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## Article

# Comparative Study on the Interest of NURBS Representation Versus Polynomial Surface Description in Freeform TMA

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**Abstract:** Novel freeform optical design methods can be classified in two categories, relevant to the generation of a starting point or to the development of new optimization tools. In this paper, we design a freeform TMA optimized with different surface representations using either a differential ray-tracer as a new optimization tool, or commercial ray tracer (ANSYS-ZEMAX OpticStudio) for comparison. For differential ray tracing, we use FORMIDABLE (Freeform Optics Raytracer with Manufacturable Imaging Design cApaBiLitiEs): an optical design library with differential ray tracing and NURBS optimization capabilities under European Software Community License (ESCL). NURBS allow to represent a freeform surface without needing any prior knowledge on the surface, such as the polynomial degree in polynomial descriptions. However, commercial optical design software are designed to optimize polynomial surfaces, but are not well-suited to optimize NURBS surfaces. This requires to use a custom optical design library. To demonstrate the interest of using NURBS representation, freeform telescopes are independently optimized with NURBS using FORMIDABLE, or XY polynomials with OpticStudio over different iteration cycles. We then compare the resulting systems using their RMS Field Maps to assess the optimization quality of each surface representation. We also provide a full-system comparison, including mirror freeform departures. This study shows that NURBS can be a relevant alternative to XY polynomials for freeform optimization of reflective 3-mirror telescopes.

**Keywords:** optimization; freeform; NURBS; differential ray tracer; XY polynomials; TMA

## 1. Introduction

Optical design consists in finding the right sets of parameters to allow an instrument to perform a specific function. These parameters include finding relevant combinations of optical surfaces, reflective or refractive, and fine-tuning the shape, position and orientation of these surfaces using non-linear numerical optimization algorithms. The optimization of a combination of optical surfaces is usually performed using dedicated optical design software, such as CodeV or ANSYS-ZEMAX OpticStudio (OpticStudio). An appropriate solution is generally a compromise between performance metrics such as Modulation Transfer Function or geometric spot diameter and practical constraints, like the volume, cost and weight for example.

It is becoming increasingly common to use freeform surfaces in optical design [1]. A freeform optical surface is typically defined as a non-rotationally symmetric surface which cannot be described as an off-axis section of a conicoid. These surfaces enable to outperform previous off-axis solutions on many of the afore-mentioned metrics thanks to an increased number of degrees of freedom (DOFs)

[2]. However, these added DOFs can be a real impediment for the optical designer to find an optimal system, as they can induce saddle points in the merit function topology. A saddle point is characterized by having a gradient equal to zero in all directions, but isn't a local extremum of a function. This especially impacts local optimization algorithms, which rely on gradient descent to find the local minimum of a merit function. New design methods are being developed to deal with these added challenges, and can be sorted into starting point generation methods, the development of optimizers and the choice of a surface representation. This article aims at providing some insights on the latter.

Starting point generation methods generally leverage solving partial differential equations typically based on the Fermat path principle [3]. These equations can be solved close to the on-axis chief ray (sometimes called paraxial ray) such as in the First-Time-Right (FTR) method [4] or extended to the construction of 3D surfaces by numerically integrating higher order derivatives of these equations [5]. Other methods rely on the direct construction of the surfaces, such as the SMS method [6], which generalizes the Cartesian oval principle, allowing to design monochromatic systems with a number of rigorously stigmatic field points equal to the number of surfaces. A design method based on this approach was developed by Mayeur et al. in the context of dioptric systems in the infrared [7]. Additionally, other construction methods were developed such as iterative construction using the Point-by-Point method [8–10] or methods leveraging Artificial Intelligence [11].

The methods mentioned above allow to design a freeform system directly, however they are generally well corrected over one or several discrete field points. As such, they also require to be optimized. The most common method relies on understanding and mathematically describing the behavior of the geometrical aberrations. This was the objective of the Nodal Aberration Theory (NAT), extended to freeform systems [12]. However, optimization algorithms still need to be used [13,14]. The development of evolutionary algorithms, that allow to bypass the problems caused by the presence of local minima and saddle points in the merit function topology, is another area of interest [15,16]. An optical design tool using the Covariance Matrix Adaptation – Evolution Strategy (CMA-ES) algorithm was developed and allows to explore an entire landscape of solution and converge quickly in one optimization step [17,18].

Finally, a major aspect of freeform optical design is choosing a surface representation, and is something that has been subject to debate [19–21]. The most common way to represent a freeform surface relies on describing the sag of a surface with an analytical formula using 2D-polynomials. Several bases are typically used, and an extensive summary can be found in [22]. On the other hand, Chrisp [23] pioneered the use of Non-Uniform Rational Basis-Splines (NURBS) in optical design of imaging systems. NURBS are well-suited for representing freeform surfaces compared to polynomials because they exhibit interesting mathematical properties, such as local control of the surface shape. They also allow to remove any prior knowledge of the surface, and are already commonly used in Computer Assisted Design (CAD) software, which is interesting from an opto-mechanical standpoint. NURBS use a grid of weighted control points to influence the surface over a limited area. This contrasts with polynomials surfaces, where every polynomial term affects the entire surface. The requirements to optimize a NURBS system are different from those used in commercial optical design software, mainly due to the high number of degrees of freedom involved. For that reason, commercial design software empirically show poor performances in optimizing NURBS, and require to use custom-made software. Fast accurate NURBS optimization (FANO) [24] is an example of such software. FANO utilizes parallelized ray tracing to trace a large number of rays (over 50 000). Moreover, FANO uses about 4000 grid points to sample the surfaces. Given the large number of rays being traced, FANO was designed to perform fast ray trace and to communicate with other programs, such as CodeV, FRED and DIFFSYS. In this article, we use the Freeform Optics Raytracer with Manufacturable Imaging Design cApaBiLitiEs (FORMIDABLE) software [25], under ESCL license [26] and available at [27] to optimize NURBS surfaces. This software uses differential ray tracing (described in the following section), which allows to optimize complex surfaces such as

NURBS with a number of rays similar to those used in commercial optical design software. This makes FORMIDABLE a much less resource-heavy software than FANO.

2. Materials and Methods

In this paper, we compare how the optimization of systems using NURBS surfaces performs against polynomial descriptions. NURBS surfaces are optimized with FORMIDABLE, while polynomial surfaces are optimized with OpticStudio, a commercial optical design software. The FORMIDABLE software capabilities are detailed in [25]. We will simply remind some of the most interesting features of the software:

- Differential Ray Tracing consisting in simultaneously computing the rays and their derivatives when doing a ray trace. A ray is defined as a line that is perpendicular to a wavefront and can be represented by a point and a normalized direction vector. Rays being reflected and refracted by the optical surfaces form the ray path, which does not necessarily have an explicit analytical expression. In FORMIDABLE, differential ray tracing is implemented in two ways: implicit differentiation applied to the calculation of the intersection between a ray and a surface and differentiating the offense to the Fermat path principle [25].
- Merit function differentiation using Automatic Differentiation (AD) [28].
- Projected apertures, allowing to define an aperture on NURBS surfaces, whereas optical design software usually only consider surfaces that are defined with a sag. The projected aperture is defined on a plane in front of the NURBS that is projected on it.
- Ray aiming allowing to place the stop at any desired location. This is implemented using black-box algorithms in commercial optical design software, and might not be considered in FANO. FORMIDABLE performs ray aiming using a three-step algorithm. The algorithm finds the on-axis chief ray (OAR), computes the physical pupil of the system which then allows to compute a raymap through backward propagation. These points intersect the pupil at the correct position, but do not originate from the correct points in the FOV. Ray tracing from the correct field points is done through direct propagation, and uses the raymap as guesses to find the ray that intersect the pupil at the correct location. Then, non-physical rays are eliminated.
- Use of external optimizers. Tests have shown that the Levenberg-Marquart algorithm performed well on optical systems. We used the open source implementation in SciPy library [29].

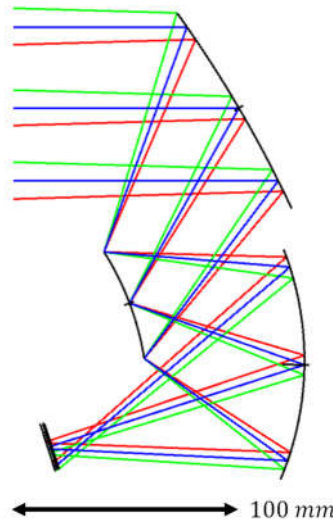
For reference, OpticStudio documentation can be found in the OpticStudio User Manual.

The starting point for the off-axis Three Mirror Anastigmat (TMA) presented here was first designed under OpticStudio with spherical surfaces. It is illustrated in Figure 1 and its characteristics are presented in Table 1. As can be expected from using spherical mirrors in fast, wide field of view (FOV) off-axis telescopes, the aberrations are not well corrected.

Table 1. Paraxial parameters of the studied system.

| Parameter                   | TMA       |
|-----------------------------|-----------|
| Focal length [mm]           | 100       |
| Field of view [° × °]       | 4.4 × 3.3 |
| Stop location               | $M_2$     |
| Aperture semi-diameter [mm] | 22.235    |
| $F$                         | 1.5       |





**Figure 1.** Optical layouts of the starting point. Three FOVs are represented:  $+Y_{max}$  (red),  $0^\circ$  (blue) and  $-Y_{max}$  (green).

We use this starting point in both FORMIDABLE and OpticStudio. To ensure a fair comparison between both software, the Merit Functions (MFs) were defined on the same parameters, and arranged to be as similar as possible. It was not possible to define strictly the same Merit Functions however. The Merit Function is defined using five main parameters, that are explained below:

- Pupil sampling corresponds to the number and repartition of rays used to sample the pupil of the system. We used a rectangular array sampling with  $21 \times 21$  points for FORMIDABLE, which needs to use odd value to sample the center of the pupil as well. The closest we could get with OpticStudio was either  $20 \times 20$  or  $22 \times 22$ . Both were tested and the one yielding the best optimization results was kept ( $20 \times 20$ ). The difference between both these settings was marginal.
- Field sampling corresponds to the number and repartition of point sources in the FOV. We used a rectangular array sampling with  $5 \times 5$  points.
- The optimization criterion corresponds to the OpticStudio Default Merit Function Start (DMFS) operand, which can be set in the Optimization Wizard. Common criteria include spot radius or wavefront error. We chose to optimize over RMS spot radius. In FORMIDABLE, the equivalent setting is called "TransverseAberration".

We also chose to use two types of constraints operands.

- The first one allows to maintain the focal length of the system. In OpticStudio, for centered optical systems, the EFFL operand can be used. However, it cannot be used for off-axis systems, and such an operand was not implemented in FORMIDABLE. We thus minimize the distance between the real centroids position and those given by the following equation, for 8 points at the edges of the FOV and the central field:

$$y' = f' \tan(\theta). \quad (1)$$

In this equation  $y'$  is the centroid position on the image plane of a system with a focal length  $f'$  of a point source at an angle  $\theta$ .

In OpticStudio, this can be done using the CENX and CENY operands and simple mathematical operations. In FORMIDABLE, we use the "centroid\_goals" parameter within the "TransverseAberration" operand.

- The second operand allows to define the ray clearance of the system and avoid vignetting. The implementation of the RayClearance operand in FORMIDABLE is based on the JMRCC operand in CodeV [30]. The geometry of the NURBS system is frozen by ensuring that the ray clearances keep their respective value at the beginning of the optimization. A similar implementation was done in OpticStudio using the RAGY, RAGX, RAGB and RAGC operands as well as simple

mathematical operations. In the systems optimized with OpticStudio, the surfaces’ position and orientation were not used as variables.

The interested reader may refer to FORMIDABLE’s SpaceCodev repository for more details on the implementation of the TMA and the merit function in FORMIDABLE [27]. The parameters used are summarized in Table 2, and the corresponding operands and settings for both FORMIDABLE and OpticStudio are provided as well.

**Table 2.** Merit Function parameters and their respective implementations in FORMIDABLE and OpticStudio.

| MF parameter           | FORMIDABLE           | OpticStudio         |
|------------------------|----------------------|---------------------|
| Pupil Sampling type    | Rectangular Array    | Rectangular Array   |
| Pupil Sampling value   | 21 × 21              | 20 × 20             |
| Field Sampling type    | Rectangular Array    | Rectangular Array   |
| Field Sampling value   | 5 × 5                | 5 × 5               |
| Optimization Criterion | TransverseAberration | Spot (TRCX/TRCY)    |
| Focal Length Operands  | centroid_goals       | CENX/CENY           |
| Ray Clearance Operands | RayClearance         | RAGY/RAGX/RAGB/RAGC |

The optimization was made using either OpticStudio’s local optimization tool or SciPy’s implementation of Levenberg-Marquardt algorithm for optimizations in FORMIDABLE. The optimization was performed over the surface shape, while maintaining surface position and orientation fixed with respect to the starting point. When using OpticStudio, we chose to work with XY polynomials (also called ‘Extended Polynomials’ in OpticStudio). We made this choice arbitrarily on the basis that the choice of a polynomial surface description was not of major importance compared to imaging quality criteria and parameters, as was suggested by several studies [20,31,32]. The surface sag can thus be described by:

$$z(x,y) = \frac{(x^2+y^2)c}{1+\sqrt{1-c^2(x^2+y^2)}} + \sum_{i=0}^N \sum_{j=0}^{N-i} a_{ij}x^i y^j.$$

(2)

In this equation,  $x,y$  represent the local pupil coordinate in a cartesian coordinate system,  $c$  is the surface curvature and  $\{a_{ij}\}_{i,j \in [1,N]^2}$  are weight coefficients affected to the XY polynomial indexed by  $i,j$ .

Polynomial surfaces were initially described up to order 5 ( $N = 5$ ) and increased to order 7 ( $N = 7$ ) to compare lower and higher order surfaces with NURBS. As the systems share a planar-symmetry along the YZ plane, only the radius of curvature and polynomial coefficients with even powers of X were optimized. In addition, the term  $X^2 + Y^2$  needs to be kept to zero, as it is redundant with the radius of curvature. The term  $X^2 - Y^2$  is not redundant and must be kept. Thus, we set the  $X^2$  coefficient to zero as well.

In FORMIDABLE, NURBS surfaces are described using order 3 splines with a grid of  $6 \times 6$  control points. For a fixed number of control points, using splines of higher order reduces the locality of the NURBS and generate more extreme local curvatures. Therefore, using 3rd order splines is a usual default setting when representing freeform surfaces with NURBS as higher order might generate more extreme local curvatures [33]. This allows to have high locality without drastically increasing the number of control points. The choice of the number of control points was chosen as a compromise between number of degrees of freedom and computational speed. The number of degrees of freedom used in each optimization case is given in Table 3. It is clearly apparent that NURBS require a lot more degrees of freedom than polynomial descriptions (8 to 15 times more in this case), which justifies needing to use differential ray tracing. This allows to directly compute the Jacobian matrix of the optimization problem and feed it directly to the optimizer instead of numerically computing it, which saves time and computational resources.

**Table 3.** Number of degrees of freedom used in each optimization case.

| Optimization case            | NURBS | XY5 | XY7 |
|------------------------------|-------|-----|-----|
| Number of Degrees of Freedom | 435   | 30  | 54  |

We ran several optimizations iterating over various cycle numbers, ranging from 5 to 200. To sample the 5-20 cycles range with steps of 5 cycles to generate more data points in the earlier stages of optimization We chose to optimize between 20 and 200 cycles with a step of 10 iterations. We added an optimization with “Automatic” stop condition for XY5 and XY7 systems. The duration of each optimization cycle was also retrieved.

To compare our results, we use the RMS Field Map, which corresponds to the RMS radius of the spot-diagram as a function of FOV. We use more specifically the average value of this RMS Field Map ( $RMS >$ ) as the major figure of merit for our comparison, but we also plot the standard deviation across the FOV with respect to  $RMS >$  as well as the minimum and maximum values. The diffraction limit in the Long Wave Infrared (LWIR,  $8\mu m$ ) is given as well, along with the minimum wavelength that results in a diffraction limited system for each system. We also used the total optimization duration as a comparison criterion. Then, we compared one of the results obtained in each of the optimization case. We perform a full RMS spot diagram comparison as well as a comparison of the mirror shapes to assess differences in manufacturability. All the results are provided in the following section.

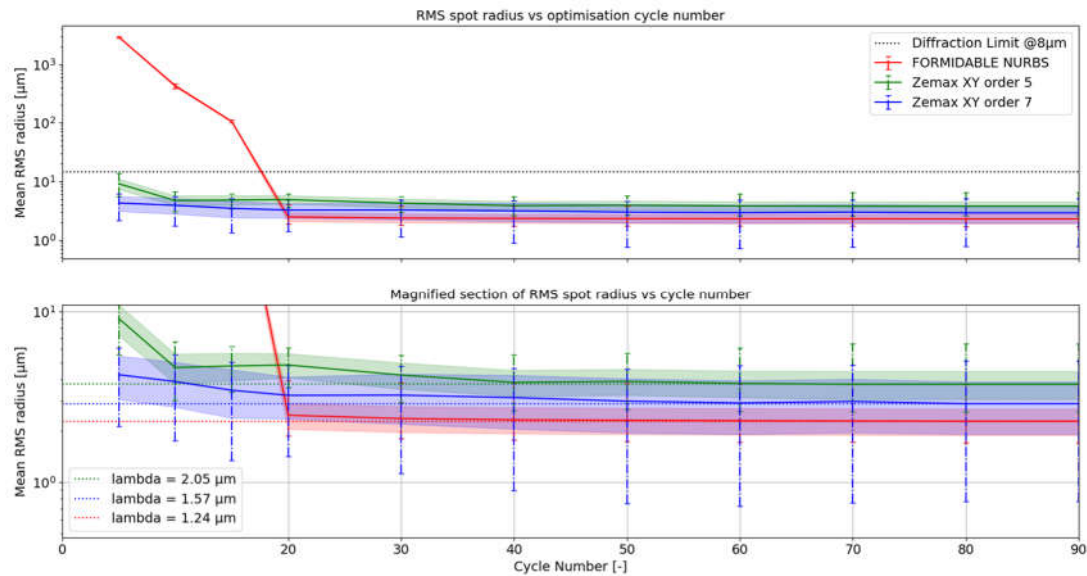
3. Results

In this section, we provide the results of the study described above. This section is divided in two main subsections. In the first section, we present the results obtained from the systematic comparative study. Then, we zoom in a single iteration of each system to make a detailed comparison.

3.1. Systematic Approach

This study aims at highlighting mainly two things. The first is the impact of surface representation on optical imaging quality post-optimization and the second is computing time.

We first compare the imaging performance of the NURBS system optimized with FORMIDABLE versus that of systems optimized in OpticStudio and described by XY polynomials. In Figure 2 the RMS spot radii are averaged over the FOV and represented in full line for each optimization case as a function of the number of iteration cycle. The standard deviation of the RMS spot radii variation across the FOV is represented by the colored ribbon and gives an estimate of the uniformity over the whole FOV. Finally, the minimum and maximum values are represented with the vertical error bar. We chose a step of 10 iteration cycles, except between 0 and 20 cycles, where a step of 5 cycles was chosen to determine how the optimization behaves before reaching a threshold.



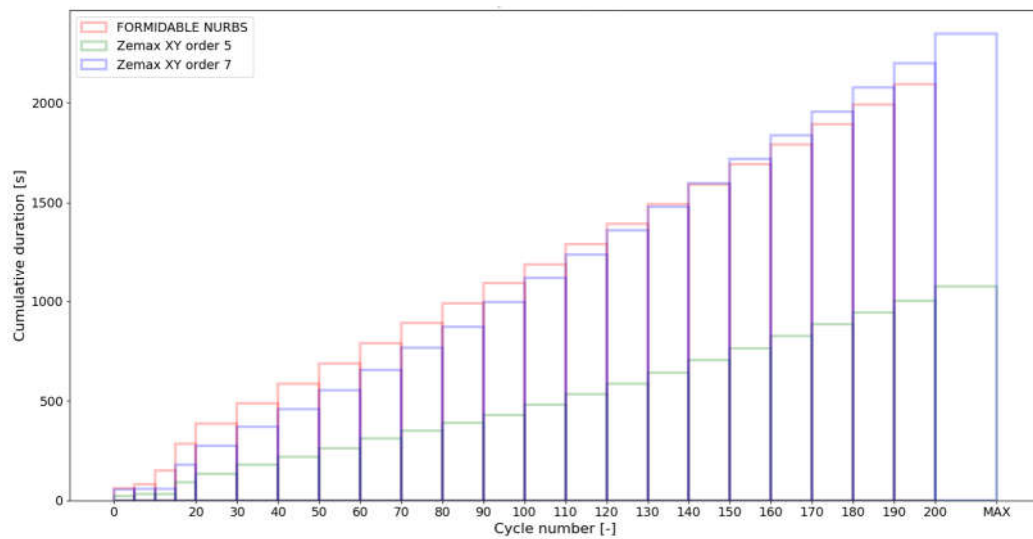
**Figure 2.** Averaged RMS spot radii across the FOV as a function of the number of optimization cycles for a TMA system. For each system, the colored ribbon represents the standard deviation of the RMS radius distribution across the FOV and the error bars represent the minimum and maximum RMS radii across the FOV. The Airy spot radius is  $14.4\mu\text{m}$  at a  $8\mu\text{m}$  wavelength and represented in black dotted line. The colored dotted lines correspond to the Airy spot radius at different wavelengths in the Short-wave infrared. They correspond to the wavelengths that correspond to a diffraction limited system using the average field spot radius.

As can be seen on the top panel, each system can be optimized well below the diffraction limit (in the LWIR, at  $8\mu\text{m}$ ) after a few optimization cycles, although it takes a little more optimization cycles with FORMIDABLE to achieve the same level of correction than with OpticStudio. After 40 cycles, a plateau is reached and subsequent improvement in optical quality are marginal. This behavior is maintained through the maximum number of cycles used (200), and with OpticStudio systems even when after pushing the local optimization in “Automatic” mode. For clarity of representation, we only plot up to 90 cycles on this graph. The bottom panel is a magnified view around the imaging performance plateau to better showcase the differences between each system. The dotted lines represent the asymptotic average RMS spot radius and can be linked to the Airy radius of a diffraction limited system at a wavelength indicated in the legend of the bottom panel. It appears that the NURBS TMA provides an image quality as good as those of the polynomials one in the LWIR, albeit with a smaller deviation in the spot radii field distribution. The image uniformity is also much better in the NURBS TMA, which suggests a better opto-mechanical tolerancing as shown in Kopon’s article [34]. More advanced studies on the tolerancing of NURBS systems in FORMIDABLE will be performed in the future, upon later releases of the feature. For shorter wavelengths however, these results suggest that NURBS allow to reach diffraction limited performance at lower wavelengths than polynomial systems. In this case, taking the average RMS spot radius as a reference, the NURBS TMA can achieve diffraction limited performance down to  $1.24\mu\text{m}$ , while the polynomial TMAs may only be diffraction limited to  $1.57\mu\text{m}$  and  $2.05\mu\text{m}$  at orders 7 and 5 respectively. As a result, and combined with the spot radii uniformity in the FOV, a NURBS TMA might be more interesting for multispectral applications. The main challenge is to have a small enough aperture ratio to be able to use an uncooled microbolometer, while maintaining an image quality sufficient to image other spectral bands.

We also compared the time required to perform each optimization, which is represented on Figure 3. Cumulative optimization time is represented as a function of the number of optimization cycles by a stepped function. We noticed that there are no significant differences between the



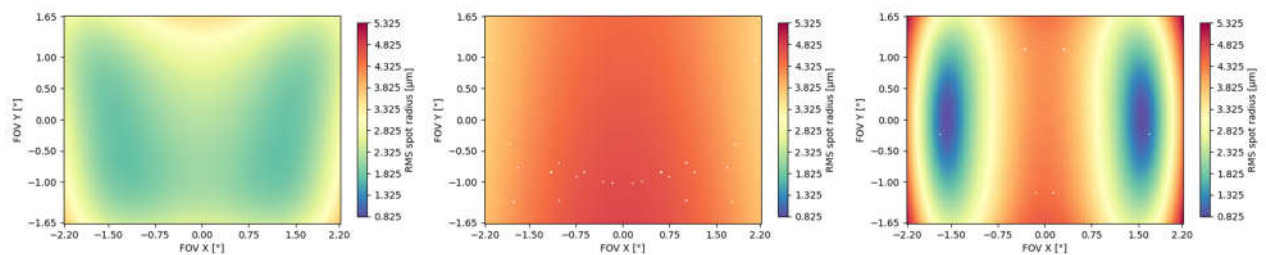
optimization of the NURBS TMA and a polynomial TMA at order 7. The polynomial TMA at order 5 was however much faster to optimize under similar machine workload.



**Figure 3.** Cumulative optimization time as a function of the number of optimization cycles.

### 3.2. Detailed Comparison between NURBS and Polynomial TMA

In addition to the previous analyses, we compare an iteration of the NURBS TMA and polynomial TMA at order 5 and 7. We chose to compare the systems optimized with 80 cycles since according to Figures 2 and 3 it is a good compromise between the optimally achieved imaging quality and optimization duration. The optical imaging quality comparison was made using the RMS Field Maps. These were plotted using FORMIDABLE's algorithm in order to be able to accurately compare each system. Figure 4 represents the RMS Field Map of each of these systems on the same scale. On the left is represented the NURBS TMA and on the right the polynomial one. As Error! Reference source not found. suggested, the NURBS TMA displays a much more uniform RMS Field Map compared to the polynomial one. This is especially true when comparing the NURBS and the XY7 systems. The XY5 exhibits a smoother RMS Field Map than the XY7 system, but with significantly higher spot radii than the NURBS TMA.



**Figure 4.** RMS Field Maps of the NURBS TMA (left), XY5 TMA (center) and the XY7 TMA (right). The scale is common for each map.

Finally, we compared the shape of the freeform mirrors. We plotted the deviation from the best fit sphere of each mirror computed on its clear aperture only. The results are displayed on Table 4, which illustrates the freeform departure maps of each mirror, and in Table 5, which indicates the radius of curvature of the best fit sphere ("BfS radius") and the RMS freeform departure of each surface from that sphere. The maximum freeform departures of  $M_1$  in the NURBS TMA are smaller than those of the XY5 and XY7 ones by about 50%. Similarly, the Peak-to-Valley variation in freeform departures for the NURBS  $M_3$  is about 25% smaller than those of its XY counterparts. The secondary mirror ( $M_2$ ) share similar freeform departures in each case. Furthermore, the average RMS freeform departure is the smaller for the NURBS TMA. This indicates that overall, the mirrors of the NURBS

TMA are slightly easier to manufacture than those of the XY5 or XY7 ones. We can also notice that the freeform shapes of the mirrors in both XY5 and XY7 cases are similar, along with the RMS freeform departures. In addition, the NURBS mirrors are less symmetrical than the polynomial ones. This indicates that NURBS allow to achieve mirror shapes that freeform polynomial surfaces would not be able to achieve unless significantly increase the polynomial order.

Table 4. Freeform departure maps.

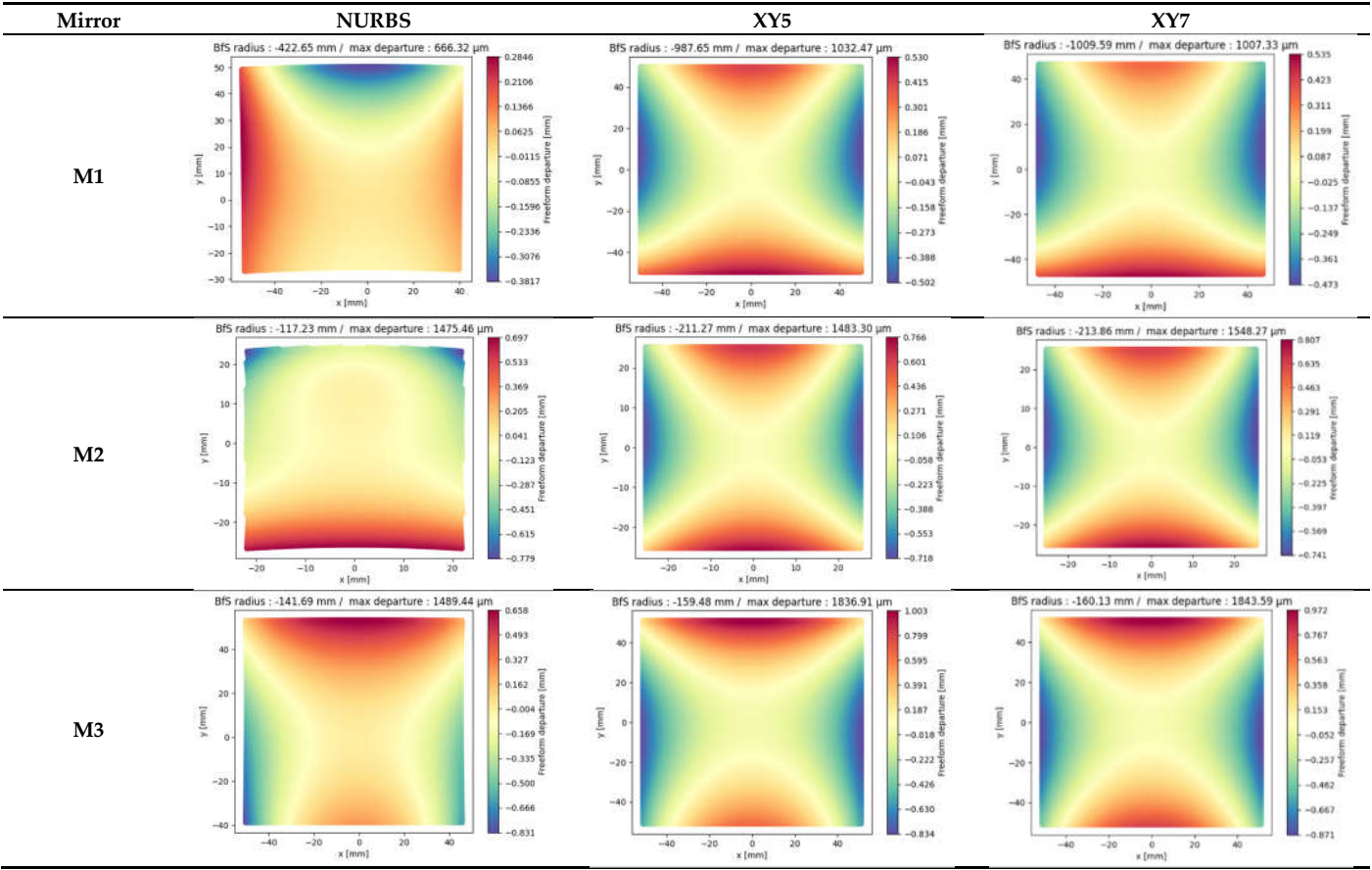


Table 5. Best fit spheres and RMS freeform departures.

| BfS radius of curvature (mm)             | NURBS   | XY5     | XY7      |
|--|---------|---------|----------|
| M1                                       | -422.65 | -987.65 | -1014.58 |
| M2                                       | -117.23 | -211.27 | -213.86  |
| M3                                       | -141.69 | -159.48 | -160.13  |
|  |         |         |          |
| RMS freeform deviation ( $\mu\text{m}$ ) | NURBS   | XY5     | XY7      |
| M1                                       | 116.5   | 217.8   | 242.2    |
| M2                                       | 237.7   | 308.0   | 320.0    |
| M3                                       | 264.9   | 367.1   | 375.6    |
| Average                                  | 206.4   | 297.6   | 312.6    |

4. Discussion

In this article, we compared the performance of a NURBS TMA optimized with FORMIDABLE versus polynomial TMAs designed with OpticStudio using XY polynomials. The design strategy and merit function were as similar as possible in each case, as well as optimization duration, to ensure that comparisons could be made. The results achieved in this study show that a NURBS TMA optimized with FORMIDABLE does not take longer to optimize than a polynomial one optimized

with OpticStudio. In addition, the NURBS TMA exhibits slightly better average RMS spot radii and improves the uniformity of the spot radii across the FOV compared to its polynomial counterparts. As a result, the NURBS TMA can be diffraction limited over a larger spectral range, and seems to be a slightly better alternative for multispectral applications using a single optical path for each spectral channel. In addition, the better spot uniformity may indicate a better behavior of the system to opto-mechanical errors. A better spot uniformity can allow to not having to compromise between imaging performance at the edge of the FOV or at the center. This may allow to increase depth of field. This result is in accordance with Kopon et al.'s study, which compared a NURBS freeform TMA to conventional aspheric and Zernike freeform telescopes with similar designs, and concluded that the NURBS TMA was as sensitive to alignment errors, and half as sensitive to thermal changes than the conventional designs [34]. The results we achieved suggest that 3rd degree NURBS can allow to performances better than or similar to higher order polynomials and with better uniformity without increasing the RMS freeform departures of the mirrors.

Additionally, we can compare our results to Chrisp's [35]. In this article, Chrisp used FANO to optimize a freeform TMA with NURBS surfaces and compared it to an aspherical and a freeform TMA using XY polynomials up to order 10. Compared to our study, Chrisp used the odd powers of  $X$  as variables as well. In addition, in FANO, the NURBS freeform design with optimized with about **4000** control points ( $37 \times 37$  for  $M_1$ ,  $29 \times 29$  for  $M_2$  and  $41 \times 41$  for  $M_3$ ). Due to the high number of control points, FANO also uses parallelized ray tracing to trace a large number of rays (over **50000**). Chrisp's results indicate a significantly better image correction with the NURBS TMA than with aspheres or XY polynomials, while the improvement we found is smaller. This could be partially due to the fact that, unlike in our study, Chrisp did not freeze the surfaces' position and orientation, and that he used significantly more control points. The spot radii uniformity also seems better with NURBS. However, the TMA optimized with FANO exhibits significantly larger freeform departures compared to the polynomial TMA (twice larger on average and 33 times larger for  $M_2$ ), while with FORMIDABLE we were able to maintain the RMS freeform departures at a similar level or better than with polynomial TMAs. Both studies seem to indicate that NURBS make interesting surface descriptors for freeform TMAs. However, some differences between FORMIDABLE and FANO are worth mentioning. Compared to FANO, that uses a large number of control points (about **4000**) and rays) and uses parallelized ray tracing to increase the optimization speed, FORMIDABLE uses differential ray tracing in addition to raytracing parallelization in the merit operands and is able to achieve satisfying results with much less variables. In our study, we used grids of  $6 \times 6$  control points for the NURBS surfaces and about **11000** rays ( $21 \times 21$  rays to sample the pupil for each of the **25** field points). This is 5 times less rays, and about 36 times less control points. In addition, although the optimization duration is not indicated in Chrisp's article, we achieved optimization times of the same order than OpticStudio for a 7-th order XY polynomial TMA.

## 5. Conclusion

In this article, we optimized freeform TMAs with NURBS surfaces using FORMIDABLE, an optical design library with differential ray tracing capabilities. We then compared the RMS spot radii obtained against freeform TMAs designed using OpticStudio with XY polynomials up to order 5 and 7 respectively. The results show that the NURBS TMA exhibits a better uniformity in the distribution of the spot radii across the FOV, along with slightly better imaging performance on average. This is especially interesting for multispectral applications. Furthermore, the RMS freeform departure of the mirrors is not larger for the NURBS TMA than for the polynomial ones, indicating that both could be manufactured with similar methods.

Finally, we discussed the results obtained with FORMIDABLE against results found with FANO, another optical design library for optimizing NURBS surfaces. We report that FORMIDABLE is able to achieve satisfying results using less computational resources, thanks to the implementation of differential ray tracing. Differential ray tracing allows to trace a number of rays similar to those used in OpticStudio, and with significantly less control points than FANO uses. The duration of the optimization with FORMIDABLE is similar to what was achieved with OpticStudio. As we have

determined that NURBS could be an interesting surface representation. The main perspectives of this work would be additional manufacturability figures of merit, such as orthogonal slopes, as well as tolerancing of NURBS systems.

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