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Review

# Relationship between Dietary Nutrient Intake and Autophagy-Related Genes in Obese Humans. A Narrative Review

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**Abstract:** Obesity is one of the world's major public health challenges. Its pathogenesis and comorbid metabolic disorders share common mechanisms, such as mitochondrial or endoplasmic reticulum dysfunction or oxidative stress, gut dysbiosis, chronic inflammation and altered autophagy. Numerous pro-autophagy dietary interventions are being investigated for their potential obesity-preventing or therapeutic effects. In this review, we summarize current data on the relationship between autophagy and obesity and discuss various non-pharmacological dietary interventions as regulators of autophagy-related genes in the prevention and ultimately treatment of obesity in humans, available in scientific databases and published through July 2024. Lifestyle modification (such as calorie restriction, intermittent fasting, physical exercise), a diet rich in flavonoids, antioxidants, specific fatty acids, specific amino acids and others have shown a beneficial role in the induction of this process. Induction of autophagy by heterogeneous interventions elicits a relatively homogeneous response characterized by activation of specific kinases (AMPK, IKK, JNK1, TAK1, ULK1, VPS34), inhibition of others (such as mTORC1), protein deacetylation reactions (at least in part providing SIRT1 activation and/or EP300 inhibition), and reversal of inhibitory interactions such as those between BECN1 and members of the Bcl-2 family.

**Keywords:** nutrients; dietary intake; autophagy; overweight; obesity; humans

## 1. Introduction

Obesity is one of the world's major public health challenges. The estimates for global levels of high Body Mass Index (BMI > 25 suggest that nearly 3.3 billion adults living in 2035 will be obese, compared with 2.2 billion in 2020. This reflects an increase from 42% of adults in 2020 to over 54% by 2035 [1]. In Poland, more than 35 percent of adult men (aged 20 years or older) and more than 25 percent of adult women will be struggling with obesity in 2035. Also, obesity among children and adolescents will increase year after year. On present trends, by 2035 more than 750 million children (age 5-19 years) are expected to be living with overweight and obesity as measured by body mass. That is equivalent to two children in every five globally, and most of these children will be living in middle-income countries [2].

Obesity is defined as excessive accumulation or abnormal distribution of body fat (globally, regionally, and in organs as ectopic lipids) that poses health risks [3]. The World Obesity Federation has recognized obesity as a chronic, recurrent and progressive disease [4]. Several factors can play a

role in gaining and retaining excess weight. These include: Genetics/physiology (for example, metabolism, appetite, satiety and body fat distribution), health inequalities, environmental factors, commercial determinants (for example, media and advertising, retail environments) [4,5].

Overweight and obesity are the key risk factors for numerous Non-communicable diseases (NCDs) such as hypertension, type 2 diabetes mellitus, cardiovascular disease, metabolic syndrome, musculoskeletal disorders and 13 types of cancer. Of the 41 million adult deaths each year due to NCDs, 5 million are driven by high BMI ( $\geq 25$  kg/m<sup>2</sup>). Nearly 4 million of these are from diabetes, stroke, coronary heart disease and cancer alone [1,6,7].

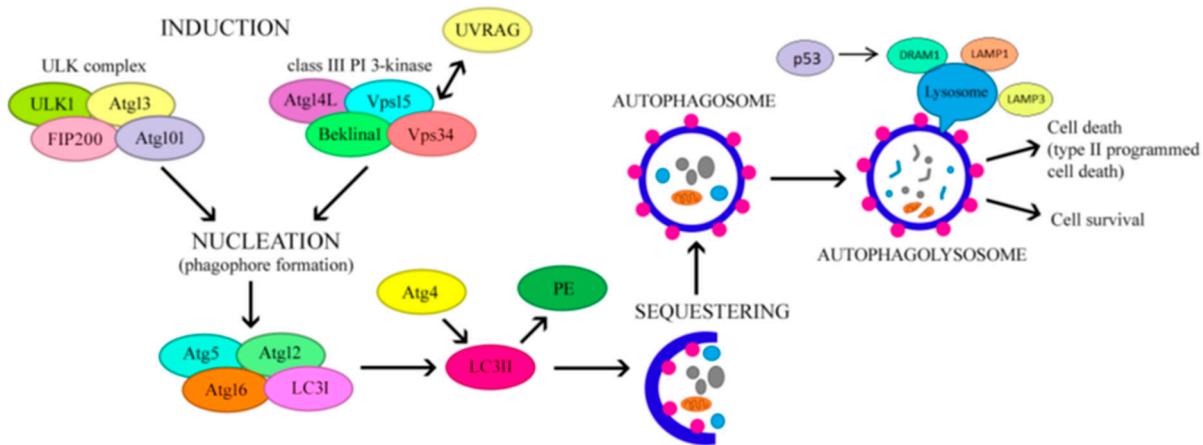
Metabolic syndrome (MetS) originally described by Reaven in 1988 as "syndrome X" or "insulin resistance syndrome", is a cluster of common abnormalities, including insulin resistance, impaired glucose tolerance, abdominal obesity, reduced high-density lipoprotein (HDL)-cholesterol levels, elevated triglycerides, and hypertension [8,9].

The coexistence of these metabolic disorders impairs liver function, manifesting as Metabolic Dysfunction-Associated Liver Disease (MASLD) –[10].

The pathogenesis of obesity and concomitant metabolic disorders shares common mechanisms such as mitochondrial dysfunction or oxidative and endoplasmic reticulum stress, chronic inflammation, gut dysbiosis, and altered autophagy [11].

According to recent studies, it is clear that the common denominator of such metabolic diseases is autophagy. The researchers have discovered that autophagy may play an important role in adipose tissue differentiation and function [12–15].

Autophagy is a highly conservative mechanism of self-digestion, responsible for the removal of damaged proteins and organelles as well as proteins distorted during biosynthesis. The function of the autophagy process is to maintain homeostasis in the body by removing damaged proteins, fatty acids and cellular organelles, which are degraded by lysosomal enzymes [16]. Autophagy can be selective or non-selective, as it can target the degradation of a specific organelle (i.e. mitophagy or lipophagy). It is induced by nutrient deficiency and stress factors to increase the number of autophagosomes. Autophagy begins with the formation and elongation of a membrane, the phagophore, which in turn develops into a vesicle surrounded by two membranes, called an autophagosome. Autophagy-related gene and protein (ATG) products are involved in this process. Autophagosomes surround abnormally folded proteins or damaged organelles and then fuse with lysosomes to form autophagolysosomes, where proteins to be degraded are digested [17,18]—Figure 1.



**Figure 1.** Mechanism regulating autophagy.

ATG1 and microtubule-associated protein light chain 3 (LC3) are widely regarded as important autophagy-initiating genes. Conversion of LC3-I to LC3-II results in the formation of autophagosomes. P62/secretosome (SQSTM) is a ubiquitin-binding protein that recognises ubiquitinated cargo and binds to autophagosomes through direct interaction with LC3-II [19,20]. Because both LC3-II and p62 are degraded in the autolysosome, the accumulation of LC3-II and p62 is considered a robust marker of impaired autophagy [21]. Lysosome-associated membrane proteins

(LAMPs) are essential for autophagosome-lysosome fusion and are responsible for the proteolytic activity of lysosomes. While LAMP1 and LAMP2 are inhibited, autophagosome-lysosome fusion is also inhibited, which is associated with the accumulation of LC3-II and p62, resulting in reduced autophagy activity [22].

The regulatory mechanism of autophagy is closely linked to nutritional status. When nutrients are supplied to the body or under the influence of insulin, phosphatidylinositol 3-kinase (PI3K) class I activates mTOR and mTOR complex 1 (mTORC1), thereby inhibiting ATG1 activation. In contrast, the PI3K-beclin1 class III complex is triggered in the presence of nutrient deficiency, which promotes the formation of the ATG12-ATG5-ATG16L and ATG8/LC3 complex and subsequently stimulates autophagosome formation [23].

Following phagophore formation via both the mTOR and PI3K pathways, the phagophore complex and ATG5-ATG12-ATG16 bind to caveolin-1 (CAV-1) and then interact with LC3 to promote autophagosome formation and CAV-1 degradation [24]. During CAV-1 deficiency, increased levels of autophagy-related gene 7 (ATG7), beclin1 and LC3-II were demonstrated, indicating increased autophagy activity and protection against atherosclerosis [25].

Components of MetS, including elevated glucose [26] and dyslipidemia [27], inhibited autophagosome formation through CAV-1 activation.

Liver and adipose tissue are rich in lysosomes and have high levels of cellular stress-induced autophagy. In fact, reactive oxygen species (ROS) cause lysosome dysfunction and impair autophagy, preventing the degradation of damaged cellular components [28]. In addition, increased endoplasmic reticulum (ER) stress can deregulate lysosome acidification, thereby blocking autophagy in hepatocytes. This leads to hepatotoxicity, cell death and changes in liver function. Obesity, autophagy and metabolic syndrome are mutually dependent.

Based on studies, human adipose tissue and adipocytes contain autophagosomes and show increased expression of autophagy genes, such as autophagy-related genes (ATG5, ATG7 and ATG12), Beclin1, LC3I(A) and LC3II(B), LC3-II and p62 [29,30]. Interestingly, increased autophagy in obese individuals is more pronounced in visceral than in subcutaneous adipose tissue, which is also associated with greater metabolic and cardiovascular risk. The rate of fat accumulation and fat cell hypertrophy are significantly associated with autophagy gene expression, and changes in autophagy are accompanied by obesity-associated IR, preceding metabolic and cardiovascular dysfunction [29].

The growing number of studies show altered (increased or decreased) autophagy in genetically modified or diet-induced animal models of obesity [31–36]. Simultaneously, a limited number of studies in obese humans have examined whether there is a possible link between dietary nutrient intake and autophagy related genes expression.

Nutritional interventions that induce autophagy can be used to manipulate metabolism *in vivo*. New insights into the functions of dietary ingredients in relation to autophagy modulation may provide useful tools in planning diet modifications during obesity treatment and its prevention in humans. In this review, we discuss the importance of various nutritional interventions as induction regulators of autophagy related genes in the prevention and/or treatment of obesity in humans.

## 2. Methods

This narrative review was designed and reported in accordance with the guidelines of the preferred reporting items for systematic reviews and meta-analyses (PRISMA). The following databases: PubMed, Springer, ScienceDirect and Scopus were search using the terms: "autophagy - related genes", "overweight", "obesity", "obesity diseases", "human studies", "", "metabolic syndrome" and in combination with "nutrition", "dietary nutrition intake", "natural compounds", "Calorie restriction", "Intermittent fasting" and secondary searches were conducted, adding the terms "in vitro," "in vivo," "review," or "clinical trial" to the previous terms. Due to the extensive literature, only the most relevant articles were selected, taking into account primarily the quality of the study, the most recent years of publication, and the variety of mechanisms and models studied. The inclusion criteria were: 1/peer-reviewed articles in English, 2/full-text publications, 3/use of a clear study design (cross-sectional or observational studies, etc.), 4/studies published in the period

January 1, 2010 – July 1, 2024. Exclusion criteria were: non-English articles, opinion pieces, scientific dissertations, abstracts. We also excluded studies of short duration (<2 weeks) and studies that focused on intercurrent medical conditions. Two independent reviewers conducted the search and selected the legal acts and list of qualified articles, which we described. Reference lists from all selected articles were also examined for additional relevant studies.

**Ethical Approval:** This study did not require ethical approval as it is a narrative review of previously published studies.

### 3. Results

#### 3.1. Relationship between Autophagy and Obesity

Adipose tissue is a major lipid store and also plays a key role in energy metabolism. Adipose tissue differentiation involves extensive remodeling of progenitor cells, where the removal of cytoplasmic contents, particularly mitochondria, is one of the main changes that occur during adipocyte maturation. In the early stages of adipose tissue differentiation and adipogenesis, there is a huge increase in the number of mitochondria and mitochondrial proteins [37]. In mature adipocytes, on the other hand, the number of mitochondria is significantly lower compared to preadipocytes. This condition is caused by mitophagy (a type of autophagy during which mitochondria are degraded), which is strongly activated during adipocyte maturation. In addition to reducing the number of mitochondria during adipose tissue maturation, mitophagy is also involved in maintaining proper mitochondrial function in mature adipocytes [38]. Although autophagy is crucial for proper adipocyte function and differentiation, defective regulation associated with obesity results in metabolic abnormalities, leading to the development of MetS [39]. Meanwhile, the exact regulatory mechanisms of autophagy in adipogenesis are unknown. Presumably, autophagosomes facilitate the reorganization of cytoplasmic components by mobilizing membranes in the cell, thereby contributing to adipogenesis [40]. In addition, autophagy has been shown to increase the stability of peroxisome proliferator-activated receptor (PPAR)  $\gamma$ , a master regulator of adipogenesis and adipocyte differentiation. Studies confirm that inhibition of autophagy decreases PPAR $\gamma$  activity and directly attenuates adipocyte differentiation [41]. PPAR $\gamma$  is the rate-limiting enzyme for adipogenesis and fat accumulation in excessive adipose tissue [42]. Thus, PPAR $\gamma$  activation by autophagy may be the mechanism by which autophagy induces obesity and may become a future target for preventing obesity-associated autophagy during MetS [43]. In addition, PPAR $\gamma$  activation during obesity depends on a number of other factors, including polyunsaturated fatty acids (PUFAs) and prostaglandins (e.g. J2 or D2) [44]. Therefore, additional studies are needed to determine exactly whether the activated PPAR $\gamma$  pathway induces or inhibits autophagy during obesity. Adipogenesis is a two-step process in which multipotent adipose tissue-derived mesenchymal stem cells (ASCs) transform into mature adipocytes, which in turn are involved in energy storage in the form of fat. In obesity, an increase in the size and number of these cells leads to adipose tissue proliferation, which is closely linked to IR [45].

Obesity is characterized by a significant increase in fat mass and is a major risk factor for the development of IR. Autophagy plays a key role in adipogenesis. Adipocyte differentiation is associated with increased levels of autophagy, while inhibition of autophagy inhibits adipogenesis [46]. The pathogenesis of obesity underlies a significant accumulation of potential autophagic substrates, such as lipid droplets, protein aggregates and damaged mitochondria. Therefore, inhibition of autophagy can be expected to accelerate the development of obesity and its associated pathologies. The pathogenesis of obesity underlies a significant accumulation of potential autophagic substrates, such as lipid droplets, protein aggregates and damaged mitochondria [47]. Thus, inhibition of autophagy might be expected to accelerate the development of obesity and its associated pathologies. However, recent studies indicate that a myriad of intracellular and extracellular factors are involved in the etiogenesis and development of obesity. Therefore, it is imperative that the autophagy process in obese patients is thoroughly investigated in order to fully exploit its therapeutic potential in the prevention and treatment of obesity. To date, changes in autophagy, both its increase

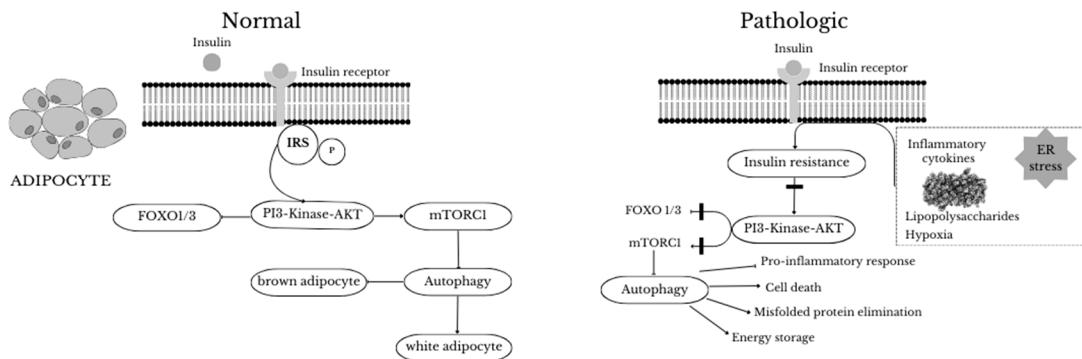
and decrease, have been shown to be involved in the pathogenesis of various diseases, including cancer, neurological, cardiovascular and metabolic diseases [48].

Activation of autophagy facilitates adipocyte differentiation, induces adipogenesis and increases fat accumulation in adipose tissue. A clinical study by Kovsan et al. [49] involving non-obese, obese and severely obese patients (with and without diabetes) confirmed a possible link between induced autophagy activity and fat accumulation.

Studies performed on adipose tissue from obese subjects showed higher expression of autophagy-related genes ATG5-12 and autophagosome membrane-binding proteins LC3I(A) and LC3II(B). The expression of LC3-II, the ATG5-12 protein complex, mTOR and ATG was analyzed by Western blot. It was confirmed that autophagy was increased in both visceral and subcutaneous adipose tissue in obese patients relative to control tissues. The protein Beclin1, which is a master regulator of autophagy, was also elevated in obese patients. Furthermore, ATG12 mRNA expression was positively associated with the degree of obesity, the presence of visceral adipose tissue and adipocyte hypertrophy, confirming increased autophagy [50].

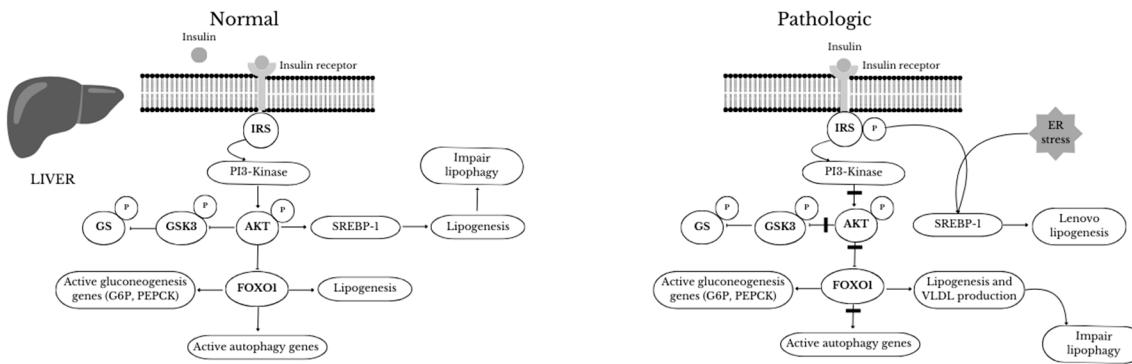
In addition, ER stress, inflammation or hypoxia, which are processes that are active in adipose tissue during obesity, promote autophagy through suppression of mTORC1 [51]. Overall, autophagy may be a protective mechanism against the increased inflammation associated with obesity or serve as a compensatory response to the excessive accumulation of nutrients and damaged organelles in hypertrophied adipocytes [52].

Insulin is an anabolic hormone that acts as a potent inhibitor of autophagy [53]. It can prevent autophagy by activating mTORC1, resulting in suppression of FoxO and ULK1 factors. The PI3K-Akt pathway is a key component of the insulin signaling pathway that contributes to the hormone's inhibition of autophagy. Akt inhibits FoxO 1/3 and induces mTORC1 activity, thus revealing a major link between insulin signaling and autophagy [54]. However, targeted deletion of ATG7 causes deleterious white adipose tissue (WAT) differentiation and browning, resulting in improved insulin sensitivity and glucose consumption, as well as increased  $\beta$ -oxidation of fatty acids [52]. These metabolic changes through inhibition of autophagy play a key role in IR [38]. It has indeed been shown that specific deficiency of ATG3 and ATG16L1 in adipocytes caused IR. In particular, inhibition of autophagy in adipocytes interfered with insulin signaling to Akt in adipose tissue, liver and skeletal muscle. Based on the studies performed to date, innate inhibition of autophagy impairs adipogenesis and leads to insulin sensitivity, whereas selective inhibition of this pathway in mature adipocytes results in IR [38,55,56]—Figures 2 and 3 show the insulin signaling pathway in adipocytes and liver.



**Figure 2.** Regulation of autophagy in lean (normal) and obese (pathologic) subjects. In the former case, induction of mTORC1 by insulin results in inhibition of autophagy. Inhibition of autophagy induces a “browning” phenotype in adipocytes. During obesity, ER stress, hypoxia and inflammation stimulate insulin resistance, resulting in inhibition of mTORC1, followed by induction of autophagy. Autophagy improves adipocyte function by eliminating damaged organelles and misfolded proteins

and preventing pro-inflammatory responses. In addition, excessive stimulation of autophagy can increase energy storage by adipocytes and promote cell death.



**Figure 3.** In the physiological (normal) state, after insulin binds to its receptor, Akt is activated and leads to inhibition of glycogen synthase kinase 3 (GSK3) and forkhead box O (FOXO) 1 in the liver. Inhibition of GSK3 leads to increased glycogen synthase activity and therefore increased glycogen synthesis. When FOXO1 is inhibited, the expression of glucose-6 phosphatase and phosphoenolpyruvate carboxykinase genes decreases, resulting in decreased hepatic glucose production. In addition, insulin inhibits autophagy by activating Akt and inhibiting FOXO1. Akt increases lipid synthesis in hepatocytes through activation of SREBP1c. The increase in lipid content by SREBP1c results in impaired lipophagy and hepatic steatosis. In the insulin-resistant (pathologic) state, GSK3 is activated and inhibits glycogen synthase (GS). FOXO1 activity increases, resulting in activation of the gluconeogenesis pathway, lipid and very low-density lipoprotein (VLDL) synthesis and increased autophagy. SREBP1c increases its activity through ER stress or through IRS-1.

An increase in the transcription factor E2F1 in adipose tissue of obese individuals was found to be associated with the expression of ATG genes, mainly those involved in the later stages of autophagy, such as ATG12, LC3-II and DRAM1[57,58]. E2F1-deficient adipocytes under the influence of inflammatory cytokines showed less activation of autophagy. Interestingly, E2F1 induction in adipose tissue occurs simultaneously with inflammatory activation. This fact suggests that activation of autophagy by E2F1 may act as a protective mechanism against obesity-related inflammation [59,60]. These results indicate that there is a concomitant correlation between the regulation of autophagy and inflammation. Many cytokines or adipokines released during mild inflammation induce autophagy, which is an important mechanism for the clearance of invading pathogens.

The action of autophagy in the liver differs significantly from its behavior in adipose tissue during MetS. In obesity, autophagy in hepatocytes is significantly reduced (in contrast, an increase is observed in adipose tissue) because impaired metabolism is observed in the liver along with deformed mitochondria [61,62]. In contrast to the role of autophagy in adipose tissue, inhibition of autophagy promotes lipid accumulation in hepatocytes through lipolysis of lipid droplets accumulated in TG [63]. Furthermore, a constantly positive energy balance favors mTORC1 activity at the expense of AMPK, achieving consequent inhibition of autophagy [64]. In a study in mice, it was shown that long-term use of HFD induced induction of mTORC1 activity and decreased ATG5 and ATG7 expression in the liver, where autophagy is markedly activated during starvation [65–67]. Yang et al. [65] showed lower protein expression of ATG7, beclin1 (ATG6), LC3, ATG5, and elevated expression of p62 in livers of obese mice. Moreover, higher levels of ER and IR stress were observed in these mice due to impaired autophagy activity in hepatocytes. Furthermore, reduced autophagy in the liver was observed in both diet-induced obesity and genetic obesity models, which could be explained by obesity-associated hyperinsulinaemia (insulin inhibits autophagy). Yet, insulin is not the main cause of reduced autophagy in the liver in obese individuals. It is likely that other mechanisms co-exist here. One is the action of calpain 2, a  $\text{Ca}^{2+}$ -dependent protease whose higher levels in hepatocytes reduce autophagy in obese patients[68,69], and whose inhibition increases autophagy [65]. Another possible mechanism that reduces autophagy in the liver is the transcription

factor FoxO, which acts as a key regulator of the Vps34 and ATG12 proteins responsible for the initiation of autophagy [70]. Elevated insulin levels and activated Akt suppress FoxO activity, thereby reducing the rate of autophagy in MetS [71]. Thus, long-term inhibition of autophagy due to IR and hyperinsulinaemia in MetS can be explained by reduced FoxO activity in hepatocytes [70]. Similarly, genetic or pharmacological inhibition of autophagy counteracts starvation-induced weight loss while contributing to obesity and T2DM [72]. Therefore, chronic HFD use is thought to alter the intracellular ion balance in hepatocytes, ultimately impeding autophagosome-lysosome fusion [73,74]. In addition to the altered autophagy in the adipose tissue of obese mice, other tissues such as the hypothalamus and kidney also show lower levels of autophagy [75,76] suggesting the involvement of systemic factors. In addition, mitochondrial and ER oxidative stress and the accumulation of toxic substances may be responsible for, among other things, inducing IR [77]. Soussi et al. described a reduction in autophagic flow in adipocytes of subcutaneous tissue in obese subjects [14]. In contrast, other authors have reported a relationship between nutrient restriction in obese subjects and an increase in autophagy activity associated with improved insulin sensitivity [78].

In contrast, subsequent studies have shown that HFD-induced hepatic steatosis and obesity-related ER stress essentially activate autophagy as a protective mechanism against cellular damage [79]. Autophagy protects hepatocytes from lipotoxicity-related ER stress, as well as from SFA (palmitic acid) induced apoptosis [74,80], and this may be the reason for the observed induction of autophagy in the early stages of obesity. Nevertheless, studies have shown that the effects of HFD-induced autophagy persist for the first few weeks, with autophagy activity eventually declining due to the ongoing cellular stress that occurs in chronic obesity [65]. Furthermore, a mouse study showed that mRNA and protein levels of beclin1 and LC3 were significantly higher in severely obese mice compared to controls. In contrast, the same obese mice showed significantly reduced LC3-II levels and LC3-II/LC3-I ratios compared to control mice, indicating impaired autophagy [81]. In summary, some of these results indicate increased expression of autophagy markers and number of autophagosomes in obesity. However, without adequate measurement of autophagic flow (total autophagosome synthesis, substrate delivery and lysosome degradation), it cannot really be said that these results indicate increased autophagy activity. Hence, there is a need for further research to clearly understand and describe the existing relationships. Regarding human studies [82–85], the general depicted trend is an increased White Adipose Tissue (WAT) autophagy in obese and/or diabetic humans. It was found that higher mRNA and/or protein levels of several autophagic markers: Beclin-1, ATG5, ATG12, ATG7, LC3A and B, LC3-II, p62 and decreased mTOR expression in subcutaneous WAT and/or visceral WAT from obese compared with lean individuals.

### 3.2. Nutritional Interventions for Autophagy Activation in Overweight/obese Humans

Lifestyle modification (such as calorie restriction, intermittent fasting, sleep, stress control, various diets, exercise), nutritional interventions and pharmacological modulation of autophagy have been proved to be beneficial in preventing and treating obesity and its complications by improving metabolic health [11]. Nutrition interventions are defined as deliberately planned actions that aim to positively change a nutrition-related behaviors, environmental conditions, or an aspect of the health status of an individual, a target group or an entire community [87].

Data from epidemiological, experimental and clinical studies have shown that nutritional strategies are well-known methods for inducing autophagy [31,88–90]—Table 1.

**Table 1.** Modulation of autophagy by dietary strategy in overweight/obese humans.

Dietary strategies	Model of Obesity	Parametr studies	Effect on Autophagy	Ref.
Calorie restriction or Intermittent fasting	Skeletal muscle of body fat-matched endurance athletes ; skeletal muscle of obese women; Obese human (subcutaneous, white adipose tissue	Decreased mTOR signaling through reducing insulin and IGF-1 levels and increased the AMP/ATP ratio, which leads to the activation of AMPK as well as several other products involved in the stimulation of this process ( <i>ATG 5, ATG6, ATG7, ATG8, LC3-II, Beclin1, p62, SIRT1, LAMP2, ULK1 and ATG101</i> )	Enhanced	[96–100]
Calorie restriction 25% for 7 weeks	Peripheral blood mononuclear cells (PBMNCs) of overweight male	Activated of AMPK and <i>SIRT1</i>	Enhanced	[106]
Mediterranean Diet vs Mediterranean Diet with almonds	Obese human (subcutaneous, white adipose tissue	Increased expression of autophagy-related ATG 7 and ATG12 in VAT from the MDSA group, while ATG5 show non-significant trend (p=0.054)	Enhanced	[117]
Dietary polyphenols	Obese human (subcutaneous, white adipose tissue	Activated cAMP, AMPK, MAPK, increased AKT, <i>SIRT1, PI3K, Nrf2/HO-1, PINK1/Parkin, PPARδ</i> genes expression	Enhanced	[118]
Epigallocatechin-3-gallate + resveratrol (280 mg +80 mg/d) vs placebo – 12 weeks	Obese human (subcutaneous, white adipose tissue	Activated genes expression of ATP6V1A, ATP6V1H, CD68, HSL/LIPE, LAMP2, PI4K2A, UCP2, GAPDH	Enhanced	[119]
Resveratrol 150 mg once daily for 30 days	Obese men (skeletal muscle)	Activated AMPK, increased <i>SIRT1</i> and PGC-1 $\alpha$ protein levels	Enhanced	[120]
Resveratrol 150 mg once daily for 30 days	Obese men (skeletal muscle)	Activated TFEB (transcriptional factor EB) expression	Enhanced	[121]

		Inhibited mTOR activity		
Resveratrol (500 mg/d) vs Calorie restriction (1000 kcal/d)	Overweight human (blood)	Resveratrol and caloric restriction increased significantly serum concentrations of <i>SIRT1</i> proteins	Enhanced	[128]
Four diets for 12 weeks: a high-saturated fatty acid diet (HSFA), a high-monounsaturated fatty acid diet (HMUFA), and two low-fat, high-complex carbohydrate diets supplemented with long-chain n-3 polyunsaturated fatty acids (LFHCC n-3) or placebo (LFHCC).	Obese human (subcutaneous) white adipose tissue	Significantly increased expression of autophagy-related <i>BECN1</i> and <i>ATG7</i> genes after the HMUFA diet; increased the expression of the apoptosis-related <i>CASP3</i> gene after the LFHCC and LFHCC n-3 diets. Expression of other autophagy markers, LC3, LAMP2, and ULK1, tended to increase after the consumption of the LFHCC n-3 diet.	Enhanced	[135]
Low-fat, high-carbohydrate diet (LF) vs moderate-fat, low-carbohydrate diet (MF) for 10 weeks	Obese human (subcutaneous) white adipose tissue	Expression <i>FABP4</i> , <i>SIRT3</i> , <i>NR3C1</i> , <i>GABARAPL2</i> , and <i>FNTA</i> genes was 15–65% higher in the MF than the LF.	Enhanced in MF diet vs LF	[136,137]
Hypocaloric diet (1500-1600 kcal/day) and low protein (10%) vs Hypocaloric (1500-1600 kcal/day) and high protein (30E%) for 3 weeks prior bariatric surgery	Liver sample collected during surgery	Significantly elevated autophagy flux and FGF21 levels in liver in patients in LP diet versus HP	Enhanced in LP diet vs HP	[138]

### 3.2.1. Calorie Restriction; Intermittent Fasting

According to number of studies [91–95] Calorie Restriction - CR (usually 20%–40% intake) and Intermittent Fasting - IF (various short- and long-term diet programs with regular cycles between eating and fasting times) stimulate autophagy mainly by decreasing mTOR signaling through

decreased insulin and IGF-1 levels and increased AMP/ATP ratio, leading to activation of AMPK as well as several other products involved in stimulating this process (ATG6, ATG7, ATG8, LC3-II, Beclin1, p62, SIRT1, LAMP2, ULK1 and ATG101). Calorie restriction also alters the level and/or activity of CoA (the sole donor of acetyl groups), acetyltransferases and/or deacetylases, leading to the induction of autophagy through deacetylation of cellular proteins. Deep activation of autophagy occurs after 72 hours of fasting, but 3-days periods without food intake are challenging for individuals. Therefore, various less restrictive dietary models have been developed to help induce autophagy [96].

In study by Yang et al [97] serum cortisol, molecular chaperones and autophagy proteins were measured in skeletal muscle of subjects on CR diet for 3–15 years and in control volunteers. The authors found that CR significantly upregulated multitude autophagy genes, including ULK1, ATG101, Beclin-1, APG12, microtubule-associated protein 1 light chain 3 (LC3), GAPRAP/GATE-16, and autophagin-1. At the same time, the expression levels of Beclin-1 and LC3 proteins were significantly higher in the skeletal muscles of the CR volunteers compared to the control group. Chaudhary and co-workers [98] reported, that skeletal muscle autophagy may be suppressed in obese woman in response to weight loss. In our study, fifty women ( $51 \pm 2$  years; BMI  $31.8 \pm 4.3$  kg/m<sup>2</sup>) were randomly assigned to one of two IF protocols (24-hours fasting, 3 non-consecutive days per week) and fed at 70% (IF70) or 100% (IF100) of energy requirements for 8 weeks. Vastus lateralis muscle was sampled after 12- and 24-h fasting. The 24-h fast increased mRNA levels of SQSTM1, BECLIN1, SQSTM1 and LAMP2, which were reduced in IF70 after a 12-h overnight fast. The benefits of food and energy restriction in promoting optimal health were supported by a 2017 study that found that IF (60% calorie restriction for 2 days per week or every other day) delays pathological processes through adaptive stress signaling cascades to improve mitochondrial health, DNA repair and autophagy [99,100]. Kim and Li conclude that: these findings are consistent with the hypothesis that humans and other animals evolved survival mechanisms in food-deficient environments and developed adaptations to improve both physical and cognitive functions [101].

In report by Kitada, Kume et al. [102] four overweight male participants were enrolled and treated with 25% CR of their basal energy requirements for 7 weeks, allowing the researchers to demonstrate the effects of human serum taken from CR participants on AMPK and SIRT1 activation and mitochondrial biogenesis in cultured human skeletal muscle cells. AMPK and SIRT1 activation was assessed by the deacetylation of H<sub>2</sub>O<sub>2</sub>-induced increase in acetylated-p53 expression and was shown to be significantly increased in human skeletal muscle cells cultured with serum after CR. The authors observed a correlation between SIRT1 gene expression and lower serum levels of insulin, free fatty acids and interleukin 6.

The interplay between Sirtuins and autophagy, both potential longevity-promoting factors, has gained more attention in recent years. SIRT1 is one of the main mammalian sirtuins that is upregulated in response to CR [103].

Other studies [104,105] have shown that SIRT1 is expressed in visceral adipose tissue and is reduced by obesity. Sirtuin 1 (SIRT1) increased basal autophagic activity, and SIRT1 knock-out cells and mice demonstrated unusually high levels of acetylation of essential autophagic proteins like Autophagy Protein 5 (ATG5), ATG7, and Light Chain 3B (LC3B) [102,106].

It has been suggested that suppression of mTOR signaling and increased autophagy contribute to many of the beneficial adaptations observed with IF protocols in the subjects analyzed. Time-restricted eating (TRE) is a popular form of IF that limits caloric intake, without changing the quantity and quality of diet, to a period of 6–10 h. Overweight adults (6 Females, 3 Males; aged 65 years and older) were advised to fast for approximately 16 h per day for four weeks with the daily target range set for 14–18 h. Participants were asked to abstain from caloric intake during the target fasting window of 16 continuous hours. There were no dietary restrictions on the amount or types of food consumed during the 8 h eating window, and participants could choose the time frame that best fit their lifestyle [107]. Blood was collected from all participants before and after the TRE regimen in the morning, and the expression of 2083 human miRNAs was quantified using HTG molecular whole transcriptome miRNA assay, and ultimately fourteen miRNAs were differentially expressed before

and after TRE regimen. Notably, downregulated miRNA targets suggested increased expression of transcripts, including PTEN, TSC1, and ULK1. The serine/threonine protein kinase ULK1 (unc-51-like kinase 1), which functions in a complex with at least three protein partners: FIP200 (focal adhesion kinase family interacting protein of 200 kDa), ATG (autophagy-related protein) 13 (ATG13), and ATG10 to regulate the formation of autophagophores, the precursors of autophagosomes. It also acts as both as a downstream effector and a negative regulator of the mammalian target of rapamycin complex 1 (mTORC1) [108]. It has been suggested that suppression of mTOR signaling and increased autophagy contribute to many of the beneficial adaptations observed in TRE protocols in clinical populations.

The beneficial effects on autophagy of both CR and IF appear to be associated with increases in fat mobilization, oxidation, metabolic flexibility, insulin sensitivity and redox imbalance along with a reduction in systemic inflammation, cardiovascular risks and body weight.

Many nutrients with significant health/translational properties (nutraceuticals), may affect the chronic disease risk through various mechanisms that include the activation or inhibition of autophagy [31,88,109]. These include, for example: amino acids (i.e., leucine), fatty acids (i.e., omega 3 polyunsaturated fatty acids), vitamins (carotenoids and retinoids, ascorbic acid, calciferol, tocopherols, and tocotrienols), coenzyme Q10, bioactive compounds (i.e., mainly polyphenols like curcumin, caffeine, EGCG, resveratrol, allicin), minerals (zinc or iron), ergothioneine, lipoic acid, N acetylcysteine and spermidine [110].

According to a number of studies [89,96,101,110] Mediterranean Diet (Med Diet) also has significant effects on the regulation of autophagy.

### 3.2.2. Mediterranean Diet (Met Diet)

In 2010, UNESCO acknowledged Mediterranean Diet as an Intangible Cultural Heritage of Humanity and developed the food pyramid model in order to communicate the “Me Diet” model to people and health professionals [110].

The Mediterranean diet is characterized by a high intake of plants and is rich in dietary fiber, vitamins, polyunsaturated fatty acids, oligoelements, polyphenols, and others [111]. One of the dietary ingredients common in plant-based diets are polyphenols, which are particularly abundant in fruits, vegetables, whole grains, and legumes but also in cocoa, tea, coffee, and red wine [112]. Polyphenols are classified as flavonoids and seven described subclasses (flavonols, flavones, flavanones, flavanonols, flavanols, anthocyanidins, and isoflavones) and non-flavonoid molecules (phenolic acids, hydroxycinnamic acids, lignans, stilbenes, and tannins) [113,114]. Flavonoids are polyphenolic secondary metabolites that are commonly found in most plants. These compounds can occur as glycosides or aglycones. Flavonoids exhibit a broad spectrum of biological activities, such as neuroprotective, anti-inflammatory, antibacterial, hepatoprotective, anti-mutagenic, anticancer, cardiovascular protective, antifungal, antiviral, and anti-allergic effects [115].

The high content of protective phenolic compounds in the MedDiet ingredients, especially those present in vegetables and fruits, may also help explain their multiple benefits [116]. Some well-known polyphenols include: resveratrol, quercetin, curcumin, epigallocatechin gallate, catechin, hesperetin, cyanidin, procyanidin, caffeic acid, and genistein [117].

A study by Osorio – Conles et al. [118] evaluated the short-term effects of a dietary intervention based on the Mediterranean diet (MedDiet) supplemented with almonds (MDSA) on the main features of obesity-related white adipose tissue (WAT) dysfunction. A total of 38 obese women (aged 18–68 years, with a BMI of 40–50 kg/m<sup>2</sup>) were randomly assigned to a 3-month intervention with MDSA (19 women) vs. maintaining their usual diet (17 women). Biopsies of subcutaneous (SAT) and visceral adipose tissue (VAT) were obtained before and after the dietary intervention. The expression of angiogenesis-related genes PDGFRB, VEGFA, VEGFR1 and VEGFR2 was significantly increased after MDSA intervention compared to controls. In VAT the expression of genes associated with adipogenesis, angiogenesis, autophagy and fatty acid usage was increased. Among other things, the authors found increased expression of autophagy-related ATG 7 and ATG12 in VAT from the MDSA group, while ATG5 showed a non-significant trend ( $p = 0.054$ ).

### 3.2.3. Dietary Polyphenols

Dietary polyphenols have beneficial effects on adipose tissue mass in humans. Many autophagic pathways, including cAMP, AMPK, MAPK, AKT, SIRT1, PI3K, Nrf2/HO-1, PINK1/Parkin, PPAR $\delta$ , and miRNAs have been implicated in the improvement of glucolipid metabolic diseases by polyphenols [119]. Epigallocatechin-3-gallate and resveratrol are dietary polyphenols abundantly available in green tea and in grapes, respectively.

In a randomized, placebo-controlled study of Most et al. [120] 25 (10 women) overweight and obese humans received a combination of the polyphenols epigallocatechin-gallate (EGCG) and resveratrol (RES) (282 mg/d, 80 mg/d, respectively, EGCG+RES, n = 11) or placebo (PLA, n = 14) for 12 weeks. Abdominal subcutaneous adipose tissue (SAT) biopsies were taken for assessment of adipocyte morphology and micro-array analysis. EGCG+RES supplementation had no significant effect on mean adipocyte size or surface area in abdominal subcutaneous AT and illustrated that it can induce a suppression of gene sets related to adipocyte turnover (adipogenesis and apoptosis/autophagy), inflammation and the immune system in AT in overweight and obese humans. Increased gene expression: ATP6V1A, ATP6V1H, CD68, HSL/LIPE, LAMP2, PI4K2A, UCP2, GAPDH.

In placebo-controlled, double-blind cross-over study [121] 11 healthy obese patients ( $52.5 \pm 2.1$  years) received placebo followed by 150 mg of resveratrol once daily for 30 days after a 4-week wash-out period. After muscle biopsy, the authors also found increased AMPK phosphorylation and SIRT-1 and PGC-1 $\alpha$  expression. Konings E, Timmers S et al. [122], indicated that in the obese men analyzed previously, it reduced the size of subcutaneous adipocyte in the abdominal region and enhanced and improved adipogenesis, probably through modulating gene expression.. At the same time, RES supplementation induced, among other things, the expression of TFEB (transcriptional factor EB) in the subcutaneous AT. TFEB controls multiple crucial steps in the autophagic pathway [123]. Moskot M, Montefusco S et al. [124] discovered a regulatory network linking phytoestrogen genistein-mediated control of EB transcription factor (TFEB) gene expression, TFEB nuclear translocation, and activation of TFEB-dependent lysosome biogenesis to lysosomal metabolism. Resveratrol suppresses mTOR activity, which should mediate resveratrol-induced autophagy. Upstream regulators including PI3K, AMPK, and SIRT1, have been shown to be involved in mechanism of inhibition [125–127]. Park et al. [128] suggested that resveratrol stimulates autophagy through convergent modalities that include the activation of the AMPK–SIRT1–PGC-1 $\alpha$  axis and the inhibition of the mTOR–ULK1 pathway.

Forty-eight healthy participants (24 women) aged 55–65 years,  $\text{BMI} < 29.9 \text{ kg/m}^2$  were randomized to 30 days of resveratrol administration (500 mg/day) or caloric restriction (1000 cal/day) in study by Mansur et co-workers [129]. Plasma SIRT1 concentrations and gene expression were examined by real-time PCR. Resveratrol and caloric restriction increased serum concentrations of SIRT1, from  $1.06 \pm 0.71$  to  $5.75 \pm 2.98 \text{ ng/mL}$ ;  $p < 0.0001$ , and from  $1.65 \pm 1.81$  to  $5.80 \pm 2.23 \text{ ng/mL}$ ;  $p < 0.0001$ , respectively.

### 3.2.4. Dietary Fatty Acids

Modulation of autophagy of subcutaneous visceral adipose tissue by dietary intervention has also been reported in obese patients. Evidence suggests that this modulation depends on the type of dietary fat, protein and carbohydrates consumed [82]. A high-fat diet is one of the factors causing obesity. Dietary fatty acids profile is also an important variable in the development of obesity and the role of autophagy in this process [130]. Saturated fatty acids (SFAs) have been shown to contribute more to obesity than monounsaturated fatty acids (MUFA) and polyunsaturated fatty acids (PUFA) [131]. As the most common monounsaturated fatty acid (MUFA) in the daily diet, oleic acid could induce autophagy, which is responsible for regulating lipids metabolism in hepatocytes.  $\omega$ -3 and  $\omega$ -6 polyunsaturated fatty acids (PUFA) are essential for normal physiology and metabolism and play a role in the occurrence and development of several diseases [132]. O'Rourke data showed that  $\omega$ -6 PUFA supplementation activates autophagy in human epithelial cells [133].

According Ciesielska K, Gajewska M [134] SFAs induce autophagy, which appears to be directly correlated with the activation of the diabetogenic stress kinase JNK1, as well as increased ER stress observed in hypertrophic adipocytes. PUFAs exert an opposite effect, being able to alleviate SFA-induced mitochondrial dysfunction, reduce oxidative stress, and modulate the inflammatory

response of the adipose tissue. Yang B and co-workers [135] concluded that  $\omega$ -6 PUFAs (linoleic acid) activate both autophagy and antioxidation in a synergistic feedback loop through TOR-dependent and TOR-independent signaling pathways. Camargo et al.[136] conducted a randomized, controlled trial involving 39 obese volunteers with metabolic syndrome, receiving one of four diets for 12 weeks: a high-saturated fatty acid diet (HSFA), a high-monounsaturated fatty acid diet (HMUFA), and two low-fat, high-complex carbohydrate diets supplemented with long-chain n-3 polyunsaturated fatty acids (LFHCC n-3) or placebo (LFHCC). After the dietary intervention period, adipose tissue samples were collected from the superficial abdominal subcutaneous adipose tissue. The authors noted significantly increased expression of autophagy-related genes (*BECN1* and *ATG7*) after long-term consumption of the HMUFA diet, and increased expression of the apoptosis-related *CASP3* gene after the long-term consumption of the LFHCC and LFHCC n-3 diets. Expression of the other autophagy markers analyzed (LC3, LAMP2, and ULK1), tended to increase after consumption of the LFHCC n-3 diet. The number of autophagy-related genes tended to increase after long-term use of the LFHCC n-3 diet, consistent with previous descriptions of apoptosis and autophagy being related. The authors concluded that enhanced autophagy may contribute to the maintenance of adipose tissue homeostasis.

The studies described above indicate that PUFAs may be involved in the regulation of autophagy directly, but also indirectly via their small bioactive lipid mediators.

### 3.2.5. Diet Modifications

The 648 participants in the European multicenter NUGENOB (Nutrient-Gene Interaction in Human Obesity) study [137,138] were randomly assigned to 10-weeks dietary intervention of two hypoenergetic diets: low-fat, high-carbohydrate diet (LF) or a moderate-fat, low-carbohydrate diet (MF). Both diets were designed to provide 600 kcal/d less than the individual's estimated energy requirement. Fat provided 20–25 and 40–45%, carbohydrates provided 60–65 and 40–45% of total energy in the LF and the MF diets respectively. Both diets provided 15% of total energy from protein. Gene expression in adipose tissue before and after 10 weeks of diet was assessed in two sets 47 obese subjects selected within each dietary group. The expression of five genes: *FABP4*, *SIRT3*, *NR3C1*, *GABARAPL2*, and *FNTA* was 15–65% higher in the MF than the LF. In this study, energy restriction had a more pronounced effect on changes in human adipose tissue gene expression than macronutrient composition. Macronutrient-sensitive regulation of a subset of genes may influence adipose tissue function and metabolic response.

### 3.2.6. Protein Intake

Protein intake is one of the strongest dietary regulators of circulating levels of IGF-1, a potent growth factor that activates the Akt/mTOR pathway [139]. According Liu Ch, Ji L et al. [106] regulation of autophagy by amino acids is still a burgeoning field of research. In particular, functional amino acids (FAAs) (e.g., arginine, leucine, glutamine, and methionine) are involved in protein synthesis and homeostasis and regulate autophagy through sensor-mediated activation of mTORC1 kinase. In clinical study by Xu C. et al. [140] 19 morbidly obese participants (BMI approximately 45 kg/m<sup>2</sup>) undergoing bariatric surgery were analyzed in two hypocaloric (1500-1600 kcal/day) dietary groups: low protein (10E% protein) and high protein (30E% protein), for three weeks prior to surgery. Serum levels of intrahepatic lipids (IHL) and fibroblast growth factor 21 (FGF21) were measured before and after the dietary intervention. Autophagy flux, histology, mitochondrial activity and gene expression analyses were performed in liver samples collected during surgery. From dynamic analyses of autophagy flux after 3 weeks of intervention, this study confirmed that the LP group displayed significantly elevated autophagy flux and FGF21 levels in the liver and circulation compared to HP, but the HP diet more was more effective in reducing intrahepatic fat. Expression of autophagy-related genes (LC3A, LC3B and Atg5) was positively correlated with ER-stress genes (BiP, XBP1s, XBP1, ATF4 and DDIT3).

#### 4. Conclusions

Numerous studies have shown that autophagy plays an important role in adipose tissue - in its differentiation and function in states of physiology and pathology. Autophagy is crucial for the proper functioning and differentiation of adipocytes, and its defective regulation associated with obesity results in metabolic abnormalities, leading to the development of metabolic syndrome. Increased expression of autophagy-related genes correlates with the degree of visceral fat mass obesity, and adipocyte hypertrophy and autophagy in adipose tissue are associated with impaired glucose tolerance in a manner independent of BMI and insulin. Many nutrients with significant health/translational properties (nutraceuticals) can affect chronic disease risk through various mechanisms that include activation or inhibition of autophagy. Nutritional intervention in the regulation of autophagy in obesity and its comorbidities is not yet clear, especially in obese individuals.

This is due to the fact that most experimental studies on this topic are conducted on cell lines or animal models. Most human studies mainly evaluate anthropometric measurements, selected biochemical indicators before, during and after the designed diet. It does not, for example, address changes in autophagy gene expression in adipose tissue (visceral or subcutaneous), which is mainly due to the invasiveness of this procedure. So many questions about nutrient bioavailability, optimal dosage and overall efficacy remain unanswered.

At the same time, a limited number of studies in obese people have examined whether there is a possible link between dietary nutrient intake and the expression of autophagy-related genes. There are also few clinical studies, with a sufficiently large number of participants (patients, volunteers) emphasizing the importance of dietary and lifestyle strategies in health maintenance and secondary and tertiary prevention. Clinical trials of autophagy-inducing nutrients are strongly encouraged, while emphasizing the dose, duration and possible synergistic effects of various compounds.

Future research should focus on longitudinal studies, and clinical trials will provide a comprehensive understanding of how to harness the potential of nutrition to regulate specific signaling pathways that maintain efficient autophagy in obese humans. Nutrient-sensitive pathways influenced by nutrient compounds and dietary components offer an important untapped perspective for treating obesity by affecting inflammation, oxidative stress and nutrient metabolism, which seems reasonable and needed.

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