been investigated and crack propagation found to be controlled by crack tip stored energy and the crack direction by anisotropic crystallographic slip at the crack tip. Experimentally observed microstructurally-sensitive fatigue crack path tortuosities and growth rates in titanium alloy (Ti–6Al–4V), ferritic steel, nickel superalloy and zirconium alloy (zircaloy 4) have been shown to be captured, supporting the underpinning mechanistic arguments.

The crystal plasticity model is as follows:

$$F = F^{e}F^{p}$$

$$L^{p} = \dot{F}^{p}F^{p-1} = \sum_{i=1}^{N_{s}} (\dot{\gamma}^{i}n^{i}\otimes s^{i})$$

$$\dot{\gamma}^{i} = \rho_{m}\nu b^{2}\exp\left(\frac{\Delta F}{kT}\right)sinh\left[\frac{(\tau^{i} - \tau_{c}^{i})\Delta V}{kT}\right]$$

$$\tau^{i} = \tau_{c0}^{i} + Gb\sqrt{\rho_{SSD} + \rho_{GND}}$$

$$\rho_{SSD} = \rho_{SSD} + \gamma_{st}\dot{p}dt$$
(24)

where F is the deformation gradient and is assumed to be decomposable into two parts, elastic  $F^e$  and plastic  $F^p$ .  $L^p$  is the plastic velocity gradient,  $N_s$  is the total number of slip systems,  $\dot{\gamma}^i$  is the slip rate on slip system i and  $n^i$  and  $s^i$  are the corresponding slip plane normal and slip direction respectively.  $\rho_m$  is the density of mobile dislocations,  $\nu$  the frequency of attempts of dislocations to jump obstacle energy barriers, b the Burgers vector,  $\Delta F$  the thermal activation energy, k the Boltzmann constant, T the temperature (295 K),  $\tau^i$  and  $\tau^i_c$  the resolved shear stress and critical resolved shear stress on slip system i respectively, and  $\Delta V$  is the activation volume,  $\gamma_{st}$  is the hardening coefficient,  $\dot{p}$  the rate of accumulated plastic strain, and dt the time increment. They used the empirical microstructure fatigue crack nucleation equation given by Eq (23).

Bandyopadhyay et al[2020] postulated that a microstructure-sensitive critical Plastic Strain Energy Density(SPSED) is the driving mechanism of fatigue crack initiation and is applicable to predict failure across several loading regimes. Crystal plasticity finite

element simulations is used to compute the (local) SPSED at each material point within polycrystalline aggregates of a nickel-based superalloy. This critical plastic strain energy density is calibrated using experimental fatigue life data under fully reversed type loading at 1.2% applied strain range via the Bayesian inference method. Subsequently, the calibrated critical energy value is used to predict fatigue lives at eight strain ranges including strain ratios 1 and 0.05. A good agreement is observed between the experimental fatigue life and the lognormal mean of the predictions at eight strain ranges including strain ratios

Empirical fatigue life prediction model is given by

$$N_f^{\text{predict}}(\beta, W_{critical}^p) = \frac{W_{critical}^p - \omega_{N_s}^p(\beta, x^*)}{\Delta \omega_{N_s}^p(\beta, x^*)} + N_s(\beta)$$
(25)

Here,  $\beta$  is a set of empirical parameters which define the loading conditions, such as the applied strain range, strain ratio, temperature;  $\omega_{N_s}^p(\beta, x^*)$ ,  $\Delta\omega_{N_s}^p(\beta, x^*)$  and  $N_s(\beta)$  come from the CPFE(crystal plasticity finite element) simulations; and  $W_{critical}^p$  is the only model parameter which is to be calibrated from laboratory test data.

#### 2.4.1 Other empirical models for metals

There are many other empirical models besides the JC type or modified GTN type that are well capable of modeling the mechanical behavior of metals under various strain rates.

Dondeti et al[2012] developed a rate-dependent homogenization-based continuum plasticity damage (HCPD) model for macroscopic analysis of ductile fracture in heterogeneous porous ductile materials such as dendritic cast aluminum alloys. The rate-dependent HCPD model follows the structure of an anisotropic Gurson–Tvergaard–Needleman elasto-porous-plasticity model for ductile materials. The model also incorporates an empirical rate-dependent void evolution criterion that is capable of effectively simulating the loss of load carrying capacity of heterogeneous materials resulting from inclusion cracking and void growth.

Darras et al[2013] presented a study on damage evolution in 5083 marine-grade aluminum alloy while deformed under different strain rates. Degradation in elastic moduli with the accumulation of plastic strain, and plastic strain energies at different strain rates were evaluated from the true stress—true strain curves. The energy-based empirical model predicts the damage evolution during deformation of 5083 Aluminum alloy at different strain rates.

Abed et al[2018] investigated the mechanical response of EN08 steel at quasi-static and dynamic strain rates. Through the stress-strain responses of EN08 steel, a strong

dependency of the yield stress as well as the ultimate strength on the strain rate and temperature are recognized. Furthermore, the strain hardening is highly affected by the increasing temperature at all levels of strain rate. The microstructure of the steel is also examined at fracture by using SEM images to quantify the density of microdefects and define the damage evolution by using an energy-based empirical damage model.

$$\varphi = \left(\frac{U_p}{U_{PT}}\right)^{\alpha} \varphi_f \tag{26}$$

where  $\varphi$  is the damage at the point of interest during deformation,  $\varphi_f$  is the damage at fracture obtained by SEM images,  $U_p$  is the dissipated energy at the point of interest,  $U_{PT}$  is the total dissipated energy, and  $\alpha$  is an empirical constant obtained by curve fitting to test data to determine the damage evolution trend throughout deformation.

Khoei et al[2013] simulated the crack growth in ductile materials under cyclic and dynamic loading with a damage—viscoplasticity model. The adaptive finite element method is used to model the discontinuity due to crack propagation. The ductile fracture assumptions and continuum damage mechanics are utilized to model the material rupture behavior. The rate-dependent constitutive equation was elaborated and the crack closure effect and combined hardening model were discussed. A viscoplastic model is modified to consider the damage effect as follows

$$\dot{\gamma} = \begin{cases} \frac{1}{\mu} \left[ \left( \frac{q}{(1-D)\sigma_{y}(\bar{\varepsilon}^{vp})} \right)^{1/\Xi} - 1 \right] & q \ge (1-D)\sigma_{y}(\bar{\varepsilon}^{vp}) \\ 0 & q \le (1-D)\sigma_{y}(\bar{\varepsilon}^{vp}) \end{cases}$$
(27)

where  $\mu$  and  $\Xi$  are empirical material constants, q is the von-Mises effective stress,  $\sigma_y$  is the material yield strength,  $\bar{\varepsilon}^{vp}$  is the equivalent plastic strain, D is an empirical damage parameter.

Shojaei et al[2013] provided a model to capture low to high strain rate and ductile to brittle damage processes in dynamic problems of polycrystalline materials with different dynamic energy densities. Also, a novel fracture mechanics-based damage model is developed to describe the microcracking process. While micro-voiding models, such as Johnson void model (Johnson, 1981[111]), assume the hydrostatic part of the applied stress dominates the deformation mechanism, the developed microcracking model is suited for the problems with the dominant deviatoric stress.

Damage evolution: micro-void nucleation and growth rate are given by

$$\dot{D}^{(v)} = \dot{D}_N^{(v)} + \dot{D}_G^{(v)}$$

$$\dot{D}_N^{(v)} = \frac{m_1}{(1 - D^{(v)})} \left[ \exp\left(\frac{m_2 |\Sigma - \sigma_N|}{kT} - 1\right) \right]$$
(28)

$$\dot{D}_{G}^{(v)} = \frac{1}{\eta} \exp(D^{(v)}) F(D^{(v)}, D_{0}^{(v)}) |\Sigma - \sigma_{G}|$$

where  $\dot{D}^{(v)}$  is the total void volume fraction evolution rate,  $\dot{D}_N^{(v)}$  is the rate of void nucleation,  $\dot{D}_G^{(v)}$  is the rate of void growth.  $m_1$  and  $m_2$  are empirical curve fitting parameters,  $\sigma_N$  is hydrostatic threshold stress for microvoid nucleation,  $\sigma_G$  is for microvoid growth,  $\Sigma$  is the real damage stress, k is the Boltzmann constant,  $\eta$  is a viscosity parameter.

Chen et al[2014] introduced a reliability assessment model based on a local stress strain approach considering both low-cycle fatigue and high energy impact loads. The analysis of effects of an impact process on fatigue damage modifies the fatigue parameters and the Coffin–Manson equation for fatigue life to

$$\varepsilon_a = \frac{[1.75(\sigma_{b0} + p\dot{\varepsilon}^n) - \sigma_m](2N_f)^b}{E} + 0.5(\varepsilon_{f0} - q\dot{\varepsilon}^m)^{0.6}(2N_f)^c$$
(29)

Eq. (29) is the modified empirical Coffin–Manson equation, in which p, n, q and m are the empirical curve fitting constants,  $\sigma_{b0}$  is the original static tensile strength,  $\varepsilon_{f0}$  the original static fracture ductility,  $\varepsilon_{a}$  is the plastic strain amplitude.

Carniel et al[2015] presented a one-dimensional finite element formulation for the transient analysis of geometrically nonlinear trusses associated with viscoelastic and viscoplastic materials including mechanical degradation. The proposed formulation aims at problems involving inertial loads and high strain rate deformations, and accounts for velocities, accelerations and rate-dependent effects. For high strain rates, where the damage evolution is abrupt, Lemaitre's damage model was able to represent satisfactorily the material degradation process.

Lemaitre's empirical damage evolution law is presented by

$$D = \frac{\delta A - \delta \bar{A}}{\delta A}$$

$$\dot{D} = \begin{cases} 0 & \text{if } \bar{\varepsilon}^{vp} < \bar{\varepsilon}_{D}^{vp} \\ \frac{\dot{\gamma}}{1-D} (\frac{-Y}{r})^{S} & \text{if } \bar{\varepsilon}^{vp} \ge \bar{\varepsilon}_{D}^{vp} \end{cases} \text{ and } (-Y) = \frac{\sigma^{2}}{2E_{0}(1-D)^{2}}$$
(30)

where  $\delta A$  is the total area of intersection of a given plane with a representative volume element,  $\delta \bar{A}$  is the effective resisting area so that the damage variable can assume values  $0 \le D \le 1$ , r and S are empirical damage evolution parameters,  $\bar{\mathcal{E}}_D^{\nu p}$  represents the damage threshold and (-Y) is the damage strain energy density release rate. However, it is important to point that having plastic strain along as a criterion for damage potential as Eq (30) violates the second law of thermodynamics. Because if the load is applied million times below the critical strain rate, there can be no damage.

Shen et al[2015] presented a damage mechanics method applied successfully to assess fatigue life of notched specimens with plastic deformation at the notch tip. A damage-coupled elasto-plastic constitutive model is employed in which nonlinear kinematic hardening is considered. The accumulated damage is described by a stress-based damage model and a plastic strain-based damage model, which depend on the cyclic stress and accumulated plastic strain, respectively. A three-dimensional finite element implementation of these models is developed to predict the crack initiation life of notched specimens.

The damage evolution law in the plastic strain-based damage model is given by

$$\frac{dD_p}{dN} = \left[ \frac{(\sigma_{max}^*)^2}{2ES(1-D)^2} \right]^m \Delta p \tag{31}$$

The damage evolution law in the stress-based damage model is given by

$$\frac{dD_{e}}{dN} = \left[1 - (1 - D)^{\beta + 1}\right]^{1 - a\left(\frac{A_{II} - \sigma_{Io}(1 - 3b_{1}\sigma_{H,mean})}{\sigma_{u} - \sigma_{eq,max}}\right)} \left[\frac{A_{II}}{M_{0}(1 - 3b_{2}\sigma_{H,mean})(1 - D)}\right]^{\beta}$$
(32)

Where  $\sigma_{max}^*$  is the maximum value of the damage equivalent stress over a loading cycle, E is the elastic modulus. The parameters S and m are determined from the experimentally determined curve of plastic strain versus number of cycles to failure.  $A_{II}$  and  $\sigma_{H,mean}$  are the amplitude of the octahedral shear stress and the mean value of the hydrostatic stress in a loading cycle, respectively. The term  $\sigma_{eq,max}$  is the maximum equivalent stress over a loading cycle,  $\sigma_{l0}$  is the fatigue limit at the fully reversed loading condition and  $\sigma_u$  is the ultimate tensile stress. The five parameters, a,  $M_0$ ,  $\beta$ ,  $b_1$  and  $b_2$ , are determined by using plain fatigue tests of standard specimens.

Tang et al[2016] modified a damage model based on the Continuum Damage Mechanics (CDM) theory proposed by Kachanov[102] and later on developed by Lemaitre[103] to predict the formability of high strength steel sheets at elevated temperature by taking account the influence of the deformation temperature and strain rate. The material parameters of the modified Lemaitre-based damage evolution function were identified through an inverse analysis procedure based on tensile test data gained in a temperature range between 550°C and 850°C, at different strain rates. Lemaitre's empirical damage potential is given by

$$F_Y = \frac{S_0}{(b+1)} \frac{1}{(1-D)} \left(\frac{-Y}{S_0}\right)^{b+1} \tag{33}$$

whereas the modified damage potential is given by

$$F_{Y} = \frac{S_{0}}{(b+1)(1-D)} \left(\frac{-Y}{S_{0}}\right)^{b+1} \left(\frac{1}{\bar{\varepsilon}^{p}}\right)^{\alpha}$$
(34)

In which  $S_0$  and b are empirical materials parameters and are functions of the strain rate and temperature, Y is the damage strain energy density release rate,  $\bar{\varepsilon}^p$  is the accumulated plastic strain,  $\alpha$  is another empirical parameter obtained by curve fitting to test data.

Wu et al[2017] used a mechanism-based approach—the integrated creep-fatigue theory (ICFT)—to model low cycle fatigue behavior of 1.4848 cast austenitic steel over the temperature range from room temperature (RT) to 1173 K (900 °C) and the strain rate range from of 2x10<sup>-4</sup> to 2x10<sup>-2</sup> s<sup>-1</sup>. The ICFT formulated the material's constitutive equation based on the physical strain decomposition into mechanism strains, and the associated damage accumulation consisting of crack nucleation and propagation in coalescence with internally distributed damage. Their empirical damage evolution equation is given by

$$D = 1 + \alpha \left[ \left( \frac{\Delta \sigma_H}{\mu b} \right)^2 - \rho_0 \right] + \beta \varepsilon_v \tag{35}$$

where  $\Delta\sigma_H$  is the amplitude of cyclic hardening (maximum attainable peak stress minus the peak stress of the first cycle),  $\rho_0$  is the dislocation density level below which there is no instantaneous crack nucleation,  $\alpha$  the proportional constant for dislocation-nucleated cracks,  $\beta$  is the empirical proportional constant for creep damage, and  $\varepsilon_v$  is creep strain.

Phase field fracture models were applied to a number of problems in the field of fracture mechanics and were proven to yield reliable results even for complex crack problems. Mozaffari and Voyiadjis [2016] developed the framework of coupled nonlocal damage model through phase field method and viscoplasticity in continuum scale. It is shown that the proposed non-local gradient type damage model through the phase field method can be coupled to a viscoplastic model to capture the inelastic behavior of the rate dependent material.

The empirical damage evolution law incorporating the viscoplastic deformation is given below

$$\frac{\partial \phi}{\partial t} = -M \Big( 2(1 - \phi) \bar{E}_{ijkl} \Big( \bar{\varepsilon}_{ij} - \bar{\varepsilon}_{ij}^{vp} \Big) \Big( \bar{\varepsilon}_{kl} - \bar{\varepsilon}_{kl}^{vp} \Big) - 4W_p \phi (1 - \phi) (2 - \phi)$$

$$- \epsilon_{\eta}^2 \nabla^2 \phi \Big)$$
(36)

where  $\bar{E}_{ijkl}$  is effective stiffness tensor,  $\bar{\varepsilon}_{ij}$  is effective strain tensor,  $\bar{\varepsilon}_{ij}^{vp}$  is effective viscoplastic strain tensors, in undamaged configuration. M is an empirical scalar function to map the state of stress between the damaged configuration and effective undamaged configuration for the case of isotropic damage,  $\bar{\sigma}_{ij} = M(\phi)\sigma_{ij}$ .  $W_p$  is the

material constant with energy dimensions and it needs to be emphasized that the value of this constant contains dissipation during the whole process of damage through elastic and viscoplastic deformations. The constant  $\epsilon_{\eta}^2$  is considered in the form  $\epsilon_{\eta}^2 = W_p l_d^2$  to separate the effect of energy type constant  $(W_p)$  and length unit, in which  $l_d$  corresponds to the length scale due to damage.

Furthermore, Badnava at al[2017] proposed a phase field viscoplastic model to model the influence of the loading rate on the ductile fracture, as one of the main causes of metallic alloys' failure. The effects of the phase field are incorporated in the Peric's viscoplastic model[106]; Schreiber et al[2020] utilize the framework of phase field modeling for fracture in order to handle fatigue crack growth.

Dynamic brittle fracture and shear banding are typical failure modes in metals under high strain rate loading. Chu et al[2019] developed a unified phase field damage model to simulate both brittle tensile fracture and shear banding. The model can capture the above two failure modes' transition naturally by allowing the critical energy release rate to vary with the stress triaxiality to distinguish the material failure properties of tensile fracture and shear banding. The failure energy excluding the plastic dissipation in the fracture process zone before damage evolution is defined to model the ductile failure more physically. Besides, the degradation function of the yield stress is introduced which not only provides a damage softening mechanism for the ductile failure but also ensures a proper simulation of the brittle fracture.

The failure energy density is given by

$$\psi_d(d) = \frac{G_{cd}}{2l} [d^2 + l^2 |\nabla d^2|] , G_{cd} = G_c - G_{c0}$$
(37)

where l is am empirical length scale parameter associated with the regulation of sharp discontinuities, the empirical damage parameter d with d=0 defining the intact state and d=1 defining the fully damaged state of the material.  $G_{cd}$  is the equivalent critical energy release rate corresponding to the evolution of the internal discontinuous boundary,  $G_c$  is the critical energy release rate obtained by experiments, and  $G_{c0} < G_c$  is the failure parameter to consider the energy release before damage initiation in the fracture process zone (FPZ). The evolution equation for the phase field is given by

$$\left[\frac{G_c}{2l} - \frac{w_0}{1 - \chi}\right] [d^2 - l^2 |\nabla d^2|] = (1 - d)\mathcal{H}$$

$$\mathcal{H}(x, t) = \max_{s \in [0, t]} \langle \psi_{e0}^+(x, s) + \psi_{p0}(x, s) - w_0(x, s) \rangle$$
(38)

For a homogeneous stretch problem of ideal elasto-plastic material

$$d = \frac{\mathcal{H}}{\mathcal{H} + G_c/2l}$$

$$\mathcal{H} = \langle \int \sigma_0 d\varepsilon - w_0 \rangle$$
(39)

where  $\chi$  is empirical fraction of plastic work converted to heat,  $\psi_{e0}^+$  is compression part of the inherent elastic strain energy density,  $\psi_{p0}$  is the inherent plastic stored energy density,  $w_0$  is an empirical energy density threshold, which can be understood as the stored deformation energy requiring for the microstructural evolution, such as recrystallization, before the damage initiation.  $\varepsilon$  and  $\sigma_0$  are one-dimensional strain and inherent stress, respectively.

#### 2.4.2 Empirical models for non-metallic materials at high strain rates

Besides metals, there are many studies focusing on the fatigue modeling of laminates or composites. Morinière et al[2014] published a review of modeling of impact damage and dynamics in fiber-metal laminates.

#### **Composite materials**

Zhang et al[2014] investigated the mechanical behaviors of a 2D plain woven composites(2DPWC) under high strain rate compression along the thickness direction experimentally and modeled with FEM at microstructural level. The failure morphologies of 2DPWCs were found to be different depending on the strain rate of the loading.

They proposed the following empirical criterion for damage initiation:

$$\omega_D = \int \frac{d\bar{\varepsilon}^{pl}}{\bar{\varepsilon}_D^{pl}(\eta, \bar{\varepsilon}_D^{pl})} = 1 \tag{40}$$

And their empirical damage evolution law is given by

$$\dot{D} = \frac{\dot{\bar{u}}^{pl}}{\dot{\bar{u}}_f^{pl}} \tag{41}$$

where  $\eta=-p/q$  is the stress triaxiality, p is the pressure stress, q is the Mises equivalent stress,  $\bar{\varepsilon}_D^{ipl}$  Is the equivalent plastic strain rate and  $\dot{\bar{u}}^{pl}$  is the effective displacement rate.  $\dot{\bar{u}}_f^{pl}$  is the maximum value of the effective displacement at the point

of failure

Alemi-Ardakani et al[2014] presented two empirical models for fast simulation of out-of-plane impact response of fiber reinforced polymer composites. The model considers four main effects: (a) strain rate dependency of the mechanical properties, (b) difference between tensile and flexural bending responses, (c) delamination, and (d) the geometry of fixture (clamping conditions). To show the application of the two approaches, a glass fiber reinforced polypropylene composite was subjected to impact at 200J and was simulated in Abaqus/Explicit. The built-in Hashin's empirical damage criterion was used for progressive damage of the material.

Hashin's failure criterion

Fiber tension 
$$(\hat{\sigma}_{11} \ge 0)$$
 Matrix tension  $(\hat{\sigma}_{22} \ge 0)$ 

$$F_f^t = \left(\frac{\hat{\sigma}_{11}}{X^T}\right)^2 + \alpha \left(\frac{\hat{\tau}_{12}}{S^L}\right)^2 \qquad \qquad F_m^t = \left(\frac{\hat{\sigma}_{22}}{Y^T}\right)^2 + \alpha \left(\frac{\hat{\tau}_{12}}{S^L}\right)^2 \tag{42}$$

Fiber compression ( $\hat{\sigma}_{11} \le 0$ ) Matrix compression ( $\hat{\sigma}_{22} \le 0$ )

$$F_f^c = \left(\frac{\hat{\sigma}_{11}}{X^C}\right)^2 \qquad F_m^c = \left(\frac{\hat{\sigma}_{22}}{2S^T}\right)^2 + \left[\left(\frac{Y^C}{2S^T}\right)^2 - 1\right] \frac{\hat{\sigma}_{22}}{Y^C} + \left(\frac{\hat{\tau}_{12}}{S^L}\right)^2 \tag{43}$$

where  $X^T$ ,  $X^C$ ,  $Y^T$ ,  $Y^C$ ,  $S^L$ ,  $S^T$  are the longitudinal tensile strength, longitudinal compressive strength, transverse tensile strength, transverse compressive strength, longitudinal shear strength, and transverse shear strength, respectively.  $\hat{\sigma}_{11}$ ,  $\hat{\sigma}_{22}$ ,  $\hat{\tau}_{12}$  refer to the in-plane normal and shear stresses (the 1-direction is aligned with fibers direction). The empirical coefficient  $\alpha$  defines the contribution of the shear stress to the fiber tensile failure initiation.

Chen and Morozov[2016] developed and validated an elasto-viscoplastic damage model that accounts for the strain rate-dependent plastic response and the progressive post-failure behavior of composite materials. The proposed model is suitable for progressive failure analysis of composite materials and structures subjected to loadings at various strain rates. A strain rate-dependent yield criterion is adopted; whereas the standard Kuhn–Tucker conditions for plastic loading and unloading is utilized. Also, the plastic consistency condition for strain rate-dependent material is satisfied.

The empirical exponential damage evolution law adopted for each damage variable is given as

$$d_I = 1 - \frac{1}{r_I} \exp(A_I(1 - r_I))$$
,  $I = \{1t, 1c, 2t, 2c, 6\}$  (44)

where  $r_I$  is an empirical damage threshold corresponding to each failure mechanism,  $A_I$  is an empirical parameter that defines the exponential softening law.  $r_I$  is an

empirical parameter that controls the size of the expanding damage surface and depends on the loading history, the initial value of  $r_I$  is 1; subscripts 1 and 2 represent the fibre and transverse directions of the unidirectional ply; subscripts t and c denote tension and compression. The damage variable d6 represents the damage effects on the shear stiffness due to matrix fracture caused by a combined action of transverse and shear stresses.

Park et al[2015] focused on rate-dependent damage modeling for polymeric composite materials with the rate-dependent constitutive model using a multi-scale approach. Phenomenologically, the nonlinear response of a composite under the inplane shear loading condition is due to the viscoplasticity of a matrix and the damage behavior of composite materials. In case of dynamic loading, the strain-rate effects the damage behavior of composite materials, as well as the behavior of the matrix. The enhanced micromechanical model which improves the in-plane shear behavior, is used for analyzing the rate-dependent behaviors of the fiber and matrix constituents. The rate-dependent elastic damage model based on orthotropic continuum damage mechanics theory at the micromechanical level is applied to improve the accuracy of the model.

The empirical damage evolution laws are expressed follows

$$d_2 = \frac{\langle Y - Y_2^0 \rangle_+}{Y_2^c} \quad d_6 = \frac{\langle Y - Y_6^0 \rangle_+}{Y_6^c} \tag{45}$$

where Y is an empirical damage variable,  $Y_2^0$ ,  $Y_2^c$ ,  $Y_6^0$  and  $Y_6^c$  are empirical damage constants representing the transverse damage initiation, transversely critical damage, in-plane shear damage initiation, and in-plane shear critical damage, respectively.

Seman et al[2019] presented a multi-scale finite element modelling scheme to analyze the damage behaviors of Kenaf fiber reinforced composite materials subjected to high strain rate compressions. The proposed modelling framework includes a microscale structure model with periodic boundary conditions for homogenizing the heterogeneous fiber/resin system into a unit cell, and a meso-scale model with established constitutive relationship for the constituents integrated with failure criterion to account for the stiffness degradation and subsequent element removal. For the composite they proposed the following empirical evolution functions

The ductile criteria for damage initiation is met when:

And the shear criteria for damage initiation is met when::

$$\omega_D = \int \frac{d\bar{\varepsilon}^{pl}}{\bar{\varepsilon}_D^{pl}(\eta, \bar{\varepsilon}_D^{pl})} = 1 \qquad \omega_S = \int \frac{d\bar{\varepsilon}^{pl}}{\bar{\varepsilon}_S^{pl}(\eta, \dot{\varepsilon}^{pl})} = 1$$
 (46)

In which

$$\bar{\varepsilon}_{D}^{pl}\left(\eta, \bar{\varepsilon}_{D}^{\dot{p}l}\right) = \frac{\varepsilon_{T}^{+} sinh[k_{0}(\eta^{-} - \eta)] + \varepsilon_{T}^{-} sinh[k_{0}(\eta - \eta^{+})]}{sinh[k_{0}(\eta^{-} - \eta^{+})]}$$

$$\bar{\varepsilon}_{S}^{pl}(\theta_{s}, \dot{\varepsilon}^{pl}) = \frac{\varepsilon_{s}^{+} sinh[f(\theta_{s} - \theta_{s}^{-})] + \varepsilon_{T}^{-} sinh[k_{0}(\theta_{s}^{+} - \theta_{s}^{-})]}{sinh[k_{0}(\theta_{s}^{+} - \theta_{s}^{-})]}$$

$$(47)$$

where  $\varepsilon_T^+$  and  $\varepsilon_T^-$  correspond to the equivalent plastic strain at ductile damage initiation for uniaxial tensile and uniaxial compressive deformation, respectively,  $\eta^+=1/3$  and  $\eta^-=-1/3$  are the stress triaxiality in uniaxial tensile and compressive deformation state,  $k_0$  is an empirical parameter,  $\theta_S=(1-k_S\eta)/\phi$ ,  $\phi=\tau_{max}\sigma_{eq}$ ,  $\varepsilon_S^+$  and  $\varepsilon_S^-$  correspond to the equivalent plastic strain at shear damage initiation for uniaxial tensile and compressive deformation, respectively. The parameters  $\theta_S^+$  and  $\theta_S^-$  correspond to the values of  $\theta_S$  at  $\eta=\eta^+$  and,  $\eta=\eta^-$  respectively.  $k_S$  and f are curve fitting empirical parameters. Two different dynamic failure models, both the ductile and shear failure models are described for the matrix whereas Hashin's failure criterion was used for the fibre to predict the mechanical behavior of the Kenaf composite under distinct high strain rate loading.

Alabdullah and Ghoniem[2020] developed an empirical damage model and validated with experimental data for the non-linear mechanical behavior of SiC/SiC composite materials in nuclear applications. Cyclic thermal and mechanical loading associated with neutron irradiation leads to wide-spread and progressive micro-cracking that leads to loss of thermal conductivity and further enhancement of thermo-mechanical damage. A model for wide-spread micro-cracking is developed within the thermodynamic framework of continuum damage mechanics. Evolution equations for damage parameters that describe the growth of continuum damage are developed empirically, where the curve fitting parameters are obtained from experiments. The model novelty is in coupling mechanical, thermal, and irradiation damage through a consistent thermodynamic framework, including loss of thermal conductivity due to the evolution of mechanically induced micro-cracks.

The total damage is a result of mechanical loading, neutron radiation, and temperature gradients.

$$d_k^t = d_k^m + d_k^{irr} + d_k^{\nabla T} \tag{48}$$

$$d_k^m = d_k^{m0} \left\{ 1 - exp \left( -\left( \frac{\sqrt{y_{k_{max}}^{*m}} - \sqrt{y_{0k}^m}}{\sqrt{y_{bk}^m}} \right)^{c_k} \right) \right\} \text{ for } k = 1 - 6$$
 (49)

$$d_k^{irr} = d_k^{irr0} \left[ 1 - c_{0k}^{irr}(T) \tanh \left( -\left(\sqrt{y_{k_{max}}^{irr}} - \sqrt{y_{0k}^{irr}}\right)^{c_{1k}^{irr}} \right) \right]$$

Where empirical parameters  $y_{k_{max}}^{*m}$ ,  $y_{0k}^{m}$ ,  $y_{bk}^{m}$ ,  $c_k$ ,  $d_k^{m0}$  are the maximum effective thermodynamic force obtained during the loading history (in case there is unloading), initial damage threshold, thermodynamic normalizing force constant, shaping parameter, and maximum damage obtained (amplitude), respectively.  $y_{k_{max}}^{irr}$ ,  $y_{0k}^{m}$ ,  $c_k^{irr}$  are the maximum thermodynamic force obtained during the radiation history, initial damage threshold, shaping parameter. The parameter  $d_k^{irr0}$  is a material constant related to the damage associated with volumetric swelling (micro voids and/or small dislocation loops).

#### Laminates

Sitnikova et al[2014] developed a 3-D nonlinear finite element models to simulate blast failure of fibre metal laminates. In their work, an empirical damage evolution law is incorporated into the composite constitutive behavior to obtain the blast response of FML(Fibre-metal laminate) panels. The proposed formulation is applicable to composites with a plane weave architecture, as well as with possible through thickness damage.

The empirical damage evolution in the model is as follows:

$$\dot{d}_{1t} = \alpha_1 \left( \left( \frac{\hat{\sigma}_{11}}{\sigma_{1t}^r} \right)^2 - 1 \right) \qquad \text{If } f_{1t} \ge 0 \text{ , } \Delta \varepsilon_{11} > 0$$

$$\dot{d}_{1c} = \alpha_1 \left( \left( \frac{\hat{\sigma}_{11}}{\sigma_{1t}^r} \right)^2 - 1 \right) \qquad \text{If } f_{1c} \ge 0 \text{ , } \Delta \varepsilon_{11} < 0$$

$$\dot{d}_{2t} = \alpha_1 \left( \left( \frac{\hat{\sigma}_{11}}{\sigma_{1t}^r} \right)^2 - 1 \right) \qquad \text{If } f_{2t} \ge 0 \text{ , } \Delta \varepsilon_{22} > 0$$

$$\dot{d}_{2c} = \alpha_1 \left( \left( \frac{\hat{\sigma}_{11}}{\sigma_{1t}^r} \right)^2 - 1 \right) \qquad \text{If } f_{2c} \ge 0 \text{ , } \Delta \varepsilon_{22} < 0$$

$$\dot{d}_{3c} = \alpha_2 \left( \left( \frac{\hat{\sigma}_{11}}{\sigma_{1t}^r} \right)^2 - 1 \right) \qquad \text{If } f_{3c} \ge 0 \text{ , } \Delta \varepsilon_{33} < 0$$

$$\dot{d}_{12} = \alpha_2 \left( \left( \frac{\hat{\sigma}_{11}}{\sigma_{1t}^r} \right)^2 - 1 \right) \qquad \text{If } f_{12} \ge 0$$

where the  $d_i$  are the damage variables, namely,  $d_1$  and  $d_2$  correspond to the failure in warp and weft fibre directions, respectively,  $d_{3c}$  describes through the-thickness

composite crushing failure, and  $d_{12}$  refers to the in-plane shear failure. The subscripts "t" and "c" denote tensile and compressive failure.  $\hat{\sigma}_{ij}$  are effective stresses,  $\sigma^r_{ij}$  are material strengths. Empirical coefficients  $\alpha_1$  and  $\alpha_2$  in the above equation govern the rate of growth of damage.

#### Cement and asphalt mortar

Fu et al[2017] investigated the dynamic behavior of cement and asphalt mortar experimentally under impact loading using a Split Hopkinson Pressure Bar(SHPB). The strain rate effects on the compressive strength, elastic modulus, peak strain and specific energy absorption were obtained. The results showed that the compressive strength and specific energy absorption increased with increasing strain rate. A statistical continuous damage constitutive model involving the strain rate for CA(cement and asphalt) mortar was proposed.

The empirical damage evolution equation is given by

$$D = \left[1 - \exp\left[-(R_i)^{\gamma} \left(\frac{\varepsilon}{\alpha}\right)^{\beta}\right]\right]$$
 (51)

where  $\varepsilon$  is the total strain of CA mortar,  $R_i$  is any strain rate under impact loading,  $\gamma$  is an empirical strain rate sensitivity index of the damage variable,  $\alpha$  is an empirical scale parameter related to the strength;  $\beta$  is the empirical morphological parameter of the Weibull distribution.

# 2.5 Models using irreversible entropy as a metric with an empirical evolution function

Basaran and Yan[1998] proposed using entropy as a damage metric. Chandaroy and Basaran[1999<sup>a</sup>, 1999<sup>b</sup>] used energy dissipated during thermal cycling in a microelectronic solder joint to model fatigue life.

Naderi et al [2010] proposed a thermodynamic approach for the characterization of material degradation, which uses the entropy generated during the entire life of the specimens undergoing fatigue tests. Results show that the cumulative entropy generation is constant at the time of failure and is independent of geometry, load and frequency. Moreover, it is shown that the fatigue fracture entropy, FFE is a material property. That is, materials with different properties, such as stainless steel and Al have a different cumulative entropy generation at the fracture point. Figure 1 and 2 shows the FFE of Al 6061-T6 and SS 304 for different loads and frequencies. The results presented in Figure 1 and 2 demonstrate the validity of the constant entropy gain at failure for Al and SS specimens. The results reveal that the necessary and sufficient

condition for final fracture of Al 6061-T6 corresponds to the entropy gain of  $4\text{MJm}^{-3}$  K<sup>-1</sup>, regardless of the test frequency, thickness of the specimen and the stress state. For SS 304 specimens, this condition corresponds to an entropy gain of about 60MJ m<sup>-3</sup> K<sup>-1</sup>

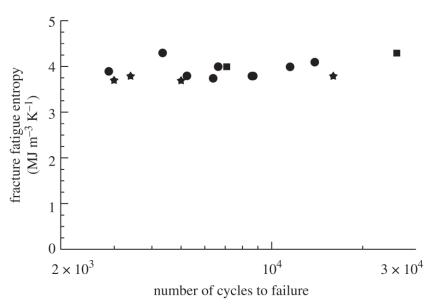


Figure 1. Experimental fracture fatigue entropy versus the number of cycles to failure for tension-compression, bending and torsional fatigue tests of Al 6061-T6 at frequency 10 Hz.

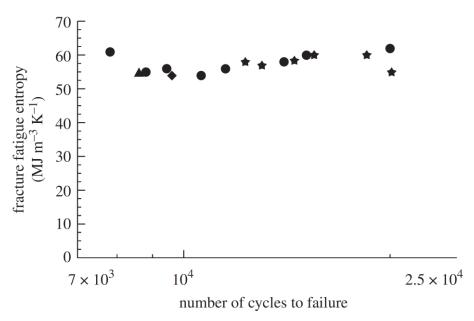


Figure 2. Experimental fracture fatigue entropy versus the number of cycles to failure for bending and torsional fatigue tests of SS 304 for different loads and frequencies.

Naderi and Khonsari[2010] presented an experimental approach to fatigue damage in metals based on thermodynamic theory of irreversible process. Fatigue damage is an irreversible progression of cyclic plastic strain energy that reaches its critical value at

the onset of fracture. In this work, irreversible cyclic plastic energy in terms of entropy generation is utilized to experimentally determine the degradation of different specimens subjected to low cyclic bending, tension-compression, and torsional fatigue The entropy generation equation is given by

$$s_g = \int_0^{t_f} (W_p/T)dt \tag{52}$$

where  $s_g$  is the total entropy generation at the onset of fracture,  $W_p$  is the cyclic plastic energy, T is the absolute temperature. The cyclic plastic energy determined by Morrow's cyclic plastic energy dissipation formula is given below

$$W_p = 2\sigma_f' \varepsilon_f' \left( \frac{1 - n'}{1 + n'} \right) (2N_f)^{1 + b + c}$$
(53)

where n' is the cyclic strain hardening exponent,  $\varepsilon_f'$  is the fatigue ductility coefficient,  $\sigma_f'$  denotes the fatigue strength coefficient,  $N_f$  is the final number of cycles when failure occurs, b is fatigue strength exponent and c is fatigue ductility exponent. Damage evolution is given by

$$D_c = D_0 + B \ln(1 - s_{ic}/s_g) (54)$$

where  $D_0$  is the initial damage,  $s_{ic}$  is the critical value of entropy generation at the time when temperature starts to rise just after the steady-state phase, and B is a curve fitting parameter.

Applying the same entropy generation equation, Amiri and Khonsari [2012] assessed degradation in processes involving metal fatigue. It is shown that empirical fatigue models such as Miner's rule, Coffin-Manson equation, and Paris law can be deduced from thermodynamic consideration. In the work by Naderi and Khonsari [37], they again presented a methodology for real-time monitoring of fatigue life in machinery components that utilizes the accumulation of entropy to assess the severity of degradation associated with fatigue. Using this concept, a prototype called the fatigue monitoring unit that automatically shuts down the machine prior to the onset of fatigue fracture entropy based on a user-specified factor of safety is developed. The method is applicable to variable loading and does not require the specification of the loading history or loading sequence. The results of a series of laboratory fatigue tests pertaining to Al 6061-T6 and SS 304 specimens, which show the utility of the approach and its suitability for implementation in the field, are provided. Similar work was also done by Teng et al [2020] for normalized SAE1045 steel.

$$\dot{s} = W_p/T - J_q \cdot \operatorname{grad} T/T^2 \; ; \; W_p = AN_f^{\alpha}; \; \alpha = b + c$$
(55)

$$A = 2^{2+b+c} \sigma_f' \varepsilon_f' \left(\frac{c-b}{c+b}\right) (N_f)^{b+c}$$
;  $s_f = \int_0^{t_f} (W_p/T) dt$ 

where  $\dot{s}$  represents the entropy production rate  $(\dot{s} \geq 0)$ ,  $J_q$  the heat flux, T the surface temperature,  $W_p$  the cyclic plastic energy per unit volume,  $N_f$  is the final number of cycles when failure occurs, b and c are curve fitting parameters, b is fatigue strength exponent and c is fatigue ductility exponent,  $\varepsilon_f'$  is the fatigue ductility coefficient,  $\sigma_f'$  denotes the fatigue strength coefficient.

Liakat and Khonsari[2015] utilized the concept of thermodynamic entropy generation in a degradation process to study the high cycle fatigue of medium carbon steel 1018. The evolutions of the plastic strain energy and temperature are discussed and utilized to calculate the entropy accumulation. It is shown that the accumulation of entropy generation in the high cycle fatigue of the material, beginning with a pristine specimen and ending at fatigue fracture is nearly constant within the experimental and loading conditions considered. The concept of tallying entropy is useful for the prediction of the fatigue life evolution of a material undergoing cyclic loading.

$$s = \int_0^{N_f} \left(\Delta W_p / T\right) dN - \int_0^{N_f} \left(\frac{k}{T^2} \operatorname{grad} T\right) dN \tag{56}$$

Ontiveros et al[2016] examined a set of experimental results of AA7075-T651 to determine applicability of the thermodynamic entropy generation as an index of fatigue crack initiation. Entropy accumulation is calculated from hysteresis energy and temperature rise. An increasing trend of entropy accumulation with the number of cycle to failure is observed on macroscale measurements. Results also determine that the entropy generations from the samples under the same operating conditions are similar as the crack grows. Scanning electron microscope analysis is performed on the fractured surfaces to observe the fatigue striations, and persistent slip bands are observed employing an optical microscope. A discussion is presented regarding the length scales on which crack initiation occurs and entropy calculation is made.

Guo et al[2018] introduced a new intrinsic dissipation model for high-cycle fatigue life prediction of metallic materials. A general constitutive model with internal state variables, in accordance with the thermodynamic principles, is firstly formulated to describe the thermo-mechanical response of metallic materials under high-cycle fatigue loading. The model formulation considers two types of micro-mechanisms, i.e. the recoverable microstructure motion inducing anelasticity and the unrecoverable microstructure motion inducing damage. The intrinsic dissipation model is then derived taking into account two critical stress amplitudes related to the corresponding microstructure. Finally, a fatigue life prediction model is obtained by taking the intrinsic dissipation part induced solely by the unrecoverable microstructure motion as

a fatigue damage indicator. Failure criterion is estimated by the concept of energy dissipation threshold.

The quantitative assessment of cumulative damage caused by unrecoverable microstructure motion is given as

$$D = \frac{Nk_d\sigma_a^p}{E_c f} [H(\sigma_a - \sigma_{c2})]$$
 (57)

where N is the cycle number,  $E_c$  is the energy dissipation threshold for fatigue failure which can be identified using the proposed intrinsic dissipation model with experimental data, f is the frequency,  $\sigma_a$  is the stress amplitude,  $\sigma_{c2}$  is the critical stress amplitude for the onset of the unrecoverable deformation mechanism., which is considered as a material fatigue limit  $\sigma_{c2} = \sigma_0$  in the proposed model,  $k_d$  and p are

experimental curve fitting parameters.  $H(\bullet)$  denotes the Heaviside step function.

Ribeiro et al [2019] studied low cycle fatigue for an Al-2024 specimen in a classical thermodynamics' framework. From the thermomechanical formulation, authors estimate the fatigue fracture entropy based on temperature measurements (where emissivity uncertainty is shown to have a small influence on the FFE estimation). Estimation of this quantity based on a mechanical empirical model is also possible. The various estimations seem to converge towards the fact that a constant fatigue fracture entropy (FFE) exists, where the Park and Nelson empirical model (model which relates the cyclic deformation work to the number of cycles endured by the material) produces a value in accordance with the experimental determination procedure.

The fatigue fracture entropy is given by

$$FFE_{TB} \cong \int_{0}^{t_{f}} \frac{-2ka_{y}(t)}{T_{m}(t)} dt + \int_{0}^{t_{f}} \rho C\left(\frac{\dot{T}_{m}(t)}{T_{m}(t)}\right) dt + \int_{0}^{t_{f}} \frac{[h_{G}\frac{S_{conv}}{V_{spe}}(T_{m}(t) - T_{0})]}{T_{m}(t)} dt$$
 (58)

where  $FFE_{TB}$  is the total entropy generated during the fatigue tests obtained from thermal balance. In Eq. (32), the first term is the contribution from heat conduction, the second term is from heat accumulation, third term is from convection and radiation when we consider them as heat source.  $T_m$  is the mean temperature, k is the thermal conductivity,  $a_y$  is a parameter obtained by parabolic curve fitting to the temperature profile,  $\rho$  is the density, C is the heat capacity,  $h_G$  is the global heat transfer coefficient,  $S_{conv}$  is exchange surface,  $V_{spe}$  is the specimen volume.

Roslinda Idris et al[2019] assessed the fatigue crack growth rate for dual-phase steel under spectrum loading based on entropy generation. According to the second law of thermodynamics, fatigue crack growth is related to entropy gain because of its irreversibility. In this work, the temperature evolution and crack length were

simultaneously measured during fatigue crack growth tests until failure to ensure the validity of the assessment. Results indicated a significant correlation between fatigue crack growth rate and entropy. This relationship is the basis in developing a model that can determine the characteristics of fatigue crack growth rate, particularly under spectrum loading. Predictive results showed that the proposed model can accurately predict the fatigue crack growth rate under a spectrum loading in all cases.

The number of cycles for crack length to propagate from distance  $a_j$  to  $a_{j+2}$ , can be obtained as

$$\Delta N_{j+2} = \int_{a_j}^{a_{j+2}} [y] da$$

$$= \frac{a_j(r^2 - 1)}{6r} [y_j r(2 - r) + y_{j+1}(r + 1)^2 + y_{j+2}(2r - 1)]$$
(59)

where j is the number sequence,  $y_j$  is the difference between the numbers of cycles for crack length interval, r is the interval between the crack length and y represents dN/da.

Osara and Bryant [2019] developed a Degradation-Entropy-Generation (DEG) methodology based on original work by Basaran and Yan [1998] and Basaran and Nie [2004] for system and process characterization and failure analysis on metal low-cycle fatigue. The method combines the first and second laws of thermodynamics with the Helmholtz free energy, then applies the result to the degradation-entropy generation theorem to relate a desired fatigue measure—stress, strain, cycles or time to failure—to the loads, materials and environmental conditions (including temperature and heat) via the irreversible entropies generated by the dissipative processes that degrade the fatigued material. The formulations are then verified with fatigue data from the literature, for a steel shaft under bending and torsion, entropies are given by

$$S_{\prime w} = \int_{0}^{t} \frac{\sigma \dot{\varepsilon}}{T} dt = N_{\Delta t} \sum_{1}^{m} \left\{ \frac{\sigma_{m}}{T_{m}} \left[ \varepsilon_{em} + \varepsilon_{pm} \left( \frac{1 - n'}{1 + n'} \right) \right] \right\}$$

$$S_{\prime \mu T} = \int_{0}^{t} -(\rho c \ln(T) + \frac{\alpha}{\kappa_{T}} \varepsilon) \frac{\dot{T}}{T} dt = -\sum_{1}^{m} \left( \rho c \ln(T_{m}) + \frac{\alpha}{\kappa_{T}} \varepsilon_{m} \right) \frac{\Delta T_{m}}{T_{m}}$$

$$(60)$$

where indices 1, 2, 3, ..., m correspond to times  $t_1, t_2, t_3, \ldots, t_m$ ,  $N_{\Delta t}$  is the total number of cycles within sampling time increment, n' is the cyclic strain hardening coefficient,  $\varepsilon_{em}$ ,  $\varepsilon_{pm}$ ,  $\sigma_m$ ,  $T_m$  are elastic and plastic strain, stress, absolute temperature at  $t_m$ , respectively,  $\rho$  is the density, c is the heat capacity,  $\kappa_T$  is isothermal loadability,  $\alpha$  is thermal expansion coefficient.

Sun et al [2019] proposed a copula entropy approach, which is a combination of the copula function and information entropy theory, to measure the dependence among different degradation processes. The copula function was employed to identify the complex dependence structure of performance features, and information entropy theory was used to quantify the degree of dependence. The copula entropy is given by

$$H_{c}(u_{1}, u_{2}, ..., u_{d})$$

$$= -\int_{0}^{1} ... \int_{0}^{1} c(u_{1}, u_{2}, ..., u_{d}) \ln(c(u_{1}, u_{2}, ..., u_{d})) du_{1}, ..., du_{d}$$
(61)

where  $c(u_1, u_2, ..., u_d)$  is the probability density function of the copula function;  $u_i = F_i(x_i) = P(x_i \le X_i)$ , i = 1, 2, ..., d, represents the marginal distribution function of random variables.

The probability density function,  $p_i(x)$ , of the *i*th performance feature degradation increment is calculated as

$$p_{i}(\Delta x) = \frac{1}{mh} \sum_{t=1}^{T} K\left(\frac{\Delta x - \Delta X_{t}}{h}\right)$$

$$K\left(\frac{\Delta x - \Delta X_{t}}{h}\right) = \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{(\Delta x - \Delta X)^{2}}{2h^{2}}\right)$$
(62)

where t is the time interval; T is the width of the time interval; h is the width of the form smooth parameter,  $\Delta X$  is the increment of degradation data, and K() is a kernel function, which is a standard Gaussian distribution.

Yun and Modarres [2019] proposed the entropic damage indicators for metallic material fatigue processes obtained from three associated energy dissipation sources. In this study, three entropic-based metrics are examined and demonstrated for application to fatigue damage. Experimental data on energy dissipations associated with fatigue damage, in the forms of mechanical, thermal, and acoustic emission (AE) energies are collected. These data are estimated and correlated the corresponding entropy generations with the observed fatigue damages in metallic materials.

The classical thermodynamic entropy equation is given by

$$\dot{S} = \frac{1}{T^2} J_q \cdot \nabla T - \sum_k J_k \left( \nabla \frac{\mu_k}{T} \right) + \frac{1}{T} \tau : \dot{\varepsilon}_p + \frac{1}{T} \sum_i v_j A_j + \frac{1}{T} \sum_m c_m J_m (-\nabla \psi)$$
 (63)

The AE information (Shannon) entropy is given by

$$S = -\sum_{k} p(x_i) \log p(x_i)$$
(64)

The entropy from the definition of statistical mechanics is given by

$$\Delta S_{tot} = k_b \ln \left( \frac{\pi_f(+W)}{\pi_r(-W)} \right)$$
 (65)

where  $J_q$  is the thermodynamic flux due to heat conduction,  $J_k$  is the thermodynamic flux due to diffusion,  $\mu_k$  is the chemical potential,  $\tau$  is the mechanical stress,  $\varepsilon_p$  is the plastic strain,  $v_j$  is the chemical reaction rate,  $A_j$  is the chemical affinity,  $c_m$  is the coupling constant,  $J_m$  is the thermodynamic flux due to the external field, and  $\psi$  is the potential of the external field.  $p(x_i)$  is a corresponding discrete histogram for processed digitized data,  $\pi_f(+W)$  and  $\pi_r(-W)$  in the fatigue damage process are interpreted as the forward and reverse work distributions over many load cycles, respectively.

Young and Subbarayan [2009] proposed using the cumulative distribution functions derived from maximum entropy formalisms, utilizing thermodynamic entropy as a measure of damage to fit the low-cycle fatigue data of metals. The thermodynamic entropy is measured from hysteresis loops of cyclic tension–compression fatigue tests on aluminum 2024-T351. The plastic dissipation per cyclic reversal is estimated from Ramberg–Osgood constitutive model fits to the hysteresis loops and correlated to experimentally measured average damage per reversal. The developed damage models are shown to more accurately and consistently describe fatigue life than several alternative damage models, including the Weibull distribution function and the Coffin–Manson relation. The formalism is founded on treating the failure process as a consequence of the increase in the entropy of the material due to plastic deformation. This argument leads to using inelastic dissipation as the independent variable for predicting low-cycle fatigue damage, rather than the more commonly used plastic strain.

The Ramberg-Osgood plasticity model for stress-strain loops are

$$\Delta \epsilon_p = \left(\frac{\Delta \sigma}{\kappa}\right)^{\frac{1}{n}}, \ \Delta \epsilon_{total} = \frac{\Delta \sigma}{\kappa} + \left(\frac{\Delta \sigma}{\kappa}\right)^{\frac{1}{n}}$$
 (66)

where  $\Delta \epsilon_p$  is Plastic strain range,  $\Delta \sigma$  is Stress range, K is Ramberg-Osgood strength parameter and 1/n is Ramberg-Osgood exponent. The Damage per reversal is proposed as a function of inelastic dissipation per reversal with power law fit

$$D_{rev} = f\left(\frac{W_f}{2N_f}\right) \tag{67}$$

And the inelastic dissipation for a monotonic test is

$$\frac{W_f}{2N_f} = \frac{1}{1+n} \sigma_f \epsilon_f \tag{68}$$

where  $\sigma_f$  is the true fracture stress,  $\epsilon_f$  is the true fracture strain,  $2N_f$  is the Total reversals to failure,  $W_f$  is the Total inelastic dissipation (per unit volume) to failure

Wang and Yao [2019] proposed an entropy-based failure prediction model for the

creep and fatigue of metallic materials based on the Boltzmann probabilistic entropy theory and continuum damage mechanics. The relationship between entropy increase rate during creep process and normalized creep failure time is developed and compared with the experimental results. A model based on empirical formula for the evolution law of entropy increase rate is developed to predict the change of creep strain during the damage process.

The entropy-based creep strain prediction equation is given as

$$p = \frac{p_{cr}}{\exp\left(\exp\left(\ln\left(\ln\left(\frac{p_{cr}}{p_{th}}\right)\right)\right)} - B\left[\ln\left(\ln\left(\frac{t_f}{f}\right)\right) - \ln\left(\ln\left(\frac{t_f}{t_{th}}\right)\right)\right]$$

$$B = \frac{Am_s}{N_0k_0\alpha}$$
(69)

where  $p_{th}$  is the initial value of cumulative plasticity in the microstructure of material, which represents the value at the beginning of creep damage accumulation;  $p_{cr}$  is the threshold value of cumulative plastic variable when creep failure occurs. The corresponding creep time when  $p=p_{th}$  and  $p=p_{cr}$  are  $t_{th}$  and  $t_f$ , respectively. The parameter B is related to the applied stress, temperature, and material properties.

Sosnovskiy and Sherbakov [2019] substantiated and formulated the main principles of the physical discipline-mechanothermodynamics (MTD) that unites Newtonian mechanics and thermodynamics. Its principles are based on using entropy as a bridge between mechanics and thermodynamics. The analysis of more than 600 experimental results allowed for determining a unified mechanothermodynamical function of limiting states (critical according to damageability) of polymers and metals. They are also known as fatigue fracture entropy states.

The generalized expressions for entropy in the MTD system consisting of a liquid (gas) medium of volume V and a solid of volume  $V_{\psi}$  is given by

$$S = \int_{V} \rho s_T dV + \int_{V_{\psi}} \sum_{l} \rho s_l dV_{\psi} + \int_{u_{\Sigma}^{eff} \ge 0} \rho s_{TF} dV_{\psi} = \int_{V} \frac{1}{T} \sigma_{ij} \varepsilon_{ij} dV +$$

$$\int_{V} \frac{1}{T} \rho dV + \int_{V} \frac{1}{T} \rho \sum_{k} \mu_{k} n_{k} dV + \int_{V_{\psi}} \frac{1}{T} \sum_{k} \rho [(1 - a_{k}) u_{k}] dV_{\psi} 
+ \int_{u_{v}^{eff} \geq 0} \rho \psi_{u}^{eff} dV_{\psi}$$
(70)

where  $s_{TF}$  is the specific tribo-fatigue entropy,  $u_0$  is the limiting density of the internal energy treated as the initial activation energy of the disintegration process,  $u_{\Sigma}^{eff}$  is the total effective energy of the system,  $\psi_{u}^{eff}$  is a dimensionless parameter of

local energy damageability,  $\psi_u^{eff} = u_{\Sigma}^{eff}/u_0$ . q is the heat flux,  $\mu$  is the chemical potential,  $n_k$  is the number of mols per unit mass,  $a_k$  are experimentally found coefficients.

# 3. Physics based evolution functions: unified mechanics theory

The models presented in the previous sections that predict material degradation evolution are based on empirical curve fitting of the experimental data. Most do not satisfy the 2<sup>nd</sup> law of thermodynamics. Because according to the 2<sup>nd</sup> law of thermodynamics only entropy can be a damage or degradation criteria, not stress or strain or displacement.

Unified mechanics theory[70][90], on the other hand, is a purely physics-based approach that doesn't need any experimental data curve fitting for degradation evolution function. It is obtained by combining the universal laws of motion of Newton and the first and second laws of thermodynamics directly at the ab-initio level. The second and third law of unified mechanics are given by, [90]

The second law 
$$(1 - \phi)F dt = d(mv)$$
 (71)

The third law 
$$F_{12} = \frac{d\left[\frac{1}{2}K_{21}u_{21}^{2}(1-\phi)\right]}{du_{21}}$$
 (72)

In unified mechanics the material is treated as a thermodynamic system. As a result, governing partial differential equations of any system automatically include energy loss, entropy generation and degradation of the system. A damage evolution is calculated along the Thermodynamic State Index axis, TSI, is given by [90]

$$\phi = \phi_{cr} \left[ 1 - \exp\left(\frac{-\Delta s m_s}{R}\right) \right] \tag{73}$$

 $\phi_{cr}$  is the critical value of TSI,  $\Delta s$  is the change in entropy,  $m_s$  is the molar mass and R is the gas constant. Equation (73) is the normalized form of the second law of thermodynamics. When a material in ground (reference) state, it is assumed to be free of any possible defects, i.e. "damage", it can be assumed that "damage" in material is equal to zero. Therefore, TSI will be  $\phi = 0$ . However,  $\phi$  does not have to be zero initially. In final stage, material reaches a critical state, such that entropy is maximum. At this stage, entropy production rate will become zero. Therefore, TSI will be  $\phi = 1$ . Thermodynamic State Index  $\phi$  is an additional axis of the unified mechanics theory that maps the entropy generation rate between zero and one. It predicts the lifespan of

any closed system without curve fitting an empirical model to a test data just using the thermodynamic fundamental equation of the material/system. Figure 3 shows the coordinate system in unified mechanics theory. It is important to emphasize that in the new coordinate system derivative of displacement with respect to entropy is not zero because TSI is a linearly independent axis.

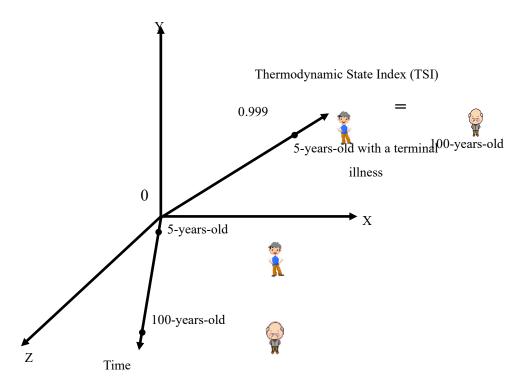


Figure 3. Coordinate system in unified mechanics theory

In unified mechanics theory, in addition to nodal displacements, the entropy generation rate is also necessary to relate microstructural changes in the material with spatial and temporal coordinates. In the following, some studies that adopted this concept and has been experimentally and mathematically validated will be listed and some will be discussed.

Unified Mechanics Theory (UMT) was used for fatigue life prediction under thermomechanical loading, [115]-[135]. Life predictions were validated by experiments. Noushad et al [2020] showed that the unified mechanics theory can be used to predict fatigue life of Ti-6Al-4V alloys based on fundamental equation of the material. Similarly, Egner et al [2020] analyzed the low cycle fatigue behavior of P91 steel using the exponential damage evolution equation derived in unified mechanics theory. First, experimental tests are performed to recognize different aspects of material behavior. Then an appropriate constitutive model is developed within the framework of thermodynamics of irreversible processes with internal state variables. Two different approaches to fatigue damage modeling are applied comparatively to describe the final

stage of material cyclic softening. The material parameters are identified, and the model is validated based on the available experimental data.

The fundamental equation of the steel under low cycle fatigue is given by:

$$\Delta s = \int_{t_0}^{t} \frac{\sigma_{ij} \varepsilon_{ij}^{p}}{\theta \rho} dt + \int_{t_0}^{t} \frac{k^{\theta} |grad\theta|^{2}}{\theta^{2} \rho} dt + \int_{t_0}^{t} \frac{r^{\theta}}{\theta} dt$$
 (74)

and the exponential damage evolution equation is derived as follows:

$$\phi = \left[1 - e^{\frac{-\Delta s}{N_0 k}}\right] \tag{75}$$

In comparison with the classical ductile damage model (Chaboche-Lemaitre ductile damage model [103][104]) in which the process of micro-cracks and micro-voids development starts when the accumulated plastic strain reaches a certain threshold value, the entropy-based model includes damage developing from the very beginning of the loading scheme. Egner et al [2020] concluded that unified mechanics theory gives better fatigue predictions.

Unified Mechanics Theory (UMT) was also used for fatigue under electrical-thermal-mechanical loading,[136]-[150]. A model was implemented into finite element procedure for prediction of nanoelectronics solder joint's time to failure under high current density. Nonlinear viscoplastic time-dependent nature of the material and current crowding effects are considered in the formulation.

The fundamental equation of the nanoelectronics solder joint is given by:

$$\Delta s = \int_{t_0}^{t} \left( \frac{1}{T^2} c |\operatorname{Grad}(T)|^2 + \frac{C_v D_v}{kT^2} (Z^* e \rho \mathbf{j} - f \Omega \nabla \sigma + \frac{Q \nabla T}{T} + \frac{kT}{C} \nabla C)^2 + \frac{1}{T} \boldsymbol{\sigma} : \varepsilon_p \right) dt$$
 (76)

where  $D_v$  is effective vacancy diffusivity,  $C_{v0}$  is the equilibrium vacancy concentration in the absence of a stress field,  $C_v$  is instantaneous atomic vacancy concentration, c is normalized vacancy concentration  $c = C_v/C_{v0}$ ,  $Z^*$  is vacancy effective charge number, e is electronic charge of an electron, k is Boltzmann's constant, T is absolute temperature,  $\rho$  is metal resistivity,  $\vec{J}$  is current density vector, f is atomic vacancy relaxation ratio,  $\Omega$  is atomic volume,  $\sigma_{spherical} = \text{trace}(\sigma_{ij})/3$ ,  $\varepsilon_p$  is the plastic strain rate tensor,  $Q^*$  is heat of transport, the isothermal heat transmitted by the moving atom in the process of jumping a lattice site.  $\Delta s$  is the entropy production,  $N_0$  is Avogadro's constant.

Besides metals, UMT can also be used for fatigue life prediction in particle filled composites. These models were verified experimentally [151]-[156].

## 5. Summary

This review article includes some recently developed degradation, damage evolution or fatigue life prediction models for different strain rates. For the empirical evolution function models, various modified GTN models, modified JC models, microplasticity models, phase-field models, and models based on irreversible continuum thermodynamics are included. For the physics-based evolution models, models based on unified mechanics are reviewed.

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