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Posted Date: 4 August 2023

doi: 10.20944/preprints202308.0454.v1

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## Article

# Revealing the Structure Formation on Polyglycerol Citrate Polymers—An Environmentally Friendly Polyester as a Coating Material

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**Abstract:** A detailed structural investigation of a promising bio-based polymer, polyglycerol citrate polyester, obtained by bulk polycondensation of glycerol (Gly) against citric acid (Cit) under mild reaction was performed. This polymer was suggested to be well suited for coating seeds or similar agricultural applications. The reaction in conditions with and without catalyst use (sulfuric acid, H<sub>2</sub>SO<sub>4</sub>) was investigated, showing evidence that it is possible to modify the polymer solubility according to the ratio and catalyst utilization. <sup>13</sup>C and <sup>1</sup>H NMR indicated that synthesis catalyzed with Cit excess leads to higher esterification degrees of citrates groups. In contrast, the Gly moieties are more prominent in catalyzed polymers regardless of the excess monomers. Overall, a successful conversion of Gly and Cit into polyesters was attained, even without catalysis, enabling a simple route for large-scale production of this green material to be used as coating material. Tests on soybean seed coating with a PGCit solution of 75% indicated that the seed quality and germination rate was not affected by PGCit coating, concluding that this polymer is promising for this application.

**Keywords:** polyglycerol; polycondensation; polyester; agriculture; sustainable polymer; coating polymer

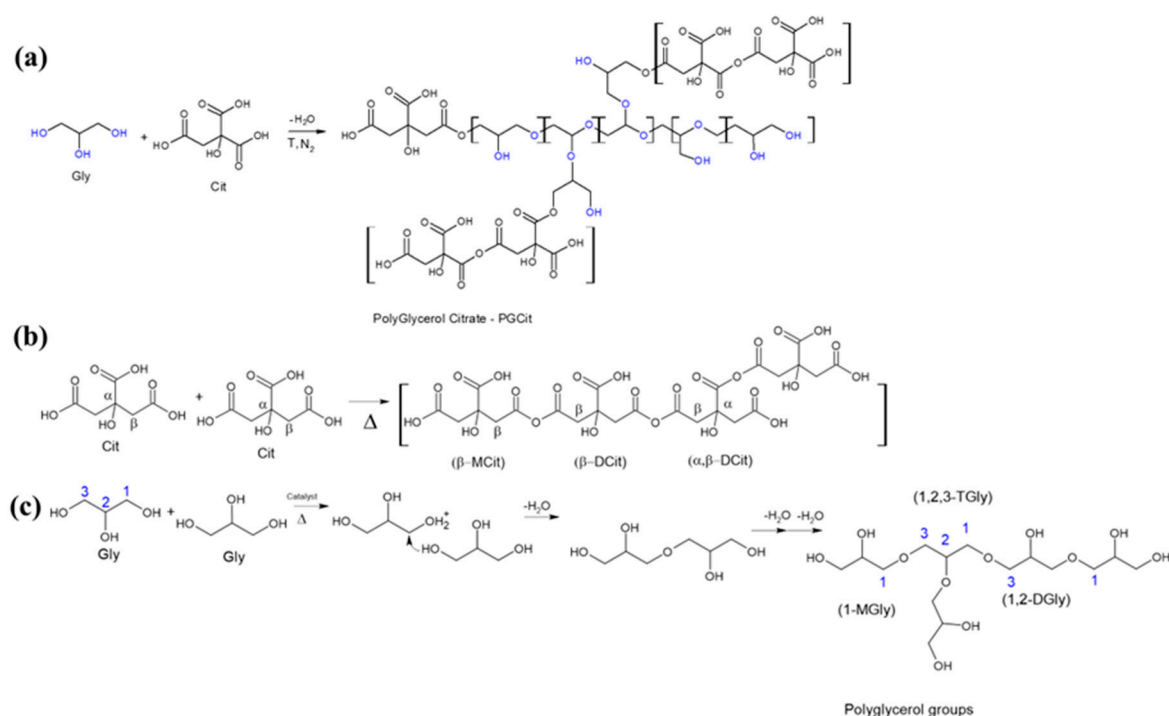
## 1. Introduction

Seed treatment by polymer coating is a process used to increase seed shelf-life and resistance to stresses from various biotic and abiotic environmental factors [1–4] enhancing the overall quality of the seeds [5–7]. Adequate coating polymers must be biodegradable, based on inexpensive monomers, and with controlled solubility after application. Thus, developing new alternatives to that application is still necessary since most of the proposed materials are adaptations of other coating applications [1,8].

In the presented scenario, Glycerol (Gly), an abundant biodiesel by-product [9], is an adequate candidate monomer that has not been explored to date. This inexpensive renewable molecule is a trifunctional alcohol suitable for polycondensation reactions with dicarboxylic acids, yielding biodegradable polyesters [10,11]. Citrate (Cit) is one of the most used tricarboxylic acids (2 million tons in 2018 with further production of almost 3 million tons by 2024, growing at a Compound Annual Growth Rate (CAGR) of 4% during 2019–2024) [12] and reacts against Gly forming polyglycerol-citrate (PGCit) [10,13–18]. Since both monomers are biodegradable and nontoxic, their management is dictated by ecology and green chemistry principles [19]. The synthesis can be done by polycondensation and carried out without solvents, with solely water as a by-product. It does not require catalysts, possibly due to the greater self-catalysis promoted by the esterification of Cit. However, the esterification of Gly can be accelerated by catalysis with a more acidic pH [18,20].

We aim to produce polyglycerol citrate in simple and inexpensive procedures, making a solid polymer with considerable solubility in water to be further investigated as a seed-coating polymer. To that, the knowledge about the structure and how the synthesis route affects the molecular arrangement is essential to prewise the solubility according to the production parameters. Thus, we conducted a full structural investigation of the bulk polycondensation of Gly and Cit, comparing materials obtained under different ratios of the monomers, with and without catalysts. Moreover, our research focused on a systematic investigation of this reaction through the characterization analyses of thermal analyses (TG), Fourier Transform Infrared Spectroscopy (FTIR), and  $^1\text{H}$  and  $^{13}\text{C}$  nuclear magnetic resonance (NMR). To prove the polymer's suitability as a seed coating material, tests on soybean were additionally conducted.

The polyester structure was obtained via forming ester bonds between the Cit monohydride and Gly monomers through melting polycondensation polymerization for all materials, independent of the reactant proportions, including with and without a catalyst. For all materials, the reaction first produced a clear resin, followed by the melting of Cit, which significantly increased viscosity (up to 130 °C), leading to a white elastic wax (10 min of reaction). A white solid was obtained after cooling at room temperature. A proposed polymer structure is presented in Figure 1. Gly serves as the building block of the chains, promoting polymer crosslinking at a lower Cit concentration. However, when there is an excess of citric acid, the polymers may branch out more due to the self-catalyzed condensation of the citric acid groups in the Cit monomers, which are the citric acid's structural constituent [18,22].



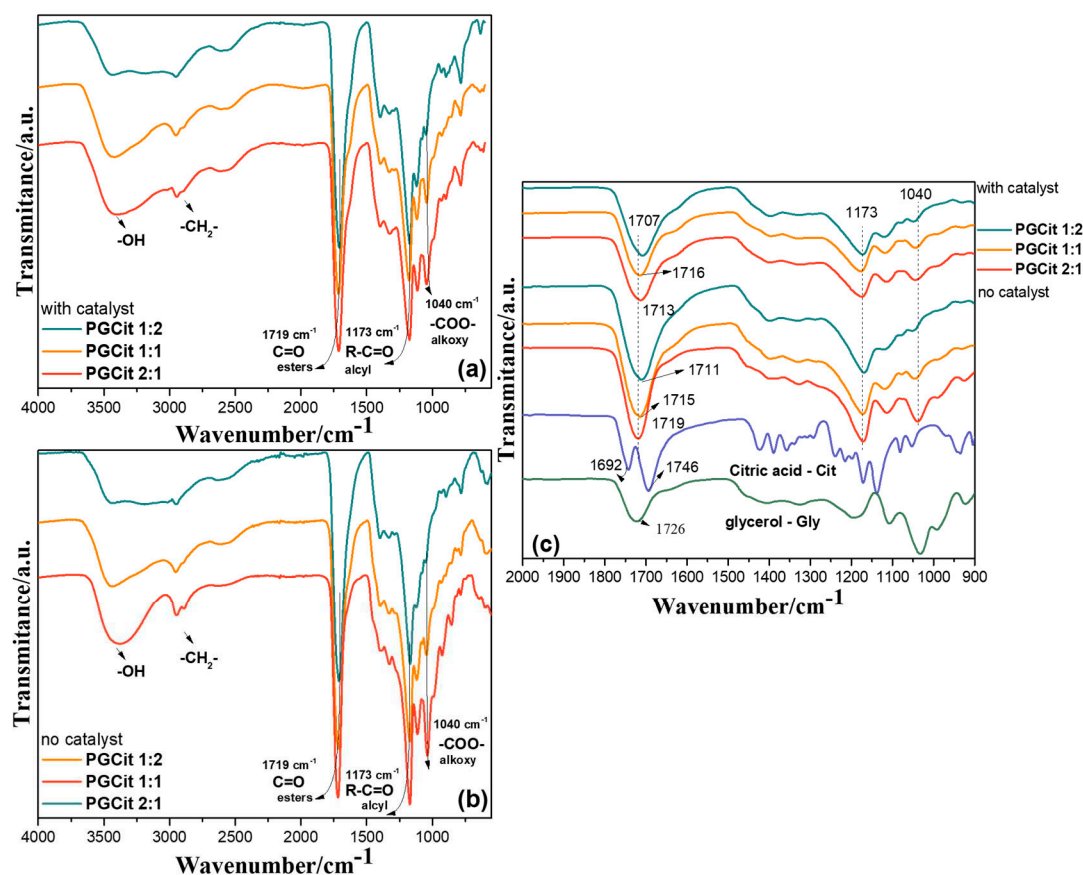
**Figure 1.** (a) The polycondensation of Cit forms the general structure with Gly forming a PGCit polymer chain, (b) reactions involving only citric acid molecules condensation, and (c) acid-catalyzed reaction mechanism of glycerol polycondensation [14,15].

Zahlan et al. (2019) [23] hypothesized that the linear, dendritic, or a combination of linear and dendritic polymers may have been present in the structure of the polycondensation of glycerol and citric acid. Sengupta et al. (2021) [20] presented a reaction of Cit with OH from PVA monomers showing that a stoichiometric combination of Gly and Cit may generate a branching architecture with the monomers reacting through a cascade process resulting in a jellylike copolymer structure. Additionally, the authors selected monomers out of stoichiometry, allowing them to react with incomplete conversion and produce more water-soluble polymers with various structures that might be branched/hyperbranched or crosslinked depending on the reaction conditions. Concentrated  $\text{H}_2\text{SO}_4$  (used as a catalyst) was added to reduce the Cit consumption, restricting the self-catalyzed condensation of Cit, and maximizing its reaction with Gly [20,24].

After synthesis, all materials were tested in different solvents (water, methanol, DMSO, acetone), and only the PGCit 1:2 polymers (with and without catalyst) were fully soluble in water and DMSO (data not shown). The other materials presented a swollen structure and partial solubility after being immersed in the same solvents for 24 hours, reaching up to three times their original weight (data not shown), which affects analyses in the solution of these materials. These results agree with the above-mentioned reports, i.e., higher Cit concentration led to more branched polymers, resulting in higher steric repulsion and more free space between the chains, allowing better solvent penetration and solubilization [20].

### 2.1. FTIR analysis

Figure 2 illustrates the results of an FTIR investigation into the polymers' structure. The broad bands centered at  $3400\text{ cm}^{-1}$  as seen in Figures 2a and 2b, are commonly attributed to hydroxyl groups from alcohols and carboxyl groups [10,15,25]. Three characteristic ester linkage bands can be identified in all samples, proving that the polyester was obtained: a narrow band at  $1719\text{ cm}^{-1}$  stretching vibration of  $\text{C}=\text{O}$ , belonging to the aliphatic ester group of the polyester; a band at  $1173\text{ cm}^{-1}$  of the acyl group; and a band at  $1040\text{ cm}^{-1}$  of alkoxy group [25]. The alkoxy bands are sharper in polymers PGCit 2:1 (excess of Gly), indicating more chain growth by the citric acid's ability to act as a building block [26]. Moreover, the bands at  $1692\text{ cm}^{-1}$  and  $1746\text{ cm}^{-1}$  ( $\text{C}=\text{O}$  stretching bond of Cit-free carboxylic acids) are no longer visible in PGCit samples (Figure 2c). The  $1707\text{-}1716\text{ cm}^{-1}$  shoulder demonstrates that the ester bond degree changed according to the Cit content. This blueshift indicates a higher esters concentration [24].



**Figure 2.** Normalized FTIR spectra of samples synthesized at 140 °C for (a) PGCit with catalyst, (b) PGCit no catalyst, and (c) zooming at 2000-1500 cm<sup>-1</sup> for PGCit polymers and their monomers, glycerol, and citric acid.

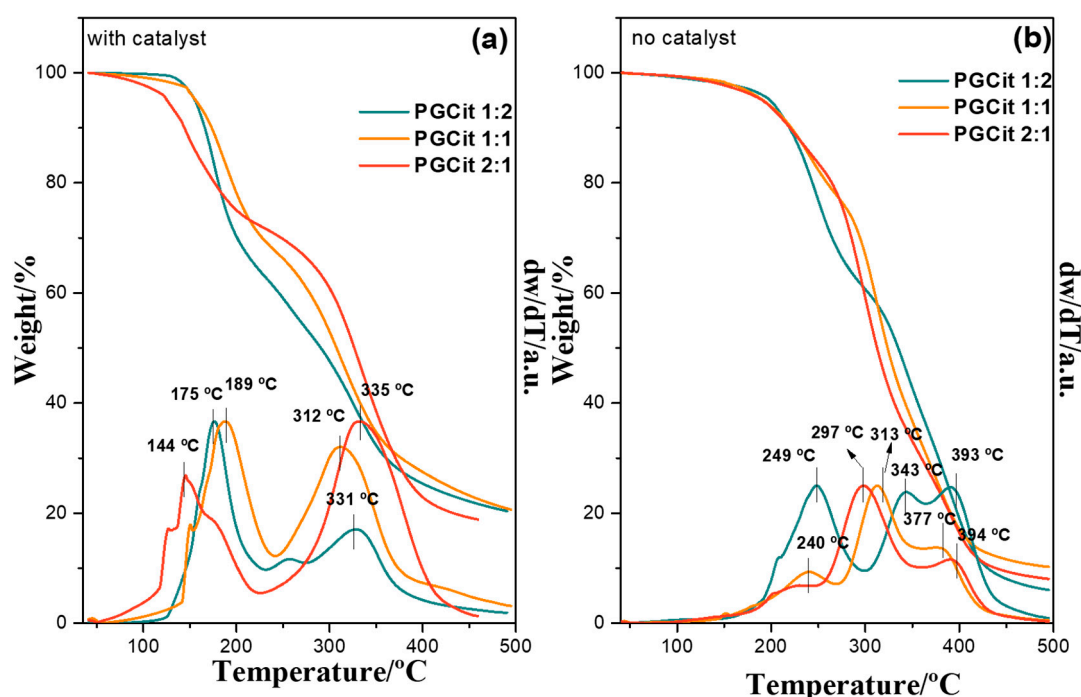
## 2.2. Thermic Analysis

Figure 3 presents the thermogravimetry (TG) and derivative thermogravimetry (DTG) curves of synthesized PGCit. The polymerization led to a polyester network with higher thermal stability compared to the weight loss onsets for the monomers Cit (180 °C) and Gly (197 °C) (see Figure S1 in Supplementary Material). The profiles suggest that the reaction with a catalyst led to unreacted fractions (due to the significant weight loss < 200 °C) while the system with no catalyst promoted a more extended polymerization. A two-stage decomposition process was shown for polymers synthesized with a catalyst. The first peak (144 and 189 °C) concerns the degradation of unreacted Cit, Gly, and esterified oligomer networks, while the second mass loss (250 °C to 330 °C) corresponds to the degradation of the polymer chains. The final event (around 20%) after 450 °C represents ashes and coke [10,24]. PGCit 2:1 displays the lowest mass loss in the first event, with about 27% weight loss, and the highest in the second event (53%), indicating a more significant conversion of the monomers into polymeric segments. In contrast, PGCit 1:2 had the highest mass loss related to monomers and oligomers (36%) and the lowest from polymer chains (40%) [27]. PGCit 1:1 reached 57% decomposition at 300 °C compared to the samples with 1:2 and 2:1 ratios, which had 47% and 61% decomposition, respectively.

Figure 3b confirms the larger polymerization degree with no catalysts than those obtained under the catalyzed conditions since the materials had higher thermal stability. The thermograms show that the thermal stability increases with higher Gly: Cit ratios, as demonstrated by Berube et al. (2018) [24]. Three decomposition stages are seen in all materials: at 240-250 °C, higher than observed for the catalyzed ones (Figure 3a). The second and third events occur in a similar temperature range as the total decomposition of polymer segments in PGCit materials with catalyst, although slightly higher,



suggesting a higher ramification degree. Except for PGCit 1:2, the third event displays a smaller DTG area than the second, indicating two different behaviors in the polymerization. Probably, the polymers have two fractions of branched networks with other extensions, indicating an effect of gradual polymerization from smaller nuclei amounts rather than a disseminated polymerization, as proposed for the catalyzed samples. This group of materials also reached a lower final mass, with 2 times less residue than the catalyzed materials. These are indications that without a catalyst, especially the material with more glycerol content, could be formed with a more complex polymer chain; once Cit is present in lesser concentration it could be acted as a building block, and Gly and might get a more branched polymer with long chains of polyglycerol attached to that. These facts could explain why samples without catalysts had a superior temperature for the first event of the polymer degradation.



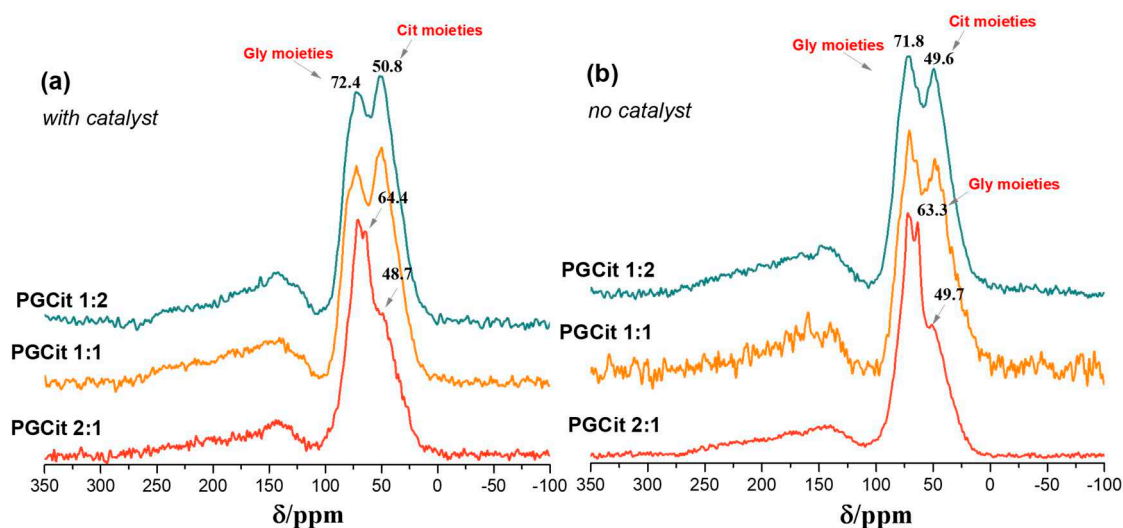
**Figure 3.** Thermogravimetry (TG) and derivative thermogravimetry (DTG) of weight curves of Polyglycerol citrate polymers: (a) PGCit with H<sub>2</sub>SO<sub>4</sub> catalyst and (b) PGCit without catalyst.

### 2.3. Polyesters Structures by NMR Analysis

<sup>13</sup>C Solid-state NMR spectroscopy was used to monitor the conversion of Gly and Cit in all polymers to determine the general structure of the polymers. The NMR chemical shifts and spectral line width are highly sensitive to small molecule structure changes and dynamic processes. Therefore, these parameters can be a helpful tool for monitoring the structure and dynamics of the esterification products [28]. Although polymers are solid-state, they behave as rubber-like materials and then did not pack well in solid-state NMR rotors and, consequently, it was not possible to perform the experiments with magic angle sample spinning (MAS) to obtain high-resolution, solid-state <sup>13</sup>C NMR spectra [29]. Therefore, even without MAS, the <sup>13</sup>C solid-state NMR signals showed broad signals due to the chemical shift anisotropy (CSA). The <sup>13</sup>C-<sup>1</sup>H-dipolar interactions were not observed in the solid-state spectra because it was eliminated by high-power <sup>1</sup>H decoupling [30].

Figure 4 shows the solid-state <sup>13</sup>C NMR spectra of the reaction products obtained with the CP – SE pulse sequence (cross-polarization spin echo). The signals from carboxyl groups of polyglycerol citrate appeared from 260 to 100 ppm, and the CH<sub>2</sub>, CH, and quaternary C groups of Gly and Cit appeared from 100 to 10 ppm. The carboxyl peak showed a typical axially asymmetric CSA signal of the carboxyl ester group with chemical shift tensors σ<sub>11</sub>, σ<sub>22</sub>, and σ<sub>33</sub> at approximately 260, 140, and 120 ppm, respectively, for all materials [31]. The isotropic chemical shift σ (σ<sub>iso</sub>), observed at

$1/3(\sigma_{11} + \sigma_{22} + \sigma_{33})$ , appeared at  $\sim 173$  ppm, which is in the same order as the  $\sigma$  iso observed in  $^{13}\text{C}$  NMR spectra for PGCit samples in solution and is shown in Figure 5. On the other hand, the C is assigned to the  $\text{CH}_2$ ,  $\text{CH}$ , and quaternary carbons of Gly and Cit. These peaks have much smaller CSA than  $\text{C}=\text{O}$  CSA, typical of  $\text{C-sp}^3$  hybridization or groups with molecular mobility [31].



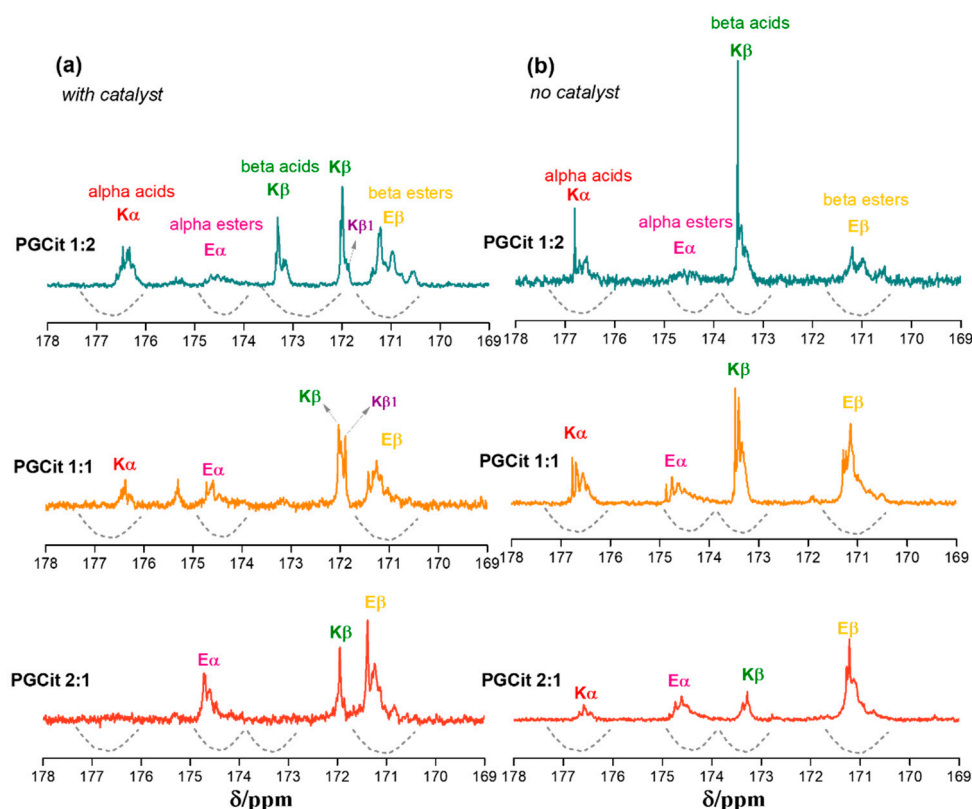
**Figure 4.**  $^{13}\text{C}$  solid-state NMR spectra obtained by CP (cross-polarized) with spin-echo without MAS for (a) PGCit with catalyst and (b) without catalyst.

The  $^{13}\text{C}$  NMR for Gly in solution is at 63.2 (C1 and C3) and 72.4 ppm (C2), and the Cit at 43.6 (C $\beta$ ) and 73.2 ppm (C $\alpha$ ) (Figure S2, Supplementary Material) [14,28]. Therefore, the  $^{13}\text{C}$  NMR peak at 72 ppm in the solid-state for the samples with and without catalyst, can be assigned to the Gly (C1, C2, and C3) and Cit (C $\alpha$ ), and the peak at 50 ppm can be assigned to Cit carbon (C $\beta$ ). The Gly carbons (C1 and C3) with very high mobility can be seen at the PGCit 2:1, at approximately 63 to 64 ppm.

The relative intensity of the peaks of the materials obtained without catalyst (PGCit 1:2 and 1:1) showed a stronger peak at 72 than at 50 ppm indicating the polymer contains more Gly molecules ( $-\text{Cit}-(\text{GlyGlyGlyGly})-\text{Cit}-$ ) than the polymer prepared with catalyst, where the 72 peak is smaller than the peak at 50 ppm ( $\text{Cit}-(\text{GlyGly}-\text{CitGlyGly})-\text{Cit}-$ ).

Furthermore, PGCit 2:1 with and without catalyst spectra show a strong peak at 72 ppm, a sharper peak at 63 ppm (assigned to mobile Gly signal at 63 ppm), and a shoulder at approximately 50 ppm (related to Cit peak at 42.6 ppm in solution). The sharp peak at 63 ppm is better seen in the spectrum of the PGCit 2:1 without catalyst (Figure 6b) and indicates that part of Gly carbons C1 and C3 are mobile in these samples with an excess of Gly. In addition, this sharp peak also suggests that these two carbons were not fully esterified in these experimental conditions. Moreover, Figure 4 also shows that the broad carboxyl peaks (around 172 ppm) are more substantial for the samples in the following order: PGCit 1:2 > PGCit 1:1 > PGCit 2:1 when compared to aliphatic carbons, from 10 to 100 ppm. This indicates that the reaction occurs between Gly and Cit in stoichiometric or quasi-stoichiometric proportions.

To better understand the polymerization behavior, the soluble fraction of each polymer was characterized since only PGCit 1:2 (with and without catalyst) samples were completely solubilized in deuterated DMSO. Figure 5 shows  $^{13}\text{C}$  NMR spectrum measurements for PGCit soluble fractions. The signals range from 169 to 178 ppm shifts, representing alpha (K $\alpha$ ) and beta (K $\beta$ ) acids (regions centered at  $\delta$   $^{13}\text{C}$  176.7 and 173.3 ppm, respectively) and alpha (E $\alpha$ ) and beta (E $\beta$ ) esters (regions centered at  $\delta$   $^{13}\text{C}$  174.2 and 171.0 ppm, respectively) as proposed by Castro et al. (2023) [32].

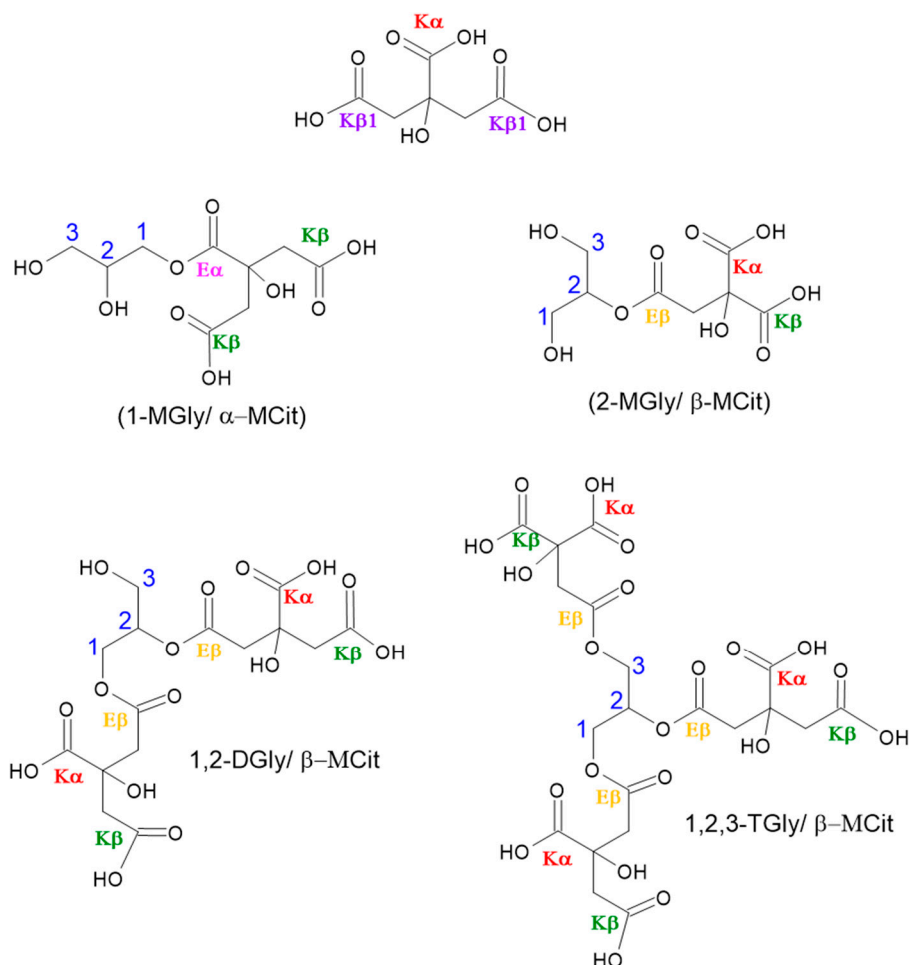


**Figure 5.**  $^{13}\text{C}$  NMR spectra of PGCit materials obtained (a) with and (b) without catalyst and the polyglycerol citrate region of polymerization, based on Castro et al. (2013) and Wrzecionek et al. (2021) [39,40].

Comparing the two groups of materials (with and without catalysts), it was observed that materials synthesized with catalysts had reacted citrate more ( $E\alpha \sim \delta 173.5$  ppm and  $E\beta \sim \delta 171.2$  ppm) than the other group. The signals for  $E\alpha$  and  $E\beta$  seem to be in the same range for PGCit 2:1, while PGCit 1:1 (equimolar ratio monomers)  $E\beta$  signals are slightly higher. This behavior continued for PGCit 2:1. The excess glycerol forced the esterification of  $K\beta$ , and the signals of  $E\beta$  were higher than  $E\alpha$ , as illustrated in Figure 6. A peak at  $\delta 172$  ppm related to COOR groups as shown by the signals of  $K\beta 1$  indicated that more COOH is accessible in these conditions, as shown in Figure 5 [14,32].

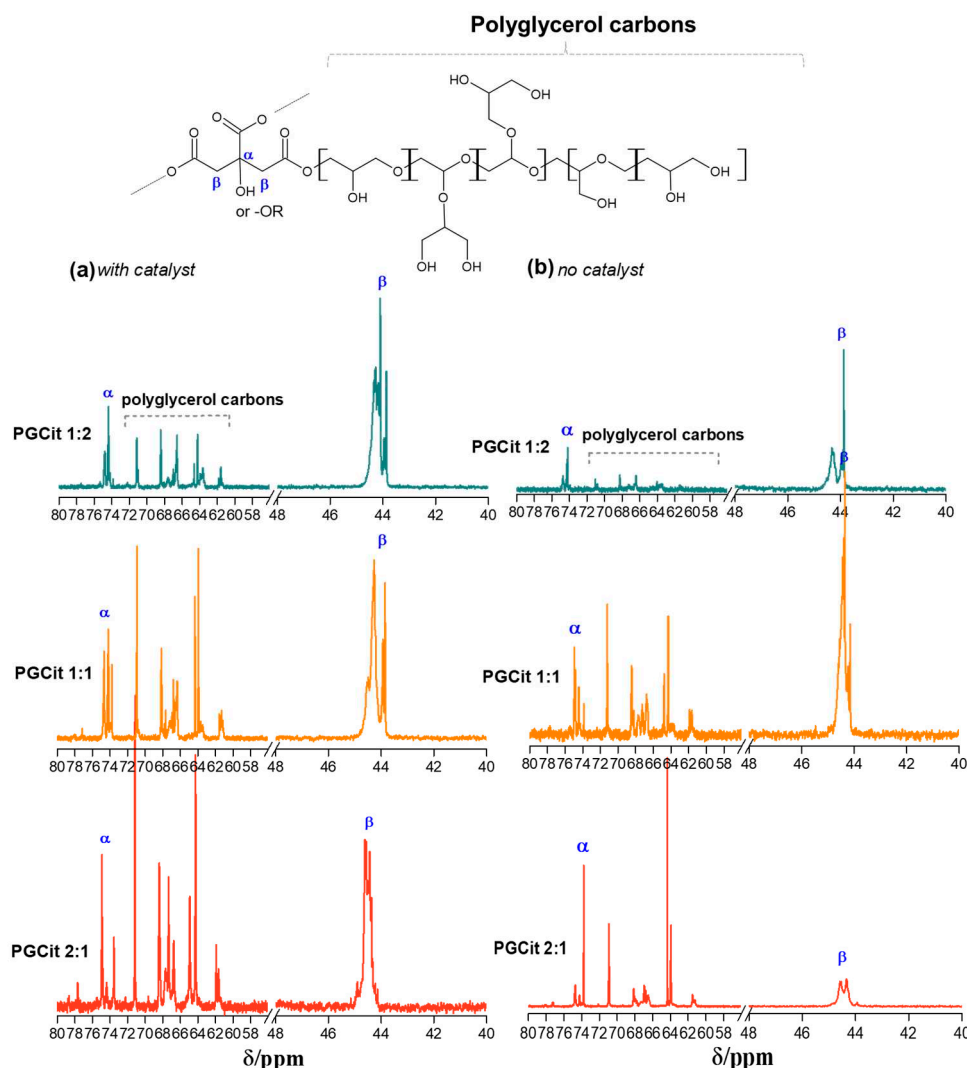
In the reactions with no catalyst, the glycerol polymerization was favored by using the  $\beta$  C=O of Cit as a building block, growing the polyglycerol chain (signals at  $K\beta$ ). It ultimately results in the decreased signal of esters ( $E\alpha$  and  $E\beta$ ) and more signals of the small Cit moieties molecules formed during the polymerization of  $K\alpha$  and  $K\beta$ . Additionally, carboxyl spectra show a mixture of broad and sharp peaks, indicating a difference in transverse relaxation time ( $T_2$ ) and can be related to molecules with high and low-mass products. Therefore, the broad line indicates large molecules (long chains), while sharp ones are associated with small molecules, such as oligomers or monomers.





**Figure 6.** Illustration of proposed oligomers with different citrate and glyceryl formations (monoglycerides – MGly, diglycerides – DGly, and triglycerides – TGly. Citric Acid (Cit) bonded by  $\alpha$  and  $\beta$  COOH groups ( $\alpha$ -MCA (mono-citrate) or  $\beta$ -MCA, respectively). E $\alpha$  or  $\beta$ , esters, and K $\alpha$  or  $\beta$ , acid groups from  $\alpha$  or  $\beta$  COOH groups [32].

Figure 7 shows the  $^{13}\text{C}$  NMR spectra for the PGCit soluble fractions in deuterated DMSO between the 80 to 40 ppm region of  $\text{sp}^3$  carbons,  $\text{CH}_2$ ,  $\text{CH}$ , and  $\text{CO}$  of the Gly and Cit groups. In this region, the sharp lines are more prominent than broader, indicating the oligomers or polymers extracted by DMSO solution have small chemical shift anisotropy (CSA) or the carbons have higher mobility [31]. Higher mobility means that the molecules are short (low molecular mass or hydrodynamic radius).

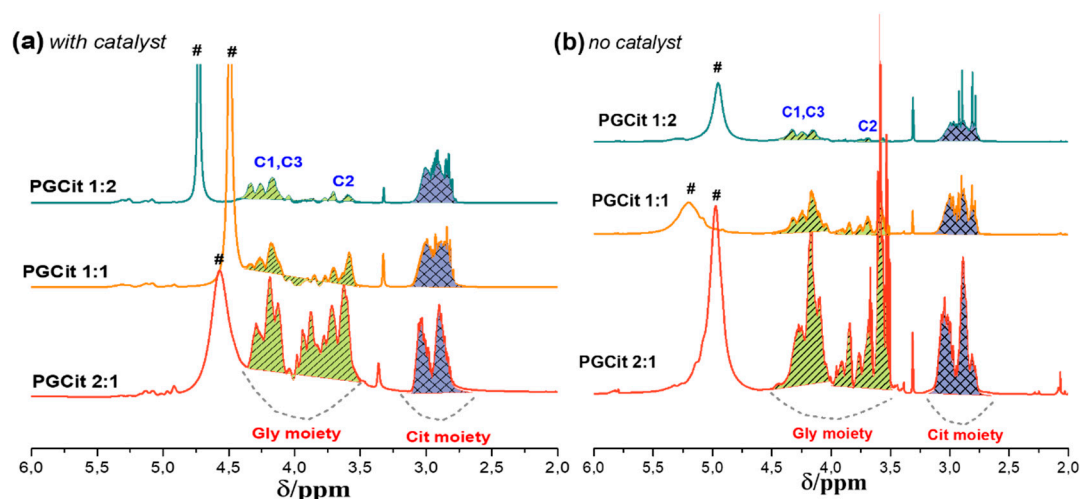


**Figure 7.**  $^{13}\text{C}$  NMR spectra of PGCit with (a) and without catalyst (b) at Gly and Cit moieties regions between 80-40 ppm in deuterated DMSO.

Analyzing the materials in the same group (with catalyst), we see that PGCit 1:2 with an excess of citric acid had a more significant formation of sharp peaks due to higher citrate moieties. In contrast, PGCit 2:1 excess of glycerol occurred the opposite; more sharp peaks were evidenced between the regions between 74 and 63 ppm. These results elucidate the tendency of product formation according to the reagent in higher proportion in the system. Excess citric acid tends to form more substituted citrates, just as in excess glycerol; more glyceride groups were found. While in equimolar material PGCit 1:1, the ratio between the signal at 42 ppm and the polyglycerol (74-63 ppm) are proportional. This pattern also happens for the materials without catalysts; those with the highest proportion of glycerol also have the highest variety in the chemical environment in the glycerol region, and so for citric acid. But comparing the two groups (with and without the catalyst), the fraction extracted and analyzed shows that the catalyst forced the molecules to react and form small molecules with more varied chemical environments depending on the excess reagent. While in materials without catalysts, structures are formed with similar chemical environments, as seen comparing the spectra for PGCit 2:1 in both conditions.

Figure 8 shows the  $^1\text{H}$  NMR spectra of the PGCit in citric and glyceryl moieties. Peaks observed at 3.18-2.6 ppm are assigned to  $-\text{CH}_2-$  from Cit, while peaks at 3.4 to 4 ppm and 4.1 to 4.4 ppm are assigned to  $\text{CH}_2\text{O}-$ ,  $\text{CHO}-$  groups of Gly, respectively (see Figure S3 in Supplementary Material). The peak of  $\sim 4.8$  ppm is related to residual water from the synthesis [20]. PGCit 1:2 samples exhibit modest signals between 3.4 and 4 ppm in both circumstances. The weak peaks could be explained by

the Gly C1-OH and C3-OH groups reacting preferentially to form polymers with significant mass. Signals between 4.1 and 4.4 ppm explain why the C2-OH group was not esterified. This hypothesis agrees with the different reactivity of Gly hydroxyl groups. Primary groups react quickly, and only after significant conversion does the esterification of the secondary hydroxyl groups dominate [33]. The excess Gly was revealed by signals from 3.4 to 4 ppm in the spectra of the reaction products for the other polymers.



**Figure 8.**  $^1\text{H}$  NMR spectra of PGCit polymers in deuterated DMSO (a) with catalyst and (b) without catalyst. Green and purple highlight the regions where glycerol and citric acid reacted, respectively. # signals of residual water from the synthesis.

A broad line was observed in the NMR spectrum of molecules in solution when they have limited mobility due to very high molecular mass or small molecules in extensive supramolecular conditions [34,35]. This can be elucidated once the area of the NMR signal is proportional to the hydrogen content, and it is also possible to identify the presence of mobile and rigid structures from broad and sharp  $^1\text{H}$  signals for the glycerol and citric acid moieties (green and purple highlighted, respectively, in Figure 8) [36]. As mentioned, the line width of the NMR signal can be correlated to molecular mobility. Broad and sharp lines are related to short and long transverse relaxation ( $T_2$ ) and are typically related to molecules with high and low molecular mass [30].

PGCit 1:2 and PGCit 1:1 samples (Figure 8a) presented less sharp peaks at 3 ppm, indicating the presence of more monomers or oligomers derived from citric acid by the formation of the ester bonds in  $\text{C}=\text{O}$   $\beta$ , resulting in a formation of more linear polymerization. However, the most intense sharp peaks for PGCit 1:2 without a catalyst (Figure 8b) indicate lower polymerization in this condition.

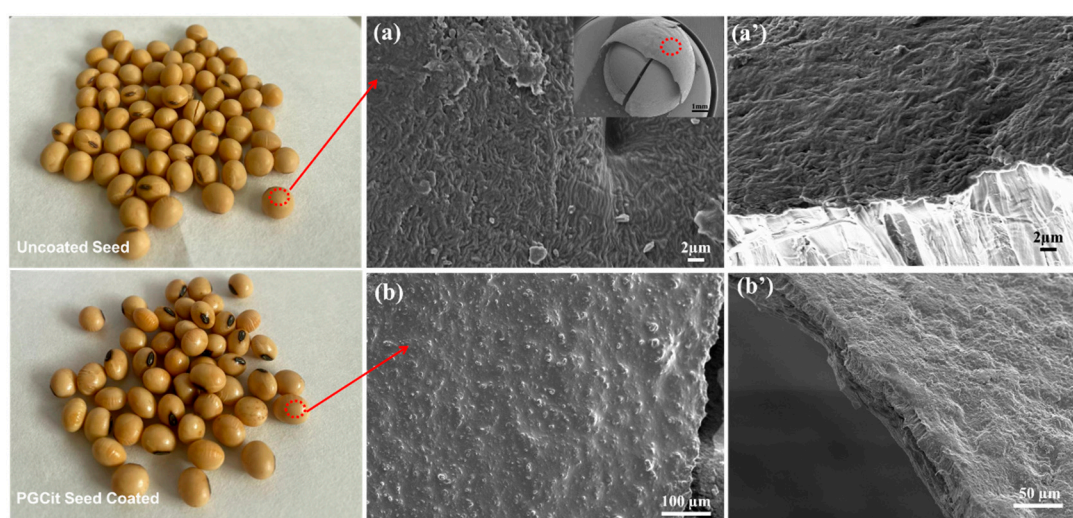
Broad peaks in Gly chemical shifts (between 3.5 to 4.5 ppm) indicate that all Gly in these samples is in a large structure with restricted mobility. PGCit 2:1 without catalyst was the only material presenting sharp lines between 3.5 and 4 ppm. This sharp peak is related to C1-OH and C3-OH free. The existence also of a broad peak in this region ( $\text{CHO}-$ ) indicates that the reaction with the catalyst is occurring, pushing C2-OH to be reacted, which is in the center of the Gly molecule, and not with the hydroxyl group of the terminal carbons (C1 and C3), as previously verified in Figure 7, and which did not happen in PGCit 2:1 without catalyst.

DLS measurements of the soluble fraction suggest the polymerization extension, agreeing with the considerations of NMR analyses in solution. Figure S4 shows the size profiles of the PGCit at pH 7. All PGCit polymers exhibited a unimodal size distribution. PGCit 1:2 with catalyst displayed hydrodynamic sizes - about 35% of the molecules possessed an average length of 4,129 nm, indicating that this condition leads to aggregation. On the contrary, the other materials exhibited a significantly smaller hydrodynamic size. PGCit 1:1 and 1:2 without catalyst exhibited the lowest average size (4 nm). In contrast, PGCit 1:1 and PGCit 1:2 with catalyst presented 36 and 38 nm sizes, respectively. Diagrams indicate a direct relationship between the size of polyesters and Cit:Gly molar feed ratios

and the use or not of catalyst. A higher amount of branching inspired the molecules to achieve a spherical shape, which reduced the hydrodynamic size more than the linear one. This indicates that depending on the reaction condition, PGCit can achieve a branched, hyperbranched, or dendritic structure [17,20,37].

The germination test and preliminary tests on the soybean coating with polyglycerol citrate showed good adhesion characteristics, a good germination rate, and no toxicity for seeds (data not shown).

Figure 9 demonstrates that the polymeric coating on the seed surface exhibits good cohesion and homogeneity. Additionally, there is good adhesion (or interaction) between the materials in the area where the coating and fertilizer come into contact. A preliminary germination test using PGCit-coated seeds demonstrated no statistical difference in the percentage of healthy seedlings in comparison to the control seeds (uncoated) being under germination standards for soybean seeds required [38,39]. Other works are currently under development that will be published in the future covering the use of PGCit as a carrier for micronutrients and microorganisms.



**Figure 9.** SEM image of the soybean seed before (a) and after being coated with PGCit polymer (b).

### 3. Materials and Methods

#### 3.1. Materials

Glycerol-based polymers were synthesized using glycerol (anhydrous, 98%, Synth, Brazil), citric acid (anhydrous, 99.5%, Êxodo Científica, Brazil), and sulfuric acid as the catalyst ( $\text{H}_2\text{SO}_4$ , 98%, Synth, Brazil). All chemicals were used as received without further pre-treatments or purification.

#### 3.2. Materials Synthesis

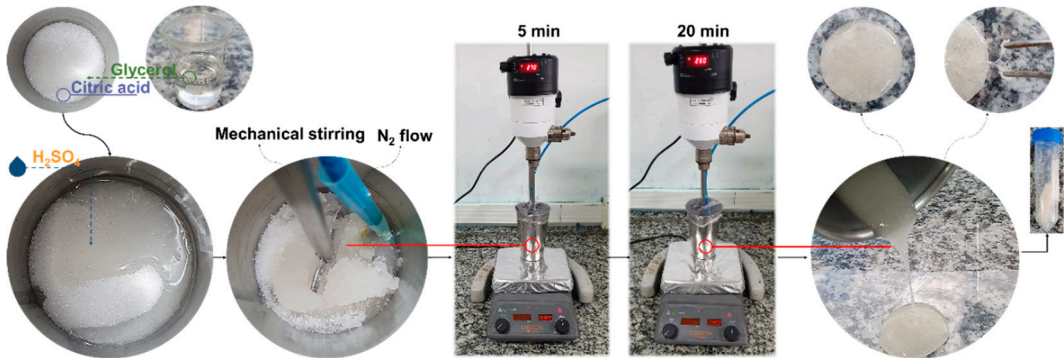
Glycerol (Gly) and citric acid (Cit) were monomers in nonsolvent polycondensation reactions. The molar ratio of the reactants used were 2:1, 1:1, and 1:2 to test whether the excess of Gly or Cit would affect the reactions. Table 1 summarizes the synthesis proportions, which were conducted either with or without catalyst addition.



**Table 1.** Nomenclature, molar, and mass amounts of glycerol (Gly), citric acid (Cit), and catalyst used for the syntheses of polyglycerol-citrate (PGCit).

Nomenclature	Molar ratio Gly:Cit	Glycerol		Citric acid		Catalyst (H <sub>2</sub> SO <sub>4</sub> )
		(g)	(mol)	(g)	(mol)	
PGCit 1:2	1:2	9.59	0.104	40	0.208	
PGCit 1:1	1:1	9.59	0.104	20	0.104	-
PGCit 2:1	2:1	19.18	0.208	20	0.104	
PGCit 1:2	1:2	9.59	0.104	40	0.208	0.24
PGCit 1:1	1:1	9.59	0.104	20	0.104	0.12
PGCit 2:1	2:1	19.18	0.208	20	0.104	0.12

Sulfuric acid was used as a Brønsted catalyst for the esterification reaction, with the proportion calculated based on the Cit amount in the mixture (0.33 % m/m of the amount of Cit). The polyglycerol citrate (PGCit) polymers were synthesized via polycondensation reaction in an aluminum beaker as a reaction system (250 ml), sealed with parafilm under constant mechanical stirring (Fisatom 713DS, Brazil) on a heating plate (SP Labor, Brazil), and under a nitrogen atmosphere as shown in Figure 10. All the syntheses followed the same conditions: addition of the Cit, Gly, and catalyst or not into the reaction system, followed by heating the reaction mixture to 130 °C for 5 minutes and then to 140 °C for 20 minutes. After completion of the synthesis, the products were cooled to room temperature and stored in plastic bags for further analysis. The name, reagent mix ratio and the method of each synthesis can be seen in Table 1.



**Figure 10.** Synthesis stage scheme of PGCit samples.

3.3. Polymer Characterization

Fourier transform infrared spectroscopy (FTIR) analysis was performed to confirm the polyester structure of PGCit. All FTIR measurements were performed on a Vertex 70 (Bruker, Germany) spectrometer using the attenuated total reflectance (ATR) technique, where 32 scans in the 400 to 4000 cm<sup>-1</sup> range were performed and averaged. Thermal analysis of polymers provides information about their properties and thermal transitions to determine materials' suitability for intended usage. Thermogravimetric analyses were performed on a QG50 TG analyzer (TA Instruments, EUA), using 10 mg samples in a platinum crucible under a nitrogen atmosphere (60 mL/min) and a temperature range of 25–550 °C at a heating rate of 10 °C/min.

Nuclear magnetic resonance (NMR) spectroscopy was used to confirm ester structure and to designate the conversion of carboxylic acid groups of Cit and hydroxyl groups from Gly. The solid-state <sup>13</sup>C NMR spectra were acquired in a Bruker Avance III 400 MHz spectrometer (Bruker Corporation, Billerica, USA) using a Cross polarization pulse sequence (CP) followed by 20μs echo time and by a 180° pulse to refocus and echo signal (CP-SE). After the probe dead time, the CP – SE



pulse sequence is used to acquire undistorted  $^{13}\text{C}$  NMR signals. This procedure is necessary due to the short transverse relaxation time caused by  $^{13}\text{C}$  chemical shift anisotropy (CSA) [27]. The experiments were performed without magic angle sample spinning. The samples were packed in a 4 mm probe. The spectra were acquired at 297 K,  $^{13}\text{C}$  NMR frequency of 100.57 MHz, acquisition time of 0.04 s, contact time of 1 ms, recycle delay of 5 s,  $^1\text{H}$ , and  $^{13}\text{C}$  pulse length of 2.5 and 3.8  $\mu\text{s}$ , respectively, and 1000 scans.

For the NMR spectra in solution, approximately 15 mg of each PGCit was dissolved in deuterated DMSO (1 mL), stirred in a 2 mL Eppendorf tube for 24 hours, and subsequently centrifuged. Then, the supernatant solution was transferred to a 5 mm NMR tube. Standard pulse sequences  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectra were obtained using a Bruker Avance III<sup>TM</sup> HD 600 MHz spectrometer (Bruker, Germany).

The polymers' size was determined using dynamic light scattering (DLS) (Zetasizer ZS, Malvern Instruments, Westborough, USA).

### 3.4. Preparation of PGCit-Coated Seeds

After the polymers were characterized, a preliminary test was conducted to see whether the polymer might adhere to the surface and affect seed germination, using soybean as a model. 75 g of PGCit 2:1 without catalyst (source soluble in water) was first diluted in 25 ml of water to process the coating. The coating process was prepared from the dispersion of 2 ml over a total of 500g of soybean seeds, using a metal turntable coater rotating at 30 rpm, with 25 cm side shields and air flow heated at 30 to 40 °C (Figure 11). The seeds were kept rotating for 15 min until all seeds were dried. Drying powder was not necessary to dry the PGCit polymer-coated soybeans. The seeds' surfaces were characterized using a JEOL (JSM 6510) microscope with a secondary electron detector where the samples were coated with gold in an ionization chamber (BALTEC Med.020), where they were secured to the surface of stubs with the use of carbon tape.



**Figure 11.** Soybean coating procedure with PGCit polymers.

### 3.5. Germination Tests of Coated versus Non-Coated Soybean Seeds

The germination test aims to determine the maximum germination potential of a seed lot, which can be used to compare the quality of different lots and also estimate the value for sowing in the field. Germination test: conducted with eight subsamples of 100 seeds, having as substrate three sheets of germiest trademark Germilab paper, moistened with distilled water in an amount equivalent to 2,5 times the mass of the dry paper. Seeds were kept in a germination chamber with a temperature of 25 °C. The seedlings considered normal were evaluated in a period of eight days after sowing, according to the recommendations of the Rules for Seed Analysis (RAS) (BRASIL, 2013). The number of normal seedlings counted at the end of eight days and expressed as seed germination in percentage (%) (Meneguzzo et al., 2021)[40].

## 5. Conclusions

In summary, environmentally friendly polyesters with complete or partial solubility in water were successfully obtained via bulk polycondensation reactions between Gly and Cit, with and without acid catalysis ( $\text{H}_2\text{SO}_4$ ). The chemical structures of the materials prepared with different molar ratios of the reactants were thoroughly elucidated, revealing a superior branching formation when an excess of Cit is used but an increased conversion of the monomers when Gly is present in excess. All materials displayed a higher conversion rate of ester degree than reported in the literature for polyesters. This work elucidated that Cit acted as a building block when Gly was in excess, while Gly functioned as the building block when Cit was in excess. The reaction with the catalyst allowed the formation of more branches but also presented more unreacted monomers or with a high number of terminal  $\text{COOH}$ -groups, as in the case of PGCit 1:2, which brought these materials the highest water-solubility compared to the other products. Polymers prepared without catalysts achieved similar characteristics and ester degrees as those with catalysts, and the reaction time was not significantly higher, proving the non-catalyzed reaction can be a viable and greener method to obtain the polyesters depending on the desired polymer structure. Using the obtained PGCit polymer as a coating material for soybeans showed good adhesion characteristics and no adverse effects on germination and juvenile plant growth, demonstrating its suitability for this purpose.

**Supplementary Materials:** The following supporting information can be downloaded at the website of this paper posted on Preprints.org. Figure S1: Thermogravimetric analyses of pristine Cit and Gly; Figure S2:  $^{13}\text{C}$  NMR of pristine citric acid (a) and glycerol (b); Figure S3:  $^1\text{H}$  NMR of pristine citric acid (a) and glycerol (b); Figure S4: Hydrodynamic size distribution of PGCit in pH 7.

**Author Contributions:** Amanda S. Giroto, Caue Ribeiro, and Luiz H. C. Mattoso designed the research; Amanda S. Giroto, Roger Borges, Tatiana S. Ribeiro, and Stella F. do Valle conducted the experiments; Amanda S. Giroto., Stella F. do Valle, Roger Borges, Nicolai D. Jablonowski, Caue Ribeiro, and Luiz H. C. Mattoso wrote the paper. All authors reviewed the manuscript and approved the final version.

**Funding:** This work was supported by FAPESP (São Paulo State Research Foundation, grants #2016/10636-8, #2018/10104-1, and #2021/13645-6), CNPq (Brazilian National Council for Scientific and Technological Development, grant #2014/142348-7), and CAPES (Coordination for the Improvement of Higher Education Personnel, Finance Code 001). The authors thank the Agronano Network (Embrapa Research Network), SISNANO/MCTI, and the National Nanotechnology Laboratory for Agribusiness (LNNA) for providing institutional support and facilities.

**Institutional Review Board Statement:** Not applicable.

**Informed Consent Statement:** Not applicable.

**Data Availability Statement:** The data presented in this study are available in the paper.

**Acknowledgments:** In this section, you can acknowledge any support given which is not covered by the author contribution or funding sections. This may include administrative and technical support, or donations in kind (e.g., materials used for experiments).

**Conflicts of Interest:** The authors declare no conflict of interest.

**Sample Availability:** Samples of the compounds PGCit are available from the authors.

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