

*Research article*

## **A novel model for the RNase MRP-induced switch between the different forms of 5.8S rRNA**

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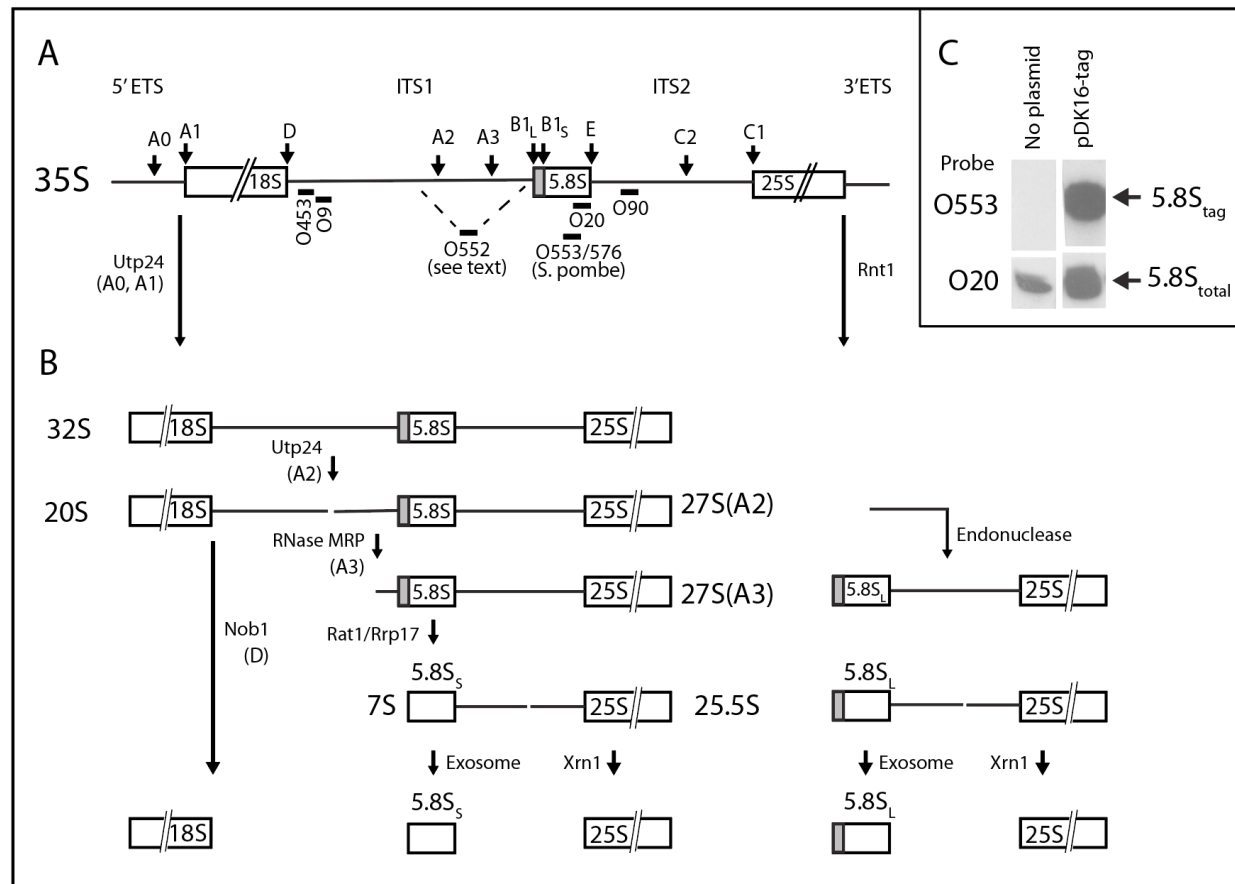
ribosome biogenesis; rRNA processing; RNase MRP; long/short 5.8S rRNA

**Abstract:** Processing of the RNA polymerase I pre-rRNA transcript into the mature 18S, 5.8S, and 25S rRNAs requires removing the “spacer” sequences. The canonical pathway for the removal of the ITS1 spacer, located between 18S and 5.8S rRNAs in the primary transcript, involves cleavages at the 3' end of 18S rRNA and at two sites inside ITS1. The process generates a long and a short 5.8S rRNA that differ in the number of ITS1 nucleotides retained at the 5.8S 5' end. Here we document a novel pathway that generates the long 5.8S for ITS1 while bypassing cleavage within ITS1. It entails a single endonuclease cut at the 3'-end of 18S rRNA followed by exonuclease Xrn1 degradation of ITS1. Mutations in RNase MRP increase the accumulation of long relative to short 5.8S rRNA; traditionally this is attributed to a decreased rate of RNase MRP cleavage at its target in ITS1, called A3. In contrast, we report here that the MRP induced switch between long and short 5.8S rRNA formation occurs even when the A3 site is deleted. Based on this and our published data, we propose that the switch may depend on RNase MRP processing RNA molecules other than pre-rRNA.

## 1. Introduction

Ribosome formation is the most resource-requiring process in both pro- and eukaryotes [1-3]. It involves complex pathways for regulated synthesis of rRNA and ribosomal proteins (r-proteins), and the assembly of these components into functional ribosomal subunits. The pathways differ between pro- and eukaryotes, but in eukaryotes the major steps are conserved from yeast to humans, although the complexity has evolved [4-6].

The progression of eukaryotic ribosome formation has been most intensively studied in *Saccharomyces cerevisiae* ('yeast') [7-9]. In this work, we focus on the processing of the yeast rRNA. Three of the four eukaryotic rRNA molecules are generated from a single precursor rRNA (pre-rRNA), polymerized by RNA Polymerase I (Pol I), that contains the sequences for 18S, 5.8S and 25S rRNA separated by the internal transcribed spacers ITS1 and ITS2, and flanked by 5' and 3' external transcribed spacers (ETS) (Figure 1A). The pre-rRNA is processed by endo- and exonucleases to form the mature rRNAs (Figure 1B) concurrently with the assembly of the rRNA and 79 r-proteins into the two ribosomal subunits [9]. The rRNA processing can take place during transcription or after completed synthesis of the pre-rRNA transcript [10, 11].



**Figure 1.** Canonical rRNA processing pathways. **(A)** Map of the yeast rRNA transcription unit with processing sites (above map) and oligonucleotides used for probing northern blots (below map). See Table 1 for oligonucleotide sequences and positions of pre-rRNA to which they hybridize. **(B)** rRNA processing intermediates with names of relevant processing enzymes and their sites of action. Only relevant steps are shown. **(C)** Specificity of northern probe for tagged 5.8S rRNA.  $\Delta rna12$  without plasmid or carrying pDK16-tag wildtype was grown at 25° and shifted to 37° for 6 hours. Total RNA was analyzed by northern analysis using the O553 probe (complementary to the *S. pombe* tag in 5.8S rRNA on pDK16-tag) or the O20 probe (complementary to the 25 nucleotides at the 3' end of 5.8S rRNA).

The canonical model for processing of the primary Pol I transcript begins with the Utp24 and Rnt1 endonucleases splitting the 5' ETS and 3' ETS, respectively, from the main portion of the pre-rRNA, generating the 32S intermediate (Figure 1B) [12-14]. Utp24 then cuts at the A2 site in ITS1, which separates the rRNA moieties destined for the 40S or the 60S ribosomal subunit [12, 14, 15]. ITS1 is further processed to generate the 5' end of the 5.8S rRNA and the 3' end of 18S rRNA.

There are two pathways that generate the 5' end of the 5.8S. In the major pathway, the ribozyme RNase MRP cuts ITS1 at the A3 site, followed by trimming of the resulting 5' end by a combination of Rat1 and Rrp17 exonucleases to form the 5' end of the "short" 5.8S rRNA (5.8S<sub>S</sub>) (Figures 1 and 2) [16-21]. In the other pathway, an unknown endonuclease directly generates the 5' end of the "long" 5.8S rRNA (5.8S<sub>L</sub>), which is 7 nucleotides longer at the 5' end than 5.8S<sub>S</sub> [22]. Here we have identified an additional pathway to 5.8S<sub>L</sub> involving degradation of the entire ITS1 from its 5' end at cleavage site D to the 5' end of 5.8S<sub>L</sub>.

Deletion or mutational inactivation of the gene encoding the RNA subunit of both yeast and human RNase MRP results in incomplete rRNA processing, and blocks ribosome formation [23, 24]. However, it is not clear why RNase MRP is essential for ribosome production. Mutations impairing (but not eliminating) RNase MRP activity favor the accumulation of 5.8S<sub>L</sub>, increasing the ratio between long and short 5.8S rRNA (L/S ratio) [20, 24-27]. It is generally believed that this is caused by a decreased rate of RNase MRP cleavage at A3, which in turn reduces the number of A3 5' ends available for attack by Rat1 and Rrp17. However, this would not explain why RNase MRP is essential for rRNA synthesis and growth, since both types of 5.8S rRNA can be incorporated into functional ribosomes.

Here we report a surprising result: namely, an RNase MRP affects the L/S ratio, even when the A3 site is deleted from the pre-rRNA genes. This leads us to suggest that the role of RNase MRP in modulating the level of 5.8S<sub>L</sub> is indirect and works through cleavage of non-rRNA targets, such one or more mRNAs encoding ribosome processing factors. The existence of such target(s) for RNase MRP may also help to explain why the enzyme is essential for survival of eukaryotes.

## 2. Results

### 2.1. System for genetic analysis

The *S. cerevisiae* genome has 100-200 tandem copies of the 18S-5.8S-25S rRNA transcription unit that is transcribed by RNA Polymerase I (Pol I). The large number of rRNA genes makes traditional genetic manipulation difficult and we therefore used strains in which Pol I is inactivated either permanently ( $\Delta rna135$ ) or conditionally at

37° (*Δrna12*) [28, 29] (Table 2). Both strains harbor a 18S-5.8S-25S rRNA transcription unit on the high-copy plasmid pDK16 that is transcribed by RNA polymerase II (Pol II) from the Cu<sup>2+</sup> induced CUP1 promoter [30]. Previous studies showed that pre-rRNA transcripts produced by Pol II are processed through the same steps as the natural Pol I transcripts [28, 30].

To specifically identify 5.8S rRNA transcribed from the plasmid-borne Pol II-driven rRNA genes, we tagged the 5.8S rRNA derived from pDK16 by replacing the DNA sequence corresponding to a hairpin formed by nucleotides 124-147 of the 5.8S rRNA with the DNA sequence that generates the same hairpin in 5.8S rRNA *Schizosaccharomyces pombe* (Sp), albeit with a different nucleotide sequence. The modified 5.8S rRNA transcribed from this plasmid, called pDK16-tag, was detected on northern blots by probing with an oligonucleotide (O553 or O576) that is complementary to the *S. pombe* sequence (Table 1). Total 5.8S rRNA transcribed from both wildtype and tagged genes was visualized on northern blots by a probe, O20, that is complementary to the 25 nucleotides at the 3' end of 5.8S rRNA and thus present in both wildtype and Sp-tagged 5.8S rRNA (Figure 1C).

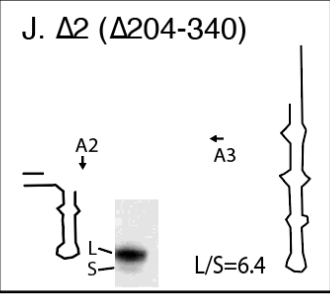
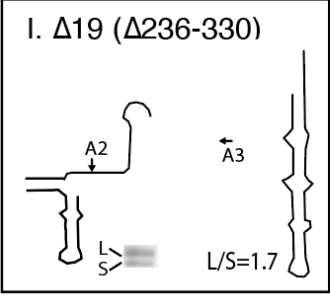
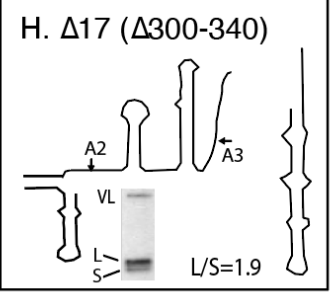
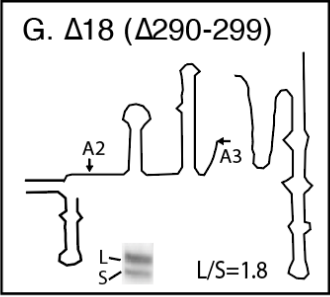
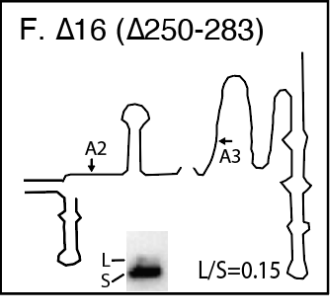
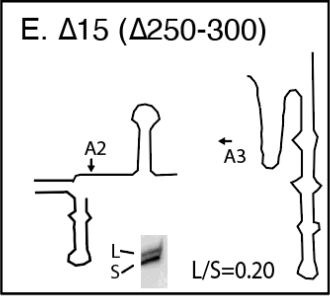
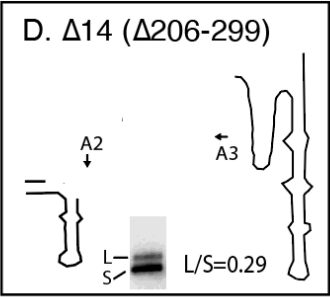
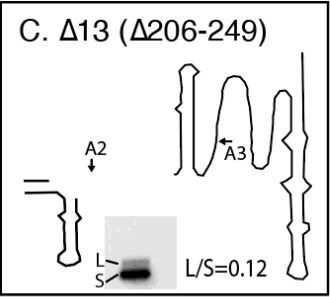
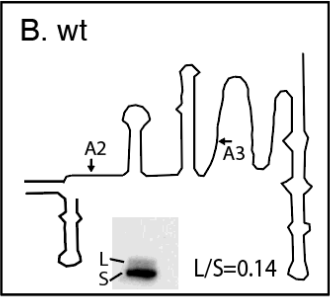
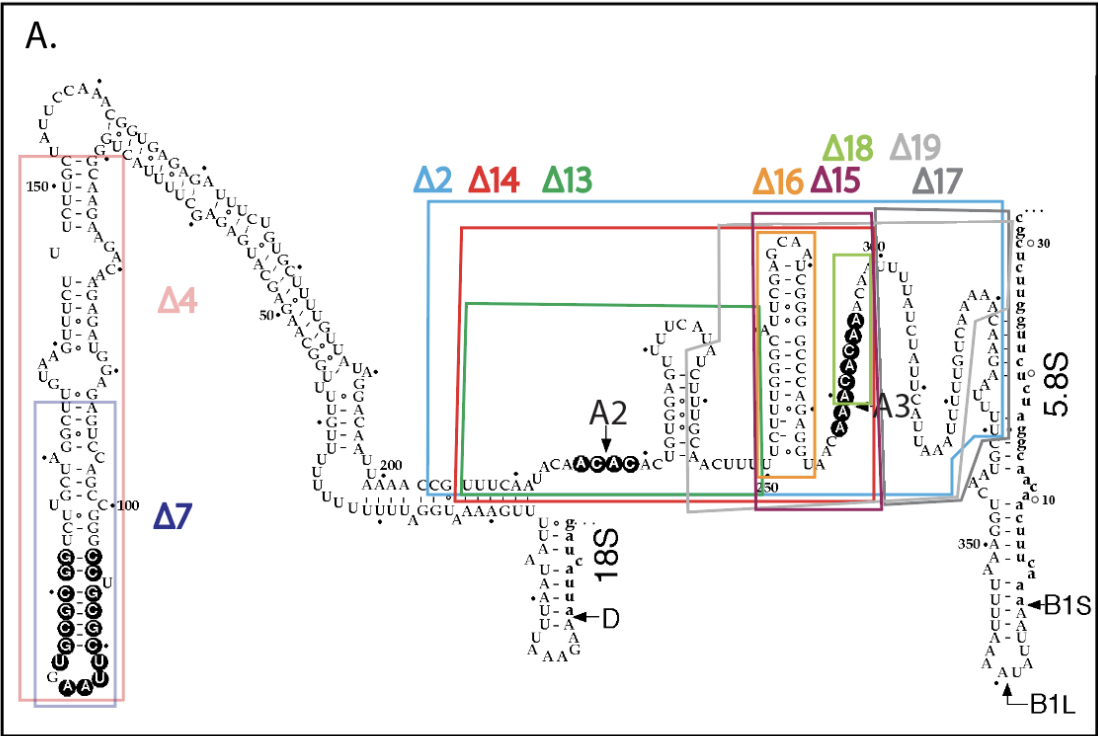
**Table 1. Sequence of oligonucleotides used**

Oligo	Sequence	Complementary to
O9	GCT CTT GCC AAA ACA AAA AAA TCC A	ITS1 24-53
O20	AAA TGA CGC TCA AAC AGG CAT GCC C	5.8S 3' end
O90	GTA TCA CTC ACT ACC AAA CAG AAT G	ITS2 11-35
O453	AAC AAA AAA ATC CAT TTT CAA	ITS1 15-25
O552	CCA GTT ACG CGT TTT AAT TG	Spanning Δ2 deletion, see text
O553	ATG CCT TTG GTA GAA CCC AAA GGC	<i>S. pombe</i> hairpin inserted in <i>S. cerevisiae</i> 5.8S
O576	ATG CCT TTG GTA GAA CCC	<i>S. pombe</i> hairpin inserted in <i>S. cerevisiae</i> 5.8S

2.2. Effects of ITS1 deletions on 5.8S rRNA processing

Previous experiments have shown that the canonical A2 and A3 cleavage sites in ITS1 are dispensable for formation of mature rRNA [18, 30]. To determine if other regions in ITS1 are necessary for 5.8S rRNA formation, we generated several ITS1 deletions in the rRNA transcript on pDK16-tag (Figure 2A). The deletion plasmids were transformed into the temperature-sensitive strain *Δrna12* to test if the ITS1-rRNA deletion genes can support growth at the non-permissive temperature for RNA pol I.

Somewhat surprisingly, only one deletion ( $\Delta 4$ ), removing 82 nucleotides in the upstream part of ITS1, was lethal at 37°, while a shorter deletion within the same region of ITS1 ( $\Delta 7$ ) resulted in severely impeded growth. All the remaining deletion plasmids supported growth at 37° in the presence, but not in the absence, of  $\text{Cu}^{2+}$ , the inducer of the *CUP1* promoter driving transcription of the plasmid-borne rRNA transcription unit.



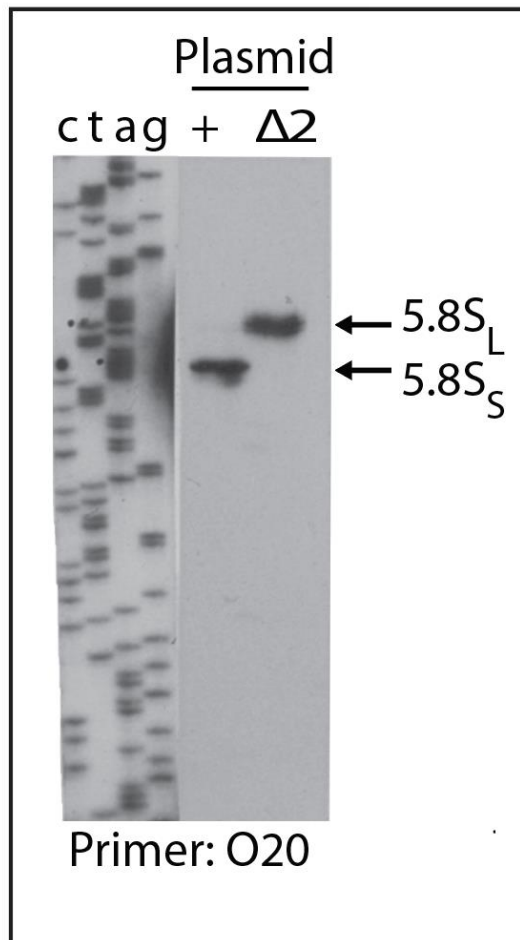


**Figure 2.** Deletion mutations in ITS1. **(A)** Secondary structure of ITS1 in *S. cerevisiae* proposed by van Nues et al. [31]. Deletions are shown by color-coded frames around the deleted nucleotides. Phylogenetically conserved nucleotides among a set of *Saccharomycetales* are shown in reverse contrast; see for specifics [31]. **(B-J)** Schematics of the ITS1 secondary structure in which the deleted parts in each mutant are blocked out. The ratio of 5.8S<sub>L</sub> and 5.8S<sub>S</sub> rRNA (L/S ratio) was determined by growing cells at 25°, shifting to 37° for 6 hours before analyzing total RNA on northern blots using O553 as probe. The 5.8S rRNA section of northern blots for each mutant is shown at the bottom of each frame together with the L/S ratio determined from the autoradiogram.

We next determined the effects of the viable deletions on the relative accumulation of the long (5.8S<sub>L</sub>) and short (5.8S<sub>S</sub>) rRNAs. Cultures of  $\Delta ma12$  harboring pDK16-tag, or one of its ITS1 deletion derivatives, were grown at 25° and then shifted to 37° for 6 hours. Total RNA was extracted and analyzed by acrylamide gel electrophoresis and northern blot hybridization, using the oligonucleotide probe O553 (complementary to the 5.8S Sp tag) (Figure 2). We then calculated the ratio between the long (5.8S<sub>L</sub>) and short (5.8S<sub>S</sub>) 5.8S rRNA (L/S ratio) produced from the deletion pre-rRNAs. For wildtype ITS1, the ratio was 0.14, i.e., the cells accumulated about 7-fold more 5.8S<sub>S</sub> than 5.8S<sub>L</sub>, consistent with the L/S ratio in 5.8S rRNA transcribed from chromosomal genes (Figure 2B) [27, 32]. Deletions removing the A2 site ( $\Delta 13$ ), the A2 and A3 sites together ( $\Delta 14$ ), the A3 site by itself ( $\Delta 15$ ), or a proposed hairpin upstream of the A3 site ( $\Delta 16$ ) all have no or modest (2-fold or less) effects on the L/S ratio (Figure 2C-F). However, an 11-nucleotide deletion immediately downstream of the A3 cleavage site ( $\Delta 18$ ) increased the L/S ratio by about 10-fold (Figure 2G). Importantly, a deletion that leaves the A3 site intact, but removes 41 nucleotides downstream of the A3 region ( $\Delta 17$ ) has a similar effect on the L/S ratio (Figure 2H), suggesting that ITS1 sequences or structures downstream of A3 are important determinants for the L/S ratio. The  $\Delta 19$  deletion, which removes 55 nucleotides upstream through 40 nucleotides downstream of A3, also increases the L/S ratio by about the same factor (Figure 2I), but since  $\Delta 14$  and  $\Delta 15$  remove the A3 site yet have little effect on the L/S ratio, it seems likely that the effect of the  $\Delta 19$  deletion is due to removing ITS1 sequences or structures downstream of A3 rather than removing the A3 cleavage site itself. Note that  $\Delta 14$  and  $\Delta 15$  have no

strong effect on the L/S ratio, even though they extend through the sequence removed by  $\Delta 18$ , which strongly increases the L/S ratio. This may suggest that  $\Delta 18$  affects the L/S ratio by changing the structure of ITS1 downstream of A3 rather than by removing the 11 nucleotides. Overall, the analysis of the L/S ratios resulting from the ITS1 deletion mutant pre-rRNAs suggests that only sequences downstream of A3, not sequences upstream of A3 or the presumed RNase MRP cleavage site itself, are necessary for maintaining the normal L/S ratio.

While deletions removing ITS1 sequences downstream of the A3 cleavage site result in a 10-fold increase in the L/S ratio, the most striking increase was observed with mutant  $\Delta 2$ , in which the deletion of sequences downstream of A3 is expanded to include the upstream A2 site (Figure 2J). To further analyze the 5.8S accumulated in the  $\Delta 2$  mutant, we used primer extension to map the 5' end of the plasmid-derived 5.8S<sub>L</sub> from total RNA extracted from  $\Delta rna135$  carrying pDK16 or pDK16 $\Delta 2$  (Figure 3). The results showed that no detectable 5.8Ss is generated from the  $\Delta 2$  pre-rRNA. Furthermore, the 5' end of the 5.8S<sub>L</sub> is 7 nucleotides proximal to the 5' end of the 5.8S rRNA made from the rRNA genes on pDK16 without ITS1 deletions. This position matches the 5' end of 5.8S<sub>L</sub> accumulating in RNase MRP mutants with wildtype chromosomal rRNA genes [27].

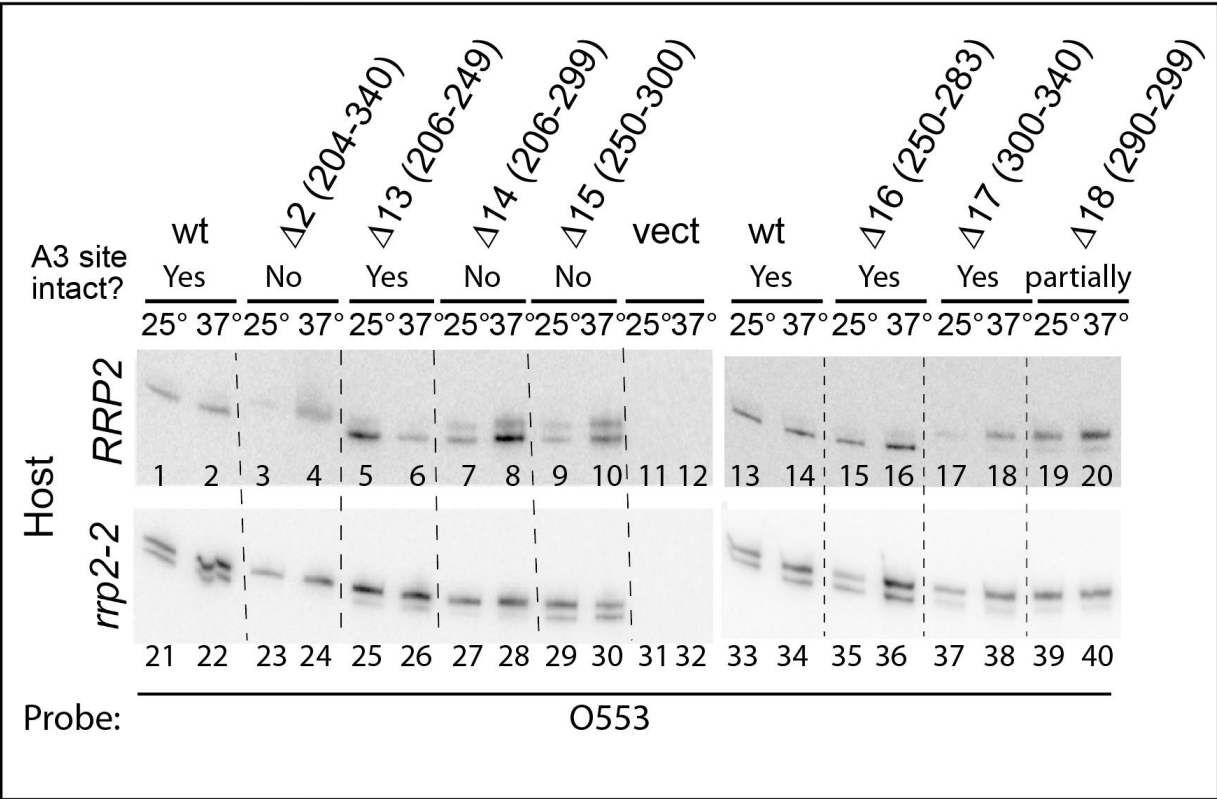


**Figure 3.** Mapping of 5' ends of 5.8S rRNA processed from  $\Delta 2$  and wildtype rRNA genes carried on plasmid pDK16. The host strain  $\Delta rna135$  carrying wildtype pDK16 or pDK16 $\Delta 2$  was grown in steady state at 30°. Total RNA was isolated and used for extension primer O20. Di-deoxy sequencing ladders generated by extension of the O20 primer on the pDK16 DNA are shown as markers. Dots in the sequence lanes indicate the band in the sequence ladder lining up with the primer extension products.

### 2.3. pre-rRNA processing is altered by an RNase MRP mutation even when the ITS1 A3 site is deleted.

The 5.8S L/S ratio increases in RNase MRP mutants [18, 20, 25, 32]. This increase has been attributed to a reduced rate of A3 cleavage with an ensuing decrease in the number of A3 5' ends available for attack by Rat1/Rrp17 to generate 5.8S<sub>S</sub>. If this explanation is true, then 5.8S rRNA formation in mutants lacking the A3 site should not be affected by RNase MRP mutations. To examine this, we transformed plasmid

pDK16-tag and its deletion derivatives into isogenic strains (YLL53 and 54) containing either the wildtype gene for the RNase MRP RNA subunit (*RRP2*, alias *NME1*) or a temperature sensitive single base substitution mutant of the RNase MRP RNA, called *rrp2-2* [20]. Total RNA from these strains was analyzed by northern blots probed with O553, which is specific to the 5.8S rRNA with the Sp tag. As expected, the L/S ratio of 5.8S rRNA synthesized from the pDK16-tag plasmid without an ITS1 deletion is increased in the *rrp2-2* mutant relative to the *RRP2* parent strain (Figure 4, compare lanes 1-2 and 13-14 with lanes 21-22 and 33-34, respectively). The L/S ratio is also increased in *rrp2-2* harboring pDK16-tag  $\Delta 13$  and  $\Delta 16$  (Figure 4, compare lanes 5-6 and 15-16 with lanes 25-26 and 35-36, respectively); both of these deletions leave the A3 site intact. Remarkably, the *rrp2-2* mutation also changes the relative amount of 5.8S<sub>L</sub> in mutants  $\Delta 14$  and  $\Delta 15$  (Figure 4, compare lanes 7-8 and 9-10 with lanes 27-28 and 29-30, respectively), even though A3 is deleted in these mutants. In contrast, the RNase MRP mutation has little effect on the L/S ratio in mutants  $\Delta 2$ ,  $\Delta 17$  and  $\Delta 18$ , which already make little 5.8S<sub>s</sub> in the *RRP2* wildtype background (Figure 4, compare lanes 3-4, 17-18, and 19-20 with lanes 23-24, 37-8, and 39-40, respectively). These results corroborate the notion that ITS1 sequences downstream of A3 contain the most important determinants for the relative accumulation of long and short 5.8S rRNA.

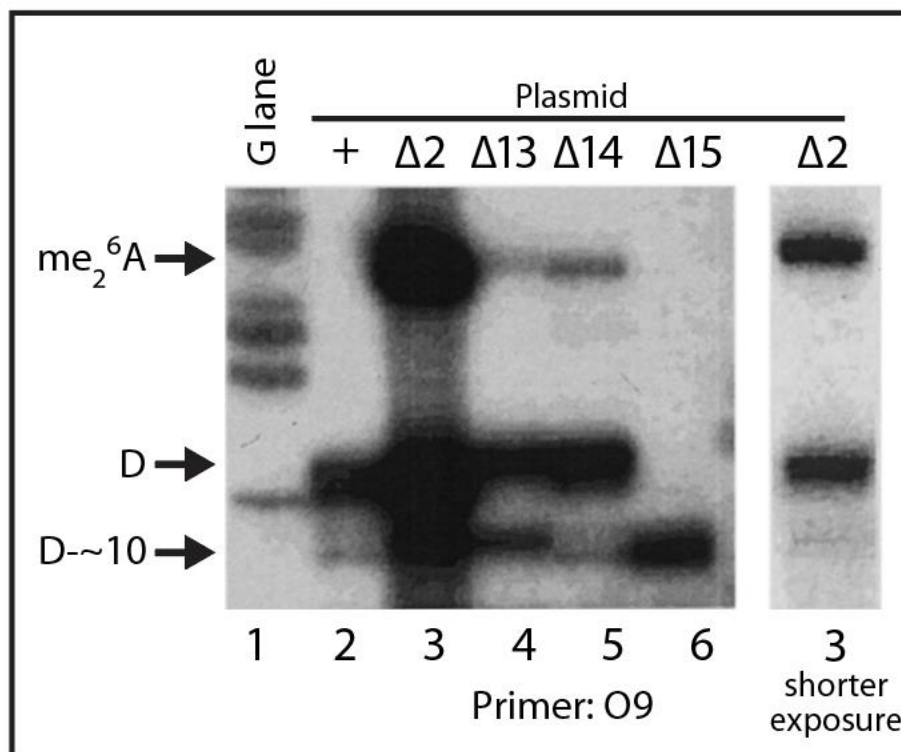


**Figure 4.** The effect of a mutation in the RNA subunit of the RNase MRP on the relative accumulation of 5.8S<sub>L</sub> and 5.8S<sub>S</sub> rRNA during processing of pre-rRNA for wildtype and ITS1 mutants. Plasmid pDK16-tag carrying the indicated ITS1 deletion was transformed into isogenic strains with genes encoding the wildtype (*RRP2*) or mutant (*rrp2-2*) RNA subunit of the RNase MRP. Total RNA was prepared from each strain growing at 25° and 6 hours after a shift to 37° and analyzed by northern blots probed with O553, which is specific for the 5.8S rRNA tag. The top band in each lane is the 5.8S<sub>L</sub> and the lower band is 5.8S<sub>S</sub>. Note that the *rrp2-2* strain grows at 25°, not at 37°, yet displays a similarly mutant RNA phenotype at both temperatures [27].

2.4. A pathway to 5.8S rRNA that bypasses the canonical A2 and A3 cleavage sites in ITS1

The accumulation of 5.8S rRNA resulting from processing of the  $\Delta 2$  and  $\Delta 14$  mutants (Figures 2-4) shows that the canonical path to 5.8S rRNA via cleavage at A2 and/or A3 can be bypassed. To learn more about the bypass pathway(s), we first asked if the mutant pre-rRNAs are cleaved accurately at the D site at the 3' end of mature 18S rRNA. Total RNA from  $\Delta na12$  cells harboring the pDK16-tag plasmid or the  $\Delta 2$ ,  $\Delta 13$ ,

$\Delta 14$ , and  $\Delta 15$  deletion derivatives was prepared 6 hours after a shift from 25° to 37°, at which time wildtype processing intermediates derived from residual transcription of the chromosomal rRNA genes are no longer visible (see below). Total RNA was used as template for extension of oligonucleotide O9, which hybridizes to ITS1 24-53 nucleotides downstream of the D site (Figure 1A and Table 1). RNA from the wildtype and the  $\Delta 2$ ,  $\Delta 13$ , and  $\Delta 14$  strains generated bands matching the 5' end of ITS1, adjacent to the 3' end of 18S rRNA (Figure 5, lanes 2-5). This result demonstrates that accurate cleavage at the D site is not dependent on prior cleavage at A2 or A3. Interestingly, in mutant  $\Delta 15$  the band corresponding to cleavage at D is absent; instead, there is a band corresponding to ectopic cleavage about 10 nucleotides downstream of the proper D site (Figure 5, lane 4, "D~10"). (A lesser amount of the "D~10" band is generated in  $\Delta 13$  (Figure 5, lane 6), as well as the canonical D band.) Since the deletion in  $\Delta 15$  is more than 200 nucleotides downstream of D, it is not clear why this deletion abrogates proper D cleavage. Perhaps the loss or gain of a particular secondary or tertiary structure in  $\Delta 15$  ITS1 affects the accessibility of the cleavage site.



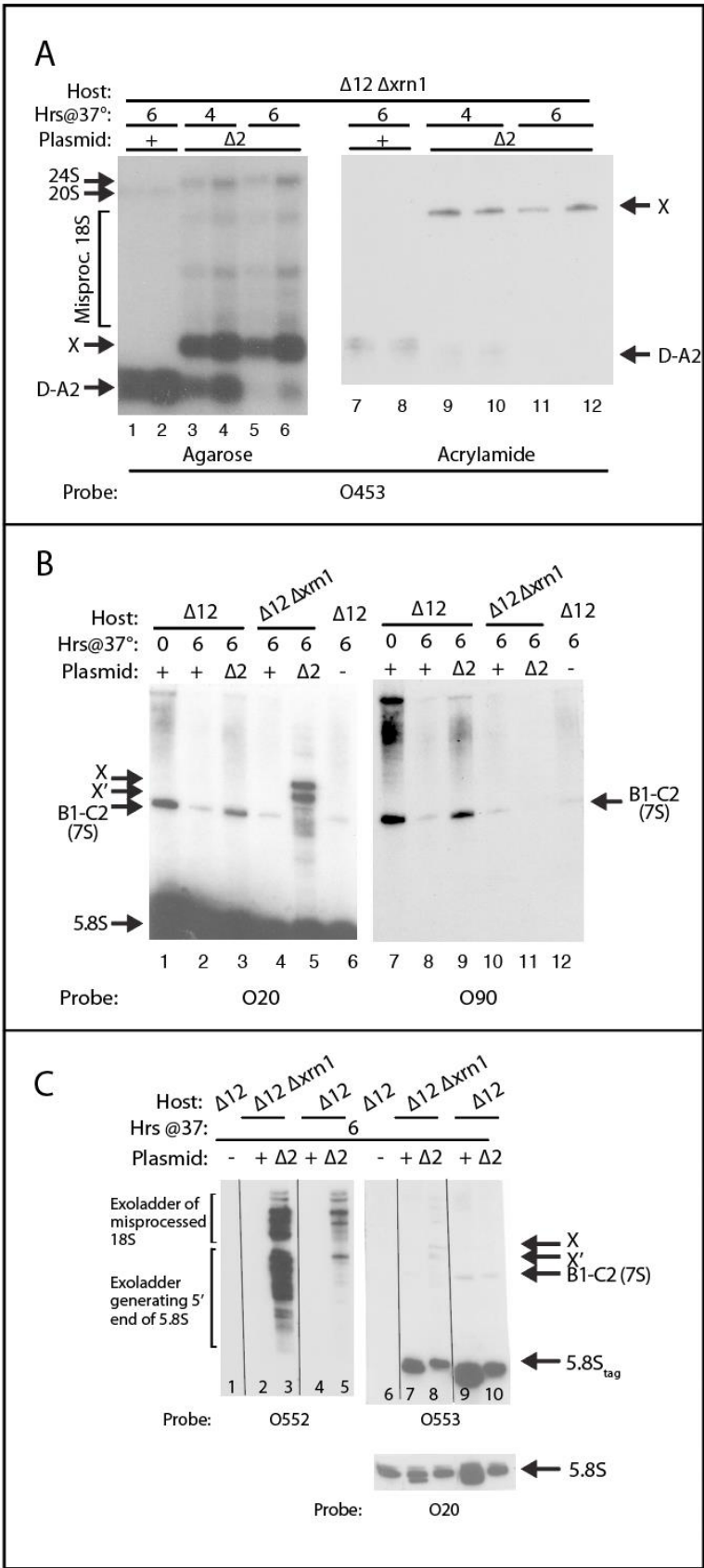
**Figure 5.** Mapping of 5' ends at the D-site made by processing of pre-rRNA from wildtype and the indicated deletion mutants. Host  $\Delta rna12$  carrying the indicated derivatives of pDK16-tag were grown at 25° and shifted to 37° for 6 hours. Total RNA was used for extension of primer O9 (Figure 1A). A G-lane made by dideoxy sequencing using total pDK16 DNA and primer O9 is shown as markers. The blot in the right shows lane 3 from a shorter exposure of the blot in lanes 1-6.

There is an additional interesting result from the primer extension experiment: RNA from  $\Delta 2$  (and, to a lesser extent,  $\Delta 13$  and  $\Delta 14$ ) generates a longer band whose length corresponds to the position of two adenosines near the end of 18S rRNA that are dimethylated in the mature rRNA [33] (Figure 5, lanes 3-5). This band is not visible with the wildtype RNA, presumably because the D-cleavage normally occurs at a faster rate, thereby preceding the A-methylation. We conclude that in the  $\Delta 2$ ,  $\Delta 13$  and  $\Delta 14$  mutants, the D site cleavage is delayed relative to A-dimethylation, and that 3' end maturation of 18S rRNA is not a prerequisite for dimethylation. Similar results were seen previously in A2 site mutants [18, 30].

Deletion of the gene for the 5'→3' exoribonuclease, *XRN1*, has been shown to stabilize ITS1 processing fragments [34]. To gain further insight into the processing of the  $\Delta 2$  pre-rRNA, we analyzed the accumulation of ITS1 rRNA fragments in a  $\Delta xrn1$  derivative of  $\Delta rna12$  harboring pDK16-tag or pDK16-tag $\Delta 2$ . RNA was prepared at 4 and 6 hours after a shift to the non-permissive temperature and analyzed on northern blots of agarose and acrylamide gels probed with O453 (Figure 6A), which is complementary to the region of ITS1 just downstream of the D cleavage site (Figure 1A and Table 1). As previously observed [34], the elimination of Xrn1 activity with the wildtype ITS1 plasmid results in the accumulation of an RNA generated from cleavage at sites D and A2 (D-A2) (Figure 6A, lanes 1-2, and lanes 7-8). At 4 hours post-temperature shift, the  $\Delta 2$  mutant also contained accumulated D-A2 RNA; we attribute that to residual but decreased transcription of the chromosomal rRNA genes by Pol I as it was undergoing inactivation after the temperature shift. However, the blot also revealed a longer fragment, labelled X, derived from the processing of ITS1 from mutant  $\Delta 2$  (Figure 6A, lanes 3-4 and lanes 9-10). By 6 hours, the D-A2 fragment was virtually gone, and only band X was visible (Figure 6A, lanes 5-6 and lanes 11-12). Furthermore, the wildtype

20S (pre-18S) band generated by cleavage at A2 (Figure 1; barely visible in Figure 6A, lanes 1-2) was replaced in the  $\Delta 2$  mutant with a new “24S” slower moving band (Figure 6A, lanes 3-6). This RNA may be the same fragment we have observed in an RNase MRP mutant, which extends from the 5' end of 18S to the 3' end (E site) of 5.8S rRNA [20, 27]. Finally, several bands between X and 20S were observed after the temperature shift of  $\Delta rna12 \Delta xrn1/pDK\Delta 2$ , indicating ectopic cleavage of 18S rRNA (Figure 6A, compare lanes 3-6 with lanes 1-2).





**Figure 6.** Characterization of intermediates in the processing of  $\Delta 2$  pre-rRNA. Total RNA from  $\Delta rna12$  *XRN1* or  $\Delta rna12$   $\Delta xrn1$  carrying pDK16-tag with wildtype or  $\Delta 2$  rRNA genes was extracted from cells grown at 25° (time 0) or after cells were shifted to 37° for 4 or 6 hours. The RNA was fractionated by agarose or acrylamide gel electrophoresis and analyzed by northern blots. **(A)** The wildtype D-A2 wildtype intermediate is replaced with a longer intermediate (X) in  $\Delta 2$  processing. Agarose (left) and acrylamide gels (right) were blotted and probed with O453. **(B)** The X and X' intermediates in processing of  $\Delta 2$  pre-rRNA include the 3' end of 5.8S rRNA. Acrylamide gels were blotted and probed with O20 or O90. **(C)** The  $\Delta 2$  pre-rRNA processing intermediates are subject to exonuclease maturation. An acrylamide gel was blotted and probed with O552 (lanes 1-5), O553 (lanes 6-10), or O20 (5.8S slice of the blot showing the 5.8S bands in lanes 6-10). All images in panel **C** came from the same blot, probed sequentially and stripped in between using each probe; see text for details.

Given the size of the  $\Delta 2$  deletion, that  $\Delta 2$  pre-rRNA is cleaved at the proper D site, and the fact that band X is longer than D-A2, the X fragment must extend downstream of ITS1. We confirmed this by probing a blot of an acrylamide gel with the 5.8S-specific probe O20. The blot of RNA from  $\Delta rna12$   $\Delta xrn1$ /pDK16- $\Delta 2$ -tag revealed two fragments, one of which corresponds to X. The other, called X', migrates slightly faster than X, but was not seen in the northern probed by O453; it therefore must have a 5' end downstream of the D site (Figure 6B, lane 5). Neither of the X and X' bands hybridize to the O90 probe, complementary to the upstream part of ITS2 (Figure 6B, lane 11), suggesting that both X and X' have 3' ends at or close to the 3' end of 5.8S rRNA (site E). Probing of the blot with O90 or O20 also reveals a band in the  $\Delta rna12$  *XRN1*/pDK16-tag or the  $\Delta rna12$  *XRN1*/pDK16-tag $\Delta 2$  RNA corresponding to the 7S pre-rRNA (Figure 1A), which is the 3' extended precursor for 5.8S rRNA bracketed by the B1 and C2 sites (Figure 6B lanes 1-3 and 7-9). This band is also seen in  $\Delta rna12$   $\Delta xrn1$ /pDK16-tag (Figure 6B, lanes 4 and 10). However, since the 7S band is not seen in  $\Delta rna12$   $\Delta xrn1$ /pDK16-tag $\Delta 2$  probed with O90, we conclude that, in the absence of Xrn1 activity, the 3' ends of X and X' must be formed before the 5' end of 5.8S is processed (Figure 6B, lane 11).

The probing of RNA from  $\Delta rna12$   $\Delta xrn1$ /pDK16-tag $\Delta 2$  with O20 also revealed a series of bands below X and X' (Figure 6B, lane 5), suggesting that the two X and X' RNA fragments are gradually shortened by exonuclease processing. To investigate this further, we designed a probe (O552) specific to RNA intermediates that include the  $\Delta 2$

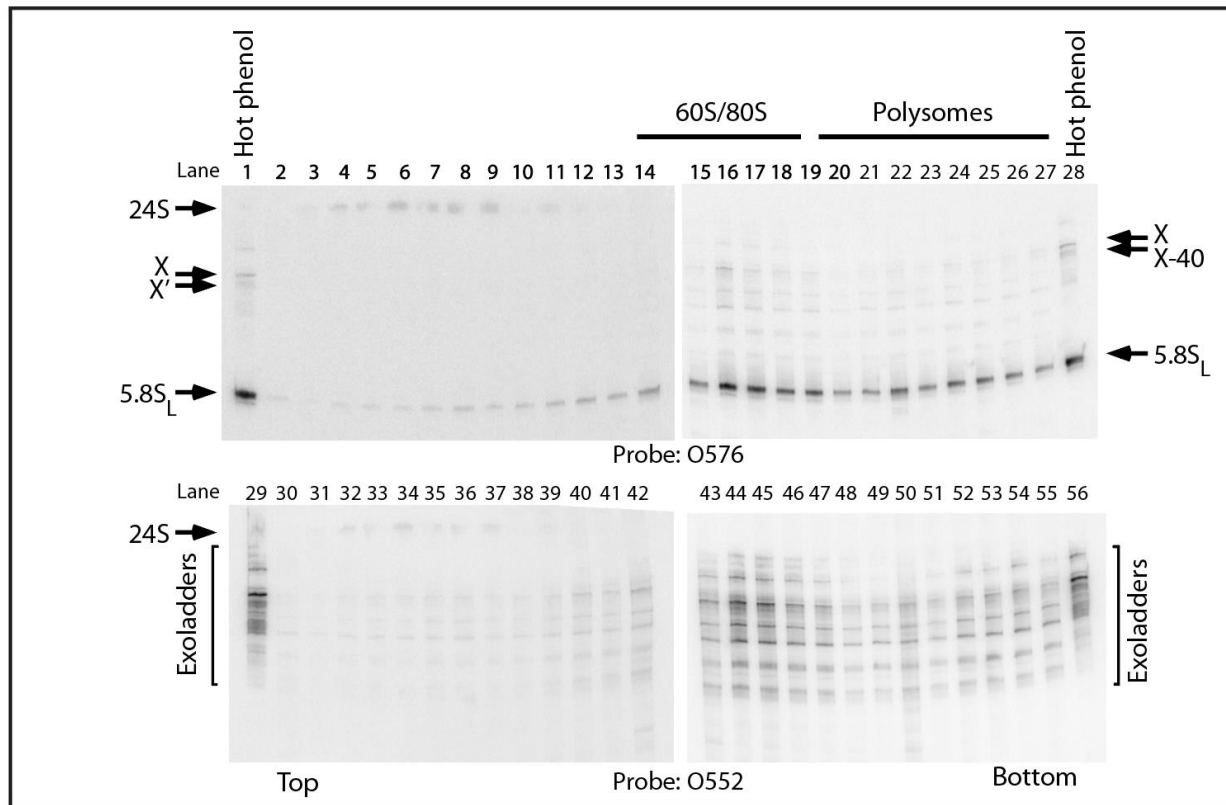
deletion (Figure 1A). This oligonucleotide is complementary to 9 nucleotides upstream and 11 nucleotides downstream of the  $\Delta 2$  deletion in ITS1. Transcripts that encompass the  $\Delta 2$  deletion can form a stable 20-basepair uninterrupted helix with the probe, while transcripts that do not contain the deletion are only able to form a 9 bp or 11 bp uninterrupted helix with O552, or a hybrid interrupted by a large loop, neither of which is stable enough to generate a hybrid under our hybridization conditions. As seen in Figure 6C, lanes 3 and 5, the O552 oligonucleotide only hybridizes to RNA from strains harboring pDK16-tag $\Delta 2$ , confirming that O552 specifically reveals transcripts that span the  $\Delta 2$  deletion. Moreover, RNA from both the  $\Delta xrn1$  and *XRN1* strains containing pDK16 $\Delta 2$  form exonuclease degradation “ladders” with O552 (Figure 6C, lanes 3 and 5, respectively), but the ladders in RNA from the *xrn1* $\Delta$  are much stronger (compare lane 3 with lane 5). Probing of the same blot with O553, complementary to tagged 5.8S rRNA, shows that there were similar amounts of tagged 5.8S rRNA in both samples (Figure 6C, lanes 8 and 10). Therefore, we ascribe the increased intensity in lane 3 relative to lane 5 to a slower degradation rate of ITS1 fragments when the Xrn1 endonuclease is absent, leading to a greater accumulation of the intermediates [35]. We conclude that Xrn1 is the primary exonuclease generating the ladders and that, in the absence of the Xrn1 nuclease, the degradation is performed by another, slower 5'→3' exonuclease.

Comparison of lanes 3 and 5 with lane 8 in Figure 6C shows that the bands at the top of the lower cluster of exonuclease products have an electrophoretic mobility similar to the X and X' bands, while the lower bands correspond more or less to the mobility of 5.8S<sub>L</sub>. These results suggest that the lower ladders represent “trimming” of X and X' RNA to form the mature 5' end of 5.8S<sub>L</sub> (Figure 6C, lanes 3 and 5). The upper ladder may represent trimming of RNA formed by misprocessing of 18S rRNA.

## 2.5. 5.8S rRNA with 5' extended ends are incorporated into functional ribosomes

Since  $\Delta 2$  rRNA supports growth at the non-permissive temperature of strain  $\Delta rna12$ , when the pDK16-tag $\Delta 2$  plasmid is the only source of rRNA, functional ribosomes must be formed from the pre-rRNA containing the  $\Delta 2$  deletion. We were curious to see if any of the 5' extended 5.8S rRNA in X, X', and the exonuclease ladders are removed before or after the 5.8S rRNA is incorporated into ribosomes. Therefore, we fractionated by

sucrose gradients whole-cell extracts of  $\Delta rna12 \Delta xrn1$ /pDK16-tag $\Delta 2$  (Figure 7). RNA extracted from each sucrose gradient fraction was analyzed on a northern blot probed with O576, which visualizes the tagged 5.8S rRNA (Figure 1B). The results demonstrate that the 5.8S<sub>L</sub> processed from the  $\Delta 2$  pre-rRNA is incorporated into 60S and 80S ribosomes as well as polysomes (Figure 7, lanes 14-19 and lanes 20-27, respectively). Additionally, probing with O552 showed that the 5'-extended 5.8S RNA transcripts ("exoladders") are also incorporated into ribosomes, including polysomes (Figure 7, lanes 42-55). In other words, the extensions do not preclude incorporation of the 5.8S-containing rRNA into functional ribosomes. The distribution of transcripts of different lengths in the exonuclease ladders is somewhat different in the transcripts extracted from ribosomes compared to the total RNA extracted in the presence of hot phenol (Figure 7, lanes 29 and 56, see also Figure 6C, lanes 3 and 5), presumably because hot phenol used for RNA extraction from whole cells inactivates enzymatic activity immediately upon cell lysis, while some enzymatic activities, including exonucleases, may continue to operate in the crude lysates despite keeping the lysates on ice. Interestingly, "24S" rRNA containing the  $\Delta 2$  mutant ITS1 is seen in complexes that sediment relatively slowly (Figure 7, fractions 2-8), but not in mature ribosomes. Thus, it appears that the "24S" transcript may be incorporated into slowly-sedimenting assembly intermediates (i.e., assembly intermediates in the early part of the assembly pathway) and that the 18S part is separated from the ITS1-5.8S part before ribosomes become functional.



**Figure 7.** 5' extended 5.8S rRNA is incorporated into 60S, 80S and polysomal ribosomes. *Δrna12 Δxrn1* carrying pDK16-tag  $\Delta 2$  rRNA genes was grown at 25° and shifted to 37° for 6 hours. Whole cell extracts were fractionated on sucrose gradients. RNA was then isolated from each fraction and subjected to acrylamide gel electrophoresis. Finally, RNA was transferred to nylon blots and probed with O576 or O552.

### 3. Discussion

#### 3.1 Steps in the *Xrn1*-dependent path to the 5' end of 5.8S<sub>L</sub>

The canonical scheme for pre-rRNA processing includes pathways to generate two different 5' ends, 7 nucleotides apart, of the 5.8S rRNA (Figure 1A). The 5' end of 5.8S<sub>s</sub> (short 5.8S) is ostensibly created by cleavage at the A3 site in ITS1 by the endonuclease RNase MRP, followed by trimming by the exonucleases Rat1 and Rrp17 (Figures 1A and 2) [16-21]. The 5' end of 5.8S<sub>L</sub> (long 5.8S) is believed to be formed by an unknown endonuclease [22] (Figure 1A). However, a third pathway for 5.8S processing was implied by our previous observation that formation of 5.8S<sub>L</sub> requires exonuclease *Xrn1* at non-permissive temperature in a temperature-sensitive RNase

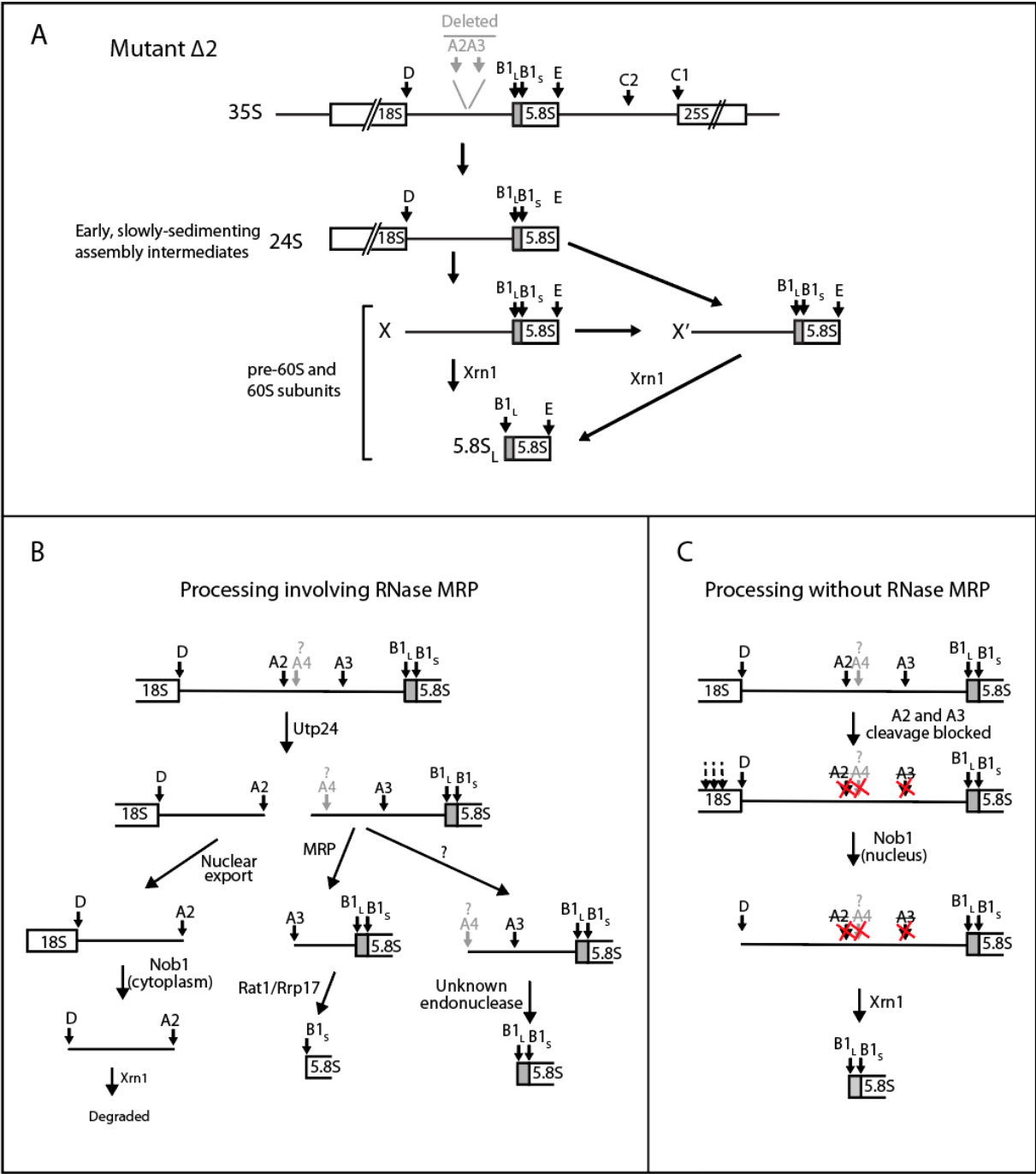
MRP mutant ("Mini2") with a higher penetrance for RNase MRP inactivation than the *rrp2-2-* mutant used in Figure 4 [24].

In this report, we describe experiments that support the proposed Xrn1-dependent path to 5.8S<sub>L</sub>. By deleting most of the downstream-half of ITS1, including the A2 and A3 sites ( $\Delta$ 2 mutant, Figure 1B), we blocked the two canonical processing pathways. This, in turn, uncovered a third pathway, initiated by cleavage at the border between 18S and ITS1 (D site) followed by Xrn1 exonuclease trimming from D to the B1<sub>L</sub> 5' end (Figures 5, 6 and 8A). The removal of ITS1 sequences by Xrn1 occurs, at least in part, after the 5' end-extended 5.8S rRNA is incorporated into functional 60S subunits, as indicated by the presence of ITS1 sequences from  $\Delta$ 2 pre-rRNA in the 60S-80S-polysome region of sucrose gradients (Figure 7). This observation is consistent with previous studies showing that large ribosomal subunits in both bacteria and yeast can be functional even if the rRNA is incompletely processed [36, 37].

### *3.2. Switching between the pathways to the 5' end of 5.8S rRNA*

The processing of wildtype pre-rRNA at non-permissive temperature in the Mini2 RNase MRP temperature-sensitive mutant [24] shares two characteristics of the 5.8S<sub>L</sub> formation from  $\Delta$ 2 pre-rRNA in a wildtype RNase MRP background (this report). First, in both cases the ITS1 is not cleaved at either A2 or A3, and second, both require Xrn1 [see Figures 5 and 7 in [24]]. These shared features suggest that the processing of pre-rRNA in the absence of RNase MRP activity follows the pathway for  $\Delta$ 2 pre-rRNA processing. Furthermore, an exonuclease ladder is visible above 5.8S<sub>L</sub> rRNA after acrylamide gel electrophoresis of RNA prepared from cells grown at permissive temperature, i.e. while RNase MRP is active, suggesting that Xrn1 trimming is a normal pathway to 5.8S<sub>L</sub> [see Figure 7 in [24]]. We conclude that the 5' end of 5.8S<sub>L</sub> can be formed either by Xrn1 or by an endonuclease while RNase MRP is active, but only the Xrn1-dependent pathway functions when RNase MRP is inactive (Figure 8B-C). The switch from accumulation of both forms of 5.8S rRNA to accumulation of only long 5.8S rRNA can thus be triggered by inactivating RNase MRP, because that inactivation blocks A2 and A3 cleavage (Figure 8C). Obviously, this impedes the canonical path to 5.8S<sub>S</sub>, but it is not clear why it prevents the endonuclease cleavage at B1<sub>L</sub>. An

uncharacterized 5' end ("A4") slightly downstream of A2 was implicated in the endonuclease pathway [22], so perhaps this end cannot be generated without A3 cleavage.





**Figure 8.** Models for ITS1 processing and switch between processing modes. **(A)** Xrn1-dependent processing of  $\Delta 2$  pre-rRNA. See text for details. **(B)** Processing involving RNase MRP (canonical model) Note, the path to 5.8S<sub>L</sub> involves an intermediate with a 5' end (A4) that has not been characterized [22]. **(C)** Processing without RNase MRP participation. Inactivation of RNase MRP blocks cleavage at both A2 and A3, allowing Xrn1 exonuclease to degrade the entire region from D to B1<sub>L</sub>. We propose that the processing of pre-rRNA occurs via a competition of the models in panels B and C with the canonical model in panel B being dominant during growth under laboratory conditions. If RNase MRP activity is decreased due to change of growth conditions or mutations, the kinetic mix of the models in panels B and C switches in the direction of model C. In the extreme case of total inactivation of RNase MRP, processing occurs exclusively via the model in C.

The canonical role of RNase MRP in 5.8S<sub>S</sub> processing (Figure 1) is based on two observations. First, RNase MRP cleaves ITS1 rRNA at the A3 site in vitro [38-40], and second, accumulation of 5.8S<sub>S</sub> is decreased in RNase MRP mutants, ostensibly because of a decreased rate of RNase MRP cleavage at A3. If that were the case, no 5.8S<sub>S</sub> should be made from pre-rRNA lacking the A3 site. However, processing of 5.8S<sub>S</sub> is accomplished from  $\Delta 14$ ,  $\Delta 15$ , and  $\Delta 16$  pre-rRNAs in normal amounts in an RNase MRP wildtype strain (*RRP2*), but in reduced amounts in the *rrp2-2* RNase MRP mutant (Figure 4), even though these pre-rRNAs should not be subject to RNase MRP cleavage due to the deletion of the A3 site (Figure 4). This suggests that the canonical explanation for the role of RNase MRP in the switch between production of 5.8S<sub>S</sub> and 5.8S<sub>L</sub> cannot be correct. Rather, we suggest that RNase MRP mediates the switch between 5.8S<sub>S</sub> and 5.8S<sub>L</sub> by an indirect, rather than a direct, mechanism.

It should be noted, however, that even though 8 nucleotides around the A3 consensus sequence contact the RNase MRP binding pocket, only two nucleotides in the consensus sequence have a strong effect on the rate of cleavage [38, 39, 41]. Thus, we cannot exclude ectopic RNase MRP cleavage of  $\Delta 14$ ,  $\Delta 15$ , and  $\Delta 16$  in the *RRP2* strain, although if this were the case, we would have expected that such ectopic cleavage should also suppress the effect of the inhibition of A3 cleavage in the  $\Delta 18$  mutant.

Interestingly, processing of  $\Delta 17$  pre-rRNA also generates little 5.8S<sub>S</sub> rRNA, even though this deletion leaves the A3 site intact. Conceivably, the Rat1 and Rrp17 exonucleases fail to stop at what would be the mature 5' end of 5.8S<sub>S</sub> when the



proposed hairpin formed by the distal region of ITS1 (ITS1 nucleotide 330 through position 27 of 5.8S<sub>s</sub>; see Figure 2) and proximal 5.8S sequences (5.8S<sub>s</sub> positions 1-24) is disrupted, even though the remaining part of the hairpin is sufficient to stop exonuclease degradation at the 5' end of 5.8S<sub>L</sub>. Overall, our analysis of 5.8S<sub>s</sub> formation from the ITS1 deletion pre-rRNA in RNase MRP wildtype and mutant strains raises doubts about the canonical pathway to the 5' end of 5.8S<sub>s</sub>.

### 3.3. *Why is RNase MRP essential?*

The RNA component of RNase MRP is essential for rRNA synthesis and growth in both yeast and humans [23, 24]. Indeed, mutations in this RNA have been implicated in human diseases [42, 43]. However, the essential nature of RNase MRP RNA cannot be rationalized based on our understanding of its role in rRNA synthesis, since both 5.8S<sub>s</sub> and 5.8S<sub>L</sub> get incorporated into functional ribosomes as indicated by the facts that the  $\Delta 2$  mutant is viable with essentially no 5.8S<sub>s</sub> (Figures 1-4) and subunits containing 5.8S<sub>L</sub> engage in polysome formation (Figure 7). Furthermore, even though early cleavages in the pre-rRNA can be detected by northern analysis at non-permissive temperature of the temperature sensitive "Mini2" mutant of RNase MRP, the processing generates neither 18S nor 25S rRNA mature rRNA [24]. Yet, 18S and 25S are evidently accumulating while the canonical ITS1 processing is bypassed, since the  $\Delta 2$  mutant is viable (Figure 7). Finally, it is not clear why A2 cleavage fails in the absence of RNase MRP activity [24], since A2 is cleaved by Utp24, which is a component of the 90S pre-40S particle [12]. Moreover, base substitutions in the A2 and A3 cleavage sites indicate that A2 cleavage is not dependent on A3 cleavage [18].

Taken together, these observations lead us to conclude that RNase MRP has other, as yet unidentified but essential, role(s) related to pre-rRNA processing. The notion, suggested above, that RNase MRP might control processing of one or more snoRNAs and mRNAs for ribosome processing factors may explain the essential nature of RNase MRP. In fact, RNase MRP has already been implicated in processing of other classes of mRNAs [44-47].

### 3.4. *The 3' end maturation of 18S rRNA*

The 3' end of 18S rRNA is matured by Nob1 endonuclease cleavage at the D-site of the 20S pre-rRNA [48]. Nob1 binds, together with partner proteins Pno1 and Nop9, to both 18S and ITS1 sequences of the 20S pre-rRNA in the nuclear pre-40S particle; cleavage is then prevented until the partner proteins are removed by helicases [49-51]. The  $\Delta 4$  and  $\Delta 7$  deletions remove parts of the pre-rRNA binding sites for Non1 and partner proteins, which may account for the negative effect on growth of those mutations.

Because the D-A2 fragment can be found in the cytoplasm [52, 53], D cleavage is assumed to occur after export of 40S ribosomal precursors particles from the nucleus to the cytoplasm. However, this observation does not exclude that D cleavage could *also* occur in the nucleus prior to export of the pre-40S, since Nob1 binds to pre-40S particles in the nucleus and its inhibitory proteins may conceivably be removed by nuclear helicases. Indeed, our results suggest that D cleavage does occur in the nucleus. Since both known endonuclease targets within ITS1 (A2 and A3) have been deleted in  $\Delta 2$ , the recognized mechanism for separating the rRNA moieties destined for 40S and 60S ribosomes is blocked. Therefore, we propose that, in the  $\Delta 2$  mutant, the rRNA for the two subunits is instead separated by D cleavage. However, since nuclear export of the large and small ribosomal subunits requires different export factor [54], it seems unlikely that 18S and 5.8S/25S would be exported together in a single pre-ribosome particle. Thus, it is reasonable to suppose that D-cleavage is a nuclear function during processing of  $\Delta 2$  pre-rRNA. The cellular location of D cleavage may be determined by kinetic competition between nuclear export of pre-40S and cleavage at D. If the export is fast, Nob1 cleavage will occur predominantly in the cytoplasm, but during slow pre-40S export, Nob1 cleavage may become a nuclear function. In the case of  $\Delta 2$  pre-rRNA processing, export of pre-40S is likely blocked until the precursors for 40S and 60S have been separated.

Previous base substitution experiments suggested that base-pairing of ITS1 sequences with nucleotides in the distal part of 18S rRNA block access for the Nob1 nuclease to the D site until A2 has been cleaved [55]. The ITS1 sequences participating in the proposed ITS1-18S base-pairing are deleted in the  $\Delta 2$ ,  $\Delta 13$ , and  $\Delta 14$  mutants; consequently, the proposed linkage of D-cleavage to A2-cleavage cannot function in these mutants. Thus, the proposed structure switch linking D-cleavage to A2 cleavage

can be bypassed. However, the ectopic cleavages within 18S seen in these mutants may normally be prevented during processing of wildtype pre-rRNA by the proposed ITS1-18S base-pairing. Interestingly, the D-cleavage in the  $\Delta 15$  mutant occurs ~10 nucleotides 3' to the correct D-site, even though the deletion is located 250-299 nucleotides downstream of D. Perhaps the deletion removes a binding site for a ribosomal assembly factor, or indirectly causes a refolding of the ITS1 sequences closer to the D-site.

### 3.5. Diversity of rRNA processing pathways

Like the pathway for bypass of ITS1 A2 and A3 cleavage described here, other steps for ribosome formation can also be bypassed by suppressor mutations. For example, release of Nsa1, a participant in restructuring one of the nucleolar pre-60S particles, requires the Rix7 ATP-helicase to be released from the pre-60S particle, but this step can be circumvented by mutations in *EBP2* and *MAK5* that eliminate the need for Nsa1 in facilitating pre-60S restructuring [56]. Similarly, a mutational change in the multifunctional protein Rrp5 can bypass A2 cleavage [57]. Moreover, mutations in Rsr1, Rpf2, or uL5, proteins that form a ribosomal subparticle with the 5S rRNA before docking of 5S rRNA-uL5 in the pre-60S particle, can suppress the need for Cgr1 in the final positioning of 5S rRNA in the nascent pre-60S [58]. The theme for the bypass pathways may be that they co-exist in wildtype strains, but are kinetically non-competitive. The suppressor mutations may change the kinetics of the bypass reaction relative to the canonical pathways, enabling these alternative pathways to become kinetically significant.

## 4. Materials and Methods

### 4.1 Strains and growth conditions

Yeast strains used are shown in Table 2. In  $\Delta rna135$ , the gene for the largest RNA polymerase subunit is disrupted, which inactivates RNA Pol I at all temperatures [28]. The pNOY102 plasmid in  $\Delta rna135$  was replaced by pDK16 or pDK16 $\Delta 2$  by transformation and selection for TRP<sup>+</sup> followed by counter-selection of pNOY102

(URA3) by growth on 5-fluoroorotic acid. Cultures of  $\Delta rna135$  were grown at 30°. In  $\Delta rna12$ , disruption of the gene for the smallest subunit of RNA-Pol I bestows temperature sensitivity for growth [29]. An *XRN1* deletion derivative of the temperature-sensitive strain  $\Delta rna12$  (called  $\Delta rna12 \Delta xrn1$ ) was constructed by transforming  $\Delta rna12$  with a PCR fragment made from chromosomal DNA of an *xrn1*  $\Delta Bgl1::URA3$  strain [59] and selecting for uracil prototrophy. Cultures of  $\Delta rna12$  or its  $\Delta xrn1$  derivative carrying the indicated plasmid were grown at 25° in supplemented synthetic medium [60] lacking tryptophan and including  $Cu^{2+}$  (0.1 mM  $CuSO_4$ , the inducer of the *CUP1* promoter), and shifted to 37° for 4 or 6 hours as indicated.

Table 2. Strains and plasmids

Name	Genotype	References
$\Delta rna12$ alias NOY504	<i>MATa rm4 (rna12)::LEU2 ade2-101 ura3-1 trp1-1 leu2-3,112 his3-101 can1-100</i>	[29]
$\Delta rna135$ alias NOY408-la	<i>MATa rpa135::LEU2 ade2-1 ura3-1 his3-10 1trp1-1 leu2-112 can1-100/pNOY102</i>	[28]
$\Delta rna135/pDK16$	<i>MATa rpa135::LEU2 ade2-I ura3-I his3-II trpI-1 leu2-3,112 can1-100/pDK16</i>	This study
YLL53	<i>MATa ade2-101 his3<math>\Delta</math>200 ura3-52 tyr1 RRP2</i>	[20]
YLL54	<i>MATa, ade2-101, his3<math>\Delta</math>200, ura3-52, lys2, rrp2-2</i>	[20]
pDK16	YEplac112 carrying the rRNA transcription unit expressed from the <i>CUP1</i> promoter	[30]
pDK16-tag	pDK16 with tagged 5,8S gene (see text)	This study

#### 4.2 Plasmids and oligonucleotides

Plasmid pDK16 [30], a yeast-*E.coli* shuttle plasmid containing both 2-Micron and *ColEI* origins of replication, harbors a wild type copy of the yeast rRNA transcription unit controlled by the *CUP1* promoter. pNOY102 [28] carries a wildtype rRNA transcription unit expressed from the *GAL7* promoter. Deletions were made in pDK16 by joining together PCR fragments with anchors of restriction enzyme recognition sites made with pNOY102 as template.

Oligonucleotides used for northern and primer extension analyses are listed in Table 1. Two probes (O553 and O576) were made for the Sp tag on pDK16. Both work for northern analysis, but O553 has a propensity for hairpin formation that appears to limit its capacity to work in primer extension.

#### *4.3 Other procedures*

Gel electrophoresis, blotting, and sucrose gradient procedures were performed as described [27, 61]. Total RNA was extracted from cells as described previously [27]. RNA was extracted from sucrose gradient fractions by phenol extraction followed by a phenol/chloroform/isoamyl alcohol extraction and concentrated by ethanol precipitation.

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