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Posted Date: 18 April 2024

doi: 10.20944/preprints202404.1248.v1

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Article

Antifungal Effects of the Fermented Sophora flavescens and Eleutherococcus sessiliflorus Extract

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Abstract: In this study, the microbial strain was isolated from the humus soil to ferment *Sophora flavescens* and *Eleutherococcus sessiliflorus* extracts. The isolated microbial was identified as Bacillus genus by 16S rRNA sequence analysis. The fermented plant extracts exhibited the antifungal effects against four types of the plant pathogens such as *P. carotorum*, *B. cinerea*, *C. fructicola* Sau-3, and *C. gloeosporioides* according to incubation time. Especially, the fermented plant extracts showed the most active activities for *Colletotrichum* genus to inhibit mycelium growth. Metabolite changes in fermented *S. flavescens* and *E. sessiliflorus* extracts were confirmed through LC-Q-TOF/MS. Flavonoid and peptide derivatives were improved in fermented *S. flavescens* and *E. sessiliflorus* extracts compared to their unfermented one, respectively. This study suggested that the isolated *Bacillus* microbial fermentation could be a valuable tool in improving the bioactivity of *S. flavescens* and *E. sessiliflorus* extracts to have potential with more environmentally-friendly antifungal agents.

Keywords: Antifungal effects; *Sophora flavescens*; *Eleutherococcus sessiliflorus*; Fermented plant extracts; LC-Q-TOF/MS analysis

1. Introduction

Sophora flavescens exhibits a variety of pharmacological properties, including anti-inflammatory, antioxidant, and anticancer activities [1,2]. The root contains a range of secondary metabolites, including isoprenoid flavonoids such as kushenol, kurarinone, and maackiain, as well as alkaloids and other flavonoids [3]. Some of these compounds have been shown to have specific pharmacological effects, such as anti-inflammatory and PPAR transactivational properties, estrogenic and anticarcinogenic properties, and antimalarial properties [4,5]. In addition, *S. flavescens* has been traditionally used in Chinese medicine for the treatment of multiple conditions, including diarrhea, inflammation, and cancer [6,7]. *S. flavescens* root metabolites have been extensively studied, and ongoing research is exploring their potential therapeutic applications.

Eleutherococcus sessiliflorus, also known as Acanthopanax sessiliflorus, is a shrub or small tree native to Eastern Asia [8]. It has been used in traditional medicine for its various properties [9]. Some of the properties associated with *E. sessiliflorus* include immunostimulating, anti-inflammatory, and anticancer activities [10]. It has been found to inhibit receptor activator of nuclear factor kappa-B ligand (RANKL)-induced osteoclast differentiation and has been evaluated for its potential to prevent

osteoporosis [11,12]. The chemical constituents of *E. sessiliflorus* include triterpenoids, phenolic compounds, alkaloids, flavonoids, and other bioactive compounds. These constituents have been found in various parts of the plant, including the leaves, roots, and fruits [13]. Some specific compounds that have been identified include chiisanoside, chiisanogenin, eleutherosides, 3,4-secolupanetype triterpenoids, elesesterpenes AK, and lignans [14].

Bacillus fermentation is a process where bacteria from the Bacillus genus are used to produce a variety of products through the breakdown of organic materials. Bacillus bacteria are aerobic, grampositive soil bacteria that have been used for decades in the production of foods such as fermented soybean products, cheese, and yogurt [15]. During fermentation, the bacteria utilize the nutrients in the organic material to produce various substances, including enzymes, organic acids, and other metabolites. These substances can have beneficial effects on health and are used in various applications, such as food, agriculture, and industry [16]. Examples of substances produced through Bacillus fermentation include nattokinase, phytase, amylase, protease, and biosurfactants [17,18]. The process is generally carried out in liquid or solid-state fermentation systems under controlled conditions to maximize product yield and quality.

This study aimed to verify the antioxidant and antifungal activities of *S. flavescens* and *E. sessiliflorus* through fermentation by *Bacillus* species. A single microbial strain was isolated from the humus soil to ferment *S. flavescens* and *E. sessiliflorus*. The fermented extract confirmed the efficacy compared with unfermented plant extracts by TPC, TFC, and DPPH radical scavenging effects. Furthermore, it was measured antifungal activities against *Phytophthora cactorum*, *Botrytis cinerea*, *Colletotrichum gloeosporioides*, and *Colletotrichum fructicola* Sau-3. The plant extracts and their fermented extracts were analyzed using LC-Q-TOF/MS to identify the metabolite changes.

2. Materials and Methods

2.1. Isolation of Microbial Strains

The fermentation strain used in this study was isolated from the humus at filed soil in Gyeongsang National University (35°10′50.3″N 128°05′38.1″E, Jinju-si, Gyeongsang-nam-do, Republic of Korea). The sterilized water (9 mL) was added to the collected humus soil (1 g) using a sonicator. Then, 1 mL of the solution was diluted with 9 ml of sterilized water. The diluted humus solution (200 μ L) was spread on starch plate medium, which was incubated for 18 hr at 37°C. The colonies observed on the plate were segregated based on their type and then cultured. After the culturing, the amylase, CMCase, and protease activities were measured based on the size of the clear zone of the separated colonies, which were appeared to spray 0.05% KI-I solution. The single strains with high enzyme effects were isolated to use for fermentation of the plant sample.

2.2.16. S rRNA Sequence Analysis

Genomic DNA of the isolated microorganisms was extracted using the genomic DNA extraction kit (Bioneer, Daejeon, South Korea). The reaction mixture was consisted of genomic DNA (1 μ L), universal primers (27F/1492R, 5 μ M), DNA polymerase, dNTPs, PreMix (20 μ L, AccuPower PCR PreMix, Bioneer) was performed PCR. The PCR condition was as follows; initial denaturation (95°C, 2 min), denaturation (95°C, 30 min), annealing (55°C, 30 sec), and extension (72°C, 30 sec) for a total of 30 cycle. After the cycles, the extension was carried out at 72°C for 5 min. Accuprep TM PCR purification Kit (Bioneer) was used to remove and purified the remaining primers, nucleotides, polymerase, and salts. Then, 30 μ L of the elution buffer (10 mM Tris-HCl, pH 8.5) was added. The base sequences of purified PCR products were analyzed by Macrogen Co., Ltd. (Seoul, South Korea).

2.3. Fermentation and Cultivation of Plant Extracts

The dried roots of *Sophora flavescens* and *Eleutherococcus sessiliflorus* were purchased from a local market in Haman-gun, Gyeongsangnam-do, Republic of Korea. Each 200 g of the dried roots of *S. flavescens* and *E. sessiliflorus* were extracted with 1 L of distilled water using a sonicator for 7 h at 80°C to make *S. flavescens* and *E. sessiliflorus* extract samples. The LB broth (0.5 g) was added to 20 mL of

2

the plant extracts (*S. flavescens* and *E. sessiliflorus*). The pre-cultured strain was inoculated with 1 mL based on the optical density (O.D.) at 0.7. The mixture was incubated for 48 hr at 37°C. Then, it was obtained filtration through filter paper (No. 2, Whatman, UK).

2.4. Antifungal Activity

The plant extract, fermented plant extract, and pre-cultured strain broth were measured for antifungal activity against *Phytophthora cactorum*, *Botrytis cinerea*, *Colletotrichum gloeosporioides*, and *Colletotrichum fructicola* Sau-3 by monitoring the inhibition zone. *Phytophthora cactorum* (Lebert & Cohn) Schroeter (KACC 40166), *Botrytis cinerea* Pers. (KACC 40573), and *Colletotrichum gloeosporioides* (Penzig) Penzig & Saccardo (KACC 40003) were distributed from Korean Agricultural Culture Collection (KACC, Wanju-gun, Jeollabuk-do, Republic of Korea). *Colletotrichum fructicola* Sau-3 was provided by Gyeongsangnam-do Agricultural Research & Extension Services at Jinju-si, Gyeongsangnam-do, Republic of Korea. Cork borer (5 mm) holes were drilled in the center and edges of the high-pressure sterilized PDA (potato dextrose agar) plate. 180 ul of fungal strain was dispensed into the center hole. The plant extracts, fermented plant extracts, and pre-cultured strain medium were centrifuged at 12,000 rpm for 3 min to obtain the supernatant, which was filtered through a 0.45 um membrane filter. Then, the filtered samples (160 μL) were loaded into three edge holes on the fungal inoculated plate. The plate was incubated for 48 h at 25°C to observe the inhibition zone as antifungal effects of the samples.

2.5. LC-Q-TOF/MS Analysis

Identification of the metabolites from the plant extract was performed by LC-Q-TOF/MS equipped with high performance liquid chromatography (HPLC, Shimadzu, Kyoto, Japan) and quadrupole-time of flight mass spectrometry (Q-TOF/MS, X500R, AB Sciex, Framingham, MA, USA). The mobile phase A and B were water containing 0.1% acetic acid and acetonitrile containing 0.1% acetic acid, respectively. Infinity Lab Poroshell 120 C18 column (2.1 × 100 mm, 2.7 um, Agilent Technology, Santa Clara, CA, USA) was used as the analytical column. The mass ionization was electrospray ionization (ESI) in a positive mode with source parameters as follows: ionspray voltage, 5500 V; ion source temperature, 450° C; curtain gas pressure, 30 psi; nebulizer gas pressure, 50 psi; heating gas pressure, 50 psi; declustering potential, 50 V. The mass detection range was configured from m/z 50 to 1000. The resulting MS data were acquired utilizing SCIEX OS software.

3. Results and Discussion

3.1. Identification of the Isolated Microbial Strains

The amplified products by 16S ribosomal RNA PCR were determined by DNA sequencing data identification of GenBank database (http://www.ncbi.nlm.nih.gov). As shown in Figure 1, a phylogenetic tree was observed with Bacillus, Lactobacillus, and Streptomyces genus. The dominance of Bacillus, Lactobacillus, and Streptomyces was 93%, 98%, and 89%, respectively. Furthermore, the isolated bacteria (SM38b) was expressed to be a similar strain to Bacillus amyloliquefaciens and Bacillus velezensis. Bacillus genus is a diverse group of bacteria that play important roles in various environments. Bacillus subtilis and Bacillus cereus have been reported that found in grass, soil, gastrointestinal tracts of ruminants, humans, and sponges, respectively. In this study, Bacillus amyloliquefaciens and Bacillus velezensis were isolated from humus, a nutrient-rich layer of soil. These bacteria are frequently found in soil environments and have been found to have beneficial effects on plant growth. Bacillus amyloliquefaciens as a Gram-positive bacterium is known as a biocontrol abilities. It has been shown to effectively combat plant root pathogens in various agricultural settings. Similarly, Bacillus velezensis has the ability to inhibit the growth of plant pathogens by competing with other bacteria. These bacteria can also produce bacterial metabolic active substances that help strengthen the plant's immune system. Thus, Bacillus velezensis can contribute to promoting plant growth, suppressing pathogens, and maintaining the balance of the soil ecosystem.

3

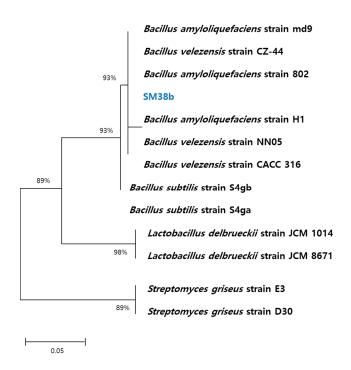


Figure 1. Classification and 16S rRNA sequences of bacteria isolated from the humus soil.

3.2. Antifungal Effects of the Fermented and Unfermented Plant Extracts

S. flavescens and *E. sessiliflorus* extracts were inoculated with the isolated microbial strain to prepare the fermented plant extracts. The fermented and unfermented *S. flavescens* and *E. sessiliflorus* extracts were tested to antifungal effects against four plant pathogens including *Phytophthora cactorum*, *Botrytis cinerea*, *Colletotrichum fructicola* Sau-3, and *Colletotrichum gloeosporioides* according to incubation times of 24, 48, 72, 96, and 120 hr, respectively.

P. cactorum is a soilborne pathogen that can infect many plant species. It causes various types of damage, including leaf spots, shoot blight, root rots, and crown rots [19,20]. *B. cinerea* is a destructive fungal pathogen that affects numerous plant hosts. It causes fuzzy gray-brown mold and soft decay of plant tissues [21,22]. *C. fructicola* is known to cause anthracnose, bitter rot, and leaf spotting diseases on over 90 cultivated and non-cultivated woody or herbaceous plant species. The pathogen has been reported from all five continents and affects a wide range of crops, including apple, pear, strawberry, mango, avocado, and coffee [23,24]. *C. gloeosporioides* is a fungal pathogen that causes anthracnose and fruit rotting diseases in a wide range of host plants [25].

As shown in Figure 2 and Table 1, the fermented *S. flavescens* and *E. sessiliflorus* extracts showed more active antifungal activities against four fungi than the unfermented extracts. The growth inhibitory potential of *P. cactorum* was slightly exhibited by the effects of fermented *S. flavescens* extract (FSE) and fermented *E. sessiliflorus* extract (FEE). FSE and FEE exhibited the same inhibition patterns against *P. cactorum*; no inhibition at 24 hr, mycelial growth inhibition with less than 2 mm at 48 hr, and more than 2 mm from 96 hr. Similarly, *B. cinerea* growth was inhibited by less than 2 mm up to 72 hr and more than 2 mm thereafter by FSE and FEE treatments. The most inhibition of FSE and FEE was observed against *Colletotrichum* genus (*C. fructicola* Sau-3 and *C. gloeosporioides*). For the inhibition of *C. fructicola* Sau-3, the results were observed that mycelial growth was hindered at a level of more than 2 mm by 96 hr, moreover it was inhibited by more than 3 mm when cultured for up to 120 hr. The growth of *C. gloeosporioides* was inhibited by approximately 2 mm for up to 72 hr, but FSE inhibited its growth by over 3 mm. The effects of FEE confirmed that *C. gloeosporioides* was suppressed at the 2 mm level throughout the entire culture period. These findings suggested that the fermented extracts of *S. flavescens* and *E. sessiliflorus* have potential as antifungal agents against these plant pathogenic fungi.

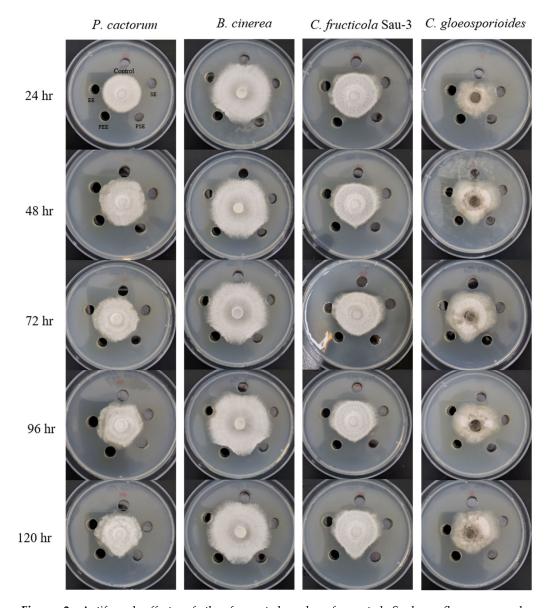


Figure 2. Antifungal effects of the fermented and unfermented Sophora flavescens and Eleutherococcus sessiliflorus extract against (A) Phytophthora cactorum, (B) Botrytis cinerea, (C) Colletotrichum fructicolo Sau-3, and (D) Colletotrichum gloeosporioides.

Table 1. Inhibitory effects of targeted fungi by the fermented and unfermented *Sophora flavescens* and *Eleutherococcus sessiliflorus* extract.

Tanat (Incubation	Antifungal effects			
Target fungi	time (hr)	SEª	FSE ^b	EEc	FEEd
P. cactorum		_e	-	-	-
B. cinerea	24	-	+	-	+
C. fructicola Sau-3	24	-	++	-	++
C. gloeoporioides		-	++	-	++
P. cactorum		+	+	-	+
B. cinerea	40	-	+	-	+
C. fructicola Sau-3	48	-	++	-	++
C. gloeoporioides		-	++	-	++
P. cactorum	72	+	+	-	+

B. cinerea	+	++	-	++	
C. fructicola Sau-3		-	++	-	++
C. gloeoporioides		-	++	-	++
P. cactorum		+	++	-	++
B. cinerea	96	+	++	-	++
C. fructicola Sau-3	96	-	++	-	++
C. gloeoporioides		-	+++	-	++
P. cactorum		+	++	-	++
B. cinerea	120	+	++	-	++
C. fructicola Sau-3	120	-	+++	-	+++
C. gloeoporioides		-	+++	-	++

*SE means Sophora flavescens extract, bFSE means fermented Sophora flavescens extract, bFSE means Eleutherococcus sessiliflorus extract, bFEE means fermented Eleutherococcus sessiliflorus extract, bFEE means fermented Eleutherococcus sessiliflorus extract, bFEE means fermented Eleutherococcus sessiliflorus extract, bFEE means Eleutherococcus se

3.3. LC-Q-TOF/MS Analysis

Figure 3 showed that the peaks from the BPI gram were well separated in the unfermented and fermented *S. flavescens* and *E. sessiliflorus* extracts by a positive mode of LC-Q-TOF/MS. The different patterns of the peaks represented the metabolite changes of the fermented extracts compared to the control.

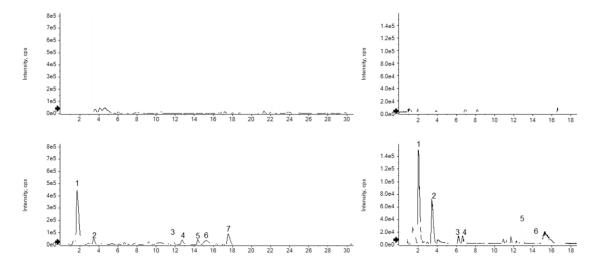


Figure 3. LC-Q-TOF/MS analysis of the fermented and unfermented *Sophora flavescens* and *Eleutherococcus sessiliflorus* extract. Base peak intensity (BPI) of (a) unfermented *Sophora flavescens* extract, (b) fermented *Sophora flavescent* extracts, (c) unfermented *Eleutherococcus sessiliflorus* extract, and (d) fermented *Eleutherococcus sessiliflorus* extract.

The BPI gram of FSE displayed (Figure 3c) predominant seven peaks (peaks 1-7), but only five peaks (peaks 1, 2, 5-7) were identified by comparing observed and theoretical mass in the individual mass gram (Table 2 and Figure 4a). Peak 1 of FSE (t_R = 1.79 min) had an observed ion mass at m/z 249.1944 compared with the theoretical mass (m/z 249.1967) to annotate matrine. Peak 2 of FSE (t_R = 3.46 min) possessed a reasonable error value of -4.87 ppm to be presented as tryptophan. Peaks 5-7 of FSE were confirmed as flavonoids named as kushenol F, G, and L, respectively. Peak 5 (t_R = 14.39 min) had an error value of -4.7 ppm between the observed m/z 425.1944 and the theoretical mass at m/z 425.1964, indicated as kushenol F. Kushenol G with the chemical formula C₂₅H₂₈O₈ conformed the molecular ion peaks at m/z 457.1891 [M+H]⁺ in the mass gram of peak 6 (t_R = 15.21 min). Peak 7 (t_R

7

= 17.58 min) showed the observed mass at m/z 441.1921 with +1.81 ppm of error value compared with the theoretical mass to annotate as kushenol L. Based on the identification of five peaks, FSE was found to contain one alkaloid, one amino acid, and three flavonoids.

FEE had six peaks (peaks 1-6) detected in the BPI gram within 30 min (Figure 3d). Among the peaks, five peaks were annotated by molecular ion peaks with individual mass grams (Table 2 and Figure 4b). Peak 1 (t_R = 2.06 min) and peak 2 (t_R = 3.48 min) were determined as phenylalanine and tryptophan, respectively, to confirm the theoretical mass by examining the molecular ion peaks in mass gram. Based on the compatibility between their observed and theoretical masses, peaks 3, 4, and 6 were expected as peptide derivatives. Peak 3 (t_R = 6.24 min) displayed molecular ion peaks at m/z 262.1066 [M+H]+, indicating the presence of Asn-Glu with a theoretical mass of m/z 262.1039. Peak 4 (t_R = 6.87 min) was expected as cyclo(-Pro-Val) by having an error value of -7.10 ppm, which was determined by comparing the theoretical mass from the chemical formula ($C_{10}H_{16}N_2O_2$) with the observed one. Similarly, cyclo(-Phe-Pro) was also detected molecular ion peak at m/z 245.1270 [M+H]+, which was consistent with its theoretical mass of m/z 245.1290. Thus, it was confirmed that peptide derivatives were enhanced in FEE than unfermented one.

Table 2. Identification of metabolites from the fermented plant extracts using LC-TOF/MS.

No.	<i>t</i> _R (min)	Observed ion (m/z)	Theoretical ion (<i>m</i> / <i>z</i>)	Error (ppm)	Adducts	Formula	Identification	
Fermented Sophora flavescens extract								
1	1.79	249.1944	249.1967	-9.23	M+H	$C_{15}H_{24}N_2O$	Matrine	
2	3.46	205.0967	205.0977	-4.87	M+H	$C_{11}H_{12}N_2O_2$	Tryptophan	
3	11.72	553.3334	-	-	-	-	Unknown	
4	12.72	560.2692	-	-	-	-	Unknown	
5	14.39	425.1944	425.1964	-4.70	M+H	$C_{25}H_{28}O_6$	Kushenol F	
6	15.21	457.1891	457.1862	+6.34	M+H	$C_{25}H_{28}O_{8}$	Kushenol G	
7	17.58	441.1921	441.1913	+1.81	M+H	C25H28O7	Kushenol L	
Fermented Eleutherococcus sessiliflorus extract								
1	2.06	166.0851	166.0868	-10.24	M+H	$C_9H_{11}NO_2$	Phenylalanine	
2	3.48	205.0965	205.0977	-5.85	M+H	$C_{11}H_{12}N_2O_2$	Tryptophan	
3	6.24	262.1066	262.1039	+10.30	M+H	$C_9H_{15}N_3O_6$	Asn-Glu	
4	6.87	197.1276	197.1290	-7.10	M+H	$C_{10}H_{16}N_2O_2$	Cyclo(-Pro-Val)	
5	12.87	561.2748	-	-	-	-	Unknown	
6	14.31	245.1270	245.1290	-8.16	M+H	$C_{14}H_{16}N_2O_2$	Cyclo(-Phe-Pro)	

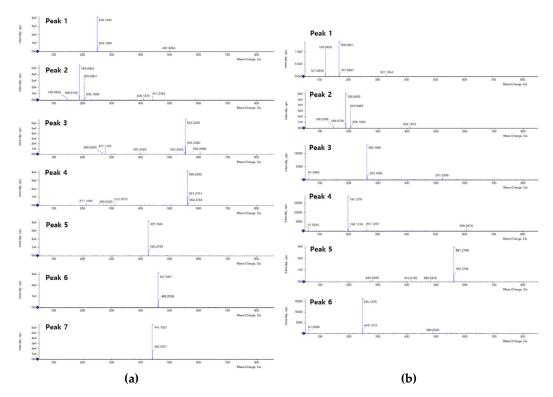


Figure 4. Mass gram of individual peaks from (a) fermented *S. flavescens* extract (FSE) and (b) fermented *E. sessiliflorus* extract (FEE) from BPI chromatogram.

4. Conclusions

The isolated microorganism from humus soil was identified by resulting to belong to the *Bacillus* genus such as *Bacillus amyloliquefaciens* and *Bacillus velezensis* with a high dominance based on phylogenetic tree through 16S rRNA sequence analysis. The isolated Bacillus was inoculated to *S. flavescens* and *E. sessiliflorus* extracts for fermentation. The fermented plant extracts showed the antifungal effects against *P. carotorum*, *B. cinerea*, *C. fructicola* Sau-3, and *C. gloeosporioides* as plant pathogens depending on the incubation time (24, 48, 72, 96, and 120 hr). The fermented plant extracts showed more active anti-fungal effects than unfermented ones, which was especially effective on *Colletotrichum* genus growth inhibition. Furthermore, the metabolites in the fermented plant extracts were identified by LC-Q-TOF/MS to determine the causality associated with improved biological effects. It was confirmed that the fermented *S. flavescens* and *E. sessiliflorus* extracts improved the flavonoids and peptide derivatives, respectively, based on BPI and individual mass gram. Overall, this study suggested that the potential of fermentation enhanced anti-fungal effects of *S. flavescens* and *E. sessiliflorus* extracts by resulting in the production of specific metabolites.

Author Contributions: Ju Yeon Kim and Min Joo Chae: conceptualization, formal analysis, investigation; Yun Gon Son, Su Min Jo, Na Rae Kang, Seong Doo Kang, and Yun Gon Son: formal analysis, validation; Kwang Dong Kim: writing-Review & Editing, Funding acquisition; Sang Won Lee: resources, Writing - Original Draft; Jeong Yoon Kim: Writing - Original Draft, Supervision.

Funding: This work was supported by the National Research Foundation of Korea (NRF) grants funded by the Korean government (MSIT) 2021R1A5A8029490 and 2022R1F1A1063786.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Not applicable.

Conflicts of Interest: The authors declare no conflict of interest.

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