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Article

Efficacy of a Virtual Reality Rehabilitation Protocol based on Art Therapy in Subacute Phase of Stroke

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Abstract: Art therapy has a long history of applications in cognitive and motor rehabilitation. More recently, a growing body of scientific literature has highlighted the potential of virtual reality in neurorehabilitation, though it has focused more on the technology itself than on the principles adopted in digital scenarios. This study is a randomized controlled trial conducted on 40 patients with stroke, comparing a protocol of art therapy administered in virtual reality, which exploits the so-called Michelangelo effect, to conventional therapy. After 12 sessions, patients in the virtual art therapy group showed a significantly greater improvement in independence in activities of daily living, as assessed by the Barthel Index (interaction of time and group: $p < 0.01$). Significant differences were also found in terms of upper limb muscle strength (Manual Muscle Test, $p < 0.01$) and reduction in spasticity (Ashworth scale, $p < 0.01$) in favor of the experimental group. In the virtual art therapy group, the effectiveness of the intervention was significantly correlated with patient participation (Pittsburgh Rehabilitation Participation Scale: $R = 0.45$), patient satisfaction ($R = 0.50$), and the perceived utility of the intervention by the therapist ($R = 0.47$). These findings support the efficacy of virtual art therapy leveraging the Michelangelo effect. Further studies should also focus on cognitive domains that could benefit from this type of approach.

Keywords: neurorehabilitation; art therapy; neuroaesthetics; cerebrovascular accident; recovery

1. Introduction

Virtual reality (VR) is one of the most promising technologies for neurorehabilitation. The main advantages of this technology are the easiness of use, its safety, the low costs with respect to other technologies, its portability, the embedded sensors that can provide informative data [1]. However, in many reviews the efficacy of virtual reality has been evaluated including non-immersive interactive video gaming systems into the meta-analysis [2,3], despite VR has a specific definition. In fact, VR has been defined as a high-end user-computer interface involving real-time stimulation and interactions of subject embedded into a digital world through multiple sensorial channels in which the subject feels his presence, the ownership of the body of his/her avatar and the possibility to interact with that world [4,5].

A critical limitation of the existing literature is that the meta-analysis aggregated studies based solely on VR hardware, disregarding software-specific content and rehabilitative principles. This approach conflates diverse VR applications, including gaming, physical and cognitive exercises, and real-life simulations.

In 2021, we tested the usability of VR for administering a protocol of art-therapy in which patients with stroke could paint a virtual canvas [6]. A novel aspect of this approach was the potential of VR to induce the illusion in patients that they were perfectly replicating iconic artworks, such as the Creation of Adam of Michelangelo or the Birth of Venus of Botticelli. It combined the advantages of visual art fruition, mainly related to the wide arousal of brain networks activated by the vision of artistic masterpieces [7], and the active involvement typical of artistic creation [8].

This approach allowed to discover the “Michelangelo effect”, i.e. healthy subjects and patients perceived less fatigue and were more accurate in the upper limb movements when they had the illusion of painting an art masterpieces with respect to when they were simply asked to colour the digital canvas, highlighting the importance of the content within the required task [6].

In a previous pilot study, involving 20 patients with stroke in subacute phase (10 treated with this virtual art-therapy protocol and 10 with conventional rehabilitation), we found a statistically significant higher improvement in patients treated with VR in terms of independency in activities of daily living ($p=0.021$, assessed by Barthel Index). The improvements in upper limb force (Manual Muscle Test) and in reduction of spasticity (Ashworth Scale) only approached the statistically significant threshold ($p=0.063$ and $p=0.055$, respectively), suggesting the need to enroll more patients to obtain more consistent results [9].

In the present study, we expanded the data collection by using the previous protocol [6], doubling the number of patients with stroke in subacute phase. The aim of this study was to test the efficacy of the proposed virtual reality art-therapy protocol with respect to conventional therapy for patients with stroke. The secondary aim is to test whether the efficacy of VR is correlated with the active participation of patients or to a reduction of fatigue perception in the VR protocol.

2. Materials and Methods

2.1. Participants

The study was conducted in accordance with the ethical standards in the 2013 Declaration of Helsinki, and approved by the Local Ethical Committee. All patients signed written informed consent. Inclusion criteria were: patients admitted to our hospitals for rehabilitation, clinical diagnosis of ischemic or hemorrhagic stroke confirmed by computerized tomography or magnetic resonance, age between 40 and 90. Exclusion criteria were: inability to understand and follow the instructions given by therapists (Mini Mental State Evaluation <24) [20]; presence of risk of visual epilepsy, unilateral spatial neglect, severe comorbidities.

2.2. Study Protocol

This study consists in a randomized controlled trial (RCT) that completed the enrollment of patients of our previous pilot study [6]. Patients were randomly assigned to the experimental therapy group (EG) or conventional therapy group (CG) after their enrollment.

Conventional therapy consisted of 3 rehabilitative sessions per day, each one of 1 hour, 6 days per week, for one month (after which our protocol ended, but patients could continue their therapies). During these 3 daily hours, rehabilitation could include not only physical therapy for upper and lower limbs, posture and balance, but also cognitive therapy, occupational therapy, speech therapy, specific therapy for swallowing, bowel, and bladder dysfunctions according to the clinical needs of the patient. The only difference for patients enrolled in experimental therapy group was that 3 weekly sessions of conventional upper limb physical therapy aiming at arm functional recovery were substituted by art therapy administered by virtual reality for a period of 4 weeks. The amount of time spent in rehabilitation and the typologies of other daily therapies remained analogous between the two groups.

During virtual art-therapy sessions, the patients comfortably sat on a chair when wearing an Head Mounted Display (Oculus Quest 2, Meta) and took into his/her paretic hand the controller which allowed to interact with the virtual stimuli. The virtual environment, designed by using 3ds MAX 2018 and implemented in Unity 2018 game engine software with customized C# scripts,

consisted of a room having, in the middle, a white canvas on an easel. The dimensions of the virtual area of the canvas were 40cmx60cm. The subject saw in the virtual environment a spherical brush at the same place of their real hand. Patients were previously informed that the brush can color the canvas when put in contact with it, forming a painting. The illusion to paint an artwork was given thanks to a series of white thin virtual panels (pixels) placed in front of the canvas which occluded the visibility of an underlying paintings. The target pixels were deleted when the subject moved the virtual brush keeping it in touch with the canvas, allowing to see a part of the underlying painting (a masterpiece of history of the art)(see Figure 1 for an example). Patients performed different trials during the time dedicated to the rehabilitative sessions, unveiling in each trial a different famous painting associated to concept of beauty in previous studies (masterpieces of Michelangelo, Leonardo, Renoir, van Gogh, Caravaggio, etc. were used, abstract art was not included) [6,10]. Each trial was performed under the supervision of a trained physiotherapist who monitored that the patient executes the correct movements and maintained the correct posture according to his/her rehabilitation needs. As for conventional therapy, the assistance of the therapist was allowed when needed and the patient could have a rest if needed.

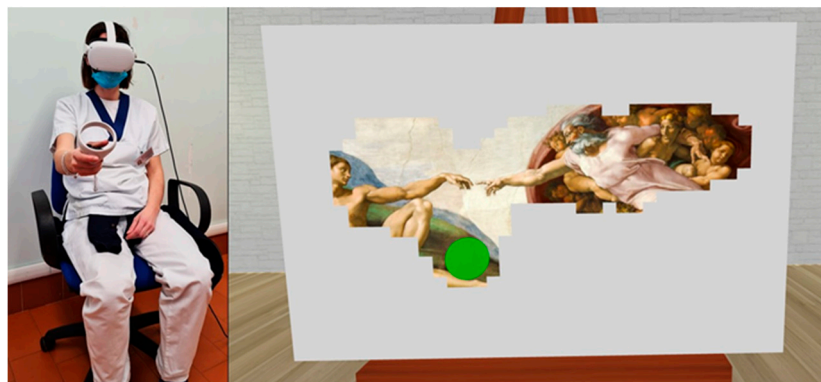


Figure 1. The figure illustrates a virtual art therapy example. The left panel depicts a person wearing a VR headset and holding the Oculus controller in her right hand. The right panel presents the first-person perspective within the virtual environment, where the virtual canvas is occluded by white pixels. The green spherical brush(placed in the same position of participant's real hand) is used to remove the white pixels, revealing the underlying image.

2.3 Assessment

All patients were assessed at baseline (after enrollment) and 1 month later (at the end of this period of therapy) by a clinical assessor blind to the allocation group of the patient. The assessments were performed measuring the independence of the patient into the activities of daily living using the modified version of the Barthel Index (BI) [11], the upper limb strength using the Manual Muscle Test (MMT) [12] and the spasticity of the upper limb using the Ashworth scale (AS) [13]. For the patients of ETG, the participation to each session of therapy was assessed using Pittsburgh Rehabilitation Participation Scale [14,15]. After each trial the perceived fatigue was assessed asking to the patient "how much tiring was this trial on a numeric rating scale ranging from 0 (no fatigue), to 10 (maximum possible fatigue)" [6].

2.4. Statistical Analysis

Data are reported in terms of mean and standard deviation for continuous measures, or percentage frequencies for nominal variables. The normality of data was tested using Kolmogorov-Smirnov analysis. For normally distributed variables Mixed Analysis of Variance was used to assess the effect of time (Pre vs. Post, within subject parameter), group (EG vs. CG) and their interaction. Effect size (ES) was computed using the partial eta-squared. For not-normally distributed variables non parametric Wilcoxon test for paired comparisons (pre vs. post) and Mann-Whitney u-test for

unpaired comparisons between groups (EG vs. CG) were used. The efficacy of the interventions was computed in terms of effectiveness [16,17] computed as follows:

$$Effectiveness = \frac{Score\ Post - Score\ Pre}{Maximum\ of\ Scale - Score\ Pre} \times 100$$

(1)

The PRPS- and fatigue-scores were averaged (firstly among trials for fatigue) among sessions for each patient and their correlations with other clinical variables were computed using the Spearman coefficient (R). For all the analysis, the level of statistical significance for rejecting the null hypothesis was set at 5%.

3. Results

3.1. Comparison of the Experimental and Control groups

Table 1 shows the demographical and clinical characteristics of the two groups at baseline. No significant differences were noted between groups, but for spasticity that was 0.9 points lower in EG than in CG.

Figure 2 shows the primary outcome (Barthel Index) at baseline and after treatment. Mixed Analysis of Variance highlighted a significant effect of Time (pre vs. post: F(1,38)=129, p<0.001, ES=0.8), a significant interaction Time*Group (F(1,38)=9, p<0.01, ES=0.2), and a not significant effect of group (EG vs. CG: F(1,38)=4, p=0.07, ES=0.1). Non parametric test confirmed the significant differences of BI-score post treatment (p=0.001).

The Effectiveness in terms of BI-score was 75±21% in EG and 39±29% in CG (p<0.001).

After treatment, also the scores of MMT (Figure 3) were significant higher (u-test) in EG than in CG for shoulder abduction (p<0.01), elbow flexion (p<0.01) and pinch (p<0.001). The Ashworth scale showed a lower spasticity in EG than in CG (p<0.01).

Table 1. Mean ± standard deviation or percentage frequency of demographical and clinical variables (H: hemorrhagic stroke; L: left body side; MMT: Manual Muscle Test) assessed at baseline for the two groups and the p-value of their comparisons (t-test for age, u-test for clinical scales, chi-squared test for percentages)

Variable	Experimental Group	Control Group	p-value
Age (years)	67±10	69±15	0.754
Gender (% females)	35%	45%	0.729
Type of stroke (%H)	20%	25%	0.841
Affected body side (%L)	40%	45%	0.404
Barthel Index	33±10	37±21	0.704
Ashworth scale	0.6±0.7	1.5±1.1	0.007
MMT shoulder	3.5±1.0	2.9±1.3	0.119
MMT elbow	3.6±1.2	2.8±1.5	0.100
MMT pinch	3.3±1.6	2.4±1.7	0.064

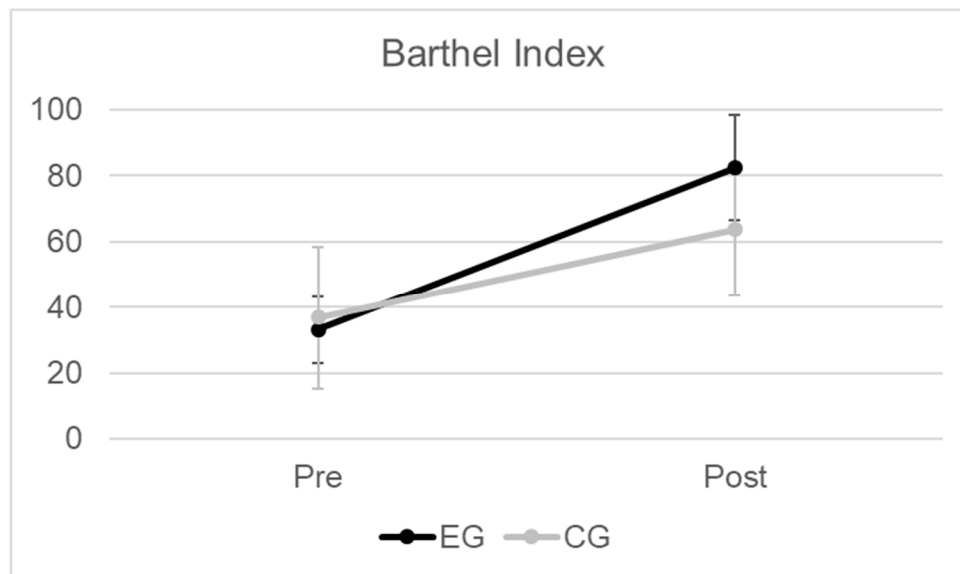


Figure 2. Mean \pm standard deviation of Barthel Index Pre- and Post-treatment for Experimental Group (EG, in black) and Control Group (CG, in grey).

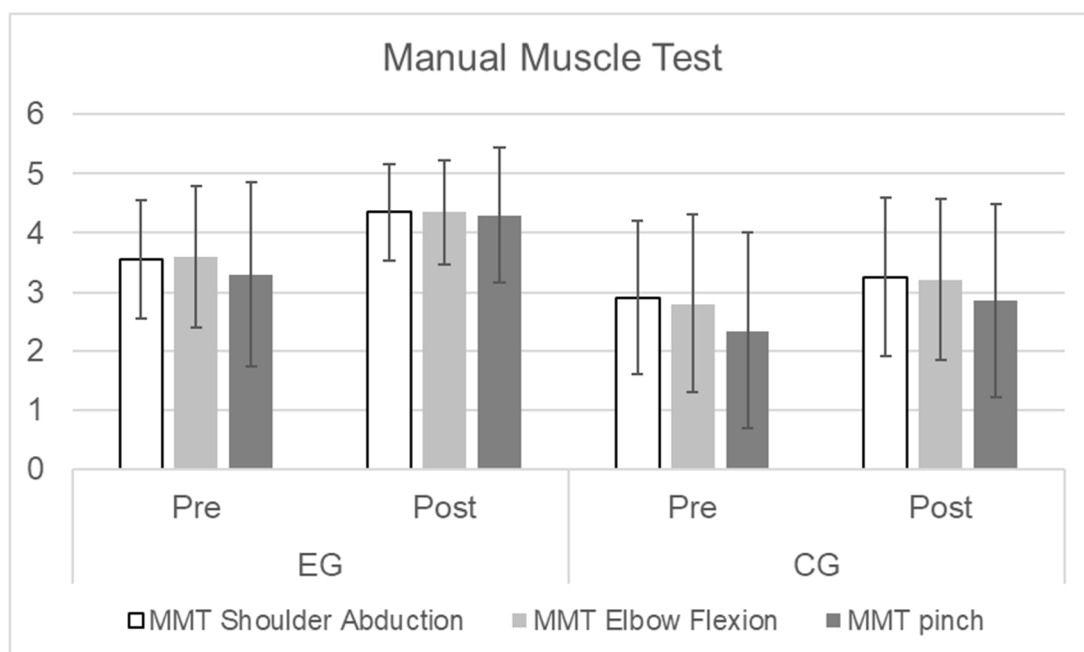


Figure 3. Mean \pm standard deviation of Manual Muscle Score for shoulder abduction (white bars), elbow flexion (light grey bars) and pinch (dark grey bars) Pre- and Post-treatment for Experimental Group (EG, on the left) and Control Group (CG, on the right).

3.2. Effects of Participation, Motivation and Fatigue on the Experimental Group

The mean values of participation was $PRPS=5.5\pm0.5$, of fatigue was 2.2 ± 2.0 , of patient's satisfaction 9.2 ± 0.9 , or the therapist's perceived utility 9.7 ± 0.4 .

Significant correlation was found between Effectiveness in terms of BI and participation measured with PRPS averaged among sessions ($R=0.45$, $p\leq0.05$). Participation was also found significantly correlated with the utility of VR therapy perceived by the therapist ($R=0.47$) and with the level of satisfaction reported by each patient ($R=0.51$). Finally, utility perceived by therapist and satisfaction reported by patient were each other significantly correlated ($R=0.50$). Fatigue negatively but not significantly correlated with Effectiveness, participation, patient's satisfaction and therapist's utility perception.

4. Discussion

In recent years, there is a growing body of literature about the advancements of virtual reality and its use in different fields from entertainment to scientific research, from education to rehabilitation, including both physiotherapy and psychotherapy [18]. However little attention has been given to the potential of virtual reality for art therapy.

Our previous pilot study [9] was conducted on 20 patients, ten per group, showing a significantly higher improvement in terms of Barthel Index score and pinch strength in patients treated with the adjunction of virtual art therapy with respect to those who received additional conventional therapy. Neither the changes in MMT total score nor in the Asworth spasticity score achieved the significant threshold. According to the sample size calculation already reported in that paper, we increased our samples by including 20 patients per group. As hypothesized, the improvements in subscores of MMT and Ashworth scores resulted statistically significant. It should be noted a slight but significant difference in terms of spasticity in favor of EG at baseline (0.9 point less on average) with respect to CG. In general, EG showed a slight higher upper limb functioning than CG, but also a slight lower independency in the activities of daily living (4 points less on BI-score).

The art-therapy administered by virtual reality resulted more effective than conventional treatment, confirming the first hypothesis of this study.

The analysis conducted within the EG showed a significant correlation between the effectiveness of treatment with the participation of the patient assessed by the therapist using the PRPS. In addition, the utility perceived by the therapist and the level of satisfaction perceived by the patient were significantly correlated with the assessed effectiveness on BI-score.

It is known that fatigue and exertion can be reduced during physiotherapy by listening music [19], that could also improve muscle activity and motor movements [20]: our previous studies on Michelangelo effect extend to paintings the arts that could have these benefits on rehabilitation. In the present study, fatigue did not correlate with the efficacy of the intervention, but it should be noted that the therapists, during virtual art therapy (as well as during conventional therapy), adapted the level of difficulty of the exercises to the abilities of the patient. A limit of our study was that patients' fatigue, participation and satisfaction were not assessed in the CG, but only in EG, not allowing comparisons between groups. Another limit is to have not assessed some other important psychological aspects that may affect rehabilitation. For example, it has been reported that participation in arts activities can reduce depression and improve quality of life, self-efficacy and compliance to treatment in patients with stroke [21,22]. Virtual Reality can be combined with art-therapy reducing anxiety and social difficulties especially in adolescents, because they are "digital natives" [23], but the growing diffusion of digital technologies is increasing the compliance to these approaches also in elderly patients [24].

According to the recent dual-task approach of rehabilitation, that combines motor and cognitive therapies, it could be important to analyze the impact of art-therapy also on cognitive domains. There are promising results about the exploitation of Michelangelo effect on memory rehabilitation [25] and more in general on the use of digital technologies, such as virtual reality, and complementary medicine, such as visual art therapy [25], for the recovery of cognitive functions [26]. This approach could also be combined with telerehabilitation [27] for a continuous care of patients with stroke also during chronic phase.

Finally, the fast diffusion of artificial intelligence (AI) may boost the use of digital technologies combined with art-therapies thanks to the easy-to-use generative tools of AI. It has been reported that AI chatbot interactions and AI-generated artworks may facilitate discussions about emotions, encourage self-expression through art creation, and provide cognitive-behavioral therapeutic advice in both verbal and visual ways [28]. Moreover, AI can be further implemented in our virtual scenario for adapting the level of difficulty for each patients and analyze kinematics data in real-time.

The present study demonstrated that art-therapy combined with virtual reality, capitalizing on the Michelangelo effect in neurorehabilitation, may be an effective approach to enhancing independence in activities of daily living and upper limb muscle strength among stroke patients. The

more is the participation of patient to the therapy, the more is the obtained benefit. Both patient and therapist resulted satisfied by this type of intervention. Further studies should include the assessment and treatment of cognitive domains, for which art-therapy showed to be effective [29,30], and it could be empowered by new technologies such as virtual reality and artificial intelligence.

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Informed Consent Statement: Informed consent was obtained from all subjects involved in the study.

Data Availability Statement: Data are available on request to the corresponding author.

Conflicts of Interest: The authors declare no conflicts of interest.

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