

High Temperature Superconductivity Arising in a Metal Sheet Full of Holes

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Abstract

Background: By drilling periodic thru-holes in a suspended film, the phonon system can be modified.

Method: Being motivated by the BCS theory, the technique, so-called phonon engineering, was applied to a niobium sheet.

Results: The newly emergent high- T_c superconductivity, however, cannot be accounted for by the BCS theory. Rather, its exposed configuration, namely a square-lattice oxygen network, is reminiscent of the copper–oxygen plane in cuprate high- T_c superconductors.

Conclusions: It turns out that its magnetic result is consistent with the principle of *giant atom*, which was developed by another heroes of superconductivity, Fritz London and John Slater, in the 1930s, several decades earlier than the propagation of BCS theory. The superconducting transition feature is discussed on the basis of a comprehensive theory of the *giant atom*—the theory of *hole superconductivity*.

Keywords: Metal sheet; Phonon engineering; High T_c ; Meissner effect; Giant atom; Hole superconductivity

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I. INTRODUCTION

According to the BCS theory¹, coherent phonons play a key role in coupling electrons. In other words, a critical temperature T_c of superconducting transition is closely associated with the property of coherent phonons, i.e., the phonon dispersion relation. It is generally supposed that the phonon dispersion is material dependent and hence cannot be modified. But it becomes possible, simply by drilling periodic thru-holes in the material (Fig. 1a). The periodic perforation induces Bragg's interference and therefore affects the coherence of phonon propagation. The technique, known as phonon engineering², has attracted physicists' attention because of its ability to control thermal properties of dielectric materials³⁻⁷. On the other hand, another possibility of the phonon engineering, namely, whether the artificially modified phonon system affects the electron system or not, has not yet been paid attention to.

In the previous study⁸, the phonon engineering was applied to a metallic system for the first time. The used material was pure niobium (Nb), the well known conventional superconductor with T_c of 9 K. Expecting a change in the T_c , hopefully to be increased, a pure Nb film with a thickness (d) of 150 nm was periodically perforated to form a two-dimensional (2D) square lattice with a lattice constant (a) of 20 μm . The calculated phonon dispersion of the engineered Nb sheet using these d and a is shown on the right panel of Fig. 1b. The left panel shows that of an Nb sheet with the same d without perforation. Obviously overall phonon bands are forcibly flattened by the phonon engineering, and hence the change in T_c could be expected. Despite the expectation, however, the T_c neither increased nor decreased. Alternatively, the engineered Nb sheet underwent a metal-insulator transition at 43 K during temperature cycles in the temperature range of 2–300 K repeatedly applied to the sample. Independently performed resistive and magnetic measurements revealed that the metal-insulator transition was caused by the 2D Anderson localization of the electron system.

The '2D', despite the film thickness of 150 nm being fully 3D for conducting electrons with a mean free path of a several nm, was the indication of 2D phonon-electron interactions taking place in the sample. A reciprocal lattice in momentum space of the real-space 2D square lattice is also a 2D square lattice. Given that the 2D phonon engineering creates a 2D phonon having a momentum $\vec{q} = (q_x, q_y, 0)$ and that the 2D phonon interacts with a 3D electron having a momentum $\vec{p} = (p_x, p_y, p_z)$, the resultant electron-phonon interaction $\vec{p} \cdot \vec{q}$ is of course 2D. That is, the 3D component of electron momentum is nullified in the 2D phonon space. In other words the 2D phonon engineering may activate the 2D electron system.

On the other hand, the 'Anderson localization' was the indication of spatially disordered charge distribution in the sample. In the previous study, the sample had a Corbino disk shape (Fig. 1c). That is, an excitation current, which was applied to the sample to measure its electrical resistance, flowed through the sample radially from the center

to the periphery reducing its own current density. Accompanied by the emergent 2D phonon-electron interaction during the temperature cycles, the spatially varying current density may cause a disordered charge distribution in the sample.

Ever since the discovery of cuprate superconductors in 1986–87 by Alex Müller and Georg Bednorz and Paul Chu⁹⁻¹¹, the numerous long-standing research efforts on high temperature superconductivity have been made, and the superconductive community has reached a consensus that the copper-oxygen plane associated with the 2D electron system plays a key role in giving rise to a high T_c of cuprate superconductors¹². By contrast the study of 2D phonon-engineered metallic system (PnM) has just begun^{2,8}, and regrettably its 2D phonon-electron interaction is not well understood yet. Also the initial expectation, simply motivated by the BCS theory, whether the simple modification of phonon dispersion affects T_c or not, seems completely way off the mark. Nevertheless, encouraged by the above consensus on high temperature superconductivity, namely a key role of the 2D electron system, the study of 2D PnM has been continued. In this study, a rectangle shape instead of Corbino is applied to the sample (Fig. 1d), wherein an excitation current uniformly flows in a single direction, expecting the Anderson localization to be suppressed and hopefully the T_c to be increased.

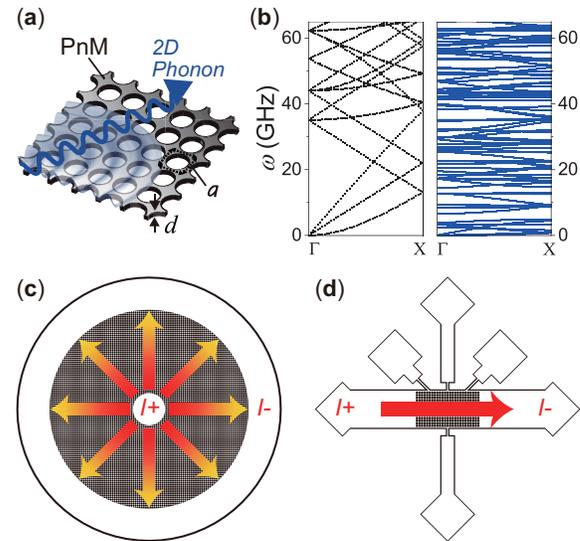


FIG. 1: **Phonon engineered metallic system (PnM).** (a) Schematic representation of a 2D PnM. The thickness and lattice constant is indicated by d and a , respectively. (b) Phonon dispersions of a Nb sheet with d of 150 nm. (Left) Without perforation. (Right) With periodic perforation designed as the PnM with a of 20 μm . (c) and (d) Bird's-eye view of the sample, respectively, used for the previous study⁸ and for this study.

II. MATERIAL AND METHODS

A. Material

Being different to all other superconducting materials so far reported regardless of whether conventional or unconventional, the sample used in this study was prepared by microfabrication techniques such as sputter deposition, lithography and chemical dry etching. More significantly, the 2D PnM has to be prepared as a self-standing structure. Even if a sample has a periodic structure designed as the PnM, it never shows an anomalous phase transition if it is not self standing. Conversely, even if a sample is self standing, a mere self-standing Nb bridge for instance, which is not designed as the PnM, never shows an anomalous phase transition. Only the 2D PnM sample prepared as a self-standing structure does exhibit an anomalous phase transition, regardless of whether the superconducting transition presented in this study or the insulating transition in the previous study. This fact was insistently investigated previously and is clearly stated in the previous paper⁸.

First, a silicon dioxide (SiO_2) sacrificial layer of 1.0- μm thickness was deposited on a p-type silicon (Si) wafer having the thickness, diameter, orientation, respectively, 0.4 mm, 3 inch (≈ 76 mm), (100) by chemical vapor deposition (PD-270STL, Samco) with the stage temperature kept at 80 °C. The pressure of the mixture of gases of TEOS (tetraethoxysilane) and O_2 was 30 Pa, and the total deposition time was 42 minutes. Post deposition, an Nb film of 150-nm thickness was deposited on the SiO_2 layer by sputtering (M12-0130, Science Plus) at 10 °C, using Ar gas at 1.0 Pa, for 130 s. Subsequently, an i-line chemical resist (PFI-245, Sumitomo Chemical) was spin-coated to be a thickness of 300 nm on the Nb layer, and the sample patterning was performed to form the square lattice with the lattice constant a of 20 μm using an i-line stepper (NSR-2205i12D, Nikon TEC) with an exposure time of 350 ms. The exposed region of the Nb layer was removed by reactive ion etching (RIE-10NR, Samco) using SF_6 gas at 10.0 Pa for the total etching time of 210 s. After a protective chemical resist (PFI-68A7, Sumitomo Chemical) was spin-coated, the resulting wafer was cut into 5-mm squares using a dicing machine (DAD522, DISCO), and the protective chemical resist was removed. Finally, the SiO_2 sacrificial layer under the already patterned Nb layer was removed by an HF dry etcher (memsstar[®]SVR[™] vHF, Canon). The diced samples were exposed under the mixture of 250-sccm HF gas and water vapor consisting of 100-sccm N_2 and 10-mg H_2O , with the stage temperature kept at 5 °C, for the duration of 120 s and 360 s, respectively, for the 8-Torr step and subsequent 9-Torr step. The self-standing PnM-Nb structure was inspected using a laser microscope (LEXT OLS4000, Olympus).

The above microfabrication technique is the same as that used in the previous study⁸. That is, the fundamental geometry of the PnM-Nb, namely the thickness d (150 nm), square lattice structure and lattice constant a (20 μm), is the same as that of the previous one. Only the shape is dif-

ferent as mentioned in the former section and is rectangle. The GDSII patterning file used for the i-line lithography was deposited at Zenodo¹³ and is freely available.

Figure 2 shows an optical micrograph of the PnM-Nb sample, and its scanning electron micrograph (SEM) is shown on the right panel. The sample has a rectangle shape with an area of 0.3 \times 0.5 mm². The Nb sheet with d of 150 nm has the square lattice structure with a of 20 μm and is self standing, approximately 1 μm apart from the Si substrate underneath. The Si substrate is massive, 5 \times 5 mm² in size and 0.4 mm in thickness, the rigidity of which is necessary to handle the tiny sample.

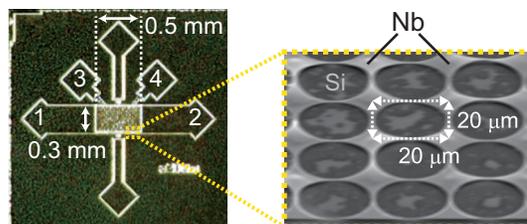


FIG. 2: Optical micrograph of the sample. Electrical pads are numbered. Right panel, an SEM of the region surrounded by the yellow dotted square.

Figure 3a shows x-ray diffraction (XRD) spectra of the self-standing sample and a not-self-standing one, which was prepared by skipping the final SiO_2 removal procedure. The XRD spectrum of the self-standing sample exhibits an extra peak at 37.3 degree, which is absent for the not-self-standing one. The extra peak corresponds to the atomic lattice spacing of 3.4 Å, indicating that the self-standing sample contains anomalous regions where the Nb lattice spacing is expanded, a little wider than the usual one, 3.3 Å, which can be seen from the main peak at 38.3 degree. The unexpected expansion of lattice spacing might be due to the removal of the SiO_2 sacrificial layer under the Nb layer, which may release an in-plane stress of the Nb layer accumulated during the Nb sputtering process. As discussed later, the expansion seems to have a significant role in giving rise to a high T_c .

The expansion brings another serendipity. It is well known that light elements such as H, C, N, O in the surrounding atmosphere easily invade a metal. The wider the spacing is, the higher amounts invade. Figure 3b shows energy dispersive x-ray (EDX) spectra of the self-standing sample, together with its SEM-EDX result in the inset. The accelerating voltage and probe current, respectively, was 5 kV and 1 nA, and the integration time was 30 minutes. Obviously, the region pointed as 'X' includes higher amounts of oxygen than that of 'Y'. The SEM-EDX result is redrawn at its bottom. By accident, the PnM-Nb sample forms the 2D square lattice networked by oxygen, the configuration of which is the same as that of the copper-oxygen plane, the essential component of high- T_c cuprate superconductors. Of course, there are several differences between them, such as the lattice constant a , the order of nm or 20 μm , and the composite metal, Cu or Nb. Yet the exact mechanism be-

hind cuprate superconductors has not been fully elucidated. Under the circumstances, the exposed configuration looks worth expecting a rise in T_c .

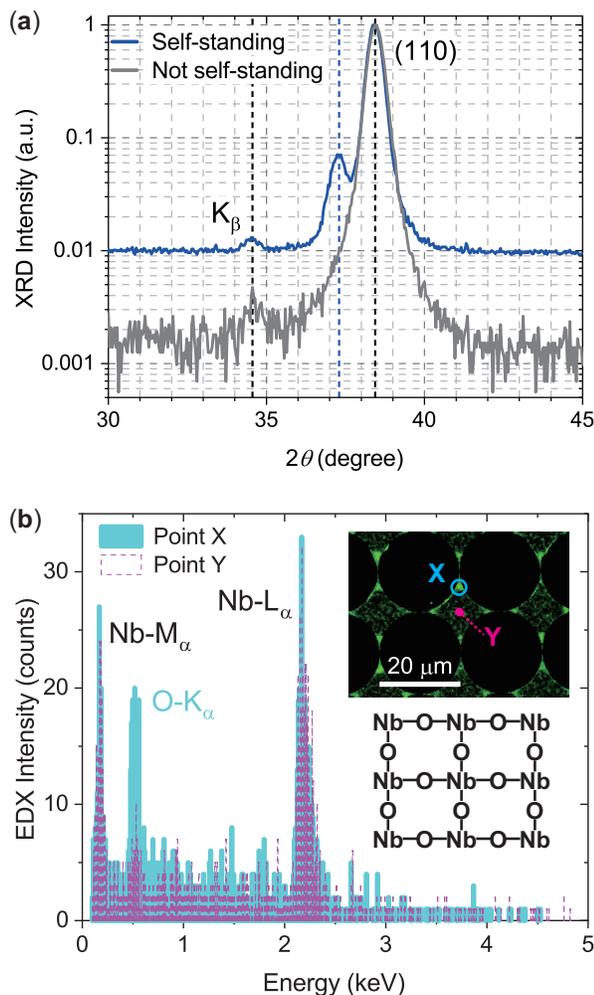


FIG. 3: **Structural properties of the sample.** (a) XRD spectra of the self-standing sample and not-self-standing one. (b) EDX spectra of the self-standing sample, where the points 'X' and 'Y' are indicated in the SEM-EDX result (inset). Bottom of the inset, a schematic configuration of the SEM-EDX result.

B. Methods

First, the temperature (T) dependence of the electrical resistance (R) was investigated. The R - T measurement was performed using the PPMS (Quantum Design) under zero magnetic field. The sample chips were mounted on a PPMS sample puck using vacuum grease (Apiezon N, M&I Materials), and the electrical contacts for the sample to the PPMS sample puck were made by aluminum wire bonding. The resistance was measured by the two-probe method using the electrical pads denoted in Fig. 2; pads number 1 and 2 were used. The PPMS was operated in the AC drive mode with the standard calibration mode, and the number

of readings taken was twenty five. That is, at each temperature, positively and negatively oscillating 8.33-Hz square-wave excitation current with the amplitude of ± 10 μ A was repeatedly applied to the sample twenty five times, and the output voltage was obtained by averaging output values to minimize the DC offset error; all of these procedures were done by the PPMS automatically. One R - T cycle consists of a cooling process from 300 K to 2 K and a subsequent warming process from 2 K to 300 K. The R - T cycle (300 K \rightarrow 2 K \rightarrow 300 K) was continuously repeated for eight times.

Second, after finishing the R - T measurement, the sample chip was taken out of the PPMS and was installed in the MPMS (Quantum Design), and its temperature (T) dependence of the magnetization (M) was investigated. The sample chip was positioned in a plastic straw. After the temperature was lowered down to 4.2 K under zero magnetic field, the magnetic field of 1000 Oe was applied, perpendicular to the sample surface, and then the centering procedure was performed. The magnetization M of the sample chip was scanned by moving the entire straw through the SQUID ring. The oscillation amplitude, frequency, cycles to average, respectively, was 0.3 cm, 4 Hz, 40 cycles. The number of scans per measurement was three. While warming the sample chip from 4.2 K to 300 K, the zero field cooling (ZFC) measurement was performed. After that, the field cooling (FC) measurement was subsequently performed while cooling the sample chip from 300 K to 5.1 K with the applied magnetic field of 1000 Oe unchanged. M - T characteristics of an as-fabricated PnM-Nb sample, to which the above R - T cycle had not been applied yet, were also measured using the same MPMS protocol.

Also, the critical magnetic field (H_c) at 300 K was investigated using the PPMS. Under various magnitudes of perpendicular magnetic field, current was applied to the sample, and the output voltage was measured by the four-probe method using the electrical pads denoted in Fig. 2 (pads number 1, 2 for applying current; 3, 4 for measuring voltage). The delta mode (6221/2182A combination, Keithley), which can minimize constant thermoelectric offsets, was externally connected to the sample in the PPMS. The current pulse with a width of 10 ms and a period of 100 ms was applied, and the output voltage was measured in the minimum range of 10 mV.

III. RESULTS

A. Resistance Drop

Figure 4a shows the temperature (T) dependence of electrical resistance (R) of the PnM-Nb sample under zero magnetic field. The upper panel shows that of a reference Nb sample, which was mounted on the PPMS sample puck together with the PnM-Nb sample and was measured at the same time under the same condition. (The PPMS can measure three samples at the same time.) As shown, the reference sample undergoes the superconducting (SC) transition normally at the usual T_c for Nb, both for the first and sec-

ond temperature cycles. Although there shows only the first and second cycles, the result is the same for all the rest of eight R - T cycles, which can be confirmed in the raw data deposited at Zenodo¹³. The standard deviation of the onset SC transition temperature for all the eight R - T cycles is 9.0000 ± 0.0006 K, indicating that during the whole eight R - T cycles the thermometer of the PPMS was working properly and that the temperature profiles of R - T results are accurate. That is, whatever anomaly the PnM-Nb sample exhibits any criticism arising from thermometry is invalid.

In the first cooling process (gray curve in Fig. 4a), the PnM-Nb sample undergoes an SC transition at 9 K, which is the usual T_c for Nb, and returns to the normal state at the same T_c in the subsequent warming process. During the first temperature cycle, the PnM-Nb sample thus exhibits usual SC properties of the well-known conventional superconductor Nb, the element that constitutes the sample. In subsequent temperature cycles, by contrast, the sample begins to exhibit drastic changes. In the second cooling process (blue curve), the R suddenly drops at 175 K, and the sample keeps the zero-resistance state down to 2 K. Due to the two-probe method adopted for this measurement, a tiny resistance residing in electrical pads ($\lesssim 0.2 \Omega$) remains in the R . The residual resistance aside, the sample itself shows absolute zero resistance. In the subsequent warming process (red curve), the zero-resistance state keeps going through 175 K, and a finite resistance appears at 290 K. The 2D PnM-Nb sample thus exhibited high T_c s.

A possible reason for the warming curve that deviates from the cooling one along with the necessity of repeating temperature cycles to obtain the zero-resistance state are discussed later, with the help of an already forgotten superconducting principle, *giant atom*, and its comprehensive theory.

Uninterruptedly, R - T measurements were repeatedly performed. The result is shown in Fig. 4b. The resistance drop, which was very sharp in the second temperature cycle, is obviously broadened by increasing the number of temperature cycles. Also, R at 300 K does not return to the initial value of 28Ω , implying that the sample after the R - T cycles is no longer a normal metal. The broadening of resistive transition is often observed when magnetic vortices are involved in the SC transition, being typical for the so-called type-II superconductors. The more vortices in the material, the wider the resistive transition becomes. Therefore, if this is a true study of superconductivity, the experimental fact of the resistive broadening indicates that the sample is intrinsically type-I but is changed into type-II where vortices are activated, by repeating R - T cycles. Some might assume that whether type-I or type-II is material dependent and hence the change cannot be happened in an identical material. But it is not true. Whether type-I or type-II originates from the Ginzburg-Landau theory, which is postulated as a 'phenomenological' model meaning that whether type-I or type-II does not depend on what the material is. Another type just indicates another phenomenon is happening in a material. Of course, such a type change in a sole material has not been reported to date, but it is also true that such a sam-

ple prepared by physical microfabrication as the one in this study has not been tested before.

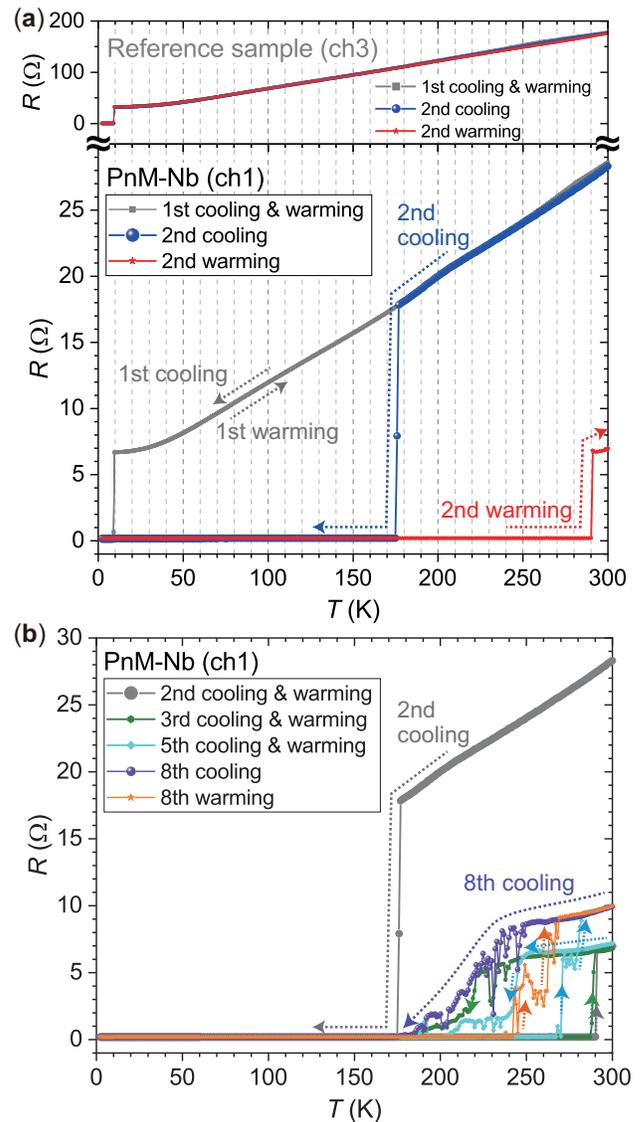


FIG. 4: **Resistance drop.** (a) Electrical resistance versus temperature of a reference Nb sample (upper panel) and that of the PnM-Nb sample (bottom panel). They were measured at the same time in the PPMS under the same condition. Only R - T results for the first and second cycles are shown. (b) R - T results of the PnM-Nb sample for the rest of temperature cycles. The whole raw data can be found at Zenodo¹³.

All questions above regarding vortices are answered in the following section by considering magnetic properties of the sample. Before beginning, it is noteworthy from Fig. 2 that in the PnM sample there are a lot of empty spaces that would be suitable for vortices to reside, namely, the array of voids. The orderly arrayed voids are qualitatively different from defects that are randomly distributed in a material. They have much more significant physical meaning than that of random defects.

B. Magnetic Field Expulsion, i.e., the Meissner Effect

The gray curve in Fig. 5a is the temperature (T) dependence of magnetization (M) of an as-fabricated PnM-Nb sample, to which the R - T procedure had not been applied yet and therefore that did not have a high T_c yet. As the temperature was raised from 4.2 K in the ZFC process, the M increased from -5.1×10^{-3} emu to zero at $T \approx 9$ K as usual, because superconducting (SC) diamagnetism of Nb constituting the sample disappears above 9 K. The as-fabricated sample kept the approximate zero value up to 300 K, and its subsequent FC curve down to 9 K roughly retraced the ZFC one as usual. The approximate zero value above 9 K indicates that both diamagnetism of the Si substrate supporting the microfabricated Nb film and paramagnetism of the entire Nb film including the microfabricated PnM-Nb sample part (see Fig. 2) are negligibly small. Below 9 K on the other hand the FC curve deviated from the ZFC one because applied magnetic vortices are trapped in the Nb film when it undergoes the SC transition at 9 K, exhibiting a smaller magnitude of SC diamagnetism ($M \approx -2.6 \times 10^{-4}$ emu) than that of the ZFC one, being typical for the type-II superconductor Nb.

The orange curve in Fig. 5a is the ZFC result of the PnM-Nb sample having anomalous high T_c s after the R - T cycles (Fig. 4b). As the temperature is raised from 4.2 K, the M increases from -4.7×10^{-3} emu at $T \approx 9$ K, because SC diamagnetism residing in the Nb film disappears at 9 K. Note the magnitude of the ZFC value below 9 K is almost the same as that of the as-fabricated sample, indicating that the tilted angle of the sample chip in the MPMS plastic straw was almost the same for both the independently performed M - T measurements. (The tilted angle affects the demagnetizing factor, which affects the magnitude of M .) Therefore, it is reasonable to compare the independently obtained two M - T results.

In contrast to the M of the as-fabricated sample that reached zero at 9 K (gray), the M of the anomalous high- T_c sample does not reach zero at 9 K (orange), remaining negative. As mentioned above, neither diamagnetic Si nor paramagnetic Nb affects the value of M . Only the microfabricated PnM sample part having anomalous high T_c s can take responsibility for the anomaly.

For $T > 9$ K, the ZFC value (orange curve in Fig. 5a) gradually decreases from $M \approx -1.1 \times 10^{-4}$ emu to more negative values as T is raised. By taking into account the R - T result, the sample in this temperature range is in the superconducting (SC) state. Therefore, the M - T curve should show a fundamentally flat temperature dependence if there were no magnetization other than the SC diamagnetism. A possible reason for the discrepancy is flux trapping. As shown in a false-color SEM in the inset, there is a non-material part, i.e., void, in the PnM sample. Because of its large diameter of approximately 20 μm , applied flux easily invades the void. Once it invades, it remains trapped and moves together with the sample. That is, the SQUID ring detects an extra magnetization in addition to the SC diamagnetism residing in the material part. Since the direction of the ex-

tra magnetization is parallel to the applied field, the value of the measured M increases, concealing the flatness of the temperature independent SC diamagnetism.

However, the extra magnetization owing to flux trapping is unfavorable to thermodynamic equilibration. As shown soon, the critical field H_c of the PnM sample is very large. Because of its significantly large H_c , the thermodynamic equilibrium state of this sample under the field of 1000 Oe during this M - T measurement is not the intermediate state but the perfect shielding state. For such a superconducting sample, the extra magnetization residing in the void is nothing but an unwanted source of thermodynamic nonequilibrium. In other words, the extra magnetization owing to flux trapping decreases its magnitude as the temperature is raised, and the ZFC curve exhibits thus monotonically decreasing behavior.

As the temperature is raised further to 300 K, by contrast, the ZFC curve stops decreasing and alters its trend upward. The M - T result in the temperature range of 170–300 K is enlarged on the upper panel of Fig. 5b. The lower panel shows the eighth R - T result in the same temperature range, duplicated from Fig. 4b, which was performed just before this M - T measurement. Precisely describing the complicated dynamics is difficult at this time. Yet it is remarkable that the M measured in the ZFC ‘warming’ process (orange) is flipping its trend upward in the temperature range of 250–270 K, that is the same temperature range where the resistance R started to rise in the eighth R - T ‘warming’ process (orange). Based on the assumption that the appearance of R is due to the disappearance of superconductivity, it must be reasonable to conclude that the flip of M across 250–270 K is the indication that the SC diamagnetism residing in the PnM material part is disappearing or at least weakening.

Uninterruptedly, the M - T measurement was continued while ‘cooling’ the sample from 300 K, that is, the field cooling (FC) measurement was performed. As the temperature is lowered, the FC value (purple) decreases. The lowering of M indicates that the applied magnetic field is expelled from the interior of an examined specimen, and it cannot be accounted for by any physics other than superconductivity, the Meissner effect. The FC value reaches its minimum at the temperature below 230 K, which is roughly consistent with the temperature range where the resistance R started to vanish in the eighth R - T ‘cooling’ process (purple). When going superconducting, electrons start the azimuthal motion (see inset of Fig. 5b), expanding the interior superconducting domain radially outward. This is the Meissner effect. Hence it is not a trivial question which arrives first, the resistance drop or the magnetic field expulsion. Either way, the occurrences of resistance drop and magnetic field expulsion in the same sample in the same temperature range is the indication of the occurrence of superconductivity.

The FC curve (purple) flips its trend upward below 210 K, which must be attributed to flux trapping in a non-material part, i.e., void, as mentioned earlier. The flux trapping is going to happen after the PnM material part undergoes the superconducting transition. As the direction of the trapped flux is parallel to the applied field, the value of M increases.

Below 170 K, however, the FC curve exhibits a relatively flat temperature dependence in contrast to the ZFC curve (see Fig. 5a). For the ZFC measurement, the sample was already cold before the field was applied and, of course, did not know whether the field would be applied or not. Therefore, there was no choice for the sample other than to admit the extra magnetization in the voids. For the FC measurement, by contrast, the sample was cooled under the existence of the applied field. That is, there was a chance for the emergent superconducting screening currents to draw the most suitable geometric pattern that nullifies not only the field invading into the interior of the material part but also the other one trying to reside in the neighboring voids, in order to achieve the perfect shielding state demanded by its own large H_c . Therefore, the thermodynamically unfavorable magnetization arising from the voids was weakened during the FC measurement to the extent possible, and the FC curve exhibits thus relatively flat temperature dependence.

Finally, it is noteworthy that the value of M still remains negative at 300 K, approximately -1.75×10^{-4} emu regardless of whether the ZFC or FC process. By taking into account the M - T result of the as-fabricated sample (gray curves in Fig. 5a), there is nothing in the sample inherently being responsible for the large negative value. Additionally, the clear separation between the ZFC and FC curves (orange and purple) cannot be made by any kind of magnetic impurities. So there is no possibility other than supposing that the negative value at 300 K is attributed to the SC diamagnetism arising from the high- T_c PnM-Nb. Since the minimum M , approximately -1.89×10^{-4} emu, is reached at lower temperatures, it must be reasonable to assume that not whole but just some part of the PnM-Nb is in the SC state at 300 K. In other words, superconductivity and non-superconductivity are likely to coexist in the PnM-Nb at 300 K. If so, the appearance of resistance at high temperatures (bottom panel of Fig. 5b), where the resistance did not return to the initial metallic value of 28Ω , might be attributed to the interaction between superconductivity and nonsuperconductivity, for instance, the resistive vortex motion driven by applied current during the R - T measurement. To evaluate quantitatively, however, an estimation on the Josephson plasma frequency for the PnM sample is necessary and is beyond the scope of this study. This last remark and a condition, under which the superconducting state at 300 K starts to melt, will be shown in the forthcoming paper.

Anyway, there is a much more significant common feature in the R - T and M - T results (see Fig. 5b). That is, the zero resistance state survived at higher temperatures in the R - T 'warming' process than that in the cooling one, and the minimum diamagnetic value was achieved at higher temperatures in the ZFC 'warming' process than that in the FC cooling one. These experimental facts indicate the benefit of 'warming' to superconductivity. This will be discussed later in this paper to be connected with the lattice expansion, which is likely to happen especially in the warming process. The lattice expansion seems very compatible with the self-standing PnM sample, that has a degree of flexibility in the way the lattice is expanded (Fig. 3a).

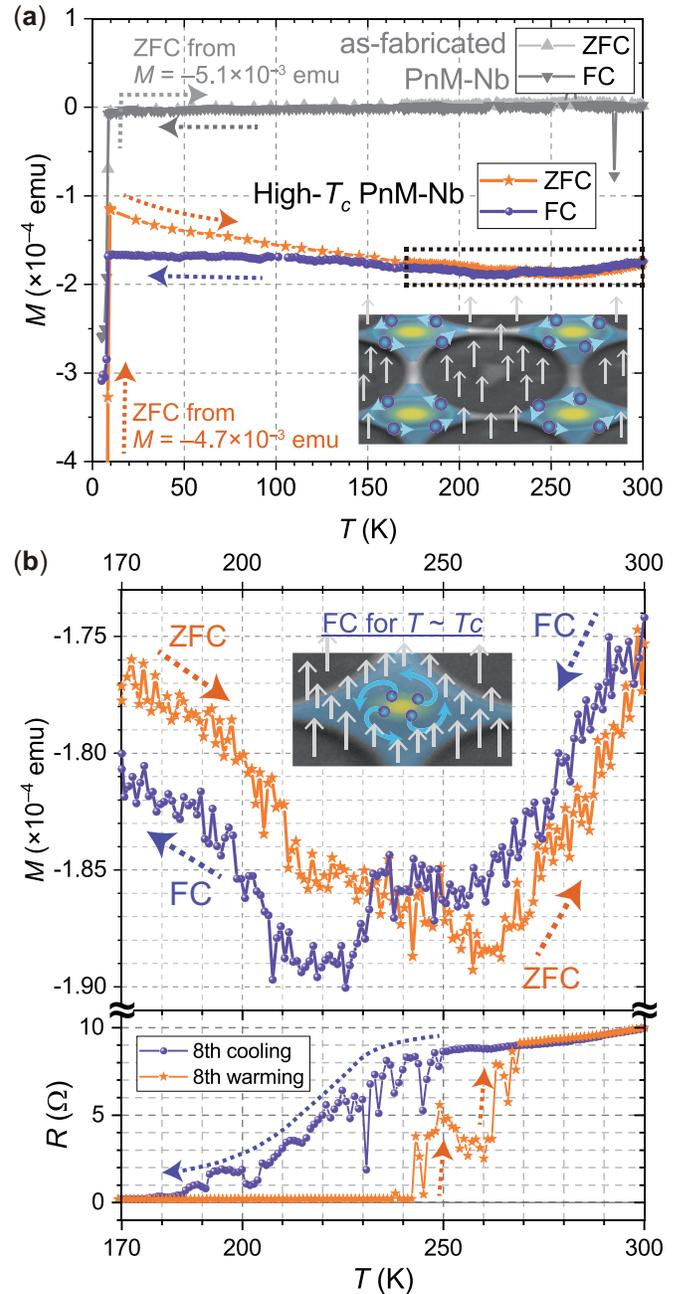


FIG. 5: **Magnetic field expulsion.** (a) Magnetization versus temperature of an as-fabricated PnM-Nb sample (gray colors) and that of the PnM-Nb sample that exhibited high T_c s in the preceding R - T measurement (orange and purple colors). Applied magnetic field for both the M - T measurements was 1000 Oe, perpendicular to the sample surface. (Inset) Schematic illustration of the PnM-Nb sample during the ZFC process for $T < T_c$ using a false-color SEM. Out-of-plane gray arrows, applied magnetic flux. In-plane cyan arrows, circulating superconducting screening currents in the counterclockwise direction because of their charge negative. Spheres, negative charge particles generating superconducting screening currents. (b) Enlarged M - T result in the temperature range of 170–300 K, surrounded by the black dotted square in (a). (Inset) Schematic illustration of the magnetic field expulsion during the FC process, the Meissner effect. (Bottom panel) Duplicated R - T result of the eighth cycle in Fig. 4b, which was performed just before this M - T measurement.

C. Critical Field

As mentioned in the previous section, the critical field H_c of the PnM-Nb was investigated at 300 K. Under various perpendicular magnetic fields of $\mu_0 H_\perp = 0, 5, 11$ and 12 T, the output voltage was measured while applying current. The sample used for this measurement is another one but has the same design as that used previously. The result is shown in Fig. 6. For $\mu_0 H_\perp \leq 11$ T, the voltage does not increase for applied currents lower than 1 mA. At $\mu_0 H_\perp = 12$ T, by contrast, a finite voltage is observed for current exceeding a small value of 32.6 nA as shown in the inset. Hence, the intrinsic $\mu_0 H_c$ at 300 K for the 2D PnM-Nb without applied current shall be a little bit larger than 12 T.

By taking into account the initial sharp resistance drop (gray curve in Fig. 4b), the PnM-Nb is intrinsically the so-called type-I superconductor, and therefore its H_c corresponds to the thermodynamic critical field. The large thermodynamic critical field was responsible for the clear separation between the ZFC and FC curves as explained in the previous section. Even if an unknown demagnetizing factor of the PnM sample yields a 100 times larger magnitude than that of the actual field applied during the M - T measurement (1000 Oe), the product is still lower than the H_c . Hence the perfect shielding state is indeed favorable for the PnM-Nb sample during the M - T measurement, suppressing the neighboring thermodynamic nonequilibrium, namely the trapped magnetization in neighboring voids, as much as possible, whenever it can do so.

Yet the value of $\mu_0 H_c \gtrsim 12$ T is much too large when considering the fact that the largest known critical fields for standard type-I superconductors are of order 0.05 T. Indeed there is a huge difference and no wonder this result cannot be accepted immediately. But it turns out that the absurdly large H_c is a natural consequence of 2D superconductivity. This is discussed in the following section on the basis of a superconducting principle, *giant atom*, which was already forgotten a long time ago.

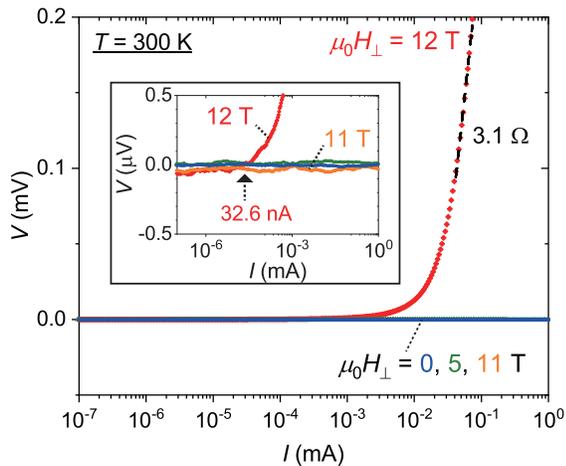


FIG. 6: **Critical field at 300 K.** Output voltage versus applied current under various magnitudes of perpendicular magnetic field, measured at 300 K. Inset, zoomed-in plot.

IV. DISCUSSION

A. The H_c and Giant Atom

In 1933, the experiment of Walther Meissner and Robert Ochsenfeld revealed that an externally applied field was expelled from the interior of a superconductor. The discovery, now known as the Meissner effect, surprised physicists in that era, since the general solution of Maxwell's equations predicted that the original field had to persist for ever in the superconductor. However the argument over the frozen-in magnetic fields in superconducting bodies was immediately resolved by Fritz and Heinz London brothers in 1934–35¹⁵. They showed the existence of screening current flowing around the superconductor in such a way as to shield the interior from the applied magnetic field. The endlessly circulating screening current was further considered by F. London, being very strongly reminded of Gordon's formulae for electric current and charge in his relativistic formulation of Schrödinger's theory. That is, F. London considered that even *one single electronic state* was sufficient for representing the electromagnetic behavior of a superconductor with all its various possible currents. He thus coined the phrase “*macroscopic quantum phenomenon*” and characterized the electromagnetic behavior of a superconductor as “*being the same as that of a single big diamagnetic atom*”¹⁶. In this line of thought, he predicted the existence of the flux quantum ϕ_0 , which was experimentally observed in 1961, seven years after his death in 1954.

Following the logical argument raised by F. London in 1937¹⁶, John Slater immediately considered a magnetic susceptibility of an atom, and, in order for the atom to have perfect diamagnetism, he gave the minimum diameter of the atom,

$$R_S = 137 \times 2a_0 (\approx 14.5 \text{ nm}), \quad (1)$$

where a_0 is the Bohr radius (0.529 Å) and the number 137 comes from the fine structure constant ($\alpha \equiv \frac{e^2}{\hbar c} \approx \frac{1}{137}$)¹⁷. The Slater's atom is a primitive one of what F. London called “*a single big diamagnetic atom*”, a.k.a., the “*giant atom*”.

They are teaching us that a wavefunction around the core of the *giant atom* is stationary, forming a loop, the same as that of the usual Bohr's atom, but is radially expanded, taking only the quantized angular momentum corresponding to $n\phi_0$ ($n = 1, 2, \dots$). This is also true for an atom that provides its wavefunction to a screening current under an applied magnetic field. For this reason, relatively large spheres are illustrated in the inset of Fig. 5a. (Four spheres are shown in a screening current circulating around the periphery of a superconducting “islands”). In the screening current, each wavefunction has the expanded diameter R_S , carrying a single diamagnetic ϕ_0 that resists the applied field. When does the applied field invade the interior of the superconducting island? It is when the screening current is filled with a bunch of Slater's atoms overlapping each other.

Then the critical field can be calculated as

$$\mu_0 H_c = \frac{\phi_0}{\pi \times (R_S/2)^2} (\approx 12.5 \text{ T}), \quad (2)$$

that is what the experimental Fig. 6 is indicating.

Such an absurdly large H_c is thus verified by this simple equation. There is no doubt that the unrealistic prediction value of the equation was the primary reason why the *giant atom* was abandoned by the superconductive community once upon a time. But this time the absurd prediction turns out to be true. Hence we have to think about the *giant atom* again. Note this equation is valid only in a 2D perspective, indicating that 2D superconductivity is responsible for the experimentally confirmed large H_c . This consequence may reflect a key role of the 2D electron system that this study at the beginning expected of the 2D PnM.

Figure 7 (left panel) shows a schematic superconducting (SC) domain, in which Slater's atoms are arranged in such a way as to be associated with the 2D Eq. (2). In the inner domain, the superposition of electrons orbits nullifies themselves, leaving electrons orbits only within a thin layer adjacent to the periphery. Under an external magnetic field, the remaining electrons orbits at the periphery draw a supercurrent path (cyan arrow) circulating around the SC domain in such a way as to shield the interior from the applied field. The right panel shows the equivalent of the SC domain, reprinted from ref.¹⁸. By the superposition, only positive charges, i.e., holes, remain in the bulk, and negative charges are globally expelled from the bulk to the periphery. Indeed it looks like a "giant atom". The heavily concentrated holes exert repulsive Coulomb force on their own lattice. That is, the lattice is expanding.

In the following section, the remaining questions, why warming is beneficial to superconductivity and why repeating temperature cycles is necessary to obtain this superconductivity, are explored to the extent possible, with the help of a comprehensive theory of the *giant atom*—the theory of *hole superconductivity*.

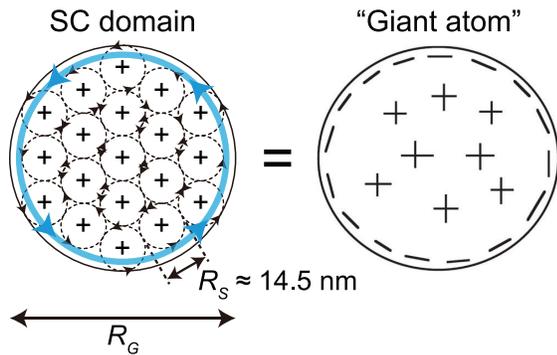


FIG. 7: Schematic superconducting (SC) domain with a diameter R_G , filled with Slater's atoms with the diameter R_S . Right panel, its superpositioned equivalent. '+' and '-' indicates a positive and negative charge, respectively. Cyan arrow, a screening current. The right panel is reprinted from ref.¹⁸, with the permission of AIP Publishing.

B. Benefit of the Lattice Expansion

Though London characterized a superconductor as being the same as a single big diamagnetic atom, even he could not solve "which kind of interaction" could be made responsible for the appearance of such separated diamagnetic states¹⁶.

During the golden age of BCS theory from 1957 to 80s, extensive efforts to search for superconductors with a higher T_c were carried out, and many superconducting inter-metallic alloys and compounds were discovered, giving rise to the then-record highest T_c of 23 K observed in Nb_3Ge ^{19,20}. It is noteworthy however that the achievement was not by the BCS theory but by Bernd Matthias's effort²¹⁻²⁴, who for the first time publicly railed against the BCS theory to emphasize the fact that the theory is not useful in predicting where to find high- T_c superconductors²⁵. Matthias brought to light a significant alternative: "the simultaneous occurrence of lattice instabilities and high T_c superconductivity"¹². The lattice instability is a logical extension of the Matthias's empirical rule, that teaches us the importance of the number of valence electrons outside the filled shell of an atom^{21,24}. Matthias thus did pay attention to the *reality* of superconducting materials. BCS on the other hand did not take into account the *real* atom structure, in fact failing to find *real* high- T_c superconductors¹⁸. When a conduction band is almost full of electrons, the electrical conductivity is by holes. This consideration is consistent with the fact of superconducting materials, that the great majority of materials that go superconducting have positive Hall coefficients. This fact was pointed out for the first time in 1932²⁶ and was also discussed in papers by others²⁷⁻²⁹. Among them, the statement by Richard Feynman in ref.²⁸ published in 1957, several months earlier than that of the BCS theory, is especially remarkable: "... if Frohlich and Bardeen could solve their model exactly, they still would not find superconductivity, since it would still involve only negative carriers."

The London and Slater's *giant atom* describes the static view of superconductivity, only. What London could not achieve and what he has been demanding is the theory, that finally forms the *giant atom* in its own framework. Considering the above *realities* of superconductors, namely, holes and the Matthias's lattice instability, Jorge Hirsch has proposed an alternative in 1989, the theory of *hole superconductivity*³⁰. His theory is necessary to explain experimental results of this study: why the superconductivity in the warming process could survive at higher temperatures than that in the cooling one and why repeating temperature cycles was necessary to obtain this superconductivity.

Holes propagating through a material destabilize the lattice, that's why they are called 'antibonding', and therefore the lattice ions cannot avoid repelling each other, that is, the lattice 'expands'³¹. When the lattice expands, the wavefunctions of orbital electrons in the vicinity of each lattice point also expand, and the expansion lowers the quantum

kinetic energy of each orbital electron,

$$E_{kin} = \frac{\hbar^2}{2mr^2}, \quad (3)$$

where \hbar is the reduced Planck constant, m is the electron mass and r is the radial extension of the wavefunction, hence leading to the global expulsion of electrons, ultimately, forming the *giant atom*. It is remarkable that the theory has finally reached the same conclusion as that given by London and Slater. Though the minimum diameter of the *giant atom* was already given by Slater¹⁷, the maximum limit by contrast was not given and even not known if it exists or not; the future work must be exciting either way.

According to London, “the most stable state of any system is not a state of static equilibrium in the configuration of lowest potential energy. It is rather a kind of kinetic equilibrium ...”³². *Hole superconductivity* describes the microscopic dynamics of holes, electrons, lattice instability, all at once, with respect to the “lowering of quantum kinetic energy” and succeeds in connecting the microscopic dynamics to the macroscopic superconducting behaviors without discontinuity in scale. London may be satisfied this time. One of the macroscopic behaviors, Meissner effect, was studied in this paper and was described in the inset of Fig. 5b. The explanation given for it was, to be honest, taken from the theory of *hole superconductivity*. The magnetic field lines are being expelled together with electrons expanding their movements outward, and these negative charge wavefunctions themselves also expand their radii outward to generate the superconducting screening current. For more spectacular consequences, see refs.^{33,34}.

Thus, the expansion rules superconductivity, and any expansion may be beneficial. The warming process as well makes the sample lattice expand rather than shrink, that’s why the superconductivity was enhanced for the warming process. The necessity of repeating $R-T$ cycles, on the other hand, must be related to the lattice instability caused by the propagation of holes. During the $R-T$ cycles, an excitation current was applied to the sample to measure the resistance as a matter of course. When the propagating charge carriers are holes, as was the case for this sample consisting of Nb having a positive Hall coefficient²⁹, the propagation destabilizes the sample lattice, which is essential for the occurrence of high- T_c superconductivity according to Matthias. Based on this consideration, applying current is indispensable for changing an as-fabricated sample into the superconducting one. In fact, no matter how many times the $M-T$ cycles were applied to an as-fabricated sample, the sample without applied current did not undergo the high- T_c superconducting transition. Hence the $R-T$ cycles have to be carried out first to achieve this superconductivity. To predict when and how the sample undergoes the high- T_c transition, better insight into the lattice instability and better control over in-plane stress (Fig. 3a) besides oxidization (Fig. 3b) are crucial.

C. Reproducibility

To date, 18 PnM-Nb samples with a rectangular shape were examined, and 7 samples exhibited zero resistance at high temperatures. So the yield rate is 39%. All the superconductivity were enhanced in a warming process. Additionally the zero resistance was attainable regardless of the sample size and regardless of whether the resistance was measured by the two or four probe method. However, the T_c varied with the sample, taking some typical values: 35 K, 50 K, 100 K, and 175 K. Additionally the number of $R-T$ cycles repeatedly applied to the sample in order to change it into the zero-resistance state varied with the sample. A possible reason for those varieties and an effective way to enhance the yield rate are discussed below.

As described in Section II A, those samples were microfabricated on a 3-inch (≈ 76 -mm) wafer, and each sample chip with the size of 5-mm squares was taken from the wafer. As shown in Fig. 8, there is a distribution of in-plane stress in the Nb layer deposited on the SiO_2/Si wafer. In-plane stress is widely distributed in the range from -120 to $+100$ MPa. That is, each sample chip has a different in-plane stress to each other, despite the used sputtering condition that was adjusted for zero in-plane stress. The different in-plane stress may yield a different magnitude of lattice expansion after the final HF dry etching process that removes the SiO_2 sacrificial layer under the Nb layer. And the different magnitude of lattice expansion (Fig. 3a) may also affect oxidization of the self-standing PnM sample (Fig. 3b). Therefore, if the high- T_c superconductivity found in this study is truly promoted by the 2D oxygen network configuration, the different magnitudes of lattice expansion and oxidization may be highly important factors in determining whether the thus microfabricated PnM sample undergoes the superconducting transition or not and its T_c .

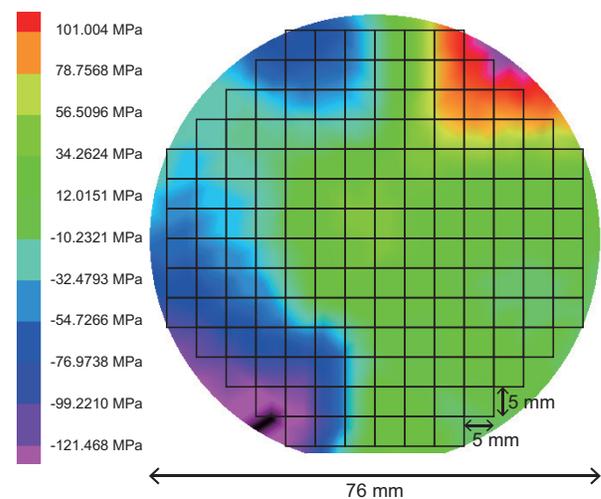


FIG. 8: In-plane stress of the Nb layer sputtered on the SiO_2/Si wafer with the diameter of 76 mm. The size of a sample chip ($5 \times 5 \text{ mm}^2$) is also indicated. The in-plane stress was measured using FSM 128NT (Frontier Semiconductor).

Hence, controlling in-plane stress and oxidization during the microfabrication process will be the next important step to increase the yield rate for the zero-resistance state. When taking into account the discussion in the previous section, a higher magnitude of lattice expansion seems preferable to the occurrence of superconductivity. Therefore, intentionally using another Nb sputtering condition, that increases in-plane stress, is worth considering. The higher in-plane stress will induce the higher lattice instability as well, which is also beneficial to the occurrence of superconductivity. This can be done by adjusting pressure and flow rate of Ar gas during the Nb sputtering deposition. Current oxidization, on the other hand, depends on natural oxidation. An alternative method, for example applying oxygen plasma ashing to the PnM sample prior to R - T cycles, will be better to control oxidization. Investigating how they affect R - T results will be the most significant study.

Since the zero-resistance state presented in this study was for the first time observed in March 2018, many R - T measurements had been performed, but none of them had succeeded in reproducing the zero-resistance state. The above yield rate (39%) is achieved after an inevitable condition during the R - T cycles was found in November 2018, namely the slow cooling and warming rates especially for the temperature range of 20–60 K in the first six temperature cycles. A typical R - T recipe is summarized in Table I. The necessity of the slow cooling/warming rate for 20–60 K may be related to oxygen already absorbed in the PnM sample, which is known to undergo an antiferromagnetic transition below 54 K and to change its solid structure ($\beta \rightleftharpoons \gamma$ phase) at 43 K. Yet the true reason for the necessity and whether the oxygen transformation truly happens in the PnM, and if so how it survives at higher temperatures, are still unknown and are to be investigated together with the in-plane stress and oxidization discussed above.

Table I: Typical R - T recipe* to make the zero-resistance state

R - T cycle number	Cooling-warming rate	Temperature approaching mode [†]	Elapsed time
1st – 6th	300 → 60 K: 1.0 K/minute	S	16 ~ 18 hours/cycle
	60 → 20 K: 0.5 K/minute	F	
	20 → 2 K: 1.0 K/minute	S	
	2 → 20 K: 1.0 K/minute	S	
	20 → 60 K: 0.5 K/minute	F	
	60 → 300 K: 1.0 K/minute	S	
7th to the last	300 → 2 K: 1.0 K/minute	S	9 ~ 10 hours/cycle
	2 → 300 K: 1.0 K/minute	S	

*This recipe is based on the assumption that the PPMS is used with its protocol described in Section II B. Of course it is possible to find a better recipe that enables a quicker realization of the zero-resistance state within the smaller number of R - T cycles.

[†]Letter ‘S’ and ‘F’ indicates, respectively, that the resistance is measured while sweeping the temperature and fixing it at each measurement point.

V. CONCLUSIONS

This study was initially motivated by the BCS theory, and the phonon-engineered metal sheet exhibited the resistance drop and magnetic field expulsion at temperatures higher than 175 K. Additionally the magnetization value remained negative even at 300 K, indicating that the superconductivity survived at the temperature. Despite the used technique of ‘phonon’ engineering, the T_c far exceeds the BCS-McMillan prediction limit of 30s K³⁵. Therefore this study can no longer rely on the BCS theory. Although engineered 2D phonons may have something to do with the activation of the 2D electron system as mentioned in the Introduction section, they cannot directly contribute to the occurrence of the high- T_c superconductivity.

Besides the high T_c , there arose another big problem, maybe bigger than the T_c itself. It turned out that the T_c in a warming process was higher than that in a cooling one. In other words, the T_c was increased with the surrounding temperature increased. This experimental fact completely disregards the absolute benefit of lower temperature for an occurrence of superconductivity, that almost all superconductors are reaping.

In this study, the biggest problem was discussed on the basis of the theory of *hole superconductivity*, that teaches us the absolute benefit of expansion that leads to the lowering of quantum kinetic energy. The expansion of lattice, which is likely to happen in a warming process, may account for the significant experimental fact. Of course this last remark is to be taken as indicating roughly a possible superconducting transition. But still, it is remarkable that the Hirsch’s lattice expansion ultimately forms the London and Slater’s *giant atom* in its own framework and that the *giant atom* explains the experimentally observed H_c , absurdly larger than 12 T, by its own simple Eq. (2).

Finally, the following Matthias’s statement in 1973 (half a century ago) has profound implications²⁴: “From now on, I shall look for systems that should exist, but won’t – unless one can persuade them.” Inheriting the Matthias’s will, Paul Chu had actively investigated the unstable superconductors before the dawn of the new era beginning in 1986–87. Indeed, he finally discovered the copper–oxygen system that exceeded the boiling point of liquid nitrogen (77 K) for the first time¹⁰. He succeeded in persuading it to exist using chemical synthesis skills. Neither exists in this universe inherently, for sure, a periodically perforated metal sheet (PnM). But it can be persuaded to exist by physical microfabrication techniques as proven in this study.

It turns out that there is a common structure in the copper–oxygen system and the PnM: the 2D oxygen network in a square-lattice configuration (see inset of Fig. 3b), whereas the composite metal of the latter is not Cu but Nb and, moreover, is not in an atomic scale but in an “island” scale with the approximate diameter of 15 μ m. According to the theory of *hole superconductivity* published in 1989³⁰, a moment on the cation is not needed. That is, it does not matter whether Cu or Nb. Close approach between anions (O^{2-}) is important. By taking into account the *real* appear-

ance of the *giant atom* in the PnM-Nb, which is proven by the large H_c in its own right (see Fig. 7), the Nb “island” networked by oxygen may be able to be regarded as a *giant atom* with R_G of 15 μm . From the 2D perspective, then, the superconducting coherence length ξ of the PnM has to correspond to the R_G ($\approx 15 \mu\text{m}$). Additionally, the penetration depth λ_\perp under a perpendicular magnetic field has to correspond to the R_S ($\approx 14.5 \text{ nm}$). The thus relation, $\xi \gg \lambda_\perp$, shall indeed be consistent with the intrinsic type-I superconductivity of the PnM-Nb, namely the initial sharp resistance drop (gray curve in Fig. 4b). The resistive broadening observed in subsequent R - T cycles despite the zero applied field during the measurement, on the other hand, may be accounted for by anti- ϕ_0 s produced in the void each time the “Nb giant atoms” go superconducting (see Fig. 9). The more times the R - T cycle is repeated, the more times the PnM-Nb undergoes the superconducting transition, therefore, the more anti- ϕ_0 s the PnM lattice produces and traps in its voids. Their trapping after the R - T cycles shall change the PnM itself into the so-called type-II superconductor with the resistive transition broadened.

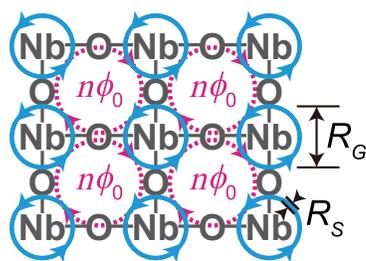


FIG. 9: Schematic configuration of superconducting “Nb giant atoms” with R_G and R_S , networked by oxygen. Cyan arrow, a supercurrent generated at the moment when electrons go superconducting. Magenta arrow, the dual of the supercurrent. It circulates in the clockwise direction, thus producing anti- $n\phi_0$ ($n = 1, 2, \dots$) in its interior, i.e., the void of the PnM lattice.

A proof of the anti- ϕ_0 s will be shown in the forthcoming paper after the Josephson plasma frequency of the PnM lattice is estimated. Under the mixed regime of superconductivity and nonsuperconductivity, an applied current shall drive their resistive motion. Also, both the λ_\perp and the extraordinarily long ξ , together with the critical condition that dissolves the high- T_c superconductivity surviving at 300 K, will be shown therein.

On the other hand, the experimental results shown in this paper have not yet been confirmed by a third party. The author however believes it will come soon, because the used microfabrication process is not complicated, merely consisting of two deposition, one lithography and two etching. The lithography patterning file is freely downloadable from Zenodo¹³.

Anyway, it is full of interest to see what happens if Nb is substituted by other elements such as Mg, Fe, Cu, which will be beneficial to industrial applications as well if they go superconducting.

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Data availability: All raw data are deposited at Zenodo¹³, together with the GDSII file used for the sample patterning. Data are available under the terms of the Creative Commons Attribution 4.0 International license (CC BY 4.0). Addition of the author as a responsible author and/or an inventor in any publication, including electronic publications, is prohibited.

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