

Article

Novel state-space realization generalized from turbine blade modeling

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Abstract: Mathematical models across the applied sciences often utilize a standard methodological representation called a state variable formulation more commonly referred to as state space form. Recent research in unmanned underwater vehicle motor turbine blade thermal modeling for fatigue-life is generalized here permitting the proposed novel state space form to be applied to electro-dynamics, motion mechanics, and many other disciplines. Proposed here is a very compact form inherently representing time variance, with a convenient presentation of dynamic variables applicable to all proper transfer functions, where all the distinct, real poles, zeros and gain of the transfer function appear as explicit components in the state space. The resulting manifestation simplifies utilization of the state space methods broadly across the applied sciences.

Keywords: transfer function; state-space; realization; conversion

Finite systems of deterministic ordinary nonlinear differential equations may be designed to represent forced dissipative hydrodynamic flow. Solutions of these equations can be identified with trajectories in phase space.

— Edward Lorenz, Abstract from his landmark paper [1]

1. Problem Statement

Unmanned underwater vehicle turbine powered charging system and methods were recently presented in [2], while reference [3] introduced a state-space realization used to model temperature modes of turbine discs in order to correlate fatigue-life degradation. Figure 1 from that prequel is included as figure 1 in this manuscript. The full mathematical solution to the general case was found subsequently, and is presented here in full for the first time in a very compact form to represent dynamic systems that neatly separates past from future, [4]. Such representation is very often obtained through linearization of nonlinear differential equations around a logical initial operating point, [5], and is ubiquitously applicable to power systems [5], robotic manipulators [6] and balance control [7], spacecraft [8], small signals [9], process tomography of fluid flows [10], and are foundation to general problems of stability and control [11] so as to become key to the so-called control diagram method. Thus, the method proposed here has generic applicability beyond unmanned underwater vehicle turbine blades. This research introduces a method to produce state space forms with blatant display of all distinct real poles, zeros, and gain applicable to all proper transfer function ratios of system outputs to inputs.

Consider the single-input-single-output transfer function in pole-zero form;

$$G(s) = \frac{\prod_{i=1}^M (s - z_i)}{\prod_{j=1}^N (s - p_j)} \cdot kU(s) \quad (1)$$

It is useful to be able to construct a state-space realization such that the numerical values of the poles, zeros and gain appear explicitly in the matrices of;

$$\begin{aligned}\dot{x}(t) &= \mathbf{A}x(t) + \mathbf{B}u(t) \\ y(t) &= \mathbf{C}x(t) + \mathbf{D}u(t)\end{aligned}\quad (2)$$

32 A general form of the realization is sought for all proper transfer functions, where
33 the number of poles N is equal to or greater than then number of zeros M .

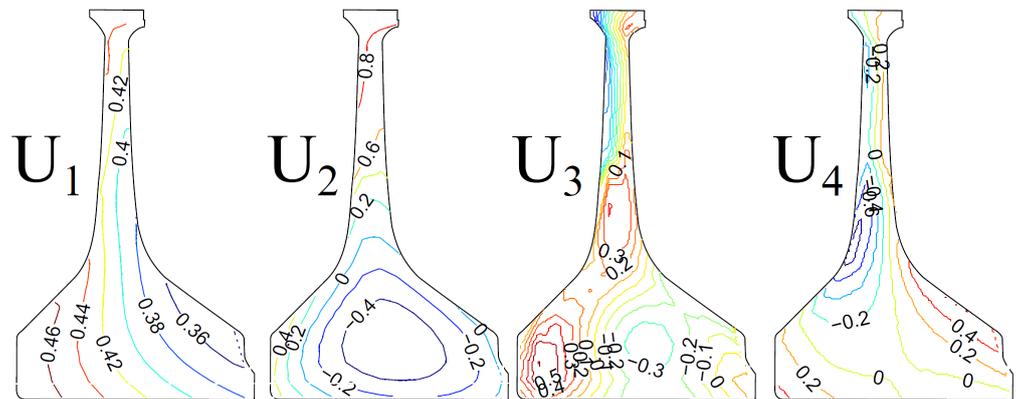


Figure 1. Thermal modes of a turbine disc. Each mode was associated with an element from \mathbf{C} in order build a complete temperature simulation.

34 With this introduction to the problem to be solved, the manuscript proceeds first
35 with a brief introduction to the scope of the solution (categorized into four functions
36 of interest) and necessary introduction to terminology and nomenclature of the effort.
37 Next, each special case in the scope of the solution is discussed sequentially, developing
38 the proposed methodology along the way. Lastly, comparisons are made with canonical
39 forms ubiquitously in use. Examples conversions are included in an appendix.

40 2. Overview of Solution

41 *We see that each surface is really a pair of surfaces, so that, where they appear to merge,*
42 *there are really four surfaces. Continuing this process for another circuit, we see that*
43 *there are really eight surfaces etc and we finally conclude that there is an infinite*
44 *complex of surfaces, each extremely close to one or the other of two merging surfaces.*

45 — Edward Lorenz

46 An intermediate thought about some surface in state space, while evolving his
47 prototype model of chaos. [12]

48 2.1. The 4 Main Categories

49 The Pole-Zero-Difference form is named for the off-diagonal terms in the \mathbf{A} matrix.
50 Realizations in this form have been found for all proper transfer functions and will be
51 presented here. However, the algebra becomes incredibly expansive to prove in the
52 general case. As such, this paper will explore the solution across a number of cases in
53 order to build the methodology of the proof. The Appendix provides a list of simple
54 cases for quick reference. Broadly, there are 4 categories of functions of interest, where;

- 55 1. there are no zeros, $M = 0$.
- 56 2. the number of zeros is anything up to $M < N - 1$.
- 57 3. the number of zeros is exactly $M = N - 1$.
- 58 4. the number of poles and zeros are equal, $M = N$.

59 The full solution for the last two categories is shown in summary against other
60 canonical forms in Section 4. There is significant overlap between these categories for
61 \mathbf{B} , \mathbf{C} , \mathbf{D} matrices, with most of the differences confined to the \mathbf{A} matrix.

62 2.2. The construction of $\mathbf{B}, \mathbf{C}, \mathbf{D}$

The matrix \mathbf{B} is constructed the same for all categories; as a column vector of length N such that;

$$\mathbf{B} = [k \ 0 \ 0 \ \dots \ 0]^T \quad (3)$$

In the singular case where $N = 1$;

$$\mathbf{B} = k \quad (4)$$

63 Similarly, matrix \mathbf{C} is a row vector of length N . For categories 1 and 2;

$$\mathbf{C} = [0 \ \dots \ 0 \ 0 \ 1] \quad (5)$$

for category 3, where $M = N - 1$;

$$\mathbf{C} = [1 \ \dots \ 1 \ 1 \ 1] \quad (6)$$

and for category 4, where $M = N$, matrix \mathbf{C} is more complicated;

$$\mathbf{C} = [\sum_{i=1}^N (p_i - z_i) \ \dots \ \sum_{i=N-1}^N (p_i - z_i) \ p_N - z_N] \quad (7)$$

64 This case is covered more fully below.

65 Finally, matrix $\mathbf{D} = 0$ for categories 1, 2 and 3, and $\mathbf{D} = k$ for category 4. The
66 example cases below will revisit each of these categories.

67 2.3. The construction of \mathbf{A}

The matrix \mathbf{A} is always lower triangular, and constructed by placing the poles (p_1 to p_N) on the main diagonal of a square matrix. For the first category, the case of no zeros, 1's are placed on the subdiagonal;

$$\mathbf{A} = \begin{bmatrix} p_1 & 0 & 0 & 0 & \dots & 0 \\ 1 & p_2 & 0 & 0 & & \\ 0 & 1 & p_3 & 0 & & \\ 0 & 0 & 1 & p_4 & & \\ \vdots & & & \ddots & \ddots & 0 \\ 0 & \dots & 0 & 1 & p_N & \end{bmatrix} \quad (8)$$

Where the full state-space would be;

$$\begin{bmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{bmatrix} = \begin{bmatrix} p_1 & 0 & 0 & 0 & \dots & 0 & k \\ 1 & p_2 & 0 & 0 & & & 0 \\ 0 & 1 & p_3 & 0 & & & 0 \\ 0 & 0 & 1 & p_4 & & & 0 \\ \vdots & & & \ddots & \ddots & & 0 \\ 0 & \dots & 0 & 1 & p_N & & 0 \\ 0 & \dots & 0 & 0 & 1 & & 0 \end{bmatrix} \quad (9)$$

This would represent the transfer function;

$$G(s) = \frac{1}{\prod_{j=1}^N (s - p_j)} \cdot kU(s) \quad (10)$$

68 This particular form is already well known, and represents the complete set of functions
69 from category 1. It is trivial to prove so not considered further.

For category 2, where the number of poles and zeros is strictly $N > M > 0$, we first consider simply $M = 1$, where the single zero is defined as z_1 . This is placed in \mathbf{A} on the

second row under the first pole, as the pole-zero difference ($p_2 - z_1$). Additionally, on the row below this (the third row, i.e. row number $M + 2$) place 1's up until the main diagonal;

$$\mathbf{A} = \begin{bmatrix} p_1 & 0 & 0 & 0 & \dots & 0 \\ p_2 - z_1 & p_2 & 0 & 0 & & \\ 1 & 1 & p_3 & 0 & & \\ 0 & 0 & 1 & p_4 & & \\ \vdots & & & \ddots & \ddots & 0 \\ 0 & \dots & 0 & 1 & p_N & \end{bmatrix} \quad (11)$$

For a second zero, z_2 , the pattern continues, but now there are two columns with the pole-zero difference ($p_3 - z_2$). Again, the row $M + 2$ will have 1's up until the main diagonal;

$$\mathbf{A} = \begin{bmatrix} p_1 & 0 & 0 & 0 & \dots & 0 \\ p_2 - z_1 & p_2 & 0 & 0 & & \\ p_3 - z_2 & p_3 - z_2 & p_3 & 0 & & \\ 1 & 1 & 1 & p_4 & & \\ \vdots & & & \ddots & \ddots & 0 \\ 0 & \dots & \dots & 0 & 1 & p_N \end{bmatrix} \quad (12)$$

So, for each subsequent zero up to z_M , the rows are constructed as;

$$\mathbf{A} = \begin{bmatrix} p_1 \\ p_2 - z_1 & p_2 \\ \vdots & \ddots \\ \dots & [- \mathbf{A}_{M+1} -] \\ 1 & \dots & 1 & 1 & p_{M+2} \\ 0 & \dots & \dots & 0 & 1 & p_{M+3} \\ \vdots & & & & & \ddots \\ 0 & \dots & \dots & \dots & 0 & 1 & p_N \end{bmatrix} \quad (13)$$

where the $M + 1$ th row;

$$\mathbf{A}_{M+1} = [p_{M+1} - z_M \quad \dots \quad p_{M+1} - z_M \quad p_{M+1} \quad 0 \quad \dots \quad 0]$$

This pattern continues for all category 2 cases up to $M = N - 2$, where the final realization is mostly the same but with no 0's left beneath the diagonal;

$$\mathbf{A} = \begin{bmatrix} p_1 \\ p_2 - z_1 & p_2 \\ p_3 - z_2 & p_3 - z_2 & p_3 \\ \vdots & & \ddots \\ p_{N-1} - z_M & \dots & p_{N-1} - z_M & p_{N-1} \\ 1 & \dots & 1 & 1 & p_N \end{bmatrix} \quad (14)$$

Consider solving the family of differential equations that come from the state-space constructed using Equation 13, such that $M \gg 0$, and $N \gg M$.

$$\begin{aligned}
 \dot{x}_1 &= p_1 x_1 + ku \\
 \dot{x}_2 &= (p_2 - z_1)x_1 + p_2 x_2 \\
 \dot{x}_3 &= (p_3 - z_2)[x_1 + x_2] + p_3 x_3 \\
 &\vdots \\
 \dot{x}_{M+1} &= (p_{M+1} - z_M)[x_1 + \dots + x_M] + p_{M+1} x_{M+1} \\
 \dot{x}_{M+2} &= [x_1 + \dots + x_M] + p_{M+2} x_{M+2} \\
 \dot{x}_{M+3} &= x_{M+2} + p_{M+3} x_{M+3} \\
 &\vdots \\
 \dot{x}_N &= x_{N-1} + p_N x_N \\
 y &= x_N
 \end{aligned} \tag{19}$$

If we take the Laplace transform of each equation, we get;

$$\begin{aligned}
 X_1 s &= p_1 X_1 + kU \\
 X_2 s &= (p_2 - z_1)X_1 + p_2 X_2 \\
 X_3 s &= (p_3 - z_2)[X_1 + X_2] + p_3 X_3 \\
 &\vdots \\
 X_{M+1} s &= (p_{M+1} - z_M)[X_1 + \dots + X_M] + p_{M+1} X_{M+1} \\
 X_{M+2} s &= [X_1 + \dots + X_{M+1}] + p_{M+2} X_{M+2} \\
 X_{M+3} s &= X_{M+2} + p_{M+3} X_{M+3} \\
 &\vdots \\
 X_N s &= X_{N-1} + p_N X_N \\
 Y &= X_N
 \end{aligned} \tag{20}$$

Each equation can then be rearranged to give a specific row by row solution;

$$\begin{aligned}
 X_1 &= \frac{1}{(s - p_1)} kU \\
 X_2 &= \frac{(p_2 - z_1)[X_1]}{(s - p_2)} \\
 X_3 &= \frac{(p_3 - z_2)[X_1 + X_2]}{(s - p_3)} \\
 &\vdots \\
 X_{M+1} &= \frac{(p_{M+1} - z_M)[X_1 + \dots + X_M]}{(s - p_{M+1})} \\
 X_{M+2} &= \frac{[X_1 + \dots + X_{M+1}]}{(s - p_{M+2})} \\
 X_{M+3} &= \frac{X_{M+2}}{(s - p_{M+3})} \\
 &\vdots \\
 X_N &= \frac{X_{N-1}}{(s - p_N)} \\
 Y &= X_N
 \end{aligned} \tag{21}$$

96 We contend that by solving the $N + 1$ equations we will eventually lead back to
 97 the regular pole-zero form shown in Equation 1. However, to prove this, we should
 98 consider a few simple cases before exploring the full argument, because the patterns are
 99 not straightforward. The following proof will proceed through 6 cases;

- 100 • 3.1 Case 1 - 2 poles, 1 zero
- 101 • 3.2 Case 2 - 5 poles, 1 zero
- 102 • 3.3 Case 3 - 5 poles, 3 zeros
- 103 • 3.4 Case 4 - N poles, M zeros, where $M < N - 1$
- 104 • 3.5 Case 5 - N poles, M zeros, where $M = N - 1$
- 105 • 3.6 Case 6 - N poles, M zeros, where $M = N$

106 3.1. Case 1 - 2 poles, 1 zero

Firstly, let $N = 2$ and $M = 1$. Because $M = N - 1$, $\mathbf{C} = [1 \ 1]$. In this case, the family of 3 equations will be simply;

$$\begin{aligned} X_1 &= \frac{1}{(s - p_1)} kU \\ X_2 &= \frac{(p_2 - z_1)[X_1]}{(s - p_2)} \\ Y &= X_2 + X_1 \end{aligned} \quad (22)$$

Firstly, substituting X_1 into X_2 ;

$$X_2 = \frac{(p_2 - z_1)}{(s - p_2)(s - p_1)} kU \quad (23)$$

Then Y can be solved easily by substitution;

$$Y = \frac{(p_2 - z_1)}{(s - p_2)(s - p_1)} kU + \frac{1}{(s - p_1)} kU \quad (24)$$

Cross multiply for a common denominator;

$$Y = \frac{(p_2 - z_1)}{(s - p_2)(s - p_1)} kU + \frac{(s - p_2)}{(s - p_2)(s - p_1)} kU \quad (25)$$

Simplify the numerator;

$$Y = \frac{(p_2 - z_1) + (s - p_2)}{(s - p_2)(s - p_1)} kU \quad (26)$$

$$Y = \frac{(s - z_1)}{(s - p_2)(s - p_1)} kU \quad (27)$$

107 This solution matches the form given in equation 1, and thus works as a proof of
 108 that case. What we would like to show is that the poles in the numerator will disappear
 109 no matter how many equations. We can show that this cancellation will always occur in
 110 the equation for X_{M+2} (except in the case where $M = N - 1$, which is shown in Section
 111 3.5, and the case $M = N$, shown in Section 3.6).

112 3.2. Case 2 - 5 poles, 1 zero

Consider a second simple case, where $N = 5$, $M = 1$, thus $\mathbf{C} = [0\ 0\ 0\ 0\ 1]$;

$$\begin{aligned}
 X_1 &= \frac{1}{(s - p_1)} kU \\
 X_2 &= \frac{(p_2 - z_1)[X_1]}{(s - p_2)} \\
 X_3 &= \frac{[X_1 + X_2]}{(s - p_3)} \\
 X_4 &= \frac{X_3}{(s - p_4)} \\
 X_5 &= \frac{X_4}{(s - p_5)} \\
 Y &= X_5
 \end{aligned} \tag{28}$$

There are still $N + 1$ equations. However, this time note that the last equation to introduce a new zero term is equation $M + 1$, and the summation of previous substitutions is in equation for X_{M+2} (i.e. the equation for X_3). The square brackets of this term will be the same as the final solution in Equation 27 (Section 3.1), and therefore;

$$\begin{aligned}
 X_3 &= \frac{1}{(s - p_3)} \frac{(s - z_1)}{(s - p_2)(s - p_1)} kU \\
 X_4 &= \frac{X_3}{(s - p_4)} \\
 X_5 &= \frac{X_3}{(s - p_5)} \\
 Y &= X_5
 \end{aligned} \tag{29}$$

It is trivial to do the substitutions for each line and show that the final solution is

$$Y = \frac{(s - z_1)}{(s - p_5)(s - p_4)(s - p_3)(s - p_2)(s - p_1)} kU \tag{30}$$

Which can be written as;

$$Y = \frac{(s - z_1)}{\prod_{i=1}^5 (s - p_i)} kU \tag{31}$$

113 Once again the solution is in the target form of equation 1. It can also be observed
 114 that increasing the number of poles, and thus the number of equations, through N will
 115 not make the final substitution any more complicated. Thus, we need to ensure that the
 116 first $M + 2$ equations can produce the correct numerator for the final solution.

117 3.3. Case 3 - 5 poles, 3 zeros

Consider the third example case in order to note the pattern of the development of the numerator of X_{M+2} . Consider $N = 5$, $M = 3$, thus $\mathbf{C} = [0\ 0\ 0\ 0\ 1]$;

$$\begin{aligned} X_1 &= \frac{1}{(s - p_1)} kU \\ X_2 &= \frac{(p_2 - z_1)}{(s - p_2)} [X_1] \\ X_3 &= \frac{(p_3 - z_2)}{(s - p_3)} [X_1 + X_2] \\ X_4 &= \frac{(p_4 - z_3)}{(s - p_4)} [X_1 + X_2 + X_3] \\ X_5 &= \frac{1}{(s - p_5)} [X_1 + X_2 + X_3 + X_4] \\ Y &= X_5 \end{aligned} \quad (32)$$

118

Taking what we know from previous examples, X_2 simplifies to;

$$X_2 = \frac{(p_2 - z_1)}{(s - p_2)(s - p_1)} kU \quad (33)$$

We know $[X_1 + X_2]$ from Equation 27, thus X_3 ;

$$X_3 = \frac{(p_3 - z_2)}{(s - p_3)} \left[\frac{(s - z_1)}{(s - p_2)(s - p_1)} kU \right] \quad (34)$$

$$X_3 = \frac{(p_3 - z_2)(s - z_1)}{(s - p_2)(s - p_1)(s - p_3)} kU \quad (35)$$

Consider X_4 ;

$$\begin{aligned} X_4 &= \frac{(p_4 - z_3)}{(s - p_4)} \times \left[\frac{1}{(s - p_1)} kU + \right. \\ &\quad \left. \frac{(p_2 - z_1)}{(s - p_2)(s - p_1)} kU + \right. \\ &\quad \left. \frac{(p_3 - z_2)(s - z_1)}{(s - p_2)(s - p_1)(s - p_3)} kU \right] \end{aligned} \quad (36)$$

Create the common denominator of the square brackets;

$$\begin{aligned} X_4 &= \frac{(p_4 - z_3)}{(s - p_4)} \times \left[\frac{(s - p_3)(s - p_2)}{(s - p_3)(s - p_2)(s - p_1)} kU + \right. \\ &\quad \left. \frac{(s - p_3)(p_2 - z_1)}{(s - p_3)(s - p_2)(s - p_1)} kU + \right. \\ &\quad \left. \frac{(p_3 - z_2)(s - z_1)}{(s - p_2)(s - p_1)(s - p_3)} kU \right] \end{aligned} \quad (37)$$

Factorize;

$$\begin{aligned} X_4 &= \frac{(p_4 - z_3)}{\prod_{j=1}^4 (s - p_j)} kU \times \left[(s - p_3)(s - p_2) + \right. \\ &\quad \left. (s - p_3)(p_2 - z_1) + (p_3 - z_2)(s - z_1) \right] \end{aligned} \quad (38)$$

Simplifying the square brackets is trivial, but we are interested in establishing a pattern to solve the general case. Consider that there are three terms within the square brackets. Notice that only the first two contain combinations of p_2 . We can eliminate that first. Start by expanding only the brackets that contain p_2 ;

$$\left[(s - p_3)s - (s - p_3)p_2 + (s - p_3)p_2 - (s - p_3)z_1 + (p_3 - z_2)(s - z_1) \right] \quad (39)$$

All of the p_2 terms cancel, and the remaining $(s - p_3)$ terms can factorize;

$$\left[(s - p_3)(s - z_1) + (p_3 - z_2)(s - z_1) \right] \quad (40)$$

Repeating the process by expanded the brackets containing p_3 , cancelling, and refactorising, the square brackets reduce to;

$$\left[(s - z_2)(s - z_1) \right] \quad (41)$$

And therefore;

$$X_4 = \frac{(p_4 - z_3)(s - z_2)(s - z_1)}{\prod_{j=1}^4 (s - p_j)} kU \quad (42)$$

In this case, we are most interested in the equation for X_5 , which is the X_{M+2} equation. If we write in out in full, finding the common denominator of the square brackets as we did above, we get;

$$X_5 = \frac{1}{\prod_{j=1}^5 (s - p_j)} kU \times \dots \left[(s - p_3)(s - p_2)(s - p_1) + (p_2 - z_1)(s - p_4)(s - p_3) + (p_3 - z_2)(s - p_4)(s - z_1) + (p_4 - z_3)(s - z_2)(s - z_1) \right] \quad (43)$$

From this step, we can simplify the square brackets by expanding, cancelling, and refactorising as we did in Equations 39 and 40. This would give us the final solution in the form;

$$Y = \frac{\prod_{i=1}^3 (s - z_i)}{\prod_{j=1}^5 (s - p_j)} .kU \quad (44)$$

119 More importantly,we can observe that finally a pattern is emerging for finding a
120 general equation for X_{M+2} .

121 3.4. Case 4 - N poles, M zeros, where $M < N - 1$

Using equation 43 as a base, we can generalise the equation for X_{M+2} to;

$$\begin{aligned}
 X_{M+2} = & \frac{kU}{\prod_{j=1}^{M+2}(s-p_j)} \times \left[\prod_{i=2}^{M+1}(s-p_i) + \right. \\
 & (p_2 - z_1) \prod_{i=3}^{M+1}(s-p_i) + \\
 & (p_3 - z_2) \prod_{i=4}^{M+1}(s-p_i) \cdot (s-z_1) + \\
 & (p_4 - z_3) \prod_{i=5}^{M+1}(s-p_i) \cdot \prod_{i=1}^2(s-z_i) + \dots + \\
 & (p_M - z_{M-1})(s-p_{M+1}) \cdot \prod_{i=1}^{M-2}(s-z_i) + \\
 & \left. (p_{M+1} - z_M) \prod_{i=1}^{M-1}(s-z_i) \right]
 \end{aligned} \tag{45}$$

We can simplify the square brackets using the techniques used in Equations 39 and 40 above. As an example, consider just the first two terms;

$$\left[\prod_{i=2}^{M+1}(s-p_i) + (p_2 - z_1) \prod_{i=3}^{M+1}(s-p_i) + \dots \right] \tag{46}$$

And rearrange the first term to show $(s - p_2)$ explicitly;

$$\left[(s - p_2) \prod_{i=3}^{M+1}(s-p_i) + (p_2 - z_1) \prod_{i=3}^{M+1}(s-p_i) + \dots \right] \tag{47}$$

Expand the brackets containing p_2 ;

$$\begin{aligned}
 & \left[(s) \prod_{i=3}^{M+1}(s-p_i) - (p_2) \prod_{i=3}^{M+1}(s-p_i) + \right. \\
 & \left. (p_2) \prod_{i=3}^{M+1}(s-p_i) - (z_1) \prod_{i=3}^{M+1}(s-p_i) + \dots \right]
 \end{aligned} \tag{48}$$

All the terms with p_2 cancel, and the rest of the terms can be factorized;

$$\left[\prod_{i=3}^{M+1}(s-p_i) \cdot (s-z_1) + \dots \right] \tag{49}$$

If we factor out the term $(s - p_3)$, and consider one more term from within the continuation, we get;

$$\begin{aligned}
 & \left[(s - p_3) \prod_{i=4}^{M+1}(s-p_i) \cdot (s-z_1) + \right. \\
 & \left. (p_3 - z_2) \prod_{i=4}^{M+1}(s-p_i) \cdot (s-z_1) + \dots \right]
 \end{aligned} \tag{50}$$

This is similar to what we see in Equation 47, and thus we can repeat the steps leading up all the way to the final two;

$$\left[(s - p_{M+1}) \prod_{i=1}^{M-1} (s - z_i) + (p_{M+1} - z_M) \prod_{i=1}^{M-1} (s - z_i) \right] \quad (51)$$

Which expands and reduces to

$$\prod_{i=1}^M (s - z_i) \quad (52)$$

Finally, consider the full equation for X_{M+2} ;

$$X_{M+2} = \frac{\prod_{i=1}^M (s - z_i)}{\prod_{j=1}^{M+2} (s - p_j)} kU \quad (53)$$

Further terms, such that;

$$\begin{aligned} X_{M+2} &= \frac{\prod_{i=1}^M (s - z_i)}{\prod_{j=1}^{M+2} (s - p_j)} kU \\ X_{M+3} &= \frac{X_{M+2}}{(s - p_{M+3})} \\ &\vdots \\ X_N &= \frac{X_{N-1}}{(s - p_N)} \\ Y &= X_N \end{aligned} \quad (54)$$

or, in the case that $M = N - 2$, then;

$$Y = X_{M+2} = X_N \quad (55)$$

Either way, the solution is trivially;

$$Y = \frac{\prod_{i=1}^M (s - z_i)}{\prod_{j=1}^N (s - p_j)} kU(s) \quad (56)$$

122 3.5. Case 5 - N poles, M zeros, where $M = N - 1$

For category 3 functions, the procedure is slightly different from the above but follows much the same logic. Consider that we solve all but the final 2 equations in the Laplace system of equations;

$$\begin{aligned} X_N &= \frac{(p_N - z_{N-1})}{(s - p_N)} [X_1 + X_2 + \dots + X_{N-1}] \\ Y &= [X_1 + X_2 + \dots + X_N] \end{aligned} \quad (57)$$

Following the patterns we explored previously; the solution to this set of equations is;

$$\begin{aligned}
 Y = & \frac{kU}{\prod_{j=1}^N (s - p_j)} \times \left[\prod_{i=2}^N (s - p_i) + \right. \\
 & (p_2 - z_1) \prod_{i=3}^N (s - p_i) + \\
 & (p_3 - z_2) \prod_{i=4}^N (s - p_i) \cdot (s - z_1) + \\
 & (p_4 - z_3) \prod_{i=5}^N (s - p_i) \cdot \prod_{i=1}^2 (s - z_i) + \dots + \\
 & (p_{N-1} - z_{N-2}) (s - p_N) \cdot \prod_{i=1}^{N-3} (s - z_i) + \\
 & \left. (p_N - z_{N-1}) \prod_{i=1}^{N-2} (s - z_i) \right]
 \end{aligned} \tag{58}$$

There is one less term in the denominator than previously expected, because there is no denominator for Y . The square brackets simply reduce down to;

$$Y = \frac{kU}{\prod_{j=1}^N (s - p_j)} \times \left[\prod_{i=1}^{N-1} (s - z_i) \right] \tag{59}$$

Which is equivalent to

$$Y = \frac{\prod_{i=1}^M (s - z_i)}{\prod_{j=1}^N (s - p_j)} kU(s) \tag{60}$$

123 3.6. Case 6 - N poles, M zeros, where $M = N$

The final case, adds a level of complexity to the algebraic operations, but the result is very similar. Again, consider all but the final 2 equations in the Laplace system of equations as above, but before we have inserted the correct formulation of \mathbf{C} and \mathbf{D} ;

$$\begin{aligned}
 X_N &= \frac{(p_N - z_{N-1})}{(s - p_N)} [X_1 + X_2 + \dots + X_{N-1}] \\
 Y &= [\mathbf{C}_1 X_1 + \mathbf{C}_2 X_2 + \dots + \mathbf{C}_N X_N] + \mathbf{D}U
 \end{aligned} \tag{61}$$

124 The formula for Y is similar to Case 5, but with a single additional term $\mathbf{D} = k$. Start
 125 by rearranging the \mathbf{D} to the front, and substituting in all the components of \mathbf{C} (also note,
 126 for clarity, more elements are shown and the \mathbf{C} terms are in angle brackets “ $\langle \rangle$ ”);

$$\begin{aligned}
 Y &= kU + X_1 \left\langle \sum_{i=1}^N (p_i - z_i) \right\rangle + X_2 \left\langle \sum_{i=2}^N (p_i - z_i) \right\rangle + \\
 & X_3 \left\langle \sum_{i=3}^N (p_i - z_i) \right\rangle + X_4 \left\langle \sum_{i=4}^N (p_i - z_i) \right\rangle + \dots + \\
 & X_{N-1} \left\langle \sum_{i=N-1}^N (p_i - z_i) \right\rangle + X_N \langle p_N - z_N \rangle
 \end{aligned} \tag{62}$$

Now substitute in the solutions for all X_i , recognise there is a common factor kU , and multiply through to create the common denominator; $Y =$

$$\begin{aligned} & \frac{kU}{\prod_{j=1}^N (s - p_j)} \times \left[\prod_{i=1}^N (s - p_i) + \right. \\ & \prod_{i=2}^N (s - p_i) \left\langle \sum_{i=1}^N (p_i - z_i) \right\rangle + \\ & (p_2 - z_1) \prod_{i=3}^N (s - p_i) \left\langle \sum_{i=2}^N (p_i - z_i) \right\rangle + \\ & (p_3 - z_2) \prod_{i=4}^N (s - p_i) \cdot (s - z_1) \left\langle \sum_{i=3}^N (p_i - z_i) \right\rangle + \\ & (p_4 - z_3) \prod_{i=5}^N (s - p_i) \cdot \prod_{i=1}^2 (s - z_i) \left\langle \sum_{i=4}^N (p_i - z_i) \right\rangle + \dots + \\ & (p_{N-1} - z_{N-2}) (s - p_N) \cdot \prod_{i=1}^{N-3} (s - z_i) \left\langle \sum_{i=N-1}^N (p_i - z_i) \right\rangle + \\ & \left. (p_N - z_{N-1}) \prod_{i=1}^{N-2} (s - z_i) \left\langle p_N - z_N \right\rangle \right] \end{aligned} \quad (63)$$

127 The order of algebraic operations to eliminate all the p terms inside the square
128 brackets is similar to above, with one additional step after each operation. From the first
129 two terms we can expand all the p_1 to;

$$\begin{aligned} & \left[(s) \prod_{i=2}^N (s - p_i) - (p_1) \prod_{i=2}^N (s - p_i) + \right. \\ & (p_1) \prod_{i=2}^N (s - p_i) - (z_1) \prod_{i=2}^N (s - p_i) + \\ & \left. \prod_{i=2}^N (s - p_i) \left\langle \sum_{i=2}^N (p_i - z_i) \right\rangle \dots \right] \end{aligned} \quad (64)$$

130 This reduces to

$$\begin{aligned} & \left[(s - z_1) \prod_{i=2}^N (s - p_i) + \right. \\ & \left. \prod_{i=2}^N (s - p_i) \left\langle \sum_{i=2}^N (p_i - z_i) \right\rangle \dots \right] \end{aligned} \quad (65)$$

131 This represents the new first and second terms of the square brackets. Eliminating
132 p_2 is done in two steps, as does the rest of the p terms. Consider the second and third
133 term of the square brackets, with $(s - p_2)$ brought to the front ;

$$\begin{aligned} & \left[\dots (s - p_2) \prod_{i=3}^N (s - p_i) \left\langle \sum_{i=2}^N (p_i - z_i) \right\rangle + \right. \\ & \left. (p_2 - z_1) \prod_{i=3}^N (s - p_i) \left\langle \sum_{i=2}^N (p_i - z_i) \right\rangle \dots \right] \end{aligned} \quad (66)$$

We can expand the foremost brackets for p_2 , which then cancel, and these terms simplify to;

$$\left[\dots (s - z_1) \prod_{i=3}^N (s - p_i) \left\langle \sum_{i=2}^N (p_i - z_i) \right\rangle \dots \right] \quad (67)$$

And now we can compare this with the first term

$$\left[(s - z_1) \prod_{i=2}^N (s - p_i) + (s - z_1) \prod_{i=3}^N (s - p_i) \left\langle \sum_{i=2}^N (p_i - z_i) \right\rangle \dots \right] \quad (68)$$

Expand for the remaining p_2 terms, and z_2 terms in the angle brackets;

$$\left[(s)(s - z_1) \prod_{i=3}^N (s - p_i) - (p_2)(s - z_1) \prod_{i=3}^N (s - p_i) + (p_2)(s - z_1) \prod_{i=3}^N (s - p_i) - (z_2)(s - z_1) \prod_{i=3}^N (s - p_i) + (s - z_1) \prod_{i=3}^N (s - p_i) \left\langle \sum_{i=3}^N (p_i - z_i) \right\rangle \dots \right] \quad (69)$$

All the p_2 can now be eliminated;

$$\left[\prod_{i=1}^2 (s - z_i) \prod_{i=3}^N (s - p_i) + (s - z_1) \prod_{i=3}^N (s - p_i) \left\langle \sum_{i=3}^N (p_i - z_i) \right\rangle \dots \right] \quad (70)$$

¹³⁴ This two step pattern of eliminating p terms can be repeated until all of them are
¹³⁵ eliminated, resulting in the square brackets equal to;

$$\left[\prod_{i=1}^N (s - z_i) \right] \quad (71)$$

And thus it is finally found that

$$Y = \frac{\prod_{i=1}^N (s - z_i)}{\prod_{j=1}^N (s - p_j)} kU(s) \quad (72)$$

¹³⁶ 4. Comparison with other Canonical Forms

¹³⁷ *In most fields, a canonical form specifies a unique representation for every object, while*
¹³⁸ *a normal form simply specifies its form, without the requirement of uniqueness.*

¹³⁹ — Georgi E. Shilov [14]

¹⁴⁰ 4.1. Category 3. SISO Transfer Functions with n poles and $n - 1$ zeros

$$\frac{Y(s)}{U(s)} = \frac{b_1 s^{n-1} + \dots + b_{n-1} s + b_n}{s^n + a_1 s^{n-1} + \dots + a_{n-1} s + a_n}$$

Controllable Canonical Form:

$$\begin{aligned}
 \mathbf{A} &= \begin{bmatrix} 0 & 1 & & 0 & 0 \\ \vdots & & \ddots & & \vdots \\ 0 & 0 & & 1 & 0 \\ 0 & 0 & \dots & 0 & 1 \\ -a_n & -a_{n-1} & \dots & -a_2 & -a_1 \end{bmatrix} & \mathbf{B} &= \begin{bmatrix} 0 \\ \vdots \\ 0 \\ 0 \\ 1 \end{bmatrix} \\
 \mathbf{C} &= [b_n \quad b_{n-1} \quad \dots \quad b_2 \quad b_1] & \mathbf{D} &= [0]
 \end{aligned}$$

Observable Canonical Form:

$$\begin{aligned}
 \mathbf{A} &= \begin{bmatrix} 0 & \dots & 0 & 0 & -a_n \\ 1 & & 0 & 0 & -a_{n-1} \\ & \ddots & & \vdots & \vdots \\ 0 & & 1 & 0 & -a_2 \\ 0 & \dots & 0 & 1 & -a_1 \end{bmatrix} & \mathbf{B} &= \begin{bmatrix} b_n \\ b_{n-1} \\ \vdots \\ b_2 \\ b_1 \end{bmatrix} \\
 \mathbf{C} &= [0 \quad \dots \quad 0 \quad 0 \quad 1] & \mathbf{D} &= [0]
 \end{aligned}$$

Diagonal Canonical Form: Factorize denominator, compute partial fractions;

$$\begin{aligned}
 \frac{Y(s)}{U(s)} &= \frac{b_1 s^{n-1} + \dots + b_{n-1} s + b_n}{(s-p_1)(s-p_2)\dots(s-p_n)} \\
 &= \frac{r_1}{(s-p_1)} + \frac{r_2}{(s-p_2)} + \dots + \frac{r_n}{(s-p_n)}
 \end{aligned}$$

$$\begin{aligned}
 \mathbf{A} &= \begin{bmatrix} p_1 & 0 & \dots & 0 & 0 \\ 0 & p_2 & & 0 & 0 \\ \vdots & & \ddots & & \vdots \\ 0 & 0 & & p_{n-1} & 0 \\ 0 & 0 & \dots & 0 & p_n \end{bmatrix} & \mathbf{B} &= \begin{bmatrix} r_1 \\ r_2 \\ \vdots \\ r_{n-1} \\ r_n \end{bmatrix} \\
 \mathbf{C} &= [1 \quad 1 \quad \dots \quad 1 \quad 1] & \mathbf{D} &= [0]
 \end{aligned}$$

Pole-Zero Difference Form: Factorize the numerator and denominator;

$$\frac{Y(s)}{U(s)} = \frac{(s-z_1)(s-z_2)\dots(s-z_{n-1})k}{(s-p_1)(s-p_2)\dots(s-p_n)}$$

$$\begin{aligned}
 \mathbf{A} &= \begin{bmatrix} p_1 & 0 & 0 & \dots & 0 \\ \Delta_{21} & p_2 & 0 & \dots & 0 \\ \Delta_{32} & \Delta_{32} & p_3 & & 0 \\ \vdots & & & \ddots & \\ \Delta_{n,n-1} & \Delta_{n,n-1} & \Delta_{n,n-1} & \dots & p_n \end{bmatrix} & \mathbf{B} &= \begin{bmatrix} k \\ 0 \\ \vdots \\ 0 \\ 0 \end{bmatrix} \\
 \mathbf{C} &= [1 \quad 1 \quad 1 \quad \dots \quad 1] & \mathbf{D} &= [0]
 \end{aligned}$$

where $\Delta_{ij} = p_i - z_j$

145 4.2. Category 4. SISO Transfer Functions with n poles and n zeros

$$\frac{Y(s)}{U(s)} = \frac{b_0 s^n + b_1 s^{n-1} + \dots + b_{n-1} s + b_n}{s^n + a_1 s^{n-1} + \dots + a_{n-1} s + a_n}$$

Controllable Canonical Form:

$$\begin{aligned}
 \mathbf{A} &= \begin{bmatrix} 0 & 1 & & 0 & 0 \\ \vdots & & \ddots & & \vdots \\ 0 & 0 & & 1 & 0 \\ 0 & 0 & \dots & 0 & 1 \\ -a_n & -a_{n-1} & \dots & -a_2 & -a_1 \end{bmatrix} & \mathbf{B} &= \begin{bmatrix} 0 \\ \vdots \\ 0 \\ 0 \\ 1 \end{bmatrix} \\
 \mathbf{C} &= [(b_n - a_n b_0) \quad (b_{n-1} - a_{n-1} b_0) \quad \dots \quad (b_2 - a_2 b_0) \quad (b_1 - a_1 b_0)] & \mathbf{D} &= [b_0]
 \end{aligned}$$

Observable Canonical Form:

$$\begin{aligned}
 \mathbf{A} &= \begin{bmatrix} 0 & \dots & 0 & 0 & -a_n \\ 1 & & 0 & 0 & -a_{n-1} \\ & \ddots & & \vdots & \vdots \\ 0 & & 1 & 0 & -a_2 \\ 0 & \dots & 0 & 1 & -a_1 \end{bmatrix} & \mathbf{B} &= \begin{bmatrix} b_n - a_n b_0 \\ b_{n-1} - a_{n-1} b_0 \\ \vdots \\ b_2 - a_2 b_0 \\ b_1 - a_1 b_0 \end{bmatrix} \\
 \mathbf{C} &= [0 \quad \dots \quad 0 \quad 0 \quad 1] & \mathbf{D} &= [b_0]
 \end{aligned}$$

Diagonal Canonical Form: Factorize denominator, compute partial fractions;

$$\begin{aligned}
 \frac{Y(s)}{U(s)} &= \frac{b_0 s^n + b_1 s^{n-1} + \dots + b_{n-1} s + b_n}{(s - p_1)(s - p_2) \dots (s - p_n)} \\
 &= b_0 + \frac{r_1}{(s - p_1)} + \frac{r_2}{(s - p_2)} + \dots + \frac{r_n}{(s - p_n)}
 \end{aligned}$$

$$\begin{aligned}
 \mathbf{A} &= \begin{bmatrix} p_1 & 0 & \dots & 0 & 0 \\ 0 & p_2 & & 0 & 0 \\ \vdots & & \ddots & & \vdots \\ 0 & 0 & & p_{n-1} & 0 \\ 0 & 0 & \dots & 0 & p_n \end{bmatrix} & \mathbf{B} &= \begin{bmatrix} r_1 \\ r_2 \\ \vdots \\ r_{n-1} \\ r_n \end{bmatrix} \\
 \mathbf{C} &= [1 \quad 1 \quad \dots \quad 1 \quad 1] & \mathbf{D} &= [b_0]
 \end{aligned}$$

Pole-Zero Difference Form: Factorize the numerator and denominator;

$$\frac{Y(s)}{U(s)} = \frac{(s - z_1)(s - z_2) \dots (s - z_n)^k}{(s - p_1)(s - p_2) \dots (s - p_n)}$$

$$\begin{aligned}
 \mathbf{A} &= \begin{bmatrix} p_1 & 0 & 0 & \dots & 0 \\ \Delta_{21} & p_2 & 0 & \dots & 0 \\ \Delta_{32} & \Delta_{32} & p_3 & & 0 \\ \vdots & & & \ddots & \\ \Delta_{n,n-1} & \Delta_{n,n-1} & \Delta_{n,n-1} & \dots & p_n \end{bmatrix} & \mathbf{B} &= \begin{bmatrix} k \\ 0 \\ \vdots \\ 0 \\ 0 \end{bmatrix} \\
 \mathbf{C} &= [(\sum_{i=1}^n \Delta_{ii}) \quad (\sum_{i=2}^n \Delta_{ii}) \quad \dots \quad (\Delta_{n-1,n-1} + \Delta_{nn}) \quad (\Delta_{nn})] & \mathbf{D} &= [k]
 \end{aligned}$$

where $\Delta_{ij} = p_i - z_j$

150 5. Discussion

151 *Mathematicians seem to have no difficulty in creating new concepts faster than the old*
152 *ones become well understood.*

— Edward Lorenz

Acceptance Speech for the 1991 Kyoto Prize, 'A scientist by choice'. On
kyotoprize.org website. [15]

We propose a method to construct a state-space realization such that the numerical values of the poles, zeros and gain appear explicitly in the standard state space matrices, and designate it Pole-Zero-Difference form, where the name stems from the off-diagonal terms in the A matrix of the standard state space form. Realizations in this form have been found for all proper transfer functions and will be presented here. We demonstrated that by solving the $N + 1$ equations we will eventually lead back to the regular pole-zero form. Poles, zeros and gain may now be easily extracted and applied broadly to systems across the applied sciences expressed in state space form.

Author Contributions:

Conceptualization, A.V.; methodology, A.V.; validation, A.V.; formal analysis, A.V.; investigation, A.V.; resources, T.S.; writing—original draft preparation, A.V.; writing—review and editing, A.V. and T.S.; project administration, T.S.; funding acquisition, T.S. All authors have read and agreed to the published version of the manuscript. Please turn to the CRediT taxonomy for the term explanation. Authorship must be limited to those who have contributed substantially to the work reported.

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$$\begin{bmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{bmatrix} = \begin{bmatrix} p_1 & 0 & k \\ p_2 - z_1 & p_2 & 0 \\ 1 & 1 & 0 \end{bmatrix}$$

5. Transfer Function with 2 Poles 2 Zeros

$$\frac{Y(s)}{U(s)} = \frac{(s - z_1)(s - z_2)}{(s - p_1)(s - p_2)} k$$

$$\begin{bmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{bmatrix} = \begin{bmatrix} p_1 & 0 & k \\ p_2 - z_1 & p_2 & 0 \\ \sum_{i=1}^2 (p_i - z_i) & p_2 - z_2 & k \end{bmatrix}$$

6. Transfer Function with 3 Poles 0 Zeros

$$\frac{Y(s)}{U(s)} = \frac{1}{(s - p_1)(s - p_2)(s - p_3)} k$$

$$\begin{bmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{bmatrix} = \begin{bmatrix} p_1 & 0 & 0 & k \\ 1 & p_2 & 0 & 0 \\ 0 & 1 & p_3 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

7. Transfer Function with 3 Poles 1 Zeros

$$\frac{Y(s)}{U(s)} = \frac{(s - z_1)}{(s - p_1)(s - p_2)(s - p_3)} k$$

$$\begin{bmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{bmatrix} = \begin{bmatrix} p_1 & 0 & 0 & k \\ p_2 - z_1 & p_2 & 0 & 0 \\ 1 & 1 & p_3 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

8. Transfer Function with 3 Poles 2 Zeros

$$\frac{Y(s)}{U(s)} = \frac{(s - z_1)(s - z_2)}{(s - p_1)(s - p_2)(s - p_3)} k$$

$$\begin{bmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{bmatrix} = \begin{bmatrix} p_1 & 0 & 0 & k \\ p_2 - z_1 & p_2 & 0 & 0 \\ p_3 - z_2 & p_3 - z_2 & p_3 & 0 \\ 1 & 1 & 1 & 0 \end{bmatrix}$$

9. Transfer Function with 3 Poles 3 Zeros

$$\frac{Y(s)}{U(s)} = \frac{(s - z_1)(s - z_2)(s - z_3)}{(s - p_1)(s - p_2)(s - p_3)} k$$

$$\begin{bmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{bmatrix} = \begin{bmatrix} p_1 & 0 & 0 & k \\ p_2 - z_1 & p_2 & 0 & 0 \\ p_3 - z_2 & p_3 - z_2 & p_3 & 0 \\ \sum_{i=1}^3 (p_i - z_i) & \sum_{i=2}^3 (p_i - z_i) & p_3 - z_3 & k \end{bmatrix}$$

